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TELECOMMUNICATION STANDARDIZATION SECTOR OF ITU

SERIES X: DATA NETWORKS AND OPEN SYSTEM COMMUNICATION

OSI applications – Commitment, Concurrency and Recovery

Information technology – Open Systems Interconnection – Protocol for the commitment, concurrency and recovery service element: Protocol specification

ITU-T Recommendation X.852

(Previously CCITT Recommendation)

ITU-T X-SERIES RECOMMENDATIONS

DATA NETWORKS AND OPEN SYSTEM COMMUNICATION

| PUBLIC DATA NETWORKS | |
|---|-------------|
| Services and facilities | X.1–X.19 |
| Interfaces | X.20-X.49 |
| Transmission, signalling and switching | X.50–X.89 |
| Network aspects | X.90-X.149 |
| Maintenance | X.150–X.179 |
| Administrative arrangements | X.180–X.199 |
| OPEN SYSTEM INTERCONNECTION | |
| Model and notation | X.200–X.209 |
| Service definitions | X.210–X.219 |
| Connection-mode protocol specifications | X.220–X.229 |
| Connectionless-mode protocol specifications | X.230–X.239 |
| PICS proformas | X.240–X.259 |
| Protocol Identification | X.260–X.269 |
| Security Protocols | X.270–X.279 |
| Layer Managed Objects | X.280–X.289 |
| Conformance testing | X.290–X.299 |
| INTERWORKING BETWEEN NETWORKS | |
| General | X.300–X.349 |
| Satellite data transmission systems | X.350–X.399 |
| MESSAGE HANDLING SYSTEMS | X.400–X.499 |
| DIRECTORY | X.500–X.599 |
| OSI NETWORKING AND SYSTEM ASPECTS | |
| Networking | X.600–X.629 |
| Efficiency | X.630–X.639 |
| Quality of service | X.640–X.649 |
| Naming, Addressing and Registration | X.650–X.679 |
| Abstract Syntax Notation One (ASN.1) | X.680–X.699 |
| OSI MANAGEMENT | |
| Systems Management framework and architecture | X.700–X.709 |
| Management Communication Service and Protocol | X.710–X.719 |
| Structure of Management Information | X.720–X.729 |
| Management functions and ODMA functions | X.730–X.799 |
| SECURITY | X.800–X.849 |
| OSI APPLICATIONS | |
| Commitment, Concurrency and Recovery | X.850–X.859 |
| Transaction processing | X.860–X.879 |
| Remote operations | X.880–X.899 |
| OPEN DISTRIBUTED PROCESSING | X.900–X.999 |
| | |

For further details, please refer to ITU-T List of Recommendations.

INTERNATIONAL STANDARD 9805-1

ITU-T RECOMMENDATION X.852

INFORMATION TECHNOLOGY – OPEN SYSTEMS INTERCONNECTION – PROTOCOL FOR THE COMMITMENT, CONCURRENCY AND RECOVERY SERVICE ELEMENT: PROTOCOL SPECIFICATION

Summary

This Recommendation | International Standard describes the application layer protocol for OSI commitment, concurrency and recovery service element. OSI CCR provides a service by which a set of actions are grouped together to form an "atomic action", where either the entire set of actions is performed or none is performed. The specification of Version 1 is included for completeness only. It is not anticipated that it will be used by ITU-T applications. This version differs mainly in its mapping through presentation onto the session service and, in particular, requires the use of the Session Data Separation Functional Unit.

Source

The ITU-T Recommendation X.852 was approved on the 12th of December 1997. The identical text is also published as ISO/IEC International Standard 9805-1.

FOREWORD

ITU (International Telecommunication Union) is the United Nations Specialized Agency in the field of telecommunications. The ITU Telecommunication Standardization Sector (ITU-T) is a permanent organ of the ITU. The ITU-T is responsible for studying technical, operating and tariff questions and issuing Recommendations on them with a view to standardizing telecommunications on a worldwide basis.

The World Telecommunication Standardization Conference (WTSC), which meets every four years, establishes the topics for study by the ITU-T Study Groups which, in their turn, produce Recommendations on these topics.

The approval of Recommendations by the Members of the ITU-T is covered by the procedure laid down in WTSC Resolution No. 1.

In some areas of information technology which fall within ITU-T's purview, the necessary standards are prepared on a collaborative basis with ISO and IEC.

NOTE

In this Recommendation, the expression "Administration" is used for conciseness to indicate both a telecommunication administration and a recognized operating agency.

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As of the date of approval of this Recommendation, the ITU had not received notice of intellectual property, protected by patents, which may be required to implement this Recommendation. However, implementors are cautioned that this may not represent the latest information and are therefore strongly urged to consult the TSB patent database.

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CONTENTS

| | | | Pag |
|---|-------------|--|-----|
| 1 | Scope | | |
| 2 | Norm | ative references | |
| | 2.1 | Identical Recommendations International Standards | |
| 3 | Defin | itions | |
| | 3.1 | Reference model definitions | |
| | 3.2 | Naming and addressing definitions | |
| | 3.3 | Service conventions definitions | |
| | 3.4 | Presentation service definitions | |
| | 3.5 | ACSE service definitions | |
| | 3.6 | Application Layer Structure definitions | |
| | 3.7 | CCR service definitions | |
| | 3.8 | CCR protocol specification definitions | |
| 4 | Abbre | eviations | |
| | 4.1 | Data units | |
| | 4.2 | Types of application-protocol-data-units | |
| | 4.3 | Other abbreviations | |
| 5 | | entions | |
| 6 | | view of the CCR protocol | |
| 0 | 6.1 | Service support | |
| | 6.2 | Constraints on ACSE services | |
| | 6.2 6.3 | | |
| | | Use of the presentation service and the transport carries | |
| | 6.4 6.5 | Relationship to the session-service and the transport-service | |
| | 0.5 6.6 | Operation of the CCRPM Rules of extensibility | |
| 7 | | - | |
| / | 7.1 | ents of procedures Initialization procedure | |
| | 7.1 | • | |
| | 7.2 7.3 | Begin branch procedure | |
| | | Prepare procedure | |
| | 7.4 7.5 | Signal readiness procedure Order commitment | |
| | | | |
| | 7.6 7.7 | Rollback procedure | |
| | 7.7 7.8 | No-change completion procedure | |
| | 7.8 7.9 | Cancel procedure | |
| | 7.9 7.10 | Branch recovery procedure Order commitment and begin branch procedure | |
| | 7.10 | Error procedure | |
| 0 | | | |
| 8 | | PM state table | |
| | 8.1 | General | |
| | 8.2 | Incoming events | |
| | 8.3 | Outgoing events | |
| | 8.4 | Specific actions | |
| | 8.5 | Predicates | |
| | 8.6 | Enablements | - |
| | 8.7 | Variables | |
| | 8.8 | Notation | |

| | | | Pag |
|----|--------|---|-----|
| | 8.9 | Conventions | 30 |
| | 8.10 | Actions to be taken by the CCRPM | 30 |
| | 8.11 | Changes to atomic action data | 3 |
| | 8.12 | Changes to bound data | 31 |
| 9 | Refere | ence mapping to the ACSE and presentation services | 42 |
| | 9.1 | Initialize | 42 |
| | 9.2 | Begin branch | 43 |
| | 9.3 | Prepare | 44 |
| | 9.4 | Signal readiness | 44 |
| | 9.5 | Order commitment | 44 |
| | 9.6 | Rollback | 45 |
| | 9.7 | No-change completion | 45 |
| | 9.8 | Cancel | 45 |
| | 9.9 | Branch recovery | 45 |
| | 9.10 | Order commitment and begin branch procedure | 45 |
| 10 | Conca | tenations and mappings | 46 |
| | 10.1 | Mapping precedence | 46 |
| | 10.2 | Allowable concatenations | 46 |
| 11 | Preced | lence | 47 |
| | | rmance | 47 |
| | 12.1 | Statement requirements | 47 |
| | 12.2 | Static conformance requirements | 48 |
| | 12.2 | Presentation transfer syntax | 48 |
| | 12.5 | Bound data and atomic action data | 48 |
| | 12.5 | Dynamic conformance requirements | 48 |
| | | | |
| | | Definition of CCR data types | 49 |
| | A.1 | Information object names Datatype definitions for CCR Protocol | 49 |
| | A.2 | | 49 |
| | | sing the CCR ASE in combination with other ASEs | 53 |
| | B.1 | Introduction | 53 |
| | B.2 | Service primitives | 53 |
| | B.3 | Conformance | 53 |
| | B.4 | CCR events | 5. |
| | B.5 | Purge and flow control | 54 |
| | B.6 | Delimitation of atomic actions | 54 |
| | B.7 | Named mapping variations | 54 |

Introduction

This Recommendation | International Standard is one of a set of Recommendations | International Standards produced to facilitate the interconnection of information processing systems. It is related to other Recommendation | International Standards in the set as defined by the Reference Model for Open Systems Interconnection (see ITU-T Rec. X.200 | ISO/IEC 7498-1). The Reference Model subdivides the area of standardization for interconnection into a series of layers of specification, each of manageable size.

The goal of Open Systems Interconnection is to allow, with a minimum of technical agreement outside the interconnection standards, the interconnection of information processing systems:

- from different manufacturers;
- under different managements;
- of different levels of complexity; and
- of different technologies.

This Recommendation | International Standard specifies the protocol for the application-service-element for Commitment, Concurrency, and Recovery (CCR). These services are intended to be applicable to a wide range of application-process communication requirements.

This Recommendation | International Standard specifies CCR Protocol Version 2. The 1990 edition of ISO/IEC 9805 specified CCR protocol Version 1. The 1993/1994 editions of this Recommendation | International Standard specified both CCR protocol Version 1 and CCR protocol Version 2, for the static commitment functional unit only.

The CCR protocol specification consists of the following main components:

- a) the specification of the CCR APDUs using Abstract Syntax One (ASN.1, ITU-T Rec. X.680 | ISO/IEC 8824-1);
- b) the elements of procedure for issuing CCR service indication and confirm primitives to the CCR service-user when CCR APDUs are received and for the sending of CCR APDUs when CCR service request and indication primitives are received from the CCR service-user;
- c) the CCR protocol machine specified in terms of a state table; and
- d) the presentation services (see ITU-T Rec. X.216 | ISO/IEC 8822) used for sending and receiving CCR APDUs.

The CCR protocol shares the presentation-service with other application-service-elements.

The requirement to provide support for CCR together with other application-service-elements is satisfied by reference to this Recommendation | International Standard.

Annex A contains the definitions of the structure of the CCR APDUs.

Annex B describes how the CCR can be used in combination with other ASEs that use the presentation service in a way that is not compatible with the use of the presentation service by CCR as specified in the body of this Recommendation | International Standard.

INTERNATIONAL STANDARD

ITU-T RECOMMENDATION

INFORMATION TECHNOLOGY – OPEN SYSTEMS INTERCONNECTION – PROTOCOL FOR THE COMMITMENT, CONCURRENCY AND RECOVERY SERVICE ELEMENT: PROTOCOL SPECIFICATION

1 Scope

This Recommendation | International Standard is to be applied by reference from other specifications. This is done within such specifications by reference to the CCR services defined in ITU-T Rec. X.851 | ISO/IEC 9804. A reference to a CCR service invokes the procedures of this Recommendation | International Standard to cause external effects.

This Recommendation | International Standard specifies, in clause 9, a use of the ACSE, Presentation and Session services to carry the CCR semantics. This "reference" mapping can be used whenever the use of these services does not conflict with the user made by other ASEs or ASOs that are using the same association. Annex B defines how a different use of supporting services may be specified, for use where the reference mapping is inappropriate.

This Recommendation | International Standard specifies the static and dynamic conformance requirements for systems implementing these procedures. It does not contain tests which can be used to demonstrate conformance.

This edition of this Recommendation | International Standard specifies CCR protocol Version 2, which makes use of the Session Data Separation functional unit to protect data not belonging to the CCR atomic action. The 1990 edition of ISO/IEC 9805 specified CCR protocol Version 1. The 1993/1994 edition of this Recommendation | International Standard specified both CCR protocol Version 1 and CCR protocol Version 2, for the static commitment functional unit only.

This International Standard specifies the protocol elements that support the following functional units:

- a) static commitment;
- b) dynamic commitment;
- c) read only;
- d) one-phase commitment;
- e) cancel; and
- f) overlapped recovery.

2 Normative references

The following Recommendations and International Standards contain provisions which, through reference in this text, constitute provisions of this Recommendation | International Standard. At the time of publication, the editions indicated were valid. All Recommendations and Standards are subject to revision, and parties to agreements based on this Recommendation | International Standard are encouraged to investigate the possibility of applying the most recent edition of the Recommendations and Standards listed below. Members of IEC and ISO maintain registers of currently valid International Standards. The Telecommunication Standardization Bureau of the ITU maintains a list of currently valid ITU-T Recommendations.

2.1 Identical Recommendations | International Standards

- ITU-T Recommendation X.200 (1994) | ISO/IEC 7498-1:1994, Information technology Open Systems Interconnection – Basic Reference Model: The Basic Model.
- ITU-T Recommendation X.207 (1993) | ISO/IEC 9545:1994, Information technology Open Systems Interconnection – Application layer structure.
- ITU-T Recommendation X.210 (1993) | ISO/IEC 10731:1994, Information technology Open Systems Interconnection – Basic Reference Model : Conventions for the definition of OSI services.

- ITU-T Recommendation X.215 (1995) | ISO/IEC 8326:1996, Information technology Open Systems Interconnection – Session service definition.
- ITU-T Recommendation X.216 (1994) | ISO/IEC 8822:1994, Information technology Open Systems Interconnection Presentation service definition.
- ITU-T Recommendation X.217 (1995) | ISO/IEC 8649:1996, Information technology Open Systems Interconnection Service definition for the association control service element.
- ITU-T Recommendation X.227 (1995) | ISO/IEC 8650-1:1996, Information technology Open Systems Interconnection – Connection-oriented protocol for the association control service element: Protocol specification.
- ITU-T Recommendation X.650 (1996) | ISO/IEC 7498-3:1997, Information technology Open Systems Interconnection Basic Reference Model: Naming and addressing.
- ITU-T Recommendation X.680 (1994) | ISO/IEC 8824-1:1995, Information technology Abstract Syntax Notation One (ASN.1): Specification of basic notation.
- ITU-T Recommendation X.680 (1994)/Amd. 1 (1995) | ISO/IEC 8824-1:1995/Amd. 1:1995, Information technology – Abstract Syntax Notation One (ASN.1): Specification of basic notation – Amendment 1: Rules of extensibility.
- Technical Corrigendum (1997) to ITU-T Recommendation X.680 (1994)/Amd. 1 (1995) | ISO/IEC 8824-1:1995/Amd. 1:1995, Information technology Abstract Syntax Notation One (ASN.1): Specification of basic notation Amendment 1: Rules of extensibility.
- ITU-T Recommendation X.690 (1994) | ISO/IEC 8825-1:1995, Information technology ASN.1 encoding rules: Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER).
- ITU-T Recommendation X.851 (1997) | ISO/IEC 9804:1998, Information technology Open Systems Interconnection – Service definition for the commitment, concurrency and recovery service element.

3 Definitions

3.1 Reference model definitions

This Recommendation | International Standard makes use of the following terms defined in ITU-T Rec. X.200 | ISO/IEC 7498-1:

- a) Application Layer;
- b) application association; association;
- c) application-process;
- d) application-entity;
- e) presentation-service;
- f) presentation-connection;
- g) session-service; and
- h) session-connection.

3.2 Naming and addressing definitions

This Recommendation | International Standard makes use of the following terms defined in ITU-T Rec. X.650 | ISO/IEC 7498-3:

- a) application-process title;
- b) application-entity qualifier;
- c) application-entity title.

3.3 Service conventions definitions

This Recommendation | International Standard makes use of the following terms defined in ITU-T Rec. X.210 | ISO/IEC 10731:

- a) service-provider;
- b) service-user;
- c) confirmed service;
- d) non-confirmed service;
- e) primitive;
- f) request (primitive);
- g) indication (primitive);
- h) response (primitive); and
- i) confirm (primitive).

3.4 Presentation service definitions

This Recommendation | International Standard makes use of the following terms defined in ITU-T Rec. X.216 | ISO/IEC 8822:

- a) abstract syntax;
- b) abstract syntax name;
- c) defined context set;
- d) presentation context; and
- e) presentation data value.

3.5 ACSE service definitions

This Recommendation | International Standard makes use of the following terms defined in ITU-T Rec. X.217 | ISO/IEC 8649:

- a) association-initiator; and
- b) association-responder.

3.6 Application Layer Structure definitions

This Recommendation | International Standard makes use of the following terms defined in ITU-T Rec. X.207 | ISO/IEC 9545:

- a) application-entity-invocation;
- b) application-service-element;

3.7 CCR service definitions

This Recommendation | International Standard makes use of the following terms defined in ITU-T Rec. X.851 | ISO/IEC 9804:

- 1) acceptor;
- 2) application failure;
- 3) atomic action;
- 4) atomic action branch identifier; branch identifier;
- 5) atomic action branch; branch;
- 6) atomic action data;
- 7) atomic action graph;
- 8) atomic action identifier;

3

- 9) atomic action initiator;
- 10) atomic action owner;
- 11) atomicity;
- 12) begin-tree; atomic action begin-tree
- 13) bound data;
- 14) branch-initiator; atomic action branch-initiator;
- 15) branch-responder; atomic action branch-responder;
- 16) CCR service-provider;
- 17) CCR service-user;
- 18) commit coordinator;
- 19) commit-decider;
- 20) commit-subordinate;
- 21) commit-superior;
- 22) commit-tree; atomic action commit-tree;
- 23) communication failure;
- 24) compensating action;
- 25) concurrency control;
- 26) confirmation of commitment;
- 27) connected graph;
- 28) consistency;
- 29) continuing two-phase branch; continuing two-phase neighbour;
- 30) distributed application;
- 31) doubt period;
- 32) durability;
- 33) final state;
- 34) graph;
- 35) heuristic decision;
- 36) initial state;
- 37) intermediate;
- 38) intermediate state;
- 39) interrupted branch;
- 40) isolation;
- 41) leaf;
- 42) local commitment procedures;
- 43) local rollback procedures;
- 44) mixed heuristic situation; mixed situation;
- 45) neighbour (of a node in a graph);
- 46) neighbourhood (of a node);
- 47) node; CCR node;
- 48) non-reference mapping;
- 49) order of commitment of an atomic action branch; order of commitment;
- 50) phase I;
- 51) phase II;
- 52) presumed rollback;
- 53) ready signal;
- 54) ready-to-commit state;
- 55) recovery of an atomic action branch; recovery;
- 56) recovery responsibility for an atomic action branch; recovery responsibility;
- 57) reference mapping;
- 58) referencing specification;
- 59) requesting neighbourhood;
- 60) requestor;
- 61) rollback of an atomic action branch; rollback;
- 62) root;
- 63) subordinate of a node;
- 64) subordinate of an arc;
- 65) subordinate subtree;
- 66) subtree (of a node);
- 67) superior of a node (in a rooted tree);
- 68) superior of an arc (in a rooted tree);
- 69) tree;
- 70) user-ASE.

