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Precision time protocol telecom profile for frequency synchronization

Recommendation ITU-T G.8265.1/Y.1365.1



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Recommendation ITU-T G.8265.1/Y.1365.1

Precision time protocol telecom profile for frequency synchronization

Summary

Recommendation ITU-T G.8265.1/Y.1365.1 contains the ITU-T precision time protocol (PTP) profile for frequency distribution without timing support from the network (unicast mode). It provides the necessary details to utilize IEEE 1588 in a manner consistent with the architecture described in Recommendation ITU-T G.8265/Y.1365. This version of the Recommendation defines the PTP profile for unicast mode only. Future versions of the Recommendation will contain a separate profile for a mixed unicast/multicast case.

History

Edition	Recommendation	Approval	Study Group
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IEEE 1588, precision time protocol, PTP.

FOREWORD

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Recommendation ITU-T G.8265.1/Y.1365.1

Precision time protocol telecom profile for frequency synchronization

1 Scope

This Recommendation specifies a profile for telecommunication applications based on [IEEE 1588] precision time protocol (PTP). The profile specifies the [IEEE 1588] functions that are necessary to ensure network element interoperability for the delivery of frequency only. The profile is based on the architecture described in [ITU-T G.8265] and definitions described in [ITU-T G.8260]. The first version of the profile specifies the high-level design requirements, modes of operation for the exchange of PTP messages, the PTP protocol mapping, the use of unicast transmission and negotiation, an alternate best master clock algorithm (BMCA), as well as the PTP protocol configuration parameters.

This Recommendation also specifies some aspects necessary for use in a telecom environment which are outside the scope of, and complement the PTP profile.

2 References

The following ITU-T Recommendations and other references contain provisions which, through reference in this text, constitute provisions of this Recommendation. At the time of publication, the editions indicated were valid. All Recommendations and other references are subject to revision; users of this Recommendation are therefore encouraged to investigate the possibility of applying the most recent edition of the Recommendations and other references listed below. A list of the currently valid ITU-T Recommendations is regularly published. The reference to a document within this Recommendation does not give it, as a stand-alone document, the status of a Recommendation.

- [ITU-T G.781] Recommendation ITU-T G.781 (2008), *Synchronization layer functions*.
- [ITU-T G.8260] Recommendation ITU-T G.8260 (2010), *Definitions and terminology for synchronization in packet networks*.
- [ITU-T G.8265] Recommendation ITU-T G.8265/Y.1365 (2010), *Architecture and requirements for packet based frequency delivery*.
- [IEEE 1588] IEEE Std 1588-2008, *Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems*.

3 Definitions

3.1 Terms defined elsewhere

This Recommendation uses the following terms defined elsewhere:

- 3.1.1 packet master clock** [ITU-T G.8260]
- 3.1.2 packet slave clock** [ITU-T G.8260]
- 3.1.3 packet timing signal** [ITU-T G.8260]

4 Abbreviations and acronyms

This Recommendation uses the following abbreviations and acronyms:

- BMCA Best Master Clock Algorithm
- EUI Extended Unique Identifier

GM	Grand Master
NTP	Network Time Protocol
OC	Ordinary Clock
ParentDS	Parent Data Set (terminology used in [IEEE 1588])
PDV	Packet Delay Variation
PTP	Precision Time Protocol
PTSF	Packet Timing Signal Fail
QL	Quality Level
SDH	Synchronous Digital Hierarchy
SOOC	Slave Only Ordinary Clock
SSM	Synchronization Status Message
SyncE	Synchronous Ethernet

5 Conventions

Within this Recommendation, the following conventions are used: the term PTP refers to the PTP version 2 protocol defined in [IEEE 1588]. The term "slave" or "slave clock" refers to a "slave-only ordinary clock" (SOOC) as defined in clause 9.2.2 of [IEEE 1588]. A "Telecom Slave" is a device consisting of one or more SOOCs. The term "master" or "packet master" or "packet master clock" refers to a grand master as defined in [IEEE 1588]. PTP messages used within this Recommendation are identified using italicized text.

