IMT general aspects

ITU Radiocommunication Bureau

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#ITUWRS
Content of presentation

➢ Standards and spectrum for IMT
➢ Balancing spectrum requirements of IMT and other services
➢ Regional harmonization of IMT bands and technical conditions
➢ IMT-2020/5G deployment and challenges
➢ ITU work on IMT for 2030 and beyond/6G
New generation of cellular communications comes ≈ every 10 years

4G (IMT-Advanced) started in 2010. They opened a new era of mobile internet. Serve a basis of many Apps based businesses, e.g. mobile money, hotel, transport reservations, online purchasing, etc.

4G became a powerful platform for broadband in developing countries, e.g. for m-Learning, m-Health, Mobile money…

LTE is the main 4G standard. 4.75 bn subscriptions in January 2022

5G (IMT-2020) is now deployed in 87 countries

Studies on IMT 2030 and beyond (6G) started in ITU, 3GPP, other org.
Current ITU standards of IMT

ITU develops high level IMT standards: Vision, Requirements to characteristics, Criteria of Evaluation of technologies, Detailed Specification

- **IMT-2000**
  - ITU-R M.1457-15
  - IMT-2000 CDMA DS
  - IMT-2000 CDMA MC
  - IMT-2000 CDMA TDD
  - IMT-2000 TDMA SC
  - IMT-2000 FDMA/TDMA
  - IMT 2000 OFDMA TDD
  - WirelessMAN
  - 05/2000

- **IMT-Advanced**
  - ITU-R M.2012-5
  - LTE-Advanced
  - WirelessMAN-Advanced
  - 02/2014

- **IMT-2020**
  - ITU-R M.2150-1
  - 3GPP 5G-SRIT
  - 3GPP 5G-RIT
  - TSDSI 5Gi
  - DECT 5G-SRIT
  - 02/2021

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3G

4G

5G
4G overtook 3G by subscription number by the end of 2020

Source: GSMA
ITU allocates spectrum for IMT at World Radiocommunication Conferences (WRCs)

Total spectrum identified for IMT (MHz)

WRC-19 identified 17.25 GHz of spectrum for IMT in 5 bands. 86% of these bands are harmonized.
Spectrum for IMT at WRC-23

WRC-23 will consider 7 candidate bands for IMT - UHF band and mid-band frequency ranges

<table>
<thead>
<tr>
<th>MHz</th>
<th>470</th>
<th>960</th>
<th>3300 3400</th>
<th>3600 3800</th>
<th>4800 4990</th>
<th>6425 7025</th>
<th>7125</th>
<th>10 GHz 10.5 GHz</th>
</tr>
</thead>
<tbody>
<tr>
<td>Region3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Region2</td>
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<tr>
<td>Region1</td>
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<td></td>
</tr>
</tbody>
</table>

AI 1.1  AI 1.2  AI 1.3  AI 1.5
Spectrum requirements for IMT-2020/5G

- **Low bands** – large coverage, deep penetration in buildings, combination of bands of 20 MHz
- **Mid-bands** - balance of coverage and capacity, not less than 80 – 100 MHz per operator
- **Millimetre bands** – super capacity, extremely high data rates, not less than 400 – 800 MHz per operator. Mostly in dense urban areas, but performance has not met the theory, yet: problems due to propagation, obstruction, body blockage. Very limited outdoor coverage, handset constraints

Mid-bands is a very attractive option for 5G. Possible identification of the 6 GHz (6425 - 7125) band for IMT is studied in ITU-R, but the WRC-23 decision will depend on competition between IMT and Wi-Fi

China is considering the entire band for IMT. US, Brazil and some other countries allocated for Wi-Fi. Europe: the lower band for Wi-Fi, the upper at WRC-23.
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Role of IMT in ICT ecosystem

- **IMT is very important** - ubiquitous connection of people and machines, **but**

- There are other important radio services using spectrum:
  - Fixed networks: RRL, TV distribution, HAPS, mobile networks backhaul
  - Scientific satellites: information about minerals, climate change, weather prediction
  - Radio navigation satellites: location and navigation
  - Communications satellites: comms in remote areas, disaster communications,
  - Radar: navigation, traffic safety, collision avoidance in intelligent transport systems
  - Other mobile services: maritime, air, Wi-Fi

- **Radio spectrum is essential for the operation and development of these services**
Mechanisms for balancing spectrum requirements