3.8 CCR protocol specification definitions

For the purposes of this Recommendation | International Standard, the following definitions apply.

3.8.1 accepting CCR protocol machine: The CCR protocol machine whose service-user is the acceptor for a particular CCR service.

3.8.2 CCR protocol machine: The protocol machine of the CCR application-service-element specified in this Recommendation | International Standard.

3.8.3 requesting CCR protocol machine: The CCR protocol machine whose service-user is the requestor for a particular CCR service.

4 Abbreviations

4.1 Data units

APDU application-protocol-data-unit

4.2 Types of application-protocol-data-units

The following abbreviations have been given to the application-protocol-data-units defined in this Protocol Specification:

C-INITIALIZE-RI C-INITIALIZE-RC C-BEGIN-RI C-BEGIN-RC C-PREPARE-RI C-READY-RI C-COMMIT-RI C-COMMIT-RC C-ROLLBACK-RI C-ROLLBACK-RC C-CANCEL-RI C-NOCHANGE-RI C-NOCHANGE-RC C-RECOVER-RI C-RECOVER-RC

4.3 Other abbreviations

For the purposes of this Recommendation | International Standard, the following abbreviations apply:

| ACSE | Association Control Service Element |
|-------|---|
| AE | application-entity |
| AEI | application-entity invocation |
| AP | application-process |
| APDU | application-protocol-data-unit |
| ASE | application-service-element |
| ASN.1 | Abstract Syntax Notation One |
| CCR | Commitment, Concurrency, and Recovery application-service-element |
| CCRPM | CCR protocol machine |
| | |

ISO/IEC 9805-1 : 1998 (E)

- cnf confirm primitive
- ind indication primitive
- OSI Open Systems Interconnection
- req request primitive
- rsp response primitive

5 Conventions

5.1 This Protocol Specification employs a tabular presentation of its APDU fields. In clause 7, tables are presented for each CCR APDU. Each field is summarized using the following notation:

- M Presence is Mandatory
- O Presence is CCRPM Option
- U Presence is CCR service-user option
- req Source is the related request primitive
- ind Sink is the related indication primitive
- rsp Source is the related response primitive
- cnf Sink is the related confirm primitive
- CCRPM Source or sink is the CCRPM

5.2 The structure of each CCR APDU is specified in Annex A using the abstract syntax notation of ASN.1 (see ITU-T Rec. X.680 | ISO/IEC 8824-1).

5.3 CCR allows the concatenation of some of its APDUs. In clause 11 the allowed concatenations are specified.

6 Overview of the CCR protocol

6.1 Service support

The protocol specified in this Recommendation | International Standard supports the services defined in ITU-T Rec. X.851 | ISO/IEC 9804. These services are listed in Table 1.

| Service Type | | Requestor |
|--------------|--------------------------------------|---------------------------------------|
| C-INITIALIZE | Confirmed | Association initiator |
| C-BEGIN | Optionally confirmed | Branch-initiator |
| C-PREPARE | Non-confirmed | Either CCR service-user |
| C-READY | Non-confirmed | Either CCR service-user |
| C-COMMIT | Confirmed | Commit-superior |
| C-ROLLBACK | Confirmed | Either CCR service-user |
| C-NOCHANGE | Optionally confirmed | Either CCR service-user |
| C-CANCEL | Non-confirmed | Either CCR service-user |
| C-RECOVER | Confirmed or Optionally confirmed | Commit-superior or Commit-subordinate |
| C-P-ERROR | Provider-initiated | _ |

Table 1 – CCR services

6.2 Constraints on ACSE services

6.2.1 An application-entity Invocation (AEI) establishes an association to exchange CCR APDUs with another AEI by using the A-ASSOCIATE service of ACSE (see ITU-T Rec. X.217 | ISO/IEC 8649).

6.2.2 When establishing the association, the following Presentation and Session Requirements must be specified on the A-ASSOCIATE service:

- Presentation kernel functional unit;
- Session kernel functional unit;
- Session typed data functional unit;
- Session minor synchronize functional unit;
- Session resynchronize functional unit;
- Session data separation functional unit;

6.2.3 When establishing the association, the following optional parameters of the ACSE A-ASSOCIATE service must be specified:

- a) Calling AP title;
- b) Calling AE qualifier;
- c) Responding AP title;
- d) Responding AE qualifier.

6.2.4 The ACSE User information on an A-ASSOCIATE request shall contain the C-INITIALIZE-RI APDU. The ACSE User information on an A-ASSOCIATE response shall contain the C-INITIALIZE-RC APDU.

6.3 Use of the presentation service

- 6.3.1 CCR uses the following presentation (see ITU-T Rec. X.216 | ISO/IEC 8822) services:
 - P-DATA;
 - P-TYPED-DATA;
 - P-SYNC-MINOR;
 - P-RESYNCHRONIZE(abandon).

6.3.2 CCR APDUs are passed in the User Data parameters of the above presentation services as one or more presentation data values. The value of the ASN.1 data type for each CCR APDU is specified in Annex A. If more than one ASN.1 data type is sent, a corresponding number of presentation data values are included.

6.3.3 If other presentation data values are present on a presentation service primitive, the referencing specification shall specify sequencing rules. These rules shall ensure that the CCR semantics are maintained and comply with the concatenation and mapping rules specified in clauses 9 and 10.

NOTE – The use of presentation-service parameters other than User Data is specified in clause 9 .

6.3.4 It is the responsibility of the CCR service-user to control the presentation contexts available in the defined context set of the underlying presentation-connection.

6.4 Relationship to the session-service and the transport-service

6.4.1 The session functional units required for the session-connection that supports the presentation-connection (that in turn supports the association) are determined by the A-ASSOCIATE service requestor and acceptor. They accomplish this using the Session Requirements parameter on the A-ASSOCIATE primitives. The required session functional units are given in 6.2.

6.4.2 The rules of the session-service affect the operation of the CCRPM and its service-user. The CCR service-user must be aware of these constraints. This Protocol Specification assumes that a local mechanism enforces them. For example, it is the responsibility of the CCR service-user to control the possession of the available session tokens.

6.4.3 CCR requires use of session unlimited user data (see ITU-T Rec. X.215 | ISO/IEC 8326).

6.5 Operation of the CCRPM

6.5.1 The protocol specification for CCR is presented in this Recommendation | International Standard as a protocol machine. This protocol machine is referred to as the CCR Protocol Machine (CCRPM).

6.5.2 A CCRPM is used for a protocol exchange sequence for one atomic action branch on an existing association. A CCRPM is also used for a sequence of atomic action branches in which the completion (commitment or rollback) of one overlaps with the beginning of the next one. The procedures of a CCRPM are performed in cooperation with the overall CCR service-user. The CCRPM shares the presentation-connection that supports the association with other ASEs.

6.5.3 A CCR service primitive is issued by a CCR service-user within a sequence of application or presentation service primitives on a single association, as defined in ITU-T Rec. X.851 | ISO/IEC 9804.

6.5.4 The procedures specified in clause 7 are carried out as a result of the request and response primitives issued in conformance with the CCRPM State Table defined in clause 8 and as a result of the receipt of presentation service primitives carrying data values in the CCR presentation context. The parameters of the CCR service primitives are structured according to Annex A to produce CCR APDUs. These APDUs are transferred using the presentation-service according to the specification given in clauses 7, 9, and 11.

6.5.5 The value of a CCR APDU is transferred as a presentation data value from the CCR presentation context. The abstract syntax for data types transferred in this context are defined in Annex A by specifying the complete set of CCR APDUs using Abstract Syntax Notation One (see ITU-T Rec. X.680 | ISO/IEC 8824-1).

6.6 Rules of extensibility

For the C-INITIALIZE-RI APDU, a receiving CCRPM shall

- a) ignore any undefined element;
- b) where named bits are used, treat any bit as insignificant when no name is assigned to it.

7 Elements of procedures

The CCR protocol consists of the following procedures:

- a) initialization;
- b) begin branch;
- c) prepare ;
- d) signal readiness;
- e) order commitment;
- f) rollback;
- g) cancel;
- h) nochange completion;
- i) branch recovery;
- j) order commitment and begin new branch;
- k) rollback and begin new branch; and
- l) error.

The following subclauses describe these procedures. The descriptions include the specification of presentation service primitives normally used to carry CCR APDUs. However, for concatenated CCR APDUs, the presentation service mapping specified in clause 11 applies.

Figures 1 to 9 show the ASN.1 structure of the CCR APDUs. The complete ASN.1 module, containing these definition and those of the supporting datatypes, is in Annex A.

7.1 Initialization procedure

7.1.1 Purpose

This procedure is used to negotiate the CCR version and which CCR functional units are available on the association. It supports the C-INITIALIZE service defined in X.851 | ISO/IEC 9804.

7.1.2 APDUs used

This procedure uses the following CCR APDUs:

C-INITIALIZE-RI

C-INITIALIZE-RC

The structure of these APDUs is shown in Figure 1.

| C-INITIALIZE-RI ::= [11] { version-number | SEQUENCE [0] BIT STRING { version1(0), version2(1) } DEFAULT { version2 }, |
|--|--|
| , ccr-requirements ready-collision-reservation | [1] Ccr-requirements DEFAULT { static-commitment}, [2] BOOLEAN DEFAULT TRUE, |
| , user-data } | User-data OPTIONAL |
| C-INITIALIZE-RC ::= [12] { version-number | SEQUENCE [0] BIT STRING { version1(0), version2(1) } DEFAULT { version2 }, |
| , ccr-requirements ready-collision-reservation | [1] Ccr-requirements DEFAULT { static-commitment}, [2] BOOLEAN DEFAULT TRUE, |
| , user-data } | User-data OPTIONAL |

Figure 1 – C-INITIALIZE APDUs

The C-INITIALIZE-RI APDU fields are listed in Table 2. The C-INITIALIZE-RC APDU fields are listed in Table 3.

Table 2 - C-INITIALIZE-RI field

| Field name | Presence | Source | Sink |
|---------------------------------|----------|--------|-------|
| version-number | М | CCRPM | CCRPM |
| ccr-requirements | М | req | ind |
| ready-collision- reservation | U | req | ind |
| user-data | U | req | ind |

Table 3 - C-INITIALIZE-RC field

| Field name | Presence | Source | Sink |
|---------------------------------|----------|--------|-------|
| version-number | М | CCRPM | CCRPM |
| ccr-requirements | М | req | ind |
| ready-collision- reservation | U | req | ind |
| user-data | U | req | ind |

7.1.3 **Procedure operation**

The procedure is performed concurrently with the A-ASSOCIATE procedure (see ITU-T Rec. X.217 | ISO/IEC 8649) when the association will be used for CCR. The procedure is driven by the following events:

- a) C-INITIALIZE request primitive from the requestor;
- b) C-INITIALIZE-RI APDU received by the accepting CCRPM;
- c) C-INITIALIZE response primitive from the acceptor; and
- d) C-INITIALIZE-RC APDU received by the CCRPM of the requestor.

ISO/IEC 9805-1:1998 (E)

7.1.3.1 C-INITIALIZE request primitive

The requesting CCRPM forms a C-INITIALIZE-RI APDU from parameter values of the C-INITIALIZE request primitive. The C-INITIALIZE APDU is a data value of the A-ASSOCIATE request User Information parameter.

7.1.3.2 C-INITIALIZE-RI APDU

The accepting CCRPM receives a C-INITIALIZE-RI APDU from its peer as user information on an A-ASSOCIATE indication primitive. It issues a C-INITIALIZE indication primitive with parameter values derived from the APDU.

7.1.3.3 C-INITIALIZE response primitive

The accepting CCRPM forms a C-INITIALIZE-RC APDU from the parameter value of the C-INITIALIZE response primitive. The APDU is a data value of the A-ASSOCIATE response User Information parameter.

7.1.3.4 C-INITIALIZE-RC APDU

The requesting CCRPM receives a C-INITIALIZE-RC APDU from its peer as user information on an A-ASSOCIATE confirm primitive.

7.1.4 Use of the C-INITIALIZE-RI APDU fields

The fields of the C-INITIALIZE-RI APDU fields are used by the requesting and accepting CCRPM as specified below.

7.1.4.1 Versions

For the requesting CCRPM: The value assigned to this field is determined within the implementation of the CCRPM. It is a bit string where each bit that is set to one indicates a version of CCR protocol that this CCRPM supports. The ASN.1 definition of the "Versions" datatype in Annex A specifies which bit represents which version. Multiple bits may be set, indicating support of multiple versions.

The version of the protocol defined in this Protocol Specification shall be Version 2.

NOTE – An implementation that can support multiple versions is not required to indicate support for all of these on every C-INITIALIZE-RI APDU. In a particular instance, it may choose to offer fewer versions than it is capable of.

For the accepting CCRPM: The CCRPM sets the Versions parameter of the C-INITIALIZE indication primitive to indicate the version of the CCR protocol selected for use on the association. This shall be the highest protocol version which is supported by this CCRPM and for which the corresponding bit in the "versions" field was set. Bits representing protocol versions that are not supported by the CCRPM are ignored.

7.1.4.2 CCR requirements

For the requesting CCRPM: This value is directly mapped from the CCR Requirements parameter on the C-INITIALIZE request primitive.

For the accepting CCRPM: The CCR Requirements parameter of the C-INITIALIZE indication primitive shall be set from the ccr-requirements field of the C-INITIALIZE-RI APDU. Only those CCR functional units that are both proposed in the ccr-requirements field of the APDU and are supported by the responding CCRPM shall be appear in the CCR Requirements parameter of the indication.

7.1.4.3 Ready-collision-reservation

For the requesting CCRPM: This value is directly mapped from the Ready-Collision-Reservation parameter on the C-INITIALIZE request primitive.

For the accepting CCRPM: This value is used to determine the value of the Ready-Collision-Reservation parameter of the C-INITIALIZE indication primitive.

7.1.4.4 User data

For the requesting CCRPM: This value is directly mapped from the User Data parameter on the C-INITIALIZE request primitive.

For the accepting CCRPM: This value is used to determine the value of the User Data parameter of the C-INITIALIZE indication primitive.

7.1.5 Use of the C-INITIALIZE-RC APDU field

The C-INITIALIZE-RC APDU fields are used by the accepting and requesting CCRPMs as specified below.

7.1.5.1 Versions

For the accepting CCRPM: The "versions" field shall be a bit string that indicates the version of the CCR protocol that has been selected for use on the association as specified in 7.1.6.1. The bit specified in the ASN.1 definition of the "Versions" datatype as representing the selected version, shall be set to one. Any leading bits, representing lower versions, shall be zero. There shall be no trailing zero bits.

For the requesting CCRPM: The version represented by the only set bit (the last) in the "versions" field is the version of the CCR protocol selected for use on the association. This version number is the value of the Version parameter of the C-INITIALIZE confirm primitive.

7.1.5.2 CCR requirements

For the accepting CCRPM: This value is directly mapped from the CCR Requirements parameter on the C-INITIALIZE response primitive. Only functional units that were proposed on the C-INITIALIZE indication shall be included on the response.

For the requesting CCRPM: This value is used to determine the value of the CCR Requirements parameter of the C-INITIALIZE confirm.

7.1.5.3 User data

For the accepting CCRPM: This value is directly mapped from the User Data parameter on the C-INITIALIZE indication primitive.

For the requesting CCRPM: This value is used to determine the value of the User Data parameter of the C-INITIALIZE confirm.

7.1.6 Collisions

None.

7.2 Begin branch procedure

7.2.1 Purpose

This procedure is used to begin a new atomic action branch between two CCR-service users. It supports the C-BEGIN service defined in ITU-T Rec. X.851 | ISO/IEC 9804.

7.2.2 APDUs used

The procedure uses the following CCR APDUs:

C-BEGIN-RI

C-BEGIN-RC

The structure of these APDUs is shown in Figure 2.

| C-BEGIN-RI ::= [1] { atomic-action-ide branch-suffix | ntifier CHOICE | SEQUENCE [0] ATOMIC { [2] OCTET S [3] INTEGER }, | , |
|--|-------------------|---|-------------------|
| ,, user-data } | | User-data | OPTIONAL |
| C-BEGIN-RC ::= [2] | | SEQUENCE | |
| user-data | | User-data | OPTIONAL } |
| | | Figure 2 – C-BE | GIN APDUs |

The C-BEGIN-RI APDU fields are listed in Table 4. The C-BEGIN-RC APDU field is listed in Table 5.

| Field name | Presence | Source | Sink |
|--------------------------|----------|--------|------|
| atomic-action-identifier | М | req | ind |
| branch-suffix | М | req | ind |
| user-data | U | req | ind |

Table 4 – C-BEGIN-RI field

| Field name | Presence | Source | Sink |
|------------|----------|--------|------|
| user-data | U | req | ind |

Table 5 - C-BEGIN-RC field

7.2.3 Prerequisite requirements

7.2.3.1 For the requestor, the use of this procedure requires that no other atomic action branch is active on the association.

7.2.3.2 The requestor of the C-BEGIN request primitive shall be the owner of the session synchronize-minor token.

7.2.4 Procedure operation

This procedure is driven by the following events:

- a) C-BEGIN request primitive from the requestor;
- b) C-BEGIN-RI APDU received by the accepting CCRPM;
- c) C-BEGIN response primitive from the acceptor; and
- d) C-BEGIN-RC APDU received by the requesting CCRPM.

The events c) and d) are optional and may occur later.

7.2.4.1 C-BEGIN request primitive

The requesting CCRPM forms a C-BEGIN-RI APDU from parameter values of the C-BEGIN request primitive. If the C-BEGIN-RI is not concatenated with other CCR APDUs, the CCRPM issues a P-SYNC-MINOR request primitive with the APDU as a data value of the primitive's User Data parameter. If the CCRPM concatenates the C-BEGIN-RI APDU with another CCR APDU, it issues the appropriate presentation service primitive as specified in clause 11, with the C-BEGIN-RI APDU as a data value in the user data parameter.