6 Use of PTP for frequency distribution

[IEEE 1588] has been developed by the IEEE initially to support the timing requirements of industrial automation. The [IEEE 1588] standard contains the precision time protocol (PTP) that was designed to enable accurate time transfer.

Subsequent to the publication of the first version of the standard, work began on developing a second version of the standard which contains features useful to the transport of the protocol over a wide area network. This "Version 2" of IEEE 1588 also introduces the concept of the "profile", whereby aspects of the protocol may be selected and specified for a particular use other than the originally intended industrial automation. This Recommendation defines the "profile for telecom" applications in order to support the specific architectures described in [ITU-T G.8265].

In order to claim compliance with the telecom profile, the requirements of this Recommendation and the relevant requirements of [IEEE 1588], as referenced in Annex A, must be met.

The detailed aspects related to the telecom profile are described in the following clauses, while the profile itself is contained in Annex A. It follows the general rules for profile specification developed in [IEEE 1588].

The [IEEE 1588] telecom profile defined within this Recommendation is intended to be used by applications that need frequency synchronization only. It does not cover applications where there is need for phase alignment and/or time of day. This profile addresses the case where the PTP masters and slaves will be used in networks where there is no support for the PTP protocol in any intermediate node between the PTP master and the PTP slave.

It is also important to note that the default PTP protocol is based on multicast. This profile uses only the unicast mode. The use of mixed unicast/multicast operation is for further study.

This PTP telecom profile defines the [IEEE 1588] parameters to be used in order to guarantee protocol interoperability between implementations and specifies the optional features, default values of configurable attributes and mechanisms that must be supported. However, it does not guarantee that the performance requirements of a given application will be met. Those performance aspects are currently under study, and imply additional elements beyond the content of the PTP profile itself.

6.1 High-level design requirements

[IEEE 1588] states in clause 19.3.1.1:

"The purpose of a PTP profile is to allow organizations to specify specific selections of attribute values and optional features of PTP that, when using the same transport protocol, inter-work and achieve a performance that meets the requirements of a particular application."

For operation in the telecom network, some additional criteria are also required to be consistent with standard telecom synchronization practices. With that in mind, high-level objectives for the PTP profile for frequency distribution are:

- 1) To allow interoperability between PTP master clocks and PTP slave clocks compliant with the profile.

This means that PTP master clocks compliant with the profile must have the ability to serve multiple PTP slave clocks from different vendors, and slaves require the ability to derive synchronization from one or more masters from different vendors.

- 2) To permit operation over managed, wide-area packet-based telecom networks.

This may include networks based on protocols such as Ethernet, IP and MPLS, and combinations thereof.

- 3) To define message rates and parameter values consistent with frequency distribution to the required performance for telecom applications.

Note that the profile does not guarantee meeting performance criteria by itself, but should permit the desired performance, given competently designed equipment operating over a suitably designed and managed packet network.

- 4) To allow interoperability with existing synchronization networks (such as SyncE and SDH).

In particular, this means that the profile must define the means to support the transmission of the [ITU-T G.781] QL values from packet master clock to packet slave clock, providing full traceability back to a primary reference.

The QL levels transmitted must be consistent with the existing synchronization practice, and the performance of clocks in the synchronization chain.

- 5) To allow the synchronization network to be designed and configured in a fixed arrangement.

Masters should always remain masters, and slaves should always remain slaves. Autonomous re-configuration of the synchronization network (e.g., by use of an automatic process such as the best master clock algorithm described in [IEEE 1588]) should be prevented.

- 6) To enable protection schemes to be constructed in accordance with standard telecom network practices.

This should include the following:

- physical protection, using redundant hardware and master at the same location;
- geographical protection, using a separate master at a different location. This should include the ability to construct both 1:1 and N:1 protection schemes for packet master clocks.

- 7) To define the criteria under which slaves switch from one packet master clock to an alternate packet master clock.

These should be based on standard telecom criteria, i.e., QL value first, then priority values.

- 8) To permit the operation of existing, standard-based security techniques to help ensure the integrity of the synchronization.