- Balanced position of national regulators - care about all radio services
- Mobile community contribution:
  - Realistic mobile traffic forecasts *that are converted into* .
  - Justified spectrum needs
  - Wise choice of candidate frequency bands for IMT .
- WRC mechanism, which guarantees that the interests of the services are taken into account:
  - Principle: allocating frequencies to new services while protecting existing ones
  - ITU studies of all interference scenarios based on realistic assumptions
Compatibility of IMT in adjacent bands

- Success of mobile broadband and its ubiquitous coverage sometimes leads to compatibility issues with other services not only in the same band, but also in adjacent frequency bands. Examples:
  - Potential impact on aircraft altimeters in 4.2 – 4.4 GHz from IMT in bands below 4.2 GHz
  - Potential interference from IMT above 24.25 GHz to passive sensors of space services operating below 24 GHz
  - Impact on the receiving terminals of mobile-satellite service on ships and aircraft in 1518-1525 MHz from IMT operating in a lower band
  - Uncertainties with operation of radio microphones at 700 MHz and possible move out of the band
Radioaltimeters are critical during all phases of flight, especially landing. RA are sensitive to spurious interference—low filtering in old models.

WRC-12 identified 3.4 - 3.6 MHz for IMT, but countries introduce 5G close to 4.2 - 4.4 GHz.

Both sides, Aviation and 5G develop measures to prevent interference.

These includes developing new filters for RA and restrictions for IMT near airports on power, azimuth, runway distances. Already implemented in F, CAN, USA, CZE, J, ARS.
Protection of passive sensors in adjacent band

- **Past**: WRC allocations without analysing adjacent bands interference, except radioastronomy
- **Present**: studies of new allocations include adjacent band compatibility, WRC-23:
  - Mid-band frequencies for IMT (3300-3400, 3600-3800, 6425-7125, 10.0-10.5 GHz)
  - New frequencies for HAPS below 2.7 GHz
- **Good example**: WRC-19 ensured protection of space sensors from 5G in 24 GHz
  - established unwanted emission limits on 5G stations to protect sensors below 24 GHz
  - Limits are in Res 750 (Rev.WRC-19), 2 phase implementation, more stringent after 2027
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Regional IMT harmonization - approaches

4 levels of harmonization:

- Developing harmonized frequency channelling plans for IMT
- Defining harmonized technical conditions of IMT operation for each frequency band
- Developing and approving guidance on IMT cross-border coordination:
  - coordination methodology
  - technical criteria
  - mitigation techniques
- Concluding bilateral or multilateral coordination agreements
## Level 1: Harmonized frequency plans – African example

<table>
<thead>
<tr>
<th>RF Arrangements (MHz)</th>
<th>Mobile Station Transmitter</th>
<th>Base Station Transmitter</th>
<th>Priority</th>
</tr>
</thead>
<tbody>
<tr>
<td>D12</td>
<td>450.0–455.0</td>
<td>460.0–465.0</td>
<td>Medium</td>
</tr>
<tr>
<td>D13</td>
<td>451.0–456.0</td>
<td>461.0–466.0</td>
<td></td>
</tr>
<tr>
<td>D14</td>
<td>452.5–457.5</td>
<td>462.5–467.5</td>
<td></td>
</tr>
<tr>
<td>A2</td>
<td>880–915</td>
<td>925–960</td>
<td></td>
</tr>
<tr>
<td>A3</td>
<td>832–862</td>
<td>791–821</td>
<td></td>
</tr>
<tr>
<td>A11 (harmonized with A7 and A10)</td>
<td>703–733</td>
<td>758–788</td>
<td>High</td>
</tr>
<tr>
<td></td>
<td>External</td>
<td>738–758</td>
<td></td>
</tr>
<tr>
<td>G3</td>
<td>1 427–1 517 (TDD)</td>
<td></td>
<td>Medium</td>
</tr>
<tr>
<td>B1</td>
<td>1 920–1 980</td>
<td>2 110–2 170</td>
<td>High</td>
</tr>
<tr>
<td>B2</td>
<td>1 710–1 785</td>
<td>1 805–1 880</td>
<td>High</td>
</tr>
<tr>
<td>E1</td>
<td>2 300–2 400 (TDD)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C1</td>
<td>2 500–2 570</td>
<td>2 620–2 690</td>
<td>High</td>
</tr>
<tr>
<td>C3</td>
<td>2 500–2 690 MHz</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>3300–3400 (TDD)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>F1</td>
<td>3 400–3 600 (TDD)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>H1</td>
<td>4 800–4 990 (TDD)</td>
<td></td>
<td>Medium</td>
</tr>
</tbody>
</table>