7.2.4.2 C-BEGIN-RI APDU

The accepting CCRPM receives a C-BEGIN-RI APDU from its peer as user data on a P-SYNC-MINOR indication primitive, if the APDU is unconcatenated. If the APDU is concatenated with other CCR APDUs, the C-BEGIN-RI APDU will be received as user data on the appropriate presentation primitive as specified in clause 11. In either case, the CCRPM issues a C-BEGIN indication primitive with parameter values derived from the APDU.

7.2.4.3 C-BEGIN response primitive

The accepting CCRPM forms a C-BEGIN-RC APDU from the parameter value of the C-BEGIN response primitive. If the C-BEGIN-RC is not concatenated with other CCR APDUs, the CCRPM issues a P-SYNC-MINOR response primitive with the APDU as a data value of the primitive's User Data parameter. If the CCRPM concatenates the C-BEGIN-RC APDU with another CCR APDU, it issues the appropriate presentation service primitive as specified in clause 11, with the C-BEGIN-RC APDU as a data value in the user data parameter.

7.2.4.4 C-BEGIN-RC APDU

The requesting CCRPM receives a C-BEGIN-RC APDU from its peer as user data on a P-SYNC-MINOR confirm primitive, if the APDU is unconcatenated. If the APDU is concatenated with other CCR APDUs, the C-BEGIN-RC APDU will be received as user data on the appropriate presentation primitive as specified in clause 11. In either case, the CCRPM issues a C-BEGIN confirm primitive with the parameter value derived from the APDU.

7.2.5 Use of the C-BEGIN-RI APDU fields

For the requesting CCRPM: The fields of the C-BEGIN-RI APDU are directly mapped from the corresponding parameters on the C-BEGIN request primitive as specified in Table 6.

The CCRPM shall represent the "Atomic Action Identifier – Owner's Name" parameter of the C-BEGIN request in the abstract syntax by using either the "name" form or the "sender" value of the "side" form of the "owners-name" field. The latter form may only be used if the Owner's Name is the AE-title of the requestor, as passed on the A-ASSOCIATE service used to establish the supporting association.

The C-BEGIN request includes the Branch Identifier – Initiator's Name parameter on the request primitive. The parameter value is the requestor's AE title which was passed in the A-ASSOCIATE service used to establish the supporting association and is not mapped to a field of the C-BEGIN-RI APDU.

For the accepting CCRPM: The fields of the C-BEGIN-RI APDU are directly mapped to the corresponding parameters on the C-BEGIN indication primitive as specified in Table 6. If the "owners-name" field in the "atomic-action-identifier" field is the "sender" value of the "side" form, the "Atomic Action Identifier – Owner's Name" parameter of the C-BEGIN indication shall be the requestor's AE-title that was passed in the A-ASSOCIATE service used to establish the supporting association.

The accepting CCRPM also includes the Branch Identifier – Initiator's Name parameter on the indication primitive. The parameter value is the requestor's AE title passed in the A-ASSOCIATE service used to establish the supporting association.

| APDU Field name | | Parameter name |
|--------------------------|------------------------|--|
| atomic-action-identifier | {owners-name} | Atomic Action Identifier – Owner's Name |
| atomic-action-identifier | {atomic-action-suffix} | Atomic Action Identifier – Suffix |
| - | | Branch Identifier – Branch-initiator'sName |
| branch-suffix | | Branch Identifier – Suffix |
| user-data | | User Data |

Table 6 – Mapping of C-BEGIN req/ind parameters

7.2.6 Use of the C-BEGIN-RC APDU field

For the accepting and requesting CCRPM: The C-BEGIN-RC APDU field is directly mapped to and from the corresponding parameter on the C-BEGIN response and confirm primitives as specified in Table 7.

| Table 7 – Mapping of C-BEGIN rsp/cnf parameter |
|--|
|--|

| APDU Field name | Parameter name |
|-----------------|----------------|
| user-data | User Data |

7.2.7 Collisions

A collision of a C-BEGIN-RI APDU with another CCR APDU cannot occur unless the overlapped recovery functional unit is selected. The specification of the CCR service-user shall ensure that C-BEGIN request and C-RECOVER request shall not collide.

NOTE – Collisions between two C-BEGIN-RI APDUs cannot occur because the CCR service-user must own the synchronizeminor token when issuing C-BEGIN request). The requirement, when overlapped recovery is not selected, to own the token before issuing C-RECOVER request (except when replying to a C-RECOVER indication) makes collisions of C-BEGIN-RI APDUs and C-RECOVER-RI APDUs impossible. When overlapped recovery is selected, there is no protection *in CCR* against collision between C-BEGIN-RI and C-RECOVER-RI APDUs.

7.3 **Prepare procedure**

7.3.1 Purpose

The prepare procedure is used by CCR service-user to inform its neighbour that no more application semantics that affect bound data will be sent. The prepare procedure supports the C-PREPARE service defined in ITU-T Rec. X.851 | ISO/IEC 9804.

7.3.2 APDU used

The procedure uses the following CCR APDU:

C-PREPARE-RI

The structure of this APDU is shown in Figure 3.

| C-PREPARE-RI ::= [3] | SEQUENCE | |
|----------------------|-----------|-------------------|
| { | ••••••• | |
| user-data | User-data | OPTIONAL } |
| | | |

Figure 3 – C-PREPARE APDU

The C-PREPARE-RI APDU field is listed in Table 8.

Table 8 - C-PREPARE-RI field

| Field name | Presence | Source | Sink |
|-------------|----------|--------|------|
| user-data U | | req | ind |

7.3.3 Prerequisite requirements

None.

7.3.4 Prepare procedure

This procedure is driven by the following events:

- a) C-PREPARE request primitive from the requestor; and
- b) C-PREPARE-RI APDU received by the accepting CCRPM.

7.3.4.1 C-PREPARE request primitive

The requesting CCRPM forms a C-PREPARE-RI APDU from the parameter value of the C-PREPARE request primitive. If the C-PREPARE-RI is neither concatenated with other CCR APDUs, nor with APDUs from other ASEs, the CCRPM issues a P-TYPED-DATA request primitive with the APDU as a data value of the primitive's User Data parameter. If the C-PREPARE-RI APDU is concatenated with another CCR APDU or with an APDU from another ASE, the appropriate presentation service primitive is issued as specified in clause 11, with the C-PREPARE-RI APDU as a data value in the user data parameter.

7.3.4.2 C-PREPARE-RI APDU

The accepting CCRPM receives a C-PREPARE-RI APDU from its peer as user data on a P-TYPED-DATA indication primitive, if the APDU is unconcatenated. If the APDU is concatenated with other CCR APDUs or with APDUs from other ASEs, the C-PREPARE-RI APDU will be received as user data on the appropriate presentation primitive as specified in clause 11. In either case, the CCRPM issues a C-PREPARE indication primitive with the parameter value derived from the APDU.

7.3.5 Use of the C-PREPARE-RI APDU field

For the requesting and accepting CCRPM: The field of the C-PREPARE-RI APDU is directly mapped to and from the corresponding parameter on the C-PREPARE request and indication primitives as specified in Table 9.

Table 9 – Mapping of C-PREPARE req/ind parameter

| APDU Field name | Parameter name |
|-----------------|----------------|
| user-data | User Data |

7.3.6 Collisions

7.3.6.1 The prepare procedure and the signal readiness procedure may be used simultaneously by both CCR service-users. This results in a collision of a C-PREPARE-RI APDU and a C-READY-RI APDU. Both events are treated normally, resulting in the issue of the appropriate indication primitives.

7.3.6.2 The prepare procedure and the rollback procedure can be used simultaneously by the CCR service-users. The collision is resolved in favour of the rollback procedure.

7.3.6.3 A CCR service-user can initiate the rollback procedure immediately after initiating the prepare procedure. In this case the rollback can disrupt the prepare procedure.

7.4 Signal readiness procedure

7.4.1 Purpose

The signal readiness procedure is used by a CCR service-user to inform its neighbour that it is in the ready-to-commit state. The procedure supports the C-READY service defined in ITU-T Rec. X.851 | ISO/IEC 9804.

7.4.2 APDU used

This procedure uses the following CCR-APDU:

C-READY-RI

The structure of this APDU is shown in Figure 4.

| C-READY-RI ::= [4] | SEQUENCE | |
|--------------------|-----------|-------------------|
| {,, user-data | User-data | OPTIONAL } |
| | | |

Figure 4 – C-READY APDU

The C-READY-RI APDU field is listed in Table 10.

Table 10 - C-READY-RI field

| Field name | Presence | Source | Sink | |
|------------|-------------|--------|------|--|
| user-data | user-data U | | ind | |

7.4.3 Prerequisite requirements

7.4.3.1 For the requestor, the use of this procedure requires that atomic action data for this branch be accessible in stable storage.

7.4.4 Signal readiness procedure

This procedure is driven by the following events:

- a) C-READY request primitive from the requestor; and
- b) C-READY-RI APDU received by the accepting CCRPM.

7.4.4.1 C-READY request primitive

The requesting CCRPM forms the C-READY-RI APDU from the parameter value of the C-READY request primitive. If the C-READY-RI neither concatenated with other CCR APDUs, nor with APDUs from other ASEs, the CCRPM issues a P-TYPED-DATA request primitive with the APDU as a value of the primitive's User Data parameter. If the C-READY-RI APDU is concatenated with anther CCR APDU or with an APDU from another ASE, the appropriate presentation service primitive is issued as specified in clause 11, with the C-READY-RI APDU as a data value in the user data parameter.

7.4.4.2 C-READY-RI APDU

The accepting CCRPM receives a C-READY-RI APDU from its peer as user data on a P-TYPED-DATA indication primitive, if the APDU is unconcatenated. If the APDU is concatenated with other CCR APDUs or with APDUs from other ASEs, the C-READY-RI APDU will be received as user data on the appropriate presentation primitive as specified in clause 11. In either case, the CCRPM issues a C-READY indication primitive with the parameter value derived from the APDU.

7.4.5 Use of the C-READY-RI APDU field

For the requesting and accepting CCRPM: The field of the C-READY-RI APDU is directly mapped to and from the corresponding parameter on the C-READY request and indication primitives as specified in Table 11.

| APDU Field name | Parameter name |
|-----------------|----------------|
| user-data | User Data |

7.4.6 Collisions

The signal readiness procedure and the prepare procedure may be used simultaneously by both CCR service-users. This results in a collision of a C-READY-RI APDU and a C-PREPARE-RI APDU. Both events are treated normally, resulting in the issue of the appropriate indication primitives.

A collision between two C-READY-RI APDUs can occur. Both events are treated normally, resulting in the issue of the appropriate indication primitives.

NOTE - A C-READY-RI APDU may collide with application data. It is the CCR service-user's responsibility to police this situation, which could lead to inconsistency in the bound data of the atomic action (see ITU-T Rec. X.851 | ISO/IEC 9804, C.9.3).

7.5 Order commitment

7.5.1 Purpose

The order commitment procedure is used by a commit-superior to request its commit-subordinate to release its bound data in their final state. It supports the C-COMMIT service defined in ITU-T Rec. X.851 | ISO/IEC 9804.

7.5.2 APDUs used

The procedure uses the following CCR APDUs:

C-COMMIT-RI

C-COMMIT-RC

The structure of these APDUs is shown in Figure 5.

| C-COMMIT-RI ::= [5] SEQUENCE | | | | | |
|------------------------------|-----------|-------------------|--|--|--|
| { | ••••• | | | | |
| user-data | User-data | OPTIONAL } | | | |
| C-COMMIT-RC ::= [6] SEQUENCE | | | | | |
| {,, | | | | | |
| user-data | User-data | OPTIONAL } | | | |
| | | | | | |

| Figure £ | 5 – | C-(| CON | MM | T I | APD | Us |
|-----------------|-----|-----|-----|-----------|-----|-----|----|
|-----------------|-----|-----|-----|-----------|-----|-----|----|

The C-COMMIT-RI APDU and the C-COMMIT-RC APDU fields are listed in Tables 12 and 13, respectively.

Table 12 - C-COMMIT-RI field

| Field name | Presence | Source | Sink | Field name |
|------------|----------|--------|------|------------|
| user-data | U | req | ind | user-data |

7.5.3 **Prerequisite requirements**

For the requestor to issue the C-COMMIT request primitive, it is required that atomic action data for this branch be accessible in stable storage. The requestor shall also be the owner of the session synchronize-minor token.

For the acceptor to issue the C-COMMIT response primitive, it is required that no atomic action data for this branch be accessible in stable storage.

7.5.4 Order commitment procedure

This procedure is driven by the following events:

- a) C-COMMIT request primitive from the requestor;
- b) C-COMMIT-RI APDU received by the accepting CCRPM;
- c) C-COMMIT response primitive from the acceptor; and
- d) C-COMMIT-RC APDU received by the requesting CCRPM.

7.5.4.1 C-COMMIT request primitive

The requesting CCRPM forms a C-COMMIT-RI APDU from the parameter value of the C-COMMIT request primitive. It issues a P-SYNC-MINOR request primitive with the APDU as a data value of the primitive's User Data parameter.

7.5.4.2 C-COMMIT-RI APDU

The accepting CCRPM receives a C-COMMIT-RI APDU from its peer as user data on a P-SYNC-MINOR indication primitive. It issues a C-COMMIT indication primitive with the parameter value derived from the APDU.

7.5.4.3 C-COMMIT response primitive

The accepting CCRPM forms a C-COMMIT-RC APDU from the parameter value of the C-COMMIT response primitive. It issues a P-SYNC-MINOR response primitive with the APDU as a data value of the primitive's User Data parameter.

7.5.4.4 C-COMMIT-RC APDU

The requesting CCRPM receives a C-COMMIT-RC APDU from its peer as user data on a P-SYNC-MINOR indication primitive. It issues a C-COMMIT confirm primitive with the parameter value derived from the APDU.

Table 13 – C-COMMIT-RC field

Source

rsp

Sink

cnf

Presence

U

| ITU-T Rec. X.852 (1997 E) | 17 |
|---------------------------|----|

7.5.5 Use of the C-COMMIT-RI APDU field

For the requesting and accepting CCRPM: The C-COMMIT-RI APDU field is directly mapped to and from the corresponding parameter on the C-COMMIT request and indication primitives as specified in Table 14.

| APDU Field name | Parameter name | | | |
|-----------------|----------------|--|--|--|
| user-data | User Data | | | |

7.5.6 Use of the C-COMMIT-RC APDU field

For the accepting and requesting CCRPM: The C-COMMIT-RC APDU field is directly mapped to and from the corresponding parameter on the C-COMMIT response and confirm primitives as specified in Table 15.

| APDU Field name | Parameter name |
|-----------------|----------------|
| user-data | User Data |

7.5.7 Collision

None.

7.6 Rollback procedure

7.6.1 Purpose

The rollback procedure is used to force completion of an atomic action branch. It supports the C-ROLLBACK service defined in ITU-T Rec. X.851 | ISO/IEC 9804.

7.6.2 APDUs used

The procedure uses the following CCR APDUs:

C-ROLLBACK-RI C-ROLLBACK-RC

The structure of these APDUs is shown in Figure 6.

| C-ROLLBACK-RI ::= [7] | SEQUENCE | |
|-----------------------|-----------|-------------------|
| { ,, user-data | User-data | OPTIONAL } |
| C-ROLLBACK-RC ::= [8] | SEQUENCE | |
| {,, user-data | User-data | OPTIONAL } |

Figure 6 – C-ROLLBACK APDUs

The C-ROLLBACK-RI APDU field is listed in Table 16. The C-ROLLBACK-RC APDU field is listed in Table 17.

Table 16 - C-ROLLBACK-RI field

Table 17 – C-ROLLBACK-RC field

| Field name | Presence | Source | Sink | Field name | Presence | Source | Sink |
|------------|----------|--------|------|------------|----------|--------|------|
| user-data | U | req | ind | user-data | U | rsp | cnf |

7.6.3 Prerequisite requirements

For the requestor, the use of this procedure requires either:

- a) no atomic action data for this branch are accessible in stable storage; or
- b) the CCR service-user has been ordered to rollback by its commit-superior.

7.6.4 Rollback procedure

This procedure is driven by the following events:

- a) C-ROLLBACK request primitive from the requestor;
- b) C-ROLLBACK-RI APDU received by the accepting CCRPM;
- c) C-ROLLBACK response primitive from the acceptor; and
- d) C-ROLLBACK-RC APDU received by the requesting CCRPM.

7.6.4.1 C-ROLLBACK request primitive

The requesting CCRPM forms a C-ROLLBACK-RI APDU from the parameter value of the C-ROLLBACK request primitive. It issues a P-RESYNCHRONIZE(abandon) request primitive with the APDU as a data value of the primitive's User Data parameter.

7.6.4.2 C-ROLLBACK-RI APDU

The accepting CCRPM receives a C-ROLLBACK-RI APDU from its peer as user data on a P-RESYNCHRONIZE(abandon) indication primitive. It issues a C-ROLLBACK indication primitive with the parameter value derived from the APDU.

For the acceptor, if atomic action data for this branch are accessible in stable storage, then it is required that these data be forgotten.

7.6.4.3 C-ROLLBACK response primitive

The accepting CCRPM forms a C-ROLLBACK-RC APDU from the parameter value of the C-ROLLBACK response primitive. It issues a P-RESYNCHRONIZE(abandon) response primitive with the APDU as a data value of the primitive's User Data parameter.

7.6.4.4 C-ROLLBACK-RC APDU

The requesting CCRPM receives a C-ROLLBACK-RC APDU from its peer as user data on a P-RESYNCHRONIZE(abandon) confirm primitive. It issues a C-ROLLBACK confirm primitive with the parameter value derived from the APDU.

7.6.5 Use of the C-ROLLBACK-RI APDU fields

For the accepting and requesting CCRPM: The C-ROLLBACK-RI APDU field is directly mapped to and from the corresponding parameter on the C-ROLLBACK request and indication primitives as specified in Table 18.

| Table 18 - | – Mapping o | f C-ROLLBACK | X req/ind parameters |
|-------------------|-------------|--------------|----------------------|
|-------------------|-------------|--------------|----------------------|

| APDU Field name | Parameter name |
|-----------------|----------------|
| user-data | User Data |

7.6.6 Use of the C-ROLLBACK-RC APDU field

For the accepting and requesting CCRPM: The C-ROLLBACK-RC APDU field is mapped to and from the corresponding parameter on the C-ROLLBACK response and confirm primitives as specified in Table 19.