Examples may include encryption and/or authentication techniques, or network techniques for separating traffic, such as VLANs or LSPs. Note that the profile does not have to define these techniques, but nothing in the profile should prevent the use of them.

- Slaves should be prevented from connecting to rogue masters (this could be either by an authentication process, or by using network separation to prevent rogue masters from accessing slaves).
- Masters should be prevented from providing service to unauthorized slaves.

Note that it may not be possible to implement some of these requirements without actually degrading the overall level of system performance.

6.2 General description

[IEEE 1588] defines several clock types with varying degrees of PTP message processing. This Recommendation only addresses ordinary clocks as defined in [IEEE 1588]. Boundary and transparent clocks as defined in [IEEE 1588] are out-of-scope for this version of the Recommendation.

The performance achievable at the slave clock is dependent on several factors. Key issues include the packet delay variation and the stability of the slave clock's internal oscillator. These aspects are out-of-scope for this version of the Recommendation.

6.2.1 Domains

A domain consists of a logical grouping of clocks communicating with each other using the PTP protocol.

PTP domains are used to partition a network within an administrative domain. The PTP messages and data sets are associated with a domain and therefore the PTP protocol is independent for different domains.

For the purpose of this profile, PTP domains are established by using unicast messaging to ensure isolation of grandmaster clocks. A clock (slave or master) must not take any information from a PTP domain and use it to influence the behaviour of a clock in another PTP domain.

NOTE – There is only a single packet master clock per PTP domain. Within a PTP domain, the domain number will be the same for all clocks.

For example, in Figure 2, there are N PTP domains. Each master is utilizing the same PTP domain number. Domain separation is provided by unicast messages.

6.2.2 Messages

[IEEE 1588] defines two categories of message types: event and general PTP messages. The two types differ in that event messages are timed messages and require or contain an accurate timestamp. General message types do not require accurate timestamps.

[IEEE 1588] defines the following message types: *Sync*, *Delay_Req* ("i.e., delay request"), *Announce*, *Follow_Up*, *Delay_Resp* ("i.e., delay response"), *Management* and *Signaling*.

6.3 PTP modes

[IEEE 1588] describes several modes of operation between a master and a slave. This clause describes these modes with respect to functionality needed to be compliant with this profile.

6.3.1 One-way versus two-way operation

PTP is a protocol designed to deliver time synchronization. In order to compensate for the propagation delay of messages through the network, messages are sent in each direction allowing the round-trip delay to be measured. The one-way delay is then estimated as being half the round-trip delay. This is known as two-way operation. However, when PTP is used to deliver frequency only, two-way operation is not required, since the propagation delay of the synchronization messages does not need to be compensated. For frequency distribution, the use of a one-way operation is therefore possible.

Nevertheless, some clock recovery implementations do use two-way mode, even if the application only requires frequency distribution. Indeed, the "reverse" path (i.e., the *Delay_Req* messages) may be used by the clock recovery algorithm. Conversely, a one-way-only scheme may be used in order to reduce the bandwidth consumed by the PTP messages.

A PTP master compliant with the profile must be capable of supporting one-way and two-way timing transfer. A slave however may only utilize one-way, or may utilize two-way, but is not required to support both methods.

6.3.2 One-step versus two-step clock mode

PTP defines two types of clock behaviours: the "one-step clock" and the "two-step clock". In a one-step clock, the precise timestamp is transported directly in the *Sync* message. In a two-step clock, a *Follow_Up* message is used to carry the precise timestamp of the corresponding *Sync* message. The use of *Follow_Up* messages is optional in the PTP protocol.

It has to be noted that the one-step clock approach enables to reduce significantly the number of PTP messages sent by the master, and relax the master capacities.

However, there might be situations where the two-clock approach might be required (e.g., when some security features are required). These situations are for further study.

Both one-step and two-step clocks are allowed in the profile. A PTP master compliant with the profile may use either a one-step clock or a two-step clock or both.

NOTE – The performances of the PTP timing flow generated by the master with those two approaches are for further study.

To be compliant with [IEEE 1588], a slave must be capable of handling both one-step clock and two-step clock, without any particular configuration.