Symbols of “RF arrangements” in the first column are from Rec. ITU-R M.1036.
Technical conditions

➢ Harmonized technical conditions may include (below is example of the conditions for the band 3 400 – 3 800 MHz in CEPT)

➢ Duplex mode of operation, FDD or TDD (TDD)

➢ Block sizes (in multiples of 5 MHz)

➢ Recommended contiguous spectrum for operators (preferably 80–100 MHz)

➢ Power limits, maximum e.i.r.p. spectral density (+53 (dBm/MHz) for base, +25 mobile),

➢ Block Edge Masks - both in-block and outside block (graphs or formulas)

3400-3800 MHz frequency arrangement - Europe
Cross border coordination approaches

- Establishing field strength limits. E.g. 700 MHz for LTE and 5G, see CEPT Rec. (15)01
  - 59 dBµV/m/(5 MHz) at 3 m at the borderline between countries and
  - 41 dBµV/m/(5 MHz) at 3 m at 6 km inside the neighbouring country (in 700 MHz)

  If emissions below the limits – no coordination. If the limits are exceeded - coordination

- Usage of preferential:
  - Frequencies for 2G – GSM
  - Codes for 3G CDMA - UMTS
  - PCIs (Physical-layer Cell Identity) for 4G and 5G (LTE and 5G-NR)

- Developing coordination guidance. It may include methods of coordination, criteria of coordination, propagation models, data for exchange of information between countries…
Coordination of TDD systems

➢ TDD networks are more spectrum efficient than FDD, but less simple to coordinate
➢ Effective coordination technique for TDD systems: their **Synchronization**
➢ Through identification of **common frame structures** on both side of the border to avoid simultaneous UL/DL transmissions

➢ Limitations: existing networks may have constrains on frame structures
➢ So, it could be used for future 5G systems

**Recommended frame structures for TDD MFCN cross-border coordination in 3400-3800 MHz**

<table>
<thead>
<tr>
<th></th>
<th>Frame A</th>
<th>Frame B</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>DL/UL slot pattern</strong></td>
<td>DDDSU DDDSU DDDSU DDDSU (see note 1)</td>
<td>DDDSUUDDDD DDDSUUDDDD (see notes 1, 4)</td>
</tr>
<tr>
<td><strong>Frame duration</strong></td>
<td>10 ms</td>
<td>10 ms</td>
</tr>
<tr>
<td><strong>Slot Duration</strong></td>
<td>0.5 ms</td>
<td>0.5 ms</td>
</tr>
<tr>
<td><strong>Slot pattern periodicity</strong></td>
<td>2.5 ms</td>
<td>5 ms</td>
</tr>
<tr>
<td><strong>Special slot “S” configuration (i.e., DL:GP:UL symbols)</strong></td>
<td>Downlink</td>
<td>Guard period</td>
</tr>
<tr>
<td></td>
<td>10</td>
<td>2</td>
</tr>
<tr>
<td><strong>Time base (see note 3)</strong></td>
<td>Start of UTC second epoch (see note 2) +/- 1.5 μs</td>
<td>Start of UTC second epoch (see note 2) +/- 1.5 μs</td>
</tr>
</tbody>
</table>

Example of CEPT Recommendation 20/03: common frame structure for systems in 3400 – 3800 MHz)
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Status of 5G deployment
July 2022

218 commercial networks

87 countries

Source: GSA
5G commercialization

5G is searching for sustainable, profitable business models. All use cases, services are explored.