Table 19 – Mapping of C-ROLLBACK rsp parameter

| APDU Field name | Parameter name |
|-----------------|----------------|
| user-data | User Data |

ISO/IEC 9805-1:1998 (E)

7.6.7 Disruptive effects

Because the C-ROLLBACK service is mapped on the P-RESYNCHRONIZE service, CCR APDUs other than a C-ROLLBACK-RI from the association-initiator are discarded (by the underlying session service-provider). This mapping guarantees that rollback takes precedence over all other allowed CCR protocol procedures.

7.6.8 Collision with a C-ROLLBACK-RI APDU

If two C-ROLLBACK-RI APDUs collide, the C-ROLLBACK-RI APDU from the association-responder is discarded by the underlying session service-provider. That is, the association-initiator wins. Therefore, for the association-responder, the delivery of its user data to the peer is not guaranteed.

7.7 No-change completion procedure

7.7.1 Purpose

This procedure is used to complete an atomic action branch when the requestor has made no changes to bound data and does not wish to be involved in any two-phase commitment procedures. The requestor may optionally ask to receive an indication of the commitment or rollback of the atomic action. The procedure supports the C-NOCHANGE service defined in ITU-T Rec. X.851 | ISO/IEC 9804.

This procedure is only used when the no-change functional unit has been selected.

7.7.2 APDUs used

The procedure uses the following CCR APDUs:

C-NOCHANGE-RI

C-NOCHANGE-RC

The structure of these APDUs is shown in Figure 7.

| C-NOCHANGE-RI ::= [13]' | SEQUENCE | | | | |
|-------------------------|---|---------------------------------|--|--|--|
| { confirmation | [0] ENUMERA | ATED | | | |
| | { not-req | uired(0), result-requested(1),} | | | |
| DEFAULT with-result, | | | | | |
| ••••,••••, | | | | | |
| user-data | User-data | OPTIONAL | | | |
| } | | | | | |
| C-NOCHANGE-RC ::= [14] | SEQUENCE | | | | |
| { outcome | [0] ENUMERATED | | | | |
| | { not-determined(0), committed(1), rolled-back(2) | | | | |
| | no-change(| 3),} | | | |
| | DEFAULT | not-determined, | | | |
| ••••,••••, | | | | | |
| user-data | User-data | OPTIONAL | | | |
| } | | | | | |
| | | | | | |



The C-NOCHANGE-RI APDU fields are listed in Table 20. The C-NOCHANGE-RC APDU field is listed in table 21.

 Table 20 – C-NOCHANGE-RI fields

Table 21 – C-NOCHANGE-RC fields

| Field name | Presence | Source | Sink | Field name | Presence | Source | Sink |
|--------------|----------|--------|------|------------|----------|--------|------|
| confirmation | М | req | ind | outcome | М | rsp | cnf |
| user-data | U | req | ind | user-data | U | rsp | cnf |

7.7.3 Prerequisite requirements

For the requestor to issue the C-NOCHANGE request primitive:

the requestor shall have no bound data for the atomic action.

7.7.4 Procedure operation

This procedure is driven by the following events:

- a) C-NOCHANGE request primitive from the requestor;
- b) C-NOCHANGE-RI APDU received by the accepting CCRPM;
- c) C-NOCHANGE response primitive from the acceptor; and
- d) C-NOCHANGE-RC APDU received by the requesting CCRPM.

Events c) and d) are optional and may not occur if the Confirmation parameter on the C-NOCHANGE request has the value "not-required".

7.7.4.1 C-NOCHANGE request primitive

The requesting CCRPM forms a C-NOCHANGE-RI APDU from parameter values of the C-NOCHANGE request primitive. It issues a P-DATA request primitive with the APDU as a data value of the primitive's User Data parameter.

7.7.4.2 C-NOCHANGE-RI APDU

The accepting CCRPM receives a C-NOCHANGE-RI APDU from its peer as user data on a P-DATA indication primitive. It issues a C-NOCHANGE indication primitive with parameter values derived from the APDU.

7.7.4.3 C-NOCHANGE response primitive

The accepting CCRPM forms a C-NOCHANGE-RC APDU from the parameter value of the C-NOCHANGE response primitive. It issues a P-DATA request primitive with the APDU as a data value of the primitive's User Data parameter.

7.7.4.4 C-NOCHANGE-RC APDU

The requesting CCRPM receives a C-NOCHANGE-RC APDU from its peer as user data on a P-DATA indication primitive. It issues a C-NOCHANGE confirm primitive with the parameter value derived from the APDU.

7.7.5 Use of the C-NOCHANGE-RI APDU field

For the requesting and accepting CCRPM: The fields of the C-NOCHANGE-RI APDU are directly mapped from and to the corresponding parameters on the C-NOCHANGE request and indication primitives as specified in Table 22.

| APDU Field name | Parameter name | |
|-----------------|----------------|--|
| confirmation | Confirmation | |

User Data

Table 22 – Mapping of C-NOCHANGE req/ind parameters

7.7.6 Use of the C-NOCHANGE-RC APDU fields

user-data

For the accepting and requesting CCRPM: The C-NOCHANGE-RC APDU fields are directly mapped to and from the corresponding parameters on the C-NOCHANGE response and confirm primitives as specified in Table 23.

| APDU Field name | Parameter name |
|-----------------|----------------|
| outcome | Outcome |
| user-data | User Data |

ISO/IEC 9805-1: 1998 (E)

7.7.7 Collisions

7.7.7.1 Collision with C-BEGIN-RC APDU, C-PREPARE-RI APDU, C-READY-RI APDU

Collisions are possible with any of these APDUs. The event is treated normally and the corresponding indication primitive is issued by the receiving CCRPM.

7.7.7.2 Collision with C-NOCHANGE-RI APDU

If the NOCHANGE commitment procedure is used simultaneously by both CCR service-users, the C-NOCHANGE-RIs confirm each other. The CCR service-users, on receiving a C-NOCHANGE-RI APDU after sending one, and regardless of the value of the Confirmation parameter, issues a C-NOCHANCE confirm with the Outcome parameter having the value "no-change".

7.7.7.3 Collision with C-ROLLBACK-RI APDU

A collision with a C-ROLLBACK-RI APDU is handled by session services (see 7.6.7 on the disruptive effects of rollback) – the rollback procedure disrupts the NOCHANGE procedure.

7.8 Cancel procedure

7.8.1 Purpose

This procedure is used to initiate rollback of the atomic action branch. The procedure differs from the Rollback procedure in that the service is non-confirmed, and can be blocked by flow-control. It supports the C-CANCEL service defined in ITU-T Rec. X.851 | ISO/IEC 9804.

7.8.2 APDUs used

The procedure uses the following CCR APDU:

C-CANCEL-RI

The structure of this APDU is shown in Figure 8.

| C-CANCEL-RI ::= [15] | SEQUENCE | |
|----------------------|-----------|-------------------|
| {,, user-data | User-data | OPTIONAL } |

Figure 8 – C-CANCEL APDU

The C-CANCEL-RI APDU fields are listed in Table 24.

Table 24 - C-CANCEL-RI field

| Field name | Presence | Source | Sink |
|------------|----------|--------|------|
| user-data | U | req | ind |

7.8.3 Prerequisite requirements

- 7.8.3.1 For the requestor to issue the C-CANCEL request primitive, it is required that either:
 - a) no atomic action data for this branch are accessible in stable storage; or
 - b) the CCR service-user has been ordered to rollback.
- **7.8.3.2** The cancel functional unit shall be selected on the association.

7.8.4 **Procedure operation**

This procedure is driven by the following events:

- a) C-CANCEL request primitive from the requestor;
- b) C-CANCEL-RI APDU received by the accepting CCRPM.

7.8.4.1 C-CANCEL request primitive

The requesting CCRPM forms a C-CANCEL-RI APDU from parameter values of the C-CANCEL request primitive. It issues a P-TYPED-DATA request primitive with the APDU as a data value of the primitive's User Data parameter.

7.8.4.2 C-CANCEL-RI APDU

The accepting CCRPM receives a C-CANCEL-RI APDU from its peer as user data on a P-TYPED-DATA indication primitive. It issues a C-CANCEL indication primitive with parameter values derived from the APDU.

7.8.5 Use of the C-CANCEL-RI APDU fields

For the requesting CCRPM: The fields of the C-CANCEL-RI APDU are directly mapped from the corresponding parameters on the C-CANCEL request primitive as specified in Table 25.

For the accepting CCRPM: The fields of the C-CANCEL-RI APDU are directly mapped to the corresponding parameters on the C-CANCEL indication primitive as specified in Table 25.

| APDU Field name | Parameter name |
|-----------------|----------------|
| user-data | User Data |

7.8.6 Collisions

7.8.6.1 Collision with C-BEGIN-RC APDU or C-PREPARE-RI APDU or C-NOCHANGE-RI APDU or C-READY-RI APDU.

Collisions are possible with these APDUs. In such a case, the CCRPM that issued the C-CANCEL-RI APDU discards the incoming APDU and does not issue a CCR service primitive. The CCRPM that receives the C-CANCEL-RI APDU treats the event normally and issues a C-CANCEL indication primitive.

7.8.6.2 Collision with C-CANCEL-RI APDU

A CCRPM that receives a C-CANCEL-RI APDU after issuing C-CANCEL-RI APDU treats the event normally and issues a C-CANCEL indication primitive.

7.8.6.3 Collision with C-ROLLBACK-RI APDU

A C-CANCEL-RI APDU that collides with a C-ROLLBACK-RI APDU will be purged by the session services (see 7.6.7 on the disruptive effects of rollback). The Cancel procedure is disrupted.

7.9 Branch recovery procedure

7.9.1 Purpose

7.9.1.1 The branch recovery procedure is used to recover an atomic action branch after the branch was disrupted by an application or communication failure. The procedure supports the C-RECOVER service defined in ITU-T Rec. X.851 | ISO/IEC 9804.

7.9.1.2 This procedure can be used to recover more than one branch at a time on a single association. The procedure is used independently for each branch.

7.9.2 APDUs used

The procedure uses the following CCR APDUs:

C-RECOVER-RI

C-RECOVER-RC

ISO/IEC 9805-1:1998 (E)

The structure of these APDUs is shown in Figure 9.

| C-RECOVER-RI ::= [9] { atomic-action-identifier[0] branch-identifier | [1] | OMIC-ACTION-IDENTIFIER, BRANCH-IDENTIFIER, | |
|--|--------------|--|--|
| recovery-state | [2] | ENUMERATED), unknown(3), retry-later(5), } | |
| { commu(0), ready(| (1), uone(2) |), unknown(3), ren y-later(3), } | |
| , reversed-branch | [3] | BOOLEAN DEFAULT FALSE <i> shall be absent if FALSE,</i> | |
| , user-data | User-dat | a OPTIONAL | |
| } | | | |
| C-RECOVER-RC ::= [10] | SEQUEN | ICE | |
| { atomic-action-identifier[0] | AT | OMIC-ACTION-IDENTIFIER, | |
| branch-identifier | [1] | BRANCH-IDENTIFIER, | |
| recovery-state | [2] | ENUMERATED | |
| <pre>{ commit(0), ready(1), done(2), unknown(3), retry-later(5)},</pre> | | | |
| , reversed-branch | [3] | BOOLEAN DEFAULT FALSE, shall be absent if FALSE, | |
| , user-data | User-dat | a OPTIONAL | |
| user-uata | User-dat | a OFIIONAL | |
| } | | | |



The fields of the C-RECOVER-RI APDU are listed in Table 26. The fields of the C-RECOVER-RC APDU are listed in Table 27.

| Field name | Presence | Source | Sink |
|--------------------------|----------|--------|------|
| atomic-action-identifier | М | req | ind |
| branch-identifier | М | req | ind |
| recovery-state | М | req | ind |
| reversed-branch | С | req | ind |
| user-data | U | req | ind |

Table 26 - C-RECOVER-RI fields

Table 27 – C-RECOVER-RC fields

| Field name | Presence | Source | Sink |
|--------------------------|----------|--------|------|
| atomic-action-identifier | М | rsp | cnf |
| branch-identifier | М | rsp | cnf |
| recovery-state | М | rsp | cnf |
| reversed-branch | С | rsp | cnf |
| user-data | U | rsp | cnf |

7.9.3 Prerequisite requirements

For the requestor, atomic action data for this branch is required to be accessible in stable storage. If the overlapped-recovery functional unit is not selected, then no other atomic action branch shall be active or in recovery on this association. If the branch is not already in recovery on this association, the requestor shall be the owner of the session synchronize-minor token.

NOTE – The branch recovery procedure is mapped on the presentation P-TYPED-DATA service. This token-ownership requirement is made to avoid a collision of a C-RECOVER-RI APDU with a C-BEGIN-RI APDU.

If the overlapped-recovery functional unit is selected, there shall not be an undisrupted atomic action branch active on the association. The CCR service-user shall ensure that this procedure does not collide with a use of the begin branch procedure on the same association.

7.9.4 Branch recovery procedure

This procedure is driven by the following events:

- a) C-RECOVER request primitive from the requestor;
- b) C-RECOVER-RI APDU received by the accepting CCRPM;

- c) C-RECOVER response primitive from the acceptor; and
- d) C-RECOVER-RC APDU received by the requesting CCRPM.

If the requestor is the commit-superior, all four events occur. If the requestor is the commit-subordinate, the acceptor (i.e. the commit-superior) has two options:

- a) it may reply with a C-RECOVER response primitive, thus continuing this procedure; or
- b) it may reply with a C-RECOVER request primitive, thus ending this procedure and initiating a new branch recovery procedure (as the requestor).

7.9.4.1 C-RECOVER request primitive

The requesting CCRPM forms a C-RECOVER-RI APDU from parameter values of the C-RECOVER request primitive. The value of the Recovery State parameter is derived by the CCR service-user from the atomic action data. The requesting CCRPM issues a P-TYPED-DATA request primitive with the APDU as a data value of the primitive's User Data parameter.

7.9.4.2 C-RECOVER-RI APDU

The accepting CCRPM receives a C-RECOVER-RI APDU from its peer as user data on a P-TYPED-DATA indication primitive. It issues a C-RECOVER indication primitive with parameter values derived from the APDU.

7.9.4.3 C-RECOVER response primitive

The accepting CCRPM forms a C-RECOVER-RC APDU from parameter values of the C-RECOVER response primitive. The value of the Recovery State parameter is derived by the CCR service user from the atomic action data. The accepting CCRPM issues a P-TYPED-DATA request primitive with the APDU as a data value of the primitive's User Data parameter.

7.9.4.4 C-RECOVER-RC APDU

The requesting CCRPM receives a C-RECOVER-RC APDU from its peer as user data on a P-TYPED-DATA indication primitive. It issues a C-RECOVER confirm primitive with the parameter values derived from the APDU.

7.9.5 Use of the C-RECOVER-RI APDU fields

For the requesting and accepting CCRPM: The fields of the C-RECOVER-RI APDU are directly mapped to and from the corresponding parameters on the C-RECOVER request and indication primitives as specified in Table 28.

For the requesting CCRPM: If the Atomic Action Identifier or Branch Identifier parameters of the C-RECOVER request contain the AE-title of the requestor, as passed on the A-ASSOCIATE service used to establish the supporting association, the CCRPM shall represent this in the abstract syntax by using either the "name" form or the "sender" value of the "side" form of the corresponding APDU field. Similarly, if the parameters contain the AE-title of the acceptor passed on the A-ASSOCIATE service used to establish the supporting association, the CCRPM shall represent this in the abstract syntax by using either the "name" form or the "sender" value of the "side" form of the corresponding APDU field.

For the accepting CCRPM: If the "owners-name" field in the "atomic-action-identifier" or the "initiators-name" field in the "branch-identifier" is the "sender" value of the "side" form, the corresponding parameter value shall be the C-RECOVER requestor's AE-title passed on the A-ASSOCIATE service used to establish the supporting association. Similarly, if the "receiver" value of the "side" form is used, the corresponding parameter shall be the C-RECOVER acceptor's AE-title passed in the A-ASSOCIATE service used to establish the supporting association.

| Field name | Parameter name |
|--------------------------|--------------------------|
| atomic-action-identifier | Atomic Action Identifier |
| branch-identifier | Branch Identifier |
| recovery-state | Recovery state |
| reversed-branch | Reversed Branch |
| user-data | User Data |

Table 28 – Mapping of C-RECOVER req/ind parameters

ISO/IEC 9805-1:1998 (E)

7.9.6 Use of the C-RECOVER-RC APDU fields

For the accepting and requesting CCRPM: The fields of the C-RECOVER-RC APDU are directly mapped to and from the corresponding parameters on the C-RECOVER response and confirm primitives as specified in Table 29.

For the accepting CCRPM: If the Atomic Action Identifier or Branch Identifier parameters of the C-RECOVER response contain the AE-title of the acceptor, as passed on the A-ASSOCIATE service used to establish the supporting association, the CCRPM shall represent this in the abstract syntax by using either the "name" form or the "sender" value for the "side" form of the corresponding APDU field. Similarly, if the parameters contain the AE-title of the requestor, as passed on the A-ASSOCIATE service used to establish the supporting association, the CCRPM shall represent this in the abstract syntax by using either the "name" form or the "sender" value for the "side" form of the corresponding APDU field. Similarly, if the parameters contain the AE-title of the requestor, as passed on the A-ASSOCIATE service used to establish the supporting association, the CCRPM shall represent this in the abstract syntax by using either the "name" form or the "receiver" value of the "side" form of the corresponding APDU field.

For the requesting CCRPM: If the "owners-name" field in the "atomic-action-identifier" or the "initiators-name" field in the "branch-identifier" is the "sender" value of the "side" form, the corresponding parameter value shall be the C-RECOVER acceptor's AE-title passed on the A-ASSOCIATE service used to establish the supporting association. Similarly, if the "receiver" value of the "side" form is used, the corresponding parameter shall be the C-RECOVER requestor's AE-title passed in the A-ASSOCIATE service used to establish the supporting association.