As per clause 7.3.8.3 of [IEEE 1588], when a two-step clock is used, the value of the flag "twoStepFlag" shall be TRUE to indicate that a *Follow_up* message will follow the *Sync* message, and that the slave must not consider the timestamp embedded in the *Sync* message. When a one-step clock is used, the value of the flag "twoStepFlag" shall be FALSE, and the slave must consider the timestamp embedded in the *Sync* message in this case.

6.3.3 Unicast versus multicast mode

PTP allows the use of unicast and multicast modes for the transmission of the PTP messages.

For the PTP profile specified in Annex A, the unicast mode is used for all the PTP messages.

The use of multicast mode for some or all of the PTP messages is for further study. Future versions of the Recommendation may contain a separate PTP profile for a mixed unicast/multicast case.

Appendix I provides information related to this aspect.

A master compliant with the PTP profile specified in Annex A must support the unicast mode. Support for the mix of multicast and unicast modes is for further study.

A slave compliant with the PTP profile specified in Annex A must support the unicast mode. Support for the mix of multicast and unicast modes is for further study.

6.4 PTP mapping

This PTP telecom profile is based on the PTP mapping defined in [IEEE 1588] Annex D, *Transport of PTP over User Datagram Protocol over Internet Protocol Version 4*.

Therefore, a master or a slave compliant with the profile described in this Recommendation must be compliant with [IEEE 1588] Annex D.

The use of the PTP mapping defined in [IEEE 1588] Annex E, *Transport of PTP over User Datagram Protocol over Internet Protocol Version 6*, is for further study within the scope of this PTP telecom profile.

NOTE – The use of the IP/UDP mapping is to facilitate the use of IP addressing. It does not imply that the PTP flow can be carried over an unmanaged packet network. It is assumed that a well-controlled packet network will be used to control and minimize packet delay variation.

6.5 Message rates

The message rate values are only defined for protocol interoperability purposes. It is not expected that any slave clock shall meet the relevant target performance requirements at all packet rates within the given range, specifically at the lower packet rate.

The appropriate value depends on the clock characteristics and on the target performance requirements. Different packet rate needs may also apply during the stabilization period.

Within the scope of the profile, the following messages can be used and the corresponding indicated rates must be respected for unicast messages:

- *Sync* messages (if used, *Follow_up* messages will have the same rate) – minimum rate: one packet every 16 seconds, maximum rate: 128 packets-per-second.
- *Delay_Req/Delay_Resp* messages – minimum rate: one packet every 16 seconds, maximum rate: 128 packets-per-second.
- *Announce* messages – minimum rate: one packet every 16 seconds, maximum rate: eight packets-per-second (A default rate is given as one packet every two seconds).
- *Signaling* messages – no rate is specified.

The use of *Management* messages is for further study.

6.6 Unicast message negotiation

Within a telecommunication network, there are benefits to allowing PTP slave devices to request the synchronization service from PTP masters. [IEEE 1588] offers a mechanism to allow slaves to request this service within a unicast environment (see [IEEE 1588] clause 16.1). This profile supports the unicast message negotiation in accordance with [IEEE 1588] and as described below.

Packet master clocks and slave-only clocks compliant with the profile must support the unicast negotiation mechanism as per clause 16.1 of [IEEE 1588] and as described in this clause.

Only slave-only clocks are allowed to make a request for unicast service from the master.

When using the unicast mode, PTP slaves request synchronization service by sending a PTP *Signaling* message in unicast, containing the REQUEST_UNICAST_TRANSMISSION TLV, to the IP address of the selected PTP master.

NOTE 1 – In this telecom profile, unicast negotiation is enabled per default.

The *Signaling* message containing the REQUEST_UNICAST_TRANSMISSION TLV is periodically renewed.

When initiating unicast negotiation with a master, a slave can use all 1's as the initial value for the targetPortIdentity of the *Signaling* message. Based on the response from the master, the slave can then learn the clockIdentity and portNumber of the Master and use this in any subsequent *Signaling* message.

The logInterMessagePeriod can be configured to adjust the requested transmission rate of *Sync*, *Announce* and *Delay_Resp* messages.