<table>
<thead>
<tr>
<th>Enhanced mobile broadband</th>
<th>Massive machine-type communications</th>
<th>Ultra-reliable and low-latency communications</th>
</tr>
</thead>
<tbody>
<tr>
<td>• eMBB is leading 5G usage</td>
<td>• 1mln devices per km²</td>
<td>• Smart factories (robotics, automation)</td>
</tr>
<tr>
<td>• Broadband in dense areas and everywhere</td>
<td>• Smart cities, ports</td>
<td>• High mobility apps (e.g. in trains up to 500 km/h)</td>
</tr>
<tr>
<td>• 4K/8K and 3D video</td>
<td>• Power, water grids</td>
<td>• Connected drones</td>
</tr>
<tr>
<td>• Augmented/virtual reality</td>
<td>• Other sensor networks</td>
<td>• Autonomous cars</td>
</tr>
<tr>
<td>• Last mile wireless access</td>
<td>• Medical and sport wearable</td>
<td></td>
</tr>
</tbody>
</table>
Enhanced broadband – connection of real and virtual worlds

➢ Apart of higher data rates, 5G offers AR/VR applications - drivers for user migration to 5G
➢ AR/VR (AR training, shopping, tourism, library..) are in trend with high investments
➢ 1\textsuperscript{st} 5G Metaverse- \textit{ifland} in KOR in July 2021. More than 1.1 M users by the end of 2021
Enhanced broadband services – fixed wireless access (FWA)

➢ FWA – economically attractive option to replace fiber. Mature service of 5G

➢ FWA could have many applications: internet for villages, rural areas, smart schools and retail, private lines (live broadcast in camps, bus entertainment…)

➢ More than 90 networks offering 5G FWA services deployed in 2022 (44 in 2020)
5G examples – smart cities, smart agriculture, smart aqua farming

Remotely controlled or unmanned vehicles for daily tasks in cities. At picture: unmanned vehicle for cleaning streets.

Remote control of smart buildings, urban infrastructure. At picture: mass deployment of smart lighting, smoke and air quality sensors.

Data collection on weather, soil and equipment with processing in clouds. At picture: unmanned vehicle for harvesting.

Video surveillance with 4K video transmissions from underwater cameras. At picture: Smart salmon farm in Norway.
5G examples – Smart medicine, industry

Real time remote ultrasound diagnostics using a robot with 5G support at a distance of more than 400 km. The patient was correctly diagnosed.

Chinese factory fully connected to 5 for intelligent inspection, product quality check, security surveillance. 2000 machines, 300 robots, 2000 5G terminals.

Finding defects on steel surfaces using 5G. Photos taken by industrial cameras are sent to the cloud via 5G network. Defects are automatically detected using machine vision.

15% effectual products less
85% efficiency increase
Strategies of 5G deployment

**Thailand**: creation of National Committee on 5G Strategy + Plan. Spectrum auction with large bands in 700 MHz, 2.6 GHz and 26 GHz. Coverage obligation for cities and main roads. 3 years payments delay for operators. Affordable phones: 150$ to buy or $40 + 2 year contract.

**Switzerland**: free conversion of 4G packages into 5G packages with higher data rates. Transfer of valuable 4G users to 5G using iPhones 13Pro. Emphasis on FWA. FTTH dominates only in cities. 5G FWA has 70% share in rural and suburb areas.

**Korea**: 5G is to assist digital economy, a part of Korean Digital strategy (AI, Semiconductors, 5G, Quantum, Metaverse and Cybersecurity). One reason moving to 5G: 4G reached maximum capacity required by customers, especially in video. Main drivers: variety of mobile phones, subsidies, small price increase to get 5G pack with much more data + entertainment content (partnership with Netflix, YouTube). Frequency bands: 3.4 – 3.7 GHz and 28 GHz.
Some 5G challenges

- **Spectrum** - a set of sufficiently wide bands in various frequency ranges
- **Infrastructure** is needed to provide:
  - small cells
  - backhaul
- **Cost** – more stations, more equipment, higher costs
- **Policy, regulation and business models**
  - rules and incentives for 5G deployment, including in rural areas
  - health impact - EMF limits
- **Technical issues** such as device battery capacity
- **Safety**
Backhauling 5G

- Links between core networks and base stations. Challenges:
  - Capacity of 5G backhaul not less than radio access site
  - Latency should meet the 5G requirements
- Various wireless technologies could serve for 5G backhaul
  - Fiber – adequate capacity, good for cities, ≈ 60 % for small cells, but expensive to build, current latency needs improvement
  - Microwave links – the same access technology, good in areas lacking fiber
  - Satellite – up to 200 Mbps, rural area, latency and price could be a problem
  - HAPS – declared rates ≈ 1 Gbps, remote areas, but not operational