NOTE – The "sender" and "receiver" values identify the peer's by their roles in the transmission of a particular APDU, not the procedure. Thus, a value of "sender" on a C-RECOVER-RI corresponds to a value of "receiver" on the replying C-RECOVER-RC.

| APDU Field name | Parameter name |
|--------------------------|--------------------------|
| atomic-action-identifier | Atomic Action Identifier |
| branch-identifier | Branch Identifier |
| recovery-state | Recovery state |
| was-initiator | Was Initiator |
| user-data | User Data |

Table 29 – Mapping of C-RECOVER rsp/cnf parameters

7.9.7 Collisions

None.

7.10 Order commitment and begin branch procedure

7.10.1 Purpose

This procedure is used by a commit-superior to request its commit-subordinate to release its bound data in the final state on one atomic action branch, while beginning a new atomic action branch between the two CCR-service users. It supports the C-COMMIT and C-BEGIN services defined in ITU-T Rec. X.851 | ISO/IEC 9804.

7.10.2 APDUs used

The procedure uses the CCR APDUs specified in 7.2.2 and 7.5.2.

7.10.3 Prerequisite requirements

The prerequisite requirements for this procedure are the same as those for the order commitment procedure, specified in 7.5.3.

7.10.4 Procedure operation

This procedure is driven by the following events:

- a) C-COMMIT request primitive + C-BEGIN request primitive from the requestor;
- b) C-COMMIT-RI APDU + C-BEGIN-RI APDU received by the accepting CCRPM;
- c) C-COMMIT response primitive from the acceptor; and
- d) C-COMMIT-RC APDU received by the requesting CCRPM.

NOTE - The C-BEGIN response primitive and the C-BEGIN-RC APDU may optionally occur with c) and d) respectively.

7.10.4.1 C-COMMIT request primitive + C-BEGIN request primitive

The requesting CCRPM forms a C-COMMIT-RI APDU and a C-BEGIN-RI APDU from parameter values of the C-COMMIT request primitive and C-BEGIN request primitive, respectively. It issues a P-SYNC-MINOR request primitive with the APDUs as data values of the primitive's User Data parameter.

7.10.4.2 C-COMMIT-RI APDU + C-BEGIN-RI APDU

The accepting CCRPM receives a C-COMMIT-RI and a C-BEGIN-RI APDU from its peer as user data on a P-SYNC-MINOR indication primitive. It issues a C-COMMIT indication primitive + a C-BEGIN indication primitive with parameter values derived from the APDUs.

7.10.4.3 C-COMMIT response primitive

The accepting CCRPM forms a C-COMMIT-RC APDU from the parameter value of the C-COMMIT response primitive. It issues a P-SYNC-MINOR response primitive with the APDU as a data value of the primitive's User Data parameter.

7.10.4.4 C-COMMIT-RC APDU

The accepting CCRPM receives a C-COMMIT-RC APDU from its peer as user data on a P-SYNC-MINOR confirm primitive. It issues a C-COMMIT confirm primitive with the parameter value derived from the APDU.

7.10.5 Use of the C-COMMIT-RI APDU and C-BEGIN-RI APDU fields

The procedures of 7.5.5 are followed for the C-COMMIT-RI APDUs fields and of 7.2.5 for the C-BEGIN-RI APDU fields.

7.10.6 Use of the C-COMMIT-RC APDU field

The procedures of 7.5.6 are followed.

7.10.7 Collisions

A collision of a C-COMMIT-RI APDU + C-BEGIN-RI APDU with another CCR APDU cannot occur.

7.11 Error procedure

7.11.1 Purpose

This procedure is used to signal a CCR service provider error condition (e.g. protocol error). It supports the C-P-ERROR service defined in ITU-T Rec. X.851 | ISO/IEC 9804/AM1.

7.11.2 APDUs used

None.

7.11.3 Prerequisite requirements

None.

7.11.4 Procedure operation

This procedure is driven when an error is detected by the CCRPM. It issues a C-P-ERROR indication primitive with the parameter value set by the CCRPM that detects the error.

8 CCRPM state table

This clause defines a single CCR Protocol Machine (CCRPM) in terms of a state table. The CCR State Table specifies the interrelationship between the current state of a CCRPM, the incoming events that occur, predicates enablements, the actions taken, outgoing events, and, finally, the resultant state of the CCRPM.

8.1 General

8.1.1 Except when the overlapped recovery functional unit is selected, a CCRPM usually handles at most one atomic action branch at any one time. An overlap of two branches occurs only when a C-BEGIN request primitive is processed jointly with a C-COMMIT request primitive.

8.1.2 Tables 30 to 35 define the elements used in the State Table:

- Table 30 specifies the abbreviated name and description for each state of the CCRPM.
- Table 31 specifies the abbreviated name, source and description for each incoming event.
- Table 32 specifies the identifier and description for each specific action.
- Table 33 specifies the identifier and description for each predicate.
- Table 34 specifies the identifier and description for each enablement.
- Table 35 specifies the identifier and description for each outgoing event.

8.1.3 The overall CCR State Table is divided into individual tables (see Tables 36 to 43) for convenience and clarity. The individual state tables utilize the abbreviated names and identifiers of Tables 30 to 35. Each state occurs in only individual table.

- Table 36 specifies the states and events that occur in a CCRPM during the initialization procedure (concurrent association establishment) and when it is idle.
- Table 37 specifies the states and events that occur in a CCRPM in phase I, up to rollback, a ready signal, the beginning of a no-change completion procedure or a failure.
- Table 38 specifies the states and events that occur in a CCRPM from sending a ready signal to the receipt
 of a rollback or commit order.
- Table 39 specifies the states and events that occur in a CCRPM from receipt of a ready signal to the ordering of commitment or rollback.
- Table 40 specifies the states and events that occur in a CCRPM following a rollback or cancel order.
- Table 41 specifies the states and events that occur in a CCRPM following a commit order.
- Table 42 specifies the states and events that occur in a CCRPM during the no-change completion procedure.
- Table 43 specifies the states and events that occur in a CCRPM during the recovery procedure.

8.2 Incoming events

- **8.2.1** The types of incoming events specified in Table 31 are:
 - a) the occurrence of a CCR service primitive request; or
 - b) the occurrence of a CCR service primitive response; or
 - c) the receipt of a CCR APDU as a presentation data value; or
 - d) the joint occurrence of two CCR service primitive requests; or
 - e) the receipt of two CCR APDUs as presentation data values on the same presentation primitive;
 - f) the receipt of an A-ASSOCIATE confirm with no C-INITIALIZE-RC APDU in its User Data, when the previous A-ASSOCIATE request contained a C-INITIALIZE-RI APDU.

NOTE – This event occurs when an association is being established with an implementation that supports only the CCR kernel functional unit (see Annex C).

8.2.2 Clause 10 specifies the allowed sequences of concatenated CCR APDUs that may be sent in a single presentation primitive. The joint occurrence of allowed CCR service primitives or the receipt of allowed concatenated APDUs not shown as an incoming event in Table 31 is treated as the consecutive occurrence of individual incoming events.

8.3 Outgoing events

The types of outgoing events specified in Table 32 are:

- a) the occurrence of a CCR service primitive indication; or
- b) the occurrence of a CCR service primitive confirm; or
- c) a CCR APDU as a presentation data value being sent; or
- d) the joint occurrence of two CCR service primitive indications; or
- e) the sending of two CCR APDUs as presentation data values on the same presentation primitive.

8.4 Specific actions

The specific actions specified in Table 33 are performed internally by the CCRPM. They specify the values to be assigned to the variables specified in 8.7. The actions also state when the atomic action branch is completed.

8.5 Predicates

A predicate is an expression that has either a "true" or "false" value. The CCRPM predicates specified in Table 34 include the following:

- a) whether or not atomic action data for a particular atomic action branch is accessible in stable storage;
- b) the possession of the minor sync tokens;
- c) whether particular CCR functional units have been selected on the association.

Predicates determine what outgoing events, specific actions and resultant states apply for particular incoming events and state combinations. If all applicable predicates are false, the incoming event is invalid.

8.6 Enablements

Enablements permit changes data related to an atomic action. An enablement is required in order for changes to be made to:

- a) atomic action data; or
- b) bound data.

An enablement does not require that changes be made. However, some of the predicates defined in Table 37 test whether changes allowed by particular enablements have been made. Enablements for the CCRPM are defined in Table 35 and have names of the form e*N, where * represents the letter a or b and indicates whether the enablement concerns atomic action data or bound data, and N is an identifying integer.

8.7 Variables

The following variables are specified for the CCRPM:

- a) *Current-Branch*;
- b) Next-Branch.

At any time, each of the *Current-Branch* and *Next-Branch* variables contains either a value of "null" or a value that identifies a particular branch of an atomic action. This value consists of an atomic action identifier plus a branch identifier.

In this subclause, the branch that is identified by the Current-Branch variable is called the current branch.

The Next-Branch variable is used to hold a value which can subsequently be assigned to the Current-Branch variable.

8.8 Notation

The following notation is used in the CCR State Table (see Tables 36 to 43).

- The CCRPM states specified in Table 30 are represented by the notation "Zn", where Z is an upper-case letter and n is null or an integer. The letter indicates the general state reached in the progress of the branch. In the labels for the columns of the state table, each state is also identified by an abbreviated indication of the immediately previous events. These abbreviations are informative only.
- Incoming events are represented by the names assigned in Table 31.
- Specific actions are represented by the notation "[n]", where n is the action number assigned in Table 32.
- Predicates are represented by the notation "p*", "pf..." as assigned in Table 33, where n is an integer and
 ... is a mnemonic.
- The Boolean operator AND is represented by the notation "&".
- The Boolean operator OR is represented by the notation "|".
- The Boolean operator NOT is represented by the notation "~".
- Enablements are represented by the notation "ean" or "ebn" as assigned in Table 34, where n is an integer. Where more than one enablement of the same kind is shown for a CCRPM state, both apply.
- The outgoing events are represented by the identifier assigned for the event in Table 35.

The informative abbreviations that summarize the previous events in the column headings for each state generally use the following conventions:

- three-letter abbreviations refer to CCR primitives and -RI APDUs;
- the character ">" following such an abbreviation refers to the sending of the CCR APDU;
- the character "<" preceding a three-letter abbreviation refers to the issue of the indication;
- the character "*" following a three-letter abbreviation refers to the completion of the corresponding procedure.

8.9 Conventions

8.9.1 In the CCR State Tables, the intersection of an incoming event (row) and a state (column) forms a cell. A blank cell represents an event/state combination that is not defined for the CCRPM (see 8.10.2).

8.9.2 A non-blank cell represents an event/state combination that is defined for the CCRPM. Such a cell has an entry which contains one or more action lists, each consisting of :

- a) a predicate expression (optional);
- b) a specific action (optional);
- c) an outgoing event (optional); and
- d) a resultant state.

8.9.3 If the intersection of an incoming event and the column "Predicate" is blank, there is no predicate for the event. If a predicate expression is shown in the "Predicate" cell for the event, it is to be read as if the predicate was present at the head of every action list in every non-empty cell for the row, linked to predicates in a particular cell by the AND Boolean operator.

8.9.4 If the intersection of the "Enablements" row and a state column is blank, no specific enablement exists for that state. If an enablement is shown, the enablement applies when the CCRPM is in that state.

8.10 Actions to be taken by the CCRPM

The CCR State Table defines the actions to be taken by the CCRPM.

8.10.1 General

8.10.1.1 The CCRPM is initialized in its state "S0" when the association establishment procedure begins.

The Current-Branch and Next-Branch variables are set to "null".

8.10.1.2 The state of the CCRPM is only changed as specified in 8.10.2 and 8.10.3. When the association is normally or abnormally released, the CCRPM ceases to exist.

8.10.2 Invalid intersections

Blank cells in the CCRPM tables indicate an invalid intersection of an incoming event and state. If such an intersection occurs, one of the following actions is taken:

- a) If the incoming event corresponds to the receipt of one or more CCR service primitives from the CCR service-user, any action taken by the CCRPM is not determined by this Protocol Specification. However, the CCRPM shall not send invalid protocol (i.e. one or more CCR APDUs) to its peer.
- b) If the incoming event corresponds to the receipt of one or more CCR APDUs from the peer CCRPM, the CCRPM shall:
 - 1) issue a C-P-ERROR indication; and
 - 2) change its state to X.

NOTE - No further CCRPM events can take place. However, if the association is released or aborted, the CCRPM will cease to exist.

8.10.3 Valid intersections

Non-blank cells in the CCRPM tables indicate a valid intersection of an incoming event and state. If such an intersection occurs and:

- a) the predicate expression (if any) under the Predicate column for the row corresponding to the incoming event is true; and
- b) the predicate expression (if any) at the head of (one of) the action list(s) in the cell is true,

the following actions are taken:

- c) the CCRPM performs the specific action or actions (if any) in the action list;
- d) the specified output event or events (if any) in the action list are performed;
- e) the state of the CCRPM is changed to the resultant state specified in the action list.

If the predicate expression in the Predicate column is false, the CCRPM follows the procedure for an invalid intersection as specified in 8.10.2.

NOTE - Where a cell contains multiple actions lists, it will be found that the predicate expressions are complementary.

8.11 Changes to atomic action data

8.11.1 Atomic action data for a particular atomic action branch is not made accessible in stable storage unless a CCRPM recovering the branch is in a state for which an enablement in Table 34 permits the change.

- **8.11.2** Atomic action data for a particular branch of an atomic action remains accessible in stable storage unless:
 - a) a CCRPM is in a state for which the enablement permits the change; or
 - b) a CCRPM has performed the specific action in Table 32 of determining that the atomic action branch is completed.

8.12 Changes to bound data

- **8.12.1** Bound data can be manipulated in the following ways:
 - a) changes to produce the final state of the bound data through the normal progression of the atomic action; or
 - b) release of all data in the initial state as the result of a rollback decision; or
 - c) release of all data in the final state as the result of a commitment decision.
- **8.12.2** Changes to produce the final state of bound data through the normal progression of the atomic action require that:
 - a) a CCRPM is in a state for which an enablement permits the change; and
 - b) atomic action data does not reflect a ready decision; and
 - c) atomic action data does not reflect a commit decision.
- 8.12.3 Release of all data in the initial state as the result of a rollback decision requires that:
 - a) a CCRPM is in a state for which an enablement permits the release; and
 - b) atomic action data does not reflect a commit decision.
- **8.12.4** Release of all data in the final state as the result of a commitment decision requires that:
 - a) a CCRPM is in a state for which an enablement permits the release; and
 - b) atomic action data reflects either a ready or a commit decision.

| Table 30 – CCRPM States | |
|-------------------------|--|
|-------------------------|--|

| Name | Abbreviation | Description |
|------------|---|---|
| A1 | bgn> | C-BEGIN-RI sent |
| A13 | bgn> <bga (stat)<="" td=""><td>With static commitment, initiator has completed begin branch procedure.</td></bga> | With static commitment, initiator has completed begin branch procedure. |
| A2 | bgn | C-BEGIN ind issued |
| A23 | <bgn bga=""> (stat)</bgn> | With static commitment, responder has completed begin branch procedure. |
| A3 | bgn* | With dynamic commitment, begin branch procedure completed. |
| A4 | bgn> prp> | C-BEGIN-RI and C-PREPARE-RI sent |
| A5 | bgn* prp> | Begin branch procedure completed and C-PREPARE-RI sent |
| A6 | <bgn <prp<="" td=""><td>C-BEGIN ind and C-PREPARE ind issued</td></bgn> | C-BEGIN ind and C-PREPARE ind issued |
| A7 | bgn* <prp< td=""><td>Begin branch procedure completed and C-PREPARE ind issued</td></prp<> | Begin branch procedure completed and C-PREPARE ind issued |
| A8 | prp> <prp< td=""><td>C-PREPARE ind issued and C-PREPARE-RI sent</td></prp<> | C-PREPARE ind issued and C-PREPARE-RI sent |
| B1 | bgn>rdy> | C-BEGIN-RI and C-READY-RI sent |
| B2 | bgn> prp> rdy> | C-BEGIN-RI, C-PREPARE-RI and C-READY-RI sent |
| B3 | bgn* rdy> | Begin branch procedure completed, C-READY-RI sent. |
| B4 | bgn* prp> rdy> | Begin branch procedure completed, C-PREPARE-RI and C-READY-RI sent. |
| B5 | <prp rdy=""></prp> | C-PREPARE ind issued and C-READY-RI sent |
| B6 | <prp prp=""> rdy></prp> | C-READY-RI sent and C-PREPARE collision |
| C1 | <rdy< td=""><td>C-READY-RI received</td></rdy<> | C-READY-RI received |
| D1 | rdy> <rdy< td=""><td>C-READY-RI sent and received</td></rdy<> | C-READY-RI sent and received |
| E1 | <cmt< td=""><td>C-COMMIT ind issued</td></cmt<> | C-COMMIT ind issued |
| E2 | <cmtbg< td=""><td>C-COMMIT ind with C-BEGIN ind issued</td></cmtbg<> | C-COMMIT ind with C-BEGIN ind issued |
| F1 | rbk> | C-ROLLBACK-RI sent |
| F2 | <rbk< td=""><td>C-ROLLBACK ind issued</td></rbk<> | C-ROLLBACK ind issued |
| F3 | <rdy rbk=""></rdy> | C-READY-RI received and C-ROLLBACK-RI sent |
| G1 | cmt> | C-COMMIT-RI sent |
| G2 | cmtbg> | C-COMMIT-RI with C-BEGIN-RI sent |
| Ι | idle | No action in progress |
| J1 | bgn> nch> | C-BEGIN-RI and C-NOCHANGE-RI sent |
| J2 | bgn* nch> | Begin branch procedure completed and C-NOCHANGE-RI sent |
| J3 | nch> <prp< td=""><td>C-NOCHANGE-RI sent and C-PREPARE-RI received</td></prp<> | C-NOCHANGE-RI sent and C-PREPARE-RI received |
| J4 | nch> <rdy< td=""><td>C-NOCHANGE-RI sent and C-READY-RI received</td></rdy<> | C-NOCHANGE-RI sent and C-READY-RI received |
| K1 | <nch< td=""><td>C-NOCHANGE ind issued</td></nch<> | C-NOCHANGE ind issued |
| M1 | can> | C-CANCEL-RI issued |
| M2 | <can< td=""><td>C-CANCEL ind issued</td></can<> | C-CANCEL ind issued |
| R1 | Rcmt> | C-RECOVER(commit)-RI sent |
| R2 | <rrdy< td=""><td>C-RECOVER(ready)-RI received</td></rrdy<> | C-RECOVER(ready)-RI received |
| R3 | Rrdy> | C-RECOVER(ready)-RI sent |
| R4 | <rcmt< td=""><td>C-RECOVER(commit)-RI received</td></rcmt<> | C-RECOVER(commit)-RI received |
| SO | void | No association, CCRPM void |
| S 1 | ini> | C-INITIALIZE-RI sent |
| S2 | <ini< td=""><td>C-INITIALIZE ind issued</td></ini<> | C-INITIALIZE ind issued |
| Х | error | Protocol error has been detected. |