The configurable range for the logInterMessagePeriod is given in Annex A for all the relevant messages.

The durationField value in each REQUEST_UNICAST_TRANSMISSION TLV has a default initialization value of 300 seconds and a configurable range of 60 to 1000 seconds.

In the event that a PTP master is unable to meet a given slave request, it should deny the request entirely rather than offer the slave less than it originally requested.

In the event of being denied service by a master, or receiving no response to the service request:

- A slave should wait a minimum of one second before issuing a new unicast service request for that message type to the same master.
- If a slave has issued three service requests for the same message type with either no response or a "grant denied" response, it should:
 - either cancel any granted unicast service it may have for other message types, and request service from a different master;
 - or wait a further 60 s before re-issuing the request to the same master.

An example of the message exchange to initiate the unicast synchronization service is shown in Figure 1. The timing diagram example represents the exchange of unicast messages for a one-step clock (i.e., no *Follow_up* messages) using one-way mode (i.e., no *Delay_Req* or *Delay_Resp*).

The example shows a unicast negotiation phase for a packet slave sending *Signaling* messages for *Announce* and *Sync* requests; a packet master granting the packet slave the requested message rates; a packet master transmitting the requested *Announce* and *Sync* message rates and the renewal of *Announce* and *Sync* before the expiration of durationField.

Note that several timing diagrams could be represented based on various exchanges of message types, the use of single or concatenated TLVs in *Signaling* messages, the use of different durationFields for each message type, etc. Figure 1 provides an example of message interaction; it is for illustrative purposes only and does not represent a particular implementation.

