

*Title:*           **Variable length codes for SVC**

*Status:*         Input Document to JVT

*Purpose:*         Proposal

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## **Abstract**

As noted at the previous JVT meeting, context-adaptive variable-length codes (CAVLC) is a feature currently missing from the JSVM software. Nokia has rectified this by incorporating CAVLC into the JSVM, and verified base-layer compatibility with H.264/AVC by decoding test sequences using H.264/AVC JM 9.6. For enhancement layers, the performance was found to be in line with the single-layer case.

## **Introduction**

Non-scalable H.264/AVC provides a choice of two entropy coders, CABAC and CAVLC. It is generally accepted that CABAC offers superior coding efficiency at the price of complexity. CAVLC is particularly appealing for use in complexity-constrained devices, such as mobile terminals.

If the scalable extension to H.264/AVC is to be useful in a broad range of scenarios, it should be capable performing adequately on complexity-constrained devices. To this end, we believe that CAVLC is necessary, at least for the base layer and quality enhancements.

In the case of quality enhancement layers, CAVLC offers the further advantage that it simplifies the truncation procedure, since there is no internal buffer. Conversely, the current enhancement layer CABAC decoder must use exception handling in order to recover from buffer underflow situations.

Nokia has implemented CAVLC in JSVM 1.2.5, and proposes committing these modifications to the CVS server along with other "missing features" following the meeting. As with the H.264/AVC JM software, the switch between CABAC and CAVLC would be an encoder configuration option.

## **Modifications proposed**

The existing JSVM software requires almost no structural modification for incorporation of CAVLC. In fact, a CAVLC encoder is partially implemented in order to generate bit counts for R/D optimization.

We have essentially completed four tasks:

1. Implementation of missing CAVLC encoder functions
2. Addition of a UvlcReader class for CAVLC decoding

3. Extension of baseline CAVLC to handle main profile encoding, for example quarter-pel motion vector differentials.
4. Conversion of FGS-specific CABAC functions to CAVLC.

The first three are more or less routine programming tasks and do not merit a detailed discussion. Interested parties should view the accompanying source code for details. The remainder of this section describes how CAVLC encodes quality enhancement (FGS) data.

### **Overview of CAVLC for FGS**

In the significance pass, rather than coding each coefficient individually, a single VLC symbol is emitted for each cycle in cyclical block coding, specifying the number of coefficients processed. According to cyclical block coding, all but the last of these coefficients must be zero, hence the structure is already well-suited to a “run-level” approach without modification.

Encoding the value zero (i.e. no coefficients processed) indicates an end-of-block (EOB). A sign flag follows the terminating value, but magnitude is initially assumed to be unitary (no magnitude value is encoded).

After the EOB marker, the terminating values are grouped and run-level codes are transmitted to indicate the position and exact magnitude of those with magnitude greater than one.

In the refinement pass, the refinement bits are statistically more likely to be zero. Consequently the refinement bits in a given 4x4 or 8x8 block are grouped into fours, and a single VLC codeword is generated for each.

The concept of using a “coded block pattern” (CBP) is borrowed from regular H.264/AVC. We do not use a context, and omit the bits from blocks already coded in the base layer, but otherwise the mechanism is unchanged.

### **Context selection**

All VLCs used for encoding coefficients in the significance pass are modified start-step-stop codes, as previously described in JVT-C086 and VCEG-L19. These codes are characterized by a cutoff parameter, ‘m’. Symbols less than or equal to ‘m’ are encoded using an exponential Golomb (expGolomb) code. A symbol ‘C’ with value greater than ‘m’ is encoded in two parts: a prefix of ones, length

$$p = \left\lfloor \frac{C - m}{3} \right\rfloor + m$$

and a “remainder” suffix of either 00, 01, or 10. The codewords corresponding to m=2 and m=4 are shown in Table 1.

Symbol	m=1	m=5
0	1: 0	1: 0
1	3: 100	2: 10
2	3: 101	3: 110
3	3: 110	4: 1110
4	5: 11100	5: 11110
5	5: 11101	7: 1111100
6	5: 11110	7: 1111101
7	7: 1111100	7: 1111110

Table 1: VLCs for m=1, m=5

The probability of an EOB is greatly influenced by cycle number. A vector specifying the EOB offsets to be used for each cycle is encoded once per frame. This vector is constrained to be monotonically decreasing as cycle number increases. For example, the vector {4 2 1 1 0 0 ...} means that the EOB should be symbol 4 in cycle 0, symbol 2 in cycle 1, symbol 1 in cycles 2 &

3, and symbol 0 (most probable symbol) in subsequent cycles. The first value (4) is encoded using a Golomb code with  $k=2$ , followed by the number of times each offset appears in the vector. For example, 4 appears no more times after the first value, so 0 is encoded; 3 does not appear at all, so 0 is encoded; 2 appears once so 1 is coded; 1 appears twice so 2 is coded. Thus the symbols coded are  $\{0,0,1,2\}$ . Performing this coding once per frame incurs minimal overhead.

A table mapping the cycle number and position of the last-encoded coefficient in the base layer (LEBL) to the VLC characteristic 'm' is encoded once per frame. The optimal mapping varies significantly not only from one sequence to another, but also between frames within a given sequence.

Because the base layer must be processed before encoding the enhancement layer, analyzing the base layer to determine the optimal mapping need not add to encoder complexity. For coding efficiency reasons, we enforce monotonicity in each dimension of the table. Thus we need only record the starting value and step points for a reasonably small number of values. The coding process is the same as for EOB offsets.

For refinement bits, because a value of zero is statistically more probable, we assign codewords based upon the number of zeros in each group of three refinement bits, shown in Table 2.

Symbol	Codeword
0000	00
0001	010
0010	011
0011	11000
0100	100
0101	11001
0110	11010
0111	111100
1000	101
1001	11011
1010	11100
1011	111101
1100	11101
1101	111110
1110	1111110
1111	1111111

Table 2

Sign bits are either coded as flags (in the case of the second and subsequent FGS layers), or using the above grouping approach (in the case of the first FGS layer).

## Results

As entropy coding affects rate and not PSNR, tables are presented showing the overhead associated with CAVLC compared to CABAC.

Sequence	Spatial (QCIF-CIF)	FGS
Bus	10.7%	10.5%
Football	5.9%	6.8%
Foreman	16.1%	10.2%
Mobile	12.4%	11.1%

Results were not generated for the 4CIF cases. CAVLC is known to perform worse on such larger resolutions, and we see that trend in the spatial results above. However, minimal effort

was spent in tuning CAVLC for spatial scalability, leaving room for future improvement. While there is also scope for improving the FGS results, performance gains with CAVLC usually require values to be grouped, and this is likely to have a detrimental effect on the performance when the layer is truncated.

## **Conclusion**

CAVLC is perceived as a valuable feature that is currently missing from the JSVM. The implementation described herein allows the base layer to be compatible with the JM 9.6 entropy decoder, and furthermore demonstrates that performance will not degrade unacceptably in enhancement layers. Nokia seeks approval from the JVT to commit the attached enhancements to the CVS server, under the direction of the software's "new features" coordinator.

(Append for Proposal Documents)

## JVT Patent Disclosure Form

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