| Name | Source | Description |
|---------------------|--------------------------|---|
| BEGIN-RI | CCR-peer | C-BEGIN-RI APDU received by accepting CCRPM |
| BEGIN-RC | CCR-peer | C-BEGIN-RC APDU received by requesting CCRPM |
| BEGINreq | CCR-user | C-BEGIN request primitive issued by requestor |
| BEGINrsp | CCR-user | C-BEGIN primitive issued by acceptor |
| CMT+BGN-RI | CCR user | C-COMMIT-RI+ C-BEGIN-RI concatenated APDU received by accepting CCRPM |
| CMT+BGNreq | CCR-user | C-COMMIT request primitive with a C-BEGIN request primitive issued by requestor |
| COMMIT-RC | CCR-peer | C-COMMIT-RC APDU received by requesting CCRPM |
| COMMIT-RI | CCR-peer | C-COMMIT-RI APDU received by accepting CCRPM |
| COMMITreq | CCR-user | C-COMMIT request primitive issued by requestor |
| COMMITrsp | CCR-user | C-COMMIT primitive issued by acceptor |
| DISRUPT | ACSE provider or user | (provider) A-ABORT indication or A-P-ABORT indication received; (user) A-ABORT request. |
| INIT-RI | CCR-peer | C-INITIALIZE-RI APDU received by accepting CCRPM |
| INIT-RC | CCR-peer | C-INITIALIZE-RC APDU received by requesting CCRPM |
| INITreq | CCR-su | C-INITIALIZE request primitive issued by requestor |
| INITrsp | CCR-su | C-INITIALIZE primitive issued by acceptor |
| NOCHANGE-RC | CCR-peer | C-NOCHANGE-RC APDU received by requesting CCRPM |
| NOCHANGE-RI | CCR-peer | C-NOCHANGE-RI APDU received by accepting CCRPM |
| NOCHANGEreq | CCR-user | C-NOCHANGE request primitive issued by requestor |
| NOCHANGErsp | CCR-user | C-NOCHANGE response primitive issued by acceptor |
| PREPARE-RI | CCR-peer | C-PREPARE-RI APDU received by accepting CCRPM |
| PREPAREreq | CCR-user | C-PREPARE request primitive issued by requestor |
| RCV-RC(done) | CCR-peer | C-RECOVER-RC(done) APDU with recovery-state = "done" received by requesting CCRPM |
| RCV-RC(retry-later) | CCR-peer | C-RECOVER-RC(retry-later) APDU with recovery-state = "retry-later" received by requesting CCRPM |
| RCV-RI(ready) | CCR-peer | C-RECOVER-RI(ready) APDU with recovery-state = "ready" received by accepting CCRPM |
| RCV-RI(commit) | CCR-peer | C-RECOVER-RI(commit) APDU with recovery-state = "commit" received by accepting CCRPM |
| RCV-RC(unknown) | CCR-peer | C-RECOVER-RC(unknown) APDU with recovery-state = "unknown" received by requesting CCRPM |
| RCV(commit)req | CCR-user | C-RECOVER(commit) request primitive with Recovery State = "commit" issued by requestor |
| RCV(done)rsp | CCR-user | C-RECOVER(done) primitive with Recovery State = "done" issued by acceptor |
| RCV(ready)req | CCR-user | C-RECOVER(ready) request primitive with Recovery State = "ready" issued by requestor |
| RCV(retry-later)rsp | CCR-user | C-RECOVER(retry-later) primitive with Recovery State = "retry-later" issued by acceptor |
| RCV(unknown)rsp | CCR-user | C-RECOVER(unknown) primitive with Recovery State = "unknown" issued by acceptor |
| READY-RI | CCR-peer | C-READY-RI APDU received by accepting CCRPM |
| READYreq | CCR-user | C-READY request primitive issued by requestor |
| ROLLBACK-RC | CCR-peer | C-ROLLBACK-RC APDU received by requesting CCRPM |
| ROLLBACK-RI | CCR-peer | C-ROLLBACK-RI APDU received by accepting CCRPM |
| ROLLBACKreq | CCR-user | C-ROLLBACK request primitive issued by requestor |
| ROLLBACKrsp | CCR-user | C-ROLLBACK primitive issued by acceptor |

Table 31 – Incoming events

| Action | Description |
|--------|--|
| 1 | <i>Current-Branch</i> variable is set to the atomic action branch identified by the Atomic Action Identifier and Branch Identifier on the C-BEGIN request. |
| 2 | The current branch is completed. Current-Branch variable is set to "null". |
| 3 | <i>Next-Branch</i> variable is set to the atomic action branch identified by the Atomic Action Identifier and Branch Identifier on the C-BEGIN request. |
| 4 | The current branch is completed. <i>Current-Branch</i> variable is set to the value of <i>Next-Branch</i> variable. <i>Next-Branch</i> variable is set to "null". |
| 5 | <i>Current-Branch</i> variable is set to the atomic action branch identified by the Atomic Action Identifier and Branch Identifier on the C-BEGIN-RI APDU. |
| 6 | <i>Next-Branch</i> variable is set to the atomic action branch identified by the Atomic Action Identifier and Branch Identifier on the C-BEGIN-RI APDU. |
| 8 | The <i>Current-Branch</i> variable is set to the atomic action branch identified by the Atomic Action Identifier and Branch Identifier on the C-RECOVER primitive or APDU. |
| 9 | Current-Branch variable is set to "null". |

Table 32 – Actions

| Predicate | Description |
|-----------|--|
| p1 | Atomic action data for the commit-superior of the current branch is accessible in stable storage and either the atomic action data reflects a commit decision or the CCR service-user has been ordered to commit by its commit-superior on another branch. |
| p2 | Either p4 is true or the CCR service-user has been ordered to rollback by its commit-superior on another branch. |
| p3 | Atomic action data for the commit-subordinate of the current branch is accessible in stable storage. |
| p4 | No atomic action data for the current branch is accessible in stable storage. |
| p7 | The minor synchronize token is in the possession of the requestor. |
| р9 | The atomic action branch identified by the Atomic Action Identifier and Branch Identifier on the C-RECOVER request primitive or C-RECOVER-RI APDU is the branch identified by the <i>Current-Branch</i> variable. |
| pdy | The dynamic commitment functional unit is selected. |
| pnc | The no-change functional unit is selected. |
| pcn | The cancel functional unit is selected. |
| prcl | The Ready-collision-reservation field on whichever of C-INITIALIZE-RI or C-INITIALIZE-RC was sent had the value "true" or was absent. |
| | NOTE 1 – An implementation can ensure that prcl is always true if it never issues a C-INITIALIZE request or response with the Ready-collision-reservation parameter set to "false". |
| prcr | The Ready-collision-reservation field on whichever of C-INITIALIZE-RI or C-INITIALIZE-RC was received had the value "true" or was absent. |
| | NOTE 2 – A referencing specification can ensure that prcr is always true if the implementation is only used to support a referencing specification which requires that a C-INITIALIZE request or response is never issued with the Ready-collision-reservation parameter set to "false". |

Table 33 – Predicates

| Table 34 – | Enablements |
|------------|-------------|
|------------|-------------|

| Code | Description |
|------|---|
| ea1 | Atomic action data reflecting a commit decision or the receipt of a ready signal on of the current branch can be made accessible in stable storage. |
| ea2 | Atomic action data reflecting a ready signal one of the current branch can be made accessible in stable storage. |
| ea3 | Atomic action data reflecting a ready signal of the current branch can cease to be accessible in stable storage. |
| ea4 | ea1 AND IF prcl THEN ea3 |
| eb5 | Bound data can be changed to produce the final state. |
| eb6 | Bound data can be released in the initial state as part of the normal rollback procedures. |
| eb7 | Bound data can be released in the final state as part of the normal commitment procedures. |
| eb8 | Bound data can be released as part of a heuristic decision. |

Table 35 – Outgoing events

| Code | Description |
|--------|---|
| sbga | Issue C-BEGIN cnf. |
| sbgn | Issue C-BEGIN ind. |
| scan | Issue C-CANCEL ind. |
| scma | Issue C-COMMIT cnf. |
| scmt | Issue C-COMMIT ind. |
| scmtbg | Issue C-COMMIT ind with C-BEGIN ind. |
| serr | Issue C-P-ERROR ind. |
| sina | Issue C-INITIALIZE cnf. |
| sini | Issue C-INITIALIZE ind. |
| snca | Issue C-NOCHANGE cnf. |
| snci | Issue C-NOCHANGE ind. |
| sncc | Issue C-NOCHANGE cnf with Outcome parameter "nochange". |
| sprp | Issue C-PREPARE ind. |
| srba | Issue C-ROLLBACK cnf. |
| srbk | Issue C-ROLLBACK ind. |
| srca | Issue C-RECOVER cnf. |
| srcv | Issue C-RECOVER ind. |
| srdy | Issue C-READY ind. |
| tbga | Send C-BEGIN-RC APDU. |
| tbgn | Send C-BEGIN-RI APDU. |
| tcan | Send C-CANCEL-RI APDU. |
| tcma | Send C-COMMIT-RC APDU. |
| temt | Send C-COMMIT-RI APDU. |
| temtbg | Send C-COMMIT-RI APDU and C-BEGIN-RI APDU on the same presentation primitive. |
| tina | Send C-INITIALIZE-RC APDU. |
| tini | Send C-INITIALIZE-RI APDU. |
| tnca | Send C-NOCHANGE-RC APDU. |
| tnci | Send C-NOCHANGE-RI APDU. |
| tprp | Send C-PREPARE-RI APDU. |
| trba | Send C-ROLLBACK-RC APDU. |
| trbk | Send C-ROLLBACK-RI APDU. |
| trca | Send C-RECOVER-RC APDU. |
| trev | Send C-RECOVER-RI APDU. |
| trdy | Send C-READY-RI APDU. |

| | | Preceding state | | | | | |
|---|-----------|-----------------|-----------|--|-------------------------|-------|--|
| Incoming event | Predicate | SO | S1 | S2 | Ι | X | |
| | | void | ini> | <ini< td=""><td>idle</td><td>error</td></ini<> | idle | error | |
| INITreq | | tini S1 | | | | | |
| INIT-RI | | sini S2 | | | | | |
| INITrsp | | | sina I | | | | |
| INIT-RC | | | | tina I | | | |
| BEGINreq | p7 | | | | [1] tbgn A1 | | |
| BEGIN-RI | | | | | [5] sbgn A2 | | |
| RCV(commit)req | | | | | p7 [8] trcv R1 | | |
| RCV(ready)req | | | | | p7 [8] trcv R3 | | |
| RCV-RI(commit) | | | | | [8] srcv R4 | | |
| RCV-RI(ready) | | | | | [8] srcv R2 | | |
| DISRUPT | | S0 | S0 | S0 | S0 | S0 | |
| Enablements atomic action data bound data | | | | | | | |

Table 36 – CCRPM State Table – Initialisation, idle and error

| | | Preceding state | | | | | | | | | |
|---|-----------|---------------------|---|---------------------------------|-------------------------------------|------------|-------------------|-------------------|---|---|-----------------------------|
| T | Durdinate | A1 | A2 | A13 | A23 | A3 | A4 | A5 | A6 | A7 | A8 |
| Incoming event | Predicate | bgn> | <bgn< td=""><td>bgn> <bga (stat)</bga </td><td><bgn bga> (stat)</bgn </td><td>bgn*</td><td>bgn> prp></td><td>bgn* prp></td><td><bgn <prp< td=""><td>bgn* <prp< td=""><td>prp> <prp< td=""></prp<></td></prp<></td></prp<></bgn </td></bgn<> | bgn> <bga (stat)</bga | <bgn bga> (stat)</bgn | bgn* | bgn> prp> | bgn* prp> | <bgn <prp< td=""><td>bgn* <prp< td=""><td>prp> <prp< td=""></prp<></td></prp<></td></prp<></bgn | bgn* <prp< td=""><td>prp> <prp< td=""></prp<></td></prp<> | prp> <prp< td=""></prp<> |
| BEGINrsp | | | pdy tbga A3 | | | | | | tbga A7 | | |
| | | | ~pdy tbga A23 | | | | | | | | |
| BEGIN-RC | | pdy sbga A3 | | | | | sbga A5 | | | | |
| | | ~pdy sbga A13 | | | | | | | | | |
| PREPAREreq | | tprp A4 | pdy tprp A5 | tprp A5 | | tprp A5 | | | pdy tprp A8 | pdy tprp A8 | |
| PREPARE-RI | | pdy sprp A7 | sprp A6 | | sprp A7 | sprp A7 | pdy sprp A8 | pdy sprp A8 | | | |
| READYreq | р3 | pdy trdy B1 | trdy B3 | | trdy B3 | trdy B3 | pdy trdy B2 | pdy trdy B4 | trdy B5 | trdy B5 | trdy B6 |
| READY-RI | | srdy C1 | pdy srdy C1 | srdy C1 | | srdy C1 | srdy C1 | srdy C1 | pdy srdy C1 | pdy srdy C1 | srdy C1 |
| ROLLBACKreq | p2 | trbk F1 | trbk F1 | trbk F1 | trbk F1 | trbk F1 | trbk F1 | trbk F1 | trbk F1 | trbk F1 | trbk F1 |
| ROLLBACK-RI | | srbk F2 | srbk F2 | srbk F2 | srbk F2 | srbk F2 | srbk F2 | srbk F2 | srbk F2 | srbk F2 | srbk F2 |
| CANCELreq | p2&pcn | tcan M1 | tcan M1 | tcan M1 | tcan M1 | tcan M1 | tcan M1 | tcan M1 | tcan M1 | tcan M1 | tcan M1 |
| CANCEL-RI | pcn | scan M2 | scan M2 | scan M2 | scan M2 | scan M2 | scan M2 | scan M2 | scan M2 | scan M2 | scan M2 |
| NOCHANGEreq | pnc&p4 | tnci J1 | tnci J2 | tnci J2 | tnci J2 | tnci J2 | tnci J1 | tnci J2 | tnci J3 | tnci J3 | tnci J3 |
| NOCHANGE-RI | pnc | snci K1 | snci K1 | snci K1 | snci K1 | snci K1 | snci K1 | snci K1 | snci K1 | snci K1 | snci K1 |
| DISRUPT | | S0 | S0 | S 0 | S0 | S 0 | S 0 | S0 | S0 | S0 | S0 |
| Enablements atomic action data bound data | | ea2 eb5 | ea2 eb5 | ea2 eb5 | ea2 eb5 | ea2 eb5 | ea2 eb5 | ea2 eb5 | ea2 eb5 | ea2 eb5 | ea2 eb5 |

Table 37 – CCRPM State Table – Phase I

| | | Preceding state | | | | | | |
|---|-----------|---------------------|----------------------|---------------------|----------------------|--------------------------|--------------------------------------|--|
| Incoming event | Predicate | B1 | B2 | B3 | B4 | В5 | B6 | |
| incoming event | Tredicate | bgn> rdy> | bgn> prp> rdy> | bgn* rdy> | bgn* prp> rdy> | <prp rdy></prp | <prp prp> rdy></prp | |
| BEGIN-RC | | B3 | B4 | | | | | |
| PREPARE-RI | | sprp B5 | pdy sprp B6 | sprp B5 | pdy sprp B6 | | | |
| READY-RI | | pdy srdy D1 | srdy D1 | pdy srdy D1 | srdy D1 | pdy srdy D1 | srdy D1 | |
| ROLLBACK-RI | | srbk F2 | srbk F2 | srbk F2 | srbk F2 | srbk F2 | srbk F2 | |
| CANCEL-RI | pcn | scan M2 | scan M2 | scan M2 | scan M2 | scan M2 | scan M2 | |
| COMMIT-RI | | scmt E1 | scmt E1 | scmt E1 | scmt E1 | scmt E1 | scmt E1 | |
| CMT+BGN-RI | | [6] scmtbg E2 | [6] scmtbg E2 | [6] scmtbg E2 | [6] scmtbg E2 | [6] scmtbg E2 | [6] scmtbg E2 | |
| NOCHANGE-RI | pnc | snci K1 | snci K1 | snci K1 | snci K1 | snci K1 | snci K1 | |
| DISRUPT | | S0 | S0 | S0 | S0 | S0 | S0 | |
| Enablements atomic action data bound data | | eb8 | eb8 | eb8 | eb8 | eb8 | eb8 | |

Table 38 – CCRPM State Table – After sending a ready signal

| | | Preceding state | | | |
|---|-----------|---|-----------------------------|--|--|
| Incoming event | Predicate | C1 | D1 | | |
| | | <rdy< td=""><td>rdy> <rdy< td=""></rdy<></td></rdy<> | rdy> <rdy< td=""></rdy<> | | |
| ROLLBACKreq | p2 | trbk F3 | prcl trbk F3 | | |
| ROLLBACKreq | | | prcr srbk F2 | | |
| CANCELreq | p2&pcn | tcan M1 | | | |
| COMMITreq | p1 & p7 | tcmt G1 | tcmt G1 | | |
| COMMIT-RI | | | scmt E1 | | |
| CMT+BGNreq | p1 & p7 | [3] tcmtbg G2 | [3] tcmtbg G2 | | |
| CMT+BGN-RI | | | [6] scmtbg E2 | | |
| NOCHANGEreq | pnc&p4 | tnci J4 | | | |
| DISRUPT | | S0 | S0 | | |
| Enablements atomic action data bound data | | eal | ea4 eb8 | | |

 Table 39 – CCRPM State Table – After receiving a ready signal

| | | Preceding state | | | | |
|---|-----------|-----------------|--|------------------|--|--------------------------|
| Incoming event | Predicate | M1 | M2 | F1 | F2 | F3 |
| | | can> | <can< td=""><td>rbk></td><td><rbk< td=""><td><rdy rbk></rdy </td></rbk<></td></can<> | rbk> | <rbk< td=""><td><rdy rbk></rdy </td></rbk<> | <rdy rbk></rdy |
| BEGIN-RC | | M1 | | | | |
| PREPARE-RI | | M1 | | | | |
| READY-RI | | M1 | | | | |
| ROLLBACKreq | p2 | trbk F1 | trbk F1 | | | |
| ROLLBACK-RI | | srbk F2 | srbk F2 | srbk F2 | | |
| ROLLBACKrsp | p4 | | | | [2] trba I | |
| ROLLBACK-RC | | | | [2] srba I | | [2] srba I |
| CANCEL-RI | pcn | scan M2 | | | | |
| NOCHANGE-RI | pnc | M1 | | | | |
| DISRUPT | | S0 | S0 | S0 | S0 | S0 |
| Enablements atomic action data bound data | | | | | ea3 eb6 | |