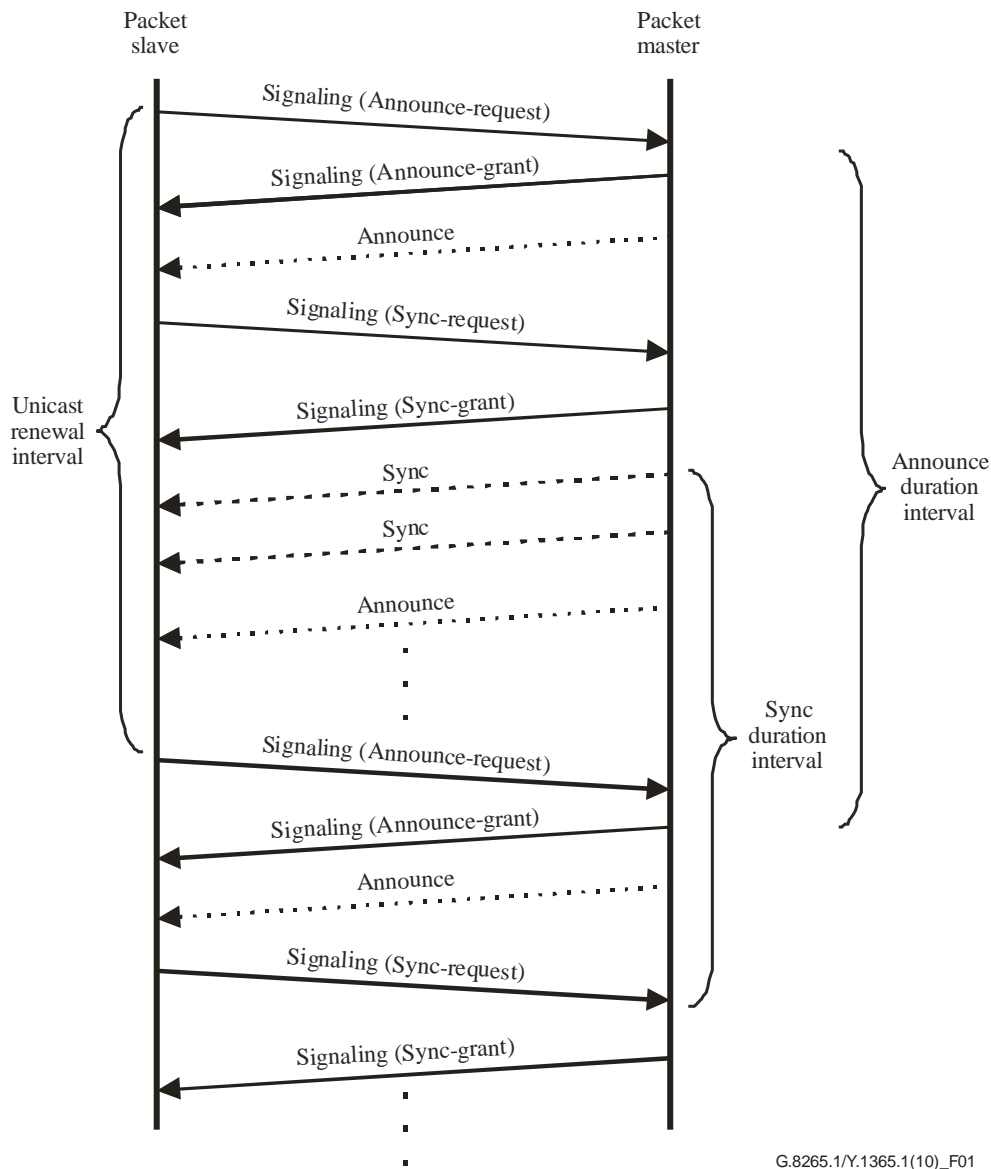


Figure 1 – Unicast negotiation example

For unicast message negotiation, the following recommendations provided in [IEEE 1588], clause A.9.4.2, should be followed: "For receiving continuous service, a requester should reissue a request in advance of the end of the grant period. The recommended advance should include sufficient margin for reissuing the request at least two more times if no grant is received."

PTP slaves may request several types of PTP messages from a PTP master (e.g., slave working in two-way mode, which may request *Sync* and *Delay_Resp* messages, or slave requesting *Announce* and *Sync* messages from the same master). To request unicast transmission of different PTP message types, [IEEE 1588] allows the use of a single *Signaling* message containing multiple TLVs or the use of multiple *Signaling* messages. A master must be prepared to handle those two situations.

Each request for unicast transmission from a specific slave to a master should start by issuing an *Announce* service type request first for that specific master. Only after the slave has been granted unicast service for the *Announce* message and received the first unicast *Announce* message from the specified master, can the rest of the service type request take place. Such practice would ensure that the attributes (e.g., QL) and capabilities of the specified master are acceptable from the slave's perspective before the rest of the services are contracted.

Upon receiving the first *Announce* message from the master, the first *Signaling* message containing a REQUEST_UNICAST_TRANSMISSION TLV issued by the slave should include all the service types the specific slave requires from the master using multiple REQUEST_UNICAST_TRANSMISSION TLVs. The following *Signaling* messages (for 'keep-alive' purposes) may either continue to carry all service types within one message or divide them into multiple independent messages. Such practice will reduce the chance that the master will only grant part of the requested services in case it has been over-subscribed (due to simultaneous requests from other slaves).

NOTE 2 – The "renewal invited" flag described in [IEEE 1588], clause 16.1.4.2.6 is not used in this profile.

6.7 Alternate BMCA, telecom slave model and master selection process

This clause describes the alternate BMC algorithm, the telecom slave model and the associated master selection process. These are described in the following clauses.

6.7.1 Alternate BMCA

As part of this telecom profile, an alternate best master clock algorithm is defined.

The following clauses specify this alternate BMCA for the masters and for the slaves.

6.7.1.1 Alternate BMCA for packet master clock

A packet master clock in this telecom profile is defined as a grandmaster ordinary clock according to [IEEE 1588], clause 9.4.

The different masters that can be deployed in the network must be considered as being each in a different PTP domain (each master is considered as alone in his PTP domain).

Therefore, for a packet master clock, the alternate BMCA output is static and provides a recommended state = BMC_MASTER and a state decision code = M1.

As noted in clause 6.2.1 (domains), in unicast, this PTP domain separation between the masters is ensured by the network, which isolates each master in a separate PTP domain; this PTP domain separation does not need to be ensured by means of different PTP domain numbers, in order to avoid that an operator would have to ensure that each PTP master would be configured with a different PTP domain number:

- hence, there is only one active master in each domain, and all the masters are active;
- the PTP masters do not exchange *Announce* messages in unicast.