<table>
<thead>
<tr>
<th>Technology</th>
<th>Rate</th>
<th>Latency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fiber</td>
<td>100 Mbps – 25 Gbps</td>
<td>10 – 30 ms</td>
</tr>
<tr>
<td>Microwave links</td>
<td>10 – 100 Mbps, up to 1Gbps</td>
<td>5 – 35 ms</td>
</tr>
<tr>
<td>Satellite</td>
<td>10 – 200 Mbps (HTP)</td>
<td>100 – 500 ms</td>
</tr>
</tbody>
</table>
Small cells

- Successful 5G rollout relies on networks of small cells, to provide capacity for hotspots: shopping centers, transport hubs, stadiums, etc.
- Small cells: low power BS with coverage of 20 – 300 m installed on street furniture, such as bus shelters, lampposts, traffic lights…
- Ways to facilitate small cells deployment
  - Access to sites -> simple authorization, short application process. Policy-makers may consider wayleave agreements to reduce the cost and time to deploy small cell access points
  - Introducing free of charge regime or reasonable fees for deployment. Policy-makers may consider ensuring reasonable fees for operators to deploy small cell radio equipment onto street furniture
Small cells – country examples

➢ EU small cell regulations, July 2020
  ▪ a permit-exempt deployment
  ▪ Two-week notification
  ▪ maximum volume 30 litres
  ▪ height min 2.2 metres above walkway

• US FCC rules, September 2018 *(contested by some states)*:
  ▪ reasonable authorization and construction fees
  ▪ Time limits for processing applications 60/90 days for existing/new structures

➢ Singapore - rooftop spaces are free for network operators
➢ Japan - operators can install 5G BS on 208,000 traffic lights in the country
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Working Party 5D of ITU-R is developing 2 documents on IMT for 2030 and beyond

- **Recommendation: IMT Vision** – Framework and overall objectives of the future development of IMT for 2030 and beyond
- **REPORT** **IMT future technology trends** of terrestrial IMT systems towards 2030 and beyond
Usage scenarios for 6G would include the development of the 3 existing use cases for IMT-2020 + new scenarios. **Disclaimer:** graph below is one of many proposals of the Vision in ITU-R WP5D.

**Vision of IMT for 2030 and beyond (6G)**

[Diagram showing the development of 3 scenarios IMT-2020 and addition of new scenarios.]

**Development of the 3 scenarios**
- eMBB
- mMTC
- URLLC

**Addition of new scenarios**
- Immersive communication
- Global broadband
- Spatio-temporal services
- Compute-AI services
- Critical services
- Omnipresent IoT
- Omnipresent IoT
Usage scenarios and examples

Usage scenario A: Immersive Communication
➢ extended reality, holographic communications, remote multi-sensory telepresence, tactile feedback...

Usage scenario B: Extreme/critical Communication
➢ smart industry, robotics collaboration, drone operation, autonomous driving, remote medical surgery...

Usage scenario C: Massive Communication
➢ Devices, sensors with long battery life. Smart cities, transport, logistics, health, energy, agriculture...

Usage scenario D: Global mobile connectivity (discussions in WP5D if this is a separate scenario)
➢ Comms of different data rates, everywhere., via integration of terrestrial and non-terrestrial networks

Usage scenario E: Integrated Artificial Intelligence and Communication (is it a separate scenario?)
➢ AI for network and services, digital twins, automated driving, collaborative robots

Usage scenario F: Joint/Integrated Communication and Sensing
➢ recognize posture/gestures, localize, track movements. Smart homes, factories, cities, smart highways...
Summary

➢ ITU-R contributes to IMT by developing high-level standards, allocating frequency resource for IMT and defining conditions for IMT usage

➢ Spectrum identification for IMT takes place at WRC, taking into account the need for global spectrum harmonization for IMT and for the protection of existing radio services

➢ An important element of the effective operation of IMT is harmonization of frequency plans and technical conditions at the regional level

➢ 5G is being deployed in many countries with various business models. Large bands, affordable devices, reasonable policies and incentives are important for 5G success

➢ 5G should not limit or hamper 4G deployment. 5G is expensive it is difficult to invest in 4G and 5G simultaneously. Priority of investments would be for affordable coverage of rural areas to reduce the digital divide, especially in developing countries. 5G needs to be a means of digital development of countries, rather than following the trend
Thank you!

ITU – Radiocommunication Bureau
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