 Table 40 – CCRPM State Table – After cancel or rollback

Table 41 – CCRPM State Table – After commit order

| | | Preceding state | | | |
|---|-----------|---|---|------------------|-------------------|
| Incoming event | Predicate | E1 | E2 | G1 | G2 |
| | | <cmt< td=""><td><cmtbg< td=""><td>cmt></td><td>cmtbg></td></cmtbg<></td></cmt<> | <cmtbg< td=""><td>cmt></td><td>cmtbg></td></cmtbg<> | cmt> | cmtbg> |
| COMMITrsp | p4 | [2] tcma I | [4] tcma A2 | | |
| COMMIT-RC | | | | [2] scma I | [4] scma A1 |
| DISRUPT | | S0 | S0 | S 0 | S 0 |
| Enablements atomic action data bound data | | ea3 eb7 | ea3 eb7 | | |

| | | Preceding state | | | | |
|---|-----------|-------------------|-------------------|---|---|-------------------------|
| Incoming event | Predicate | J1 | J2 | J3 | J4 | K1 |
| | | bgn> nch> | bgn* nch> | nch> <prp< td=""><td>nch> <rdy< td=""><td><nch< td=""></nch<></td></rdy<></td></prp<> | nch> <rdy< td=""><td><nch< td=""></nch<></td></rdy<> | <nch< td=""></nch<> |
| BEGINreq | р7 | | | | | [1] tbgn A1 |
| BEGIN-RI | | [5] sbgn A2 | [5] sbgn A2 | [5] sbgn A2 | [5] sbgn A2 | |
| BEGIN-RC | | J2 | | | | |
| PREPARE-RI | | J3 | J3 | | | |
| READY-RI | | J4 | J4 | J4 | | |
| ROLLBACKreq | p2 | | | | | trbk F1 |
| ROLLBACK-RI | | srbk F2 | srbk F2 | srbk F2 | | |
| CANCEL-RI | pcn | scan M2 | scan M2 | scan M2 | | |
| NOCHANGE-RI | pnc | sncc I | sncc I | sncc I | sncc I | |
| NOCHANGErsp | | | | | | [2] tnca I |
| NOCHANGE-RC | | [2] snca I | [2] snca I | [2] snca I | [2] snca I | |
| RCV(commit)req | | | | | | p7 [8] trcv R1 |
| RCV(ready)req | | | | | | p7 [8] trcv R4 |
| RCV-RI (commit) | | [8] srcv R3 | [8] srcv R3 | [8] srcv R3 | [8] srcv R3 | |
| RCV-RI (ready) | | [8] srcv R2 | [8] srcv R2 | [8] srcv R2 | [8] srcv R2 | |
| DISRUPT | | S0 | S0 | S 0 | S 0 | S 0 |
| Enablements atomic action data bound data | | | | | | ea3 eb6 eb7 |

Table 42 – CCRPM State Table – No-change completion

| | | Preceding state | | | |
|---|-----------|------------------|--|------------------|-----------------------|
| Incoming event | Predicate | R1 | R2 | R3 | R4 |
| | | Rcmt> | <rrdy< td=""><td>Rrdy></td><td><rcmt< td=""></rcmt<></td></rrdy<> | Rrdy> | <rcmt< td=""></rcmt<> |
| RCV(commit)req | p1 | | p9 trev R1 | | |
| RCV(commit)-RI | | | | p9 srcv R4 | |
| RCV(done)rsp | p4 | | | | [2] srcv I |
| RCV(done)-RC | | [2] trcv I | | | |
| RCV(unknown)rsp | p2 | | [9] trcv I | | |
| RCV(unknown)-RC | | | | [2] srcv I | |
| RCV(retry-later)rsp | | | [9] trcv I | | [9] trcvId |
| RCV(retry-later)-RC | | [9] srcv I | | [9] srcv I | |
| DISRUPT | | S0 | S 0 | S0 | S0 |
| Enablements atomic action data bound data | | | | | ea3 eb7 |

 Table 43 – CCRPM State Table – Completing recovery

9 **Reference mapping to the ACSE and presentation services**

Clauses 7 and 8 specify the behaviour of a CCRPM in relation to CCR input events. Some events result in sending or receiving one or more (concatenated) CCR APDUs. This clause specifies how the presentation-service primitives are used by the CCRPM when this reference mapping is used. Table 44 summarizes the mapping of CCR primitives and their related APDUs to the presentation primitives used.

NOTE - Annex B defines the rules and constraints for specifications of alternative mappings to support communication services.

9.1 Initialize

The initialize procedure uses the A-ASSOCIATE service.

9.1.1 Use of the A-ASSOCIATE parameters

9.1.1.1 User Data: This parameter is used to carry the C-INITIALIZE-RI and C-INITIALIZE-RC APDUs. User Data (if any) on the C-INITIALIZE request and response primitives are included in the APDU and are expressed using one or more presentation contexts specified by the requestor on the C-INITIALIZE primitive.

| CCR primitive or primitive combination | CCR APDU or APDUs | Presentation primitive |
|--|---------------------------------------|---------------------------|
| C-INITIALIZE req/ind | C-INITIALIZE-RI | A-ASSOCIATE req/ind |
| C-INITIALIZE rsp/cnf | C-INITIALIZE-RC | A-ASSOCIATE rsp/cnf |
| C-BEGIN req/ind | C-BEGIN-RI | P-SYNC-MINOR req/ind |
| C-BEGIN rsp/cnf | C-BEGIN-RC | P-SYNC MINOR rsp/cnf |
| C-BEGIN rsp/cnf where C-BEGIN req was given or C-COMMIT req | C-BEGIN-RC | P-TYPED-DATA req/ind |
| C-PREPARE req/ind | C-PREPARE-RI | P-TYPED-DATA req/ind |
| C-READY req/ind | C-READY-RI | P-TYPED-DATA req/ind |
| C-ROLLBACK req/ind | C-ROLLBACK-RI | P-RESYNC(abandon) req/ind |
| C-ROLLBACK rsp/cnf | C-ROLLBACK-RC | P-RESYNC(abandon) rsp/cnf |
| C-COMMIT req/ind | C-COMMIT-RI | P-SYNC-MINOR req/ind |
| C-COMMIT rsp/cnf | C-COMMIT-RC | P-SYNC-MINOR rsp/cnf |
| C-COMMIT req/ind + C-BEGIN req/ind | C-COMMIT-RI followed by C-BEGIN-RI | P-SYNC-MINOR req/ind |
| C-COMMIT rsp/cnf + C-BEGIN rsp/cnf | C-COMMIT-RC followed by C-BEGIN-RC | P-SYNC-MINOR rsp/cnf |
| C-NOCHANGE req/ind | C-NOCHANGE-RI | P-TYPED-DATA req/ind |
| C-NOCHANGE rsp/cnf | C-NOCHANGE-RC | P-TYPED-DATA req/ind |
| C-CANCEL req/ind | C-CANCEL-RI | P-TYPED-DATA req/ind |
| C-RECOVER req/ind | C-RECOVER-RI | P-TYPED-DATA req/ind |
| C-RECOVER rsp/cnf | C-RECOVER-RC | P-TYPED-DATA req/ind |

Table 44 – Mapping overview

9.1.1.2 Presentation context definition list: This shall include at least the abstract syntax "ccr-syntax-apdus-2" (see Annex A).

- 9.1.1.3 Presentation requirements: See 6.2.
- 9.1.1.4 Calling AE qualifier: See 6.2.
- 9.1.1.5 Responding AP title: See 6.2.
- **9.1.1.6 Responding AE qualifier:** See 6.2.
- 9.1.1.7 Session requirements: See 6.2.
- 9.1.1.8 All other parameters: The setting of the other parameters is not specified in this Protocol Specification.

9.2 Begin branch

The begin branch procedure uses the P-SYNC-MINOR service. For the C-BEGIN response primitive, the begin branch procedure can also use the P-TYPED-DATA service.

9.2.1 Use of the P-SYNC-MINOR req/ind parameters

9.2.1.1 Type: This mandatory parameter is set to the value of "optional".

9.2.1.2 Synchronization Point Serial Number: The use of this value is not determined by in this Protocol Specification.

9.2.1.3 Data Separation: This parameter is set TRUE by the CCRPM on the request primitive.

ISO/IEC 9805-1 : 1998 (E)

9.2.1.4 User Data: The User Data parameter is used to carry the C-BEGIN-RI APDU. User Data (if any) on the C-BEGIN request primitive are included in the C-BEGIN-RI APDU and are expressed using one or more presentation contexts specified by the requestor on the C-BEGIN request primitive.

9.2.2 Use of the P-SYNC-MINOR rsp/cnf parameters

9.2.2.1 Synchronization Point Serial Number: This value is identical to that on the preceding P-SYNC-MINOR indication that carried the C-BEGIN-RI.

9.2.2.2 User Data: The User Data parameter is used to carry the C-BEGIN-RC APDU. User Data (if any) on the C-BEGIN response primitive are included in the C-BEGIN-RC APDU and are expressed using one or more presentation contexts specified by the requestor on the C-BEGIN response primitive.

9.2.3 Use of the P-TYPED-DATA req/ind parameter

The User Data parameter is used to carry the C-BEGIN-RC APDU. User Data (if any) on the C-BEGIN response primitive are included in the C-BEGIN-RC APDU and are expressed using one or more presentation contexts specified by the requestor on the C-BEGIN response primitive.

9.3 Prepare

The prepare procedure uses the P-TYPED-DATA service. The User Data parameter is used to carry the C-PREPARE-RI APDU. User Data (if any) on the C-PREPARE request primitive are included in the C-PREPARE-RI APDU and are expressed using one or more presentation contexts specified by the requestor on the C-PREPARE request primitive.

9.4 Signal readiness

The Signal readiness procedure uses the P-TYPED-DATA service. The User Data parameter is used to carry the C-READY-RI APDU. User Data (if any) on the C-READY request primitive are included in the C-READY-RI APDU and are expressed using one or more presentation contexts specified by the requestor on the C-READY request primitive.

9.5 Order commitment

The order commitment procedure uses the P-SYNC-MINOR service.

9.5.1 Use of the P-SYNC-MINOR req/ind parameters

9.5.1.1 Type: This mandatory parameter is set to the value of "explicit" or "optional".

NOTE – It is recommended that the value "explicit" be sent. However, in the earlier editions of this Protocol Specification, no requirement was made for the value, and implementations should accept both values on received indications.

9.5.1.2 Synchronization Point Serial Number: The use of this value is not determined by this Protocol Specification.

9.5.1.3 Data Separation: This parameter is set TRUE by the CCRPM on the request primitive.

9.5.1.4 User Data: The User Data parameter is used to carry the C-COMMIT-RI APDU. User Data (if any) on the C-COMMIT request primitive are included in the C-COMMIT-RI APDU and are expressed using one or more presentation contexts specified by the requestor on the C-COMMIT request primitive.

9.5.2 Use of the P-SYNC-MINOR rsp/cnf parameter

9.5.2.1 Synchronization Point Serial Number: This value is identical to that on the preceding P-SYNC-MINOR indication that carried the C-COMMIT-RI APDU.

9.5.2.2 User Data: The User Data parameter is used to carry the C-COMMIT-RC APDU. User Data (if any) on the C-COMMIT response primitive are included in the C-COMMIT-RC APDU and are expressed using one or more presentation contexts specified by the requestor on the C-COMMIT response primitive.

9.6 Rollback

The rollback procedure uses the P-RESYNCHRONIZE(abandon) service.

9.6.1 Use of the P-RESYNCHRONIZE req/ind parameters

- 9.6.1.1 Resynchronize type: This parameter is set to the value of "abandon".
- 9.6.1.2 Synchronization Point Serial Number: The use of this value is not determined by this Protocol Specification.

9.6.1.3 Tokens: The use of this value is not determined by this Protocol Specification.

9.6.1.4 User Data: The User Data parameter is used to carry the C-ROLLBACK-RI APDU. User Data (if any) on the C-ROLLBACK request primitive are included in the C-ROLLBACK-RI APDU and are expressed using one or more presentation contexts specified by the requestor on the C-ROLLBACK request primitive.

9.6.2 Use of the P-RESYNCHRONIZE rsp/cnf parameters

9.6.2.1 Synchronization Point Serial Number: The use of this value is not determined by this Protocol Specification.

9.6.2.2 User Data: The User Data parameter is used to carry the C-ROLLBACK-RC APDU. User Data (if any) on the C-ROLLBACK response primitive are included in the C-ROLLBACK-RC APDU and are expressed using one or more presentation contexts specified by the requestor on the C-ROLLBACK response primitive.

9.7 No-change completion

The no-change completion procedure uses the P-TYPED-DATA service. The User Data parameter is used to carry the C-NOCHANGE and C-NOCHANGE-RC APDUs. User Data (if any) on the C-NOCHANGE request or response primitive are included in the C-NOCHANGE-RI APDU and are expressed using one or more presentation contexts specified by the requestor on the C-NOCHANGE primitive.

9.8 Cancel

The cancel procedure uses the P-TYPED-DATA service. The User Data parameter is used to carry the C-CANCEL-RI APDU. User Data (if any) on the C-READY request primitive are included in the C-CANCEL-RI APDU and are expressed using one or more presentation contexts specified by the requestor on the C-CANCEL request primitive.

9.9 Branch recovery

The branch recovery uses the P-TYPED-DATA service. The User Data parameter is used to carry both the C-RECOVER-RI and C-RECOVER-RC APDUs. User Data (if any) on the C-RECOVER request or response primitive are included in the APDU and are expressed using one or more presentation contexts specified by the requestor on the C-RECOVER primitive.

9.10 Order commitment and begin branch procedure

The order commitment and begin branch procedure uses the P-SYNC-MINOR service.

9.10.1 Use of P-SYNC-MINOR req/ind parameters

9.10.1.1 Synchronization Point Serial Number: The use of this value is not determined by this Protocol Specification.

9.10.1.2 Data Separation: This parameter is set TRUE by the CCRPM on the request primitive.

9.10.1.3 User Data: This parameter is used to carry the C-COMMIT-RI APDU in one presentation data value and, in a subsequent presentation data value, the C-BEGIN-RI APDU. User data (if any) on the request primitives are included in the corresponding APDU and are expressed using one or more presentation contexts specified by the requestor on the request primitives.

9.10.2 Use of the P-SYNC-MINOR rsp/cnf parameter

The User Data parameter is used to carry the C-COMMIT-RC APDU. If the C-BEGIN response is issued at the same time as the C-COMMIT response, the C-BEGIN-RC APDU is carried in a subsequent presentation data value in the User Data of the P-SYNC-MAJOR rsp/cnf. User Data (if any) on the CCR response primitive(s) are included in the corresponding APDU(s) and are expressed using one or more presentation contexts specified by the responder.

NOTE – If the C-BEGIN response is issued after the C-COMMIT response, the C-BEGIN-RC will be mapped on P-TYPED-DATA req/ind.

10 Concatenations and mappings

This Protocol Specification defines generic rules for concatenation sequences including CCR APDUs. Specific rules for concatenations that are generated by applying constraints inherent to a specific distributed application, shall be defined within a referencing specification which uses the CCR service.

NOTE - These rules may be defined within an application context containing a referencing specification.

10.1 Mapping precedence

10.1.1 This Protocol Specification defines the valid concatenation sequences of CCR-ASE APDUs and their mappings onto the Presentation service. These concatenation sequences do not affect lower layer (e.g. the Session Layer) concatenation.

10.1.2 Alternative mappings to presentation services are sometimes used depending on the APDUs being concatenated. Table 45 determines the Presentation service to be used by a concatenation sequence of APDUs, by giving an order of precedence, such that for any given allowable concatenation sequence, the highest precedence mapping will prevail, with the APDUs in the "user-data" fields in the left (first) to right (last) order.

NOTE – The mapping of C-BEGIN-RC to P-TYPED-DATA is used when C-BEGIN-RI has been concatenated with C-COMMIT-RI, but the C-BEGIN-RC was not sent with the C-COMMIT-RC.

| Precedence | APDU | Presentation Service |
|------------|---|------------------------|
| 1 | C-ROLLBACK | P-RESYNCHRONIZE |
| 2 | C-COMMIT | P-SYNC-MINOR |
| 3 | C-BEGIN | P-SYNC-MINOR |
| 4 | C-PREPARE or C-READY or C-NOCHANGE or C-CANCEL | P-TYPED-DATA or P-DATA |
| 5 | C-BEGIN-RC | P-TYPED-DATA or P-DATA |

Table 45 – Mapping precedence

10.1.3 When a C-PREPARE or C-READY APDU is concatenated with an APDU from some other ASE, and that other ASE specifies that its APDU shall be mapped to P-DATA, the CCR APDU is carried as a presentation data value of P-DATA. If the ASE specifications permit all concatenated APDUs to be mapped to P-TYPED-DATA, P-TYPED-DATA shall be used.

10.2 Allowable concatenations

10.2.1 The sequencing rules of CCR, as specified in clause 8, and the relative sequencing of CCR services and application semantics that affect bound data, as specified in Annex A of ITU-T Rec. X.851 | ISO/IEC 9804 shall apply to the order of the presentation data values in the user-data of any Presentation primitive. That is, the presentation data values, taken in order, shall correspond to a legitimate sequence of the CCR events and user service primitives.

10.2.2 The C-INITIALIZE-RI and C-INITIALIZE-RC APDUs are never concatenated with other CCR APDUs, although they can be concatenated with APDUs from other ASEs.

10.2.3 When the static commitment functional unit is selected and no other functional unit is selected, the following further restrictions apply:

- a) No CCR or other APDUs shall be concatenated after C-PREPARE-RI APDU or C-READY-RI APDU.
- b) The only concatenation including a C-COMMIT-RI APDU shall be a following C-BEGIN-RI APDU.
- c) C-ROLLBACK-RI shall not be concatenated.
- d) Concatenations including C-ROLLBACK-RC APDU shall be limited to one or more following non-CCR APDUs.
- e) The C-RECOVER-RI and C-RECOVER-RC APDUs shall not be concatenated.