In multicast, this PTP domain separation between the masters is for further study.

Figure 2 illustrates the above specification from the masters' point of view. In this example, there are "N" domains.

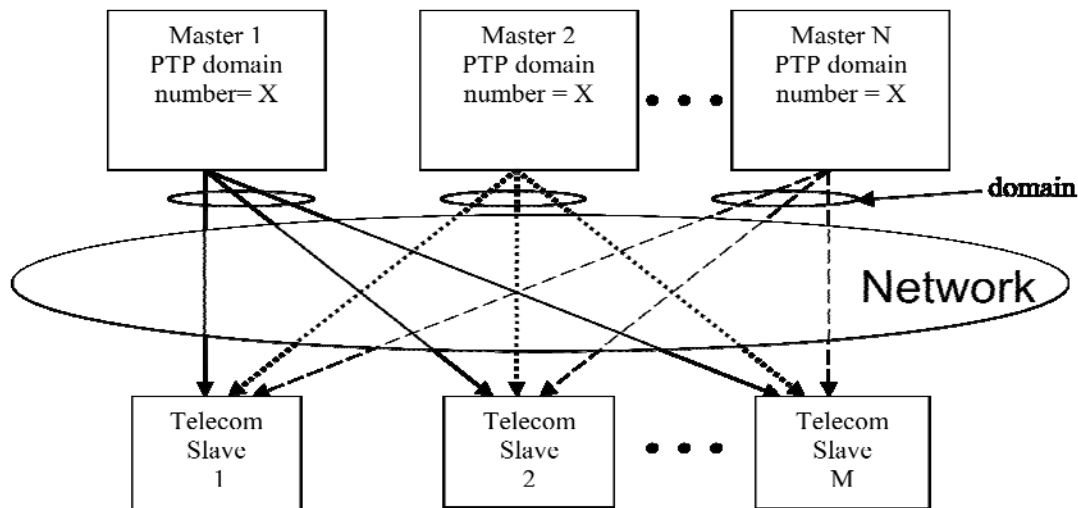


Figure 2 – Each master is active and considered isolated in a different PTP domain by the network

6.7.1.2 Alternate BMCA for slave only clock

As explained in the previous clause, each master is in a different PTP domain. Therefore, a telecom slave is participating in multiple PTP domains when listening to several masters.

In order to enable this participation to multiple PTP domains, a telecom slave may be composed of several PTP slave-only ordinary clock (SOOC) instances, as described in clause 6.7.2. Within a telecom slave, all the SOOC have the same PTP domain number.

For each instance of PTP slave-only ordinary clock in a telecom slave, the alternate BMCA output is static and provides a recommended state = BMC_SLAVE and a state decision code = S1.

6.7.2 Telecom slave model for master selection

The telecom slave model includes functions that are part of the packet slave clock required to support this telecom profile including the master selection process defined in clause 6.7.3.

NOTE 1 – Processing of timestamps and generation of necessary clock signals are for further study.

The telecom slave model consists of several independent PTP slave-only ordinary clock instances. Each PTP slave-only ordinary clock is participating in a single PTP domain and is communicating with a single master, and any PTP message received from another master must be discarded by the SOOC.

NOTE 2 – The behaviour of the telecom slave is described in terms of an example of a slave clock that implements several instances of the PTP protocol; other models are possible provided that the overall behaviour is maintained. These multiple PTP slave-only ordinary clock instances are a "logical separation", and do not imply any specific implementation (for instance, there is no need for a dedicated hardware per clock instance). The main purpose is to maintain several data sets (one per ordinary clock (OC) instance). Most of the attributes of the data sets of the different OC may be common in an implementation; the main one which needs to differ is the parentDS, providing the information about the packet master clock.

NOTE 3 – The use of this telecom slave model in the "mixed unicast and multicast mode" is for further study.

Figure 3 provides an example model of a telecom slave clock with N instances of the PTP protocol. No implementation requirements should be inferred from this figure.