NOTE – These restrictions are identical to those in the previous editions of ITU-T Rec. X.851 | ISO/IEC 9804, except that concatenation of C-BEGIN-RI with the C-ROLLBACK APDUs is no longer supported.

11 Precedence

11.1 The aspects of protocol for CCR are specified in several clauses in this Protocol Specification. This clause states the rules of precedence for possible situations where the same aspect may be specified in more than one place in an apparently inconsistent manner. The relevant aspects of protocol specification are:

- a) sequencing rules;
- b) mapping to the presentation-service; and
- c) structure and encoding of CCR APDUs.

11.2 Clauses 7 and 8 of this Protocol Specification specify the elements of procedure which govern the behaviour of the CCRPM. Clause 8 takes precedence over any other part of this Protocol Specification which may state or imply apparently inconsistent sequencing rules.

11.3 Clauses 7, 9 and 10 specify how the presentation service primitives are used by the CCRPM. Clause 7 takes precedence for single APDUs and clause 10 takes precedence for concatenated APDUs over any other part of this Protocol Specification which may state or imply mapping to the presentation-service.

11.4 Clause 7 and Annex A specify the encoding and structure of CCR APDUs. Annex A takes precedence over any other part of this Protocol Specification which may state or imply the encoding or structure of CCR APDUs.

NOTE – Any person encountering an inaccuracy or ambiguity in this Protocol Specification is requested to notify the ITU-TSB without delay in order that the matter may be investigated and appropriate action taken.

12 Conformance

A system which is claimed to implement the procedures specified in this Protocol Specification shall comply with the requirements in 12.1 to 12.5.

12.1 Statement requirements

The following shall be stated by the Implementor:

- a) whether the system is capable of acting in the role of a branch-superior, or a branch-subordinate, or a specified combination of these roles;
- b) that the system supports CCR Protocol Version 2;
- c) which CCR functional units; and
- d) whether atomic action data is visible to human management;
- e) whether provision is made for local management to delete atomic action data;
- f) the values of T1 and N used in the implementation [see 12.4.2 a)] if these are fixed; and
- g) the types of system failure that can be recovered while maintaining atomic action data.

ISO/IEC 9805-1: 1998 (E)

12.2 Static conformance requirements

12.2.1 The Commitment, Concurrency and Recovery Service Element may be used in an application-entity to provide support for atomic actions.

12.2.2 The system shall support CCR Protocol Version 2.

12.2.3 The system shall act in the role of a branch-initiator (by sending a C-BEGIN-RI APDU), or of a branch-responder (by responding properly to a C-BEGIN-RI APDU optionally with a C-BEGIN-RC APDU), or a specified combination of these roles.

12.3 Presentation transfer syntax

An implementation conforming to this Protocol Specification is required to implement at least the Basic Encoding Rules of ASN.1 (see ITU-T Rec. X.690 | ISO/IEC 8825-1) for the data types defined in Annex A, and to offer the resulting transfer syntax "ccr-basic-encoding" in Presentation Layer negotiation for the CCR presentation context. It may also implement and offer other transfer syntaxes for these data types.

12.4 Bound data and atomic action data

12.4.1 Bound data and atomic action data shall not be lost in the event of failure of the communications, the application, or the open system.

12.4.2 The implementation shall not lose atomic action data, except in the circumstances specified below, when such loss is optional:

- a) an attempt has been made by either the CCR service-user to issue a C-RECOVER request primitive for the atomic action on at least N occasions separated by at least a time T1 [see also 12.1, item e)], without response; or
- b) local site management determines that atomic action data for a specified atomic action should be destroyed [see 12.1, item d)].

NOTE 1 - It is recognized that random failures of equipment can occur, causing unpredictable loss of atomic action data.

NOTE 2 – Destruction of atomic action data causes a catastrophic failure of the CCR service and should not be applied as a routine operation.

12.5 Dynamic conformance requirements

The system shall:

- a) follow all the procedures specified in clauses 7 and 8 for the functional units supported; and
- b) support the mapping onto the Presentation Service defined in clauses 9 and 10.

This Protocol Specification places no dynamic conformance requirements on the behaviour of an implementation on receipt of a CCR APDU mapped to a Presentation Service other than those specified in b).

NOTE - A Referencing Specification may place specific requirements on the behaviour of an implementation in such a case.

Annex A

Definition of CCR data types

(This annex forms an integral part of this Recommendation | International Standard)

The CCR data types are defined in this annex using the ASN.1 notation specified in ITU-T Rec. X.680 | ISO/IEC 8824-1 and ITU-T Rec. X.680/Amd. 1 | ISO/IEC 8824-1/Amd. 1 (including changes applied by Technical Corrigendum 1 to ITU-T Rec. X.680/Amd. 1 | ISO/IEC 8824-1/Amd. 1). They are used as specified in clauses 7 and 8. The set of values of these CCR APDUs defines the abstract syntax of the CCR presentation context. The transfer syntax for these CCR APDUs is defined in the presentation context negotiation for a particular presentation-connection.

A.1 Information object names

A.1.1 This Protocol Specification assigns the ASN.1 object identifier value **joint-CCR** defined in A.2 to identify the procedures and shared semantics specified in this Protocol Specification.

A.1.2 This Protocol Specification assigns the ASN.1 object identifier value **ccr-syntax-apdus-2** defined in A.2 as an abstract syntax name for the set of presentation data values, each of which is a value of the ASN.1 type **CCR-APDUS** defined in A.2.

A.1.3 The ASN.1 object identifier value **ccr-basic-encoding** defined in A.2 (assigned to an information object in ITU-T Rec. X.690 | ISO/IEC 8825-1) can be used as a transfer syntax name with this abstract syntax name.

A.2 Datatype definitions for CCR Protocol

```
CCR { joint-iso-itu-t ccr(7) module(1) ccr-apdus1(1) version3(3) }
DEFINITIONS IMPLICIT TAGS ::=
BEGIN
```

EXPORTS

| C-INITIALIZE-RI, | C-INITIALIZE-RC, |
|--------------------|---------------------|
| C-BEGIN-RI, | C-BEGIN-RC, |
| C-PREPARE-RI, | C-READY-RI, |
| C-COMMIT-RI, | C-COMMIT-RC, |
| C-ROLLBACK-RI, | C-ROLLBACK-RC, |
| C-NOCHANGE-RI, | C-NOCHANGE-RC, |
| C-CANCEL-RI, | |
| C-RECOVER-RI, | C-RECOVER-RC, |
| joint-CCR, | ccr-syntax-apdus-2, |
| ccr-basic-encoding | |
| | |

;

IMPORTS

AE-title

FROM ACSE-1 { joint-iso-itu-t association-control(2) module(2) apdus(1) version1(1) }; -- ASN.1 module defined in ITU-T Rec. X.227 | ISO/IEC 8650-1

-- Names of CCR information objects:

joint-CCR OBJECT IDENTIFIER ::= { joint-iso-itu-t ccr(7) }

ccr-syntax-apdus-2 OBJECT IDENTIFIER ::= { joint-CCR abstract-syntax(2) apdus(1) version2(2) }

ccr-basic-encoding OBJECT IDENTIFIER ::= { joint-iso-itu-t asn.1(1) basic-encoding(1) }

-- This object identifier value is assigned in ITU-T Rec. X.690 / ISO/IEC 8825-1.

-- CCR datatype definitions

CCR-APDUS ::= CHOICE { C-INITIALIZE-RI, C-INITIALIZE-RC, C-BEGIN-RI, C-BEGIN-RC, C-PREPARE-RI,

```
C-READY-RI,
   C-COMMIT-RI,
   C-COMMIT-RC,
   C-ROLLBACK-RI,
   C-ROLLBACK-RC,
   C-RECOVER-RI,
   C-RECOVER-RC,
   ...,
   C-NOCHANGE-RI,
   C-NOCHANGE-RC,
   C-CANCEL-RI
}
C-INITIALIZE-RI ::= [11]
                                     SEQUENCE
                                     [0] BIT STRING
   { version-number
    { version1(0), version2(1) } DEFAULT { version2 },
   ...,
   ccr-requirements
                                     [1] Ccr-requirements
                                              DEFAULT { static-commitment},
   ready-collision-reservation
                                     [2] BOOLEAN DEFAULT TRUE,
   ...,
                                          User-data OPTIONAL }
   user-data
C-INITIALIZE-RC ::= [12]
                                     SEQUENCE
   { version-number
                                     [0] BIT STRING
    { version1(0), version2(1) } DEFAULT { version2 },
   ...,
  ccr-requirements
                                     [1] Ccr-requirements
                                              DEFAULT { static-commitment},
  ready-collision-reservation
                                     [2] BOOLEAN DEFAULT TRUE,
  ....
  user-data
                                          User-data OPTIONAL }
C-BEGIN-RI ::=
                    [1]
                                     SEQUENCE
 { atomic-action-identifier
                                     [0] ATOMIC-ACTION-IDENTIFIER,
   branch-suffix
                        CHOICE {
    form1
                                     [2] OCTET STRING,
                                     [3] INTEGER,
    form2
    •••
    },
   ..., ...,
   user-data
                                     User-data
                                                            OPTIONAL
    }
C-BEGIN-RC ::=
                                     SEQUENCE
                    [2]
   { ..., ...,
                                                            OPTIONAL }
   user-data
                                     User-data
C-PREPARE-RI ::=
                                     SEQUENCE
                    [3]
 {
                    ..., ...,
   user-data
                                     User-data
                                                            OPTIONAL }
C-READY-RI ::=
                                     SEQUENCE
                    [4]
   { ..., ...,
    user-data
                                     User-data
                                                            OPTIONAL }
                                     SEQUENCE
C-COMMIT-RI ::=
                    [5]
   { ..., ...,
                                     User-data
                                                            OPTIONAL }
    user-data
C-COMMIT-RC ::= [6]
                                     SEQUENCE
   { ..., ...,
user-data
                                     User-data
                                                            OPTIONAL }
```

C-ROLLBACK-RI ::= [7] SEQUENCE { ..., ..., User-data **OPTIONAL** } user-data C-ROLLBACK-RC ::= [8] **SEQUENCE** { ..., ..., User-data **OPTIONAL** } user-data C-NOCHANGE-RI ::= SEQUENCE [13] { confirmation [0] ENUMERATED { not-required(0), result-requested(1), ... } **DEFAULT result-requested**, ..., ..., **OPTIONAL** user-data User-data } C-NOCHANGE-RC ::= [14] SEQUENCE { outcome [0] ENUMERATED { not-determined(0), committed(1), rolled-back(2), no-change(3), ...} **DEFAULT not-determined**,,, user-data User-data **OPTIONAL** } C-CANCEL-RI ::= SEQUENCE [15] { ..., ..., user-data User-data **OPTIONAL** } C-RECOVER-RI ::= [9] **SEQUENCE** [0] ATOMIC-ACTION-IDENTIFIER, {atomic-action-identifier branch-identifier [1] BRANCH-IDENTIFIER, recovery-state [2] ENUMERATED { commit(0), ready(1), done(2), unknown(3), retry-later(5), ... }, •••• reversed-branch [3] BOOLEAN DEFAULT FALSE -- shall be absent if FALSE, •••• User-data user-data **OPTIONAL** } C-RECOVER-RC ::= SEQUENCE [10] { atomic-action-identifier [0] ATOMIC-ACTION-IDENTIFIER, branch-identifier [1] BRANCH-IDENTIFIER, recovery-state [2] ENUMERATED { commit(0), ready(1), done(2), unknown(3), retry-later(5), ... }, •••• reversed-branch [3] BOOLEAN DEFAULT FALSE -- shall be absent if FALSE, User-data **OPTIONAL** } user-data -- supporting datatypes ATOMIC-ACTION-IDENTIFIER ::= SEQUENCE CHOICE { { owners-name [0] EXPLICIT AE-title, name side [1] ENUMERATED { sender(0), receiver(1), ... }, ... }, atomic-action-suffix CHOICE { [2] OCTET STRING, form1 [3] INTEGER, form2 ... }

}

| BRANCH-IDENTIFIE ::= { initiators-name | CHOICE { | SEQUENCE |
|---|---------------------------------------|--|
| name side | , , , , , , , , , , , , , , , , , , , | [0] EXPLICIT AE-title, [1] ENUMERATED |
| side | | { sender(0), receiver(1),}, |
| }, | | |
| branch-suffix | CHOICE { | |
| form1 | | [2] OCTET STRING, |
| form2 | | [3] INTEGER, |
| } | | |
| } | | |

-- In the ATOMIC-ACTION-IDENTIFIER and BRANCH-IDENTIFIER types,

-- a value of "sender" for the "side" form is synonymous with a name value

-- that is the AE-title of the sender of the APDU containing the datatype.

-- Similarly, a value of "receiver" for the "side" form is synonymous with a

-- name value that is the AE-title of the recipient of the APDU.

```
Ccr-requirements ::= BIT STRING
{ static-commitment (0),
    dynamic-commitment (1),
    nochange-completion (2),
    cancel (3),
    overlapped-recovery (4)
    }
```

User-data ::= [30] SEQUENCE OF EXTERNAL

END

Annex B

Using the CCR ASE in combination with other ASEs

(This annex forms an integral part of this Recommendation | International Standard)

B.1 Introduction

B.1.1 The body of this Protocol Specification specifies the CCR ASE, including the form of CCR APDUs, the semantics associated with their transfer, the behaviour of the CCR Protocol Machine (CCRPM). The body of this Protocol Specification also specifies the means of transferring CCR APDUs making direct use of the presentation service. This latter, the mapping to presentation specified in clauses 9 and 10, is a partial specification of the ASO control function for an ASO containing the CCR ASE. The mapping specified in clauses 9 and 10 is the "reference mapping".

B.1.2 Other ASEs to be included in an ASO along with the CCR ASE may use the Presentation and ACSE services in such a way that the reference mapping of CCR services specified in clauses 9 and 10 cannot be used. The specification of the control function of an ASO including such ASEs and CCR will need to include a different means of transferring the CCR APDUs. To maintain the CCR ASE functionality and the semantics of the CCR services, the ASO control function specification is constrained to follow the requirements of this annex.

B.1.3 Within this annex, the means of transferring the CCR APDUs is called the "communications service provider". For the reference mapping specified in clauses 9 and 10, the communications service provider is the combination of the ACSE and Presentation services.

B.1.4 For some ASOs, the communications service provider specified in the control function specification will be close to the reference mapping, differing only at particular points. The terms specified in B.7 are available for use in ASO control function specifications.

B.2 Service primitives

B.2.1 The ASO specification includes the definition of its own services, and the specification of the relationship between the service primitives of the ASO and the service primitives of the component ASOs and ASEs, including CCR. At least some of the ASO service primitives correspond either directly to particular CCR primitives, or to combinations of ASO or ASE services with particular CCR primitives.

B.2.2 The ASO control function specification provides a means of carrying the CCR APDUs generated by the CCR ASE. If a CCR APDU is carried with a non-CCR APDU, the ASO specification determines whether the semantics of the CCR primitive corresponding to the CCR APDU are applied before or after the semantics of the other APDU.

NOTE – The CCR APDUs could be transferred by referencing the CCR ASN.1 data types within an application data type definition, or as separate presentation data values.

B.2.3 The ASO control function specification ensures that each of the sequences of CCR service primitives which it permits is one of the sequences specified in the CCR service definition, and ensures that collisions are resolved in a way which does not violate the sequence of events permitted by clause 8. The ASO control function can include restraints on the use of its own services or of particular CCR service primitives, to ensure that inappropriate collisions are avoided.

B.3 Conformance

The ASO specification references this Protocol Specification for the CCR conformance requirement, apart from item b) of 12.5, for which the ASO specification shall specify the requirement.

B.4 CCR events

B.4.1 The ASO control function specification ensures that collision cases and loss of CCR APDUs due to communications failure are correctly reflected in CCR service primitives.

ISO/IEC 9805-1:1998 (E)

B.4.2 If the ASO control function specification provides a field in its APDUs for carrying CCR APDUs, then the CCR APDUs become part of the abstract syntax of the main service specification. The field should be one of the types exported by the CCR ASN.1 module specified in Annex A.

B.4.3 If the ASO control function carries the APDUs as separate presentation data values, the CCR presentation context shall be used.

B.5 Purge and flow control

An ASO control function specification ensures that the use of the C-ROLLBACK service is able to bypass any flow control, and is available to the CCR service-users at any time permitted in accordance with B.2.3.

B.6 Delimitation of atomic actions

An ASO control function specification ensures that collision cases do not produce ambiguity over the precise start of an atomic action.

B.7 Named mapping variations

An ASO control function specification may specify the communications service provider for carrying the CCR APDUs as being the reference mapping specified in clauses 9 and 10 of this Protocol Specification plus one or more of the mapping variations named in this subclause.

B.7.1 Mapping variation – Resynchronize type: C-ROLLBACK is mapped to the user-data of P-RESYNCHRONIZE with a type (to be stated in the ASO specification) other than "abandon".

B.7.2 Mapping variation – Major sync for <<named APDUs>>: One or more named CCR request/indication primitives (except C-ROLLBACK) are mapped to the user-data of P-SYNC-MAJOR request. One or more named CCR response/confirm APDUs (except C-ROLLBACK) are mapped to the user data of P-SYNC-MAJOR response.

B.7.3 Mapping variation – P-DATA concatenation for <<named APDUs>>: When user data are to be transmitted immediately before the transmission of C-PREPARE-RI or C-READY-RI, and some other ASE specifies that the user data are carried on P-DATA, the CCR APDU is transmitted as the last presentation data value on the P-DATA.

B.7.4 Mapping variation – Simple carrying: Any of the CCR APDUs for which the mapping specified in clause 9 is to P-TYPED-DATA is transmitted as a presentation data value on any Presentation service that does not have a disruptive effect.

B.7.5 Mapping variation – Resilience to association loss/application failure: The ASO specification provides means for recovery from an association loss or application failure such that the progress of the atomic action branch started on the failed association is continued on a new association. The ASO recovery procedures restore the CCR ASE and CCRPM to the state at the time of the failure. The "DISRUPT" event in the State Tables is defined as occurring only when the ASO does not successfully recover from the failure. If the recovery is successful, there is no "DISRUPT" event, and the sequence of CCR primitives on the failed and new associations together is treated as if they were the same association.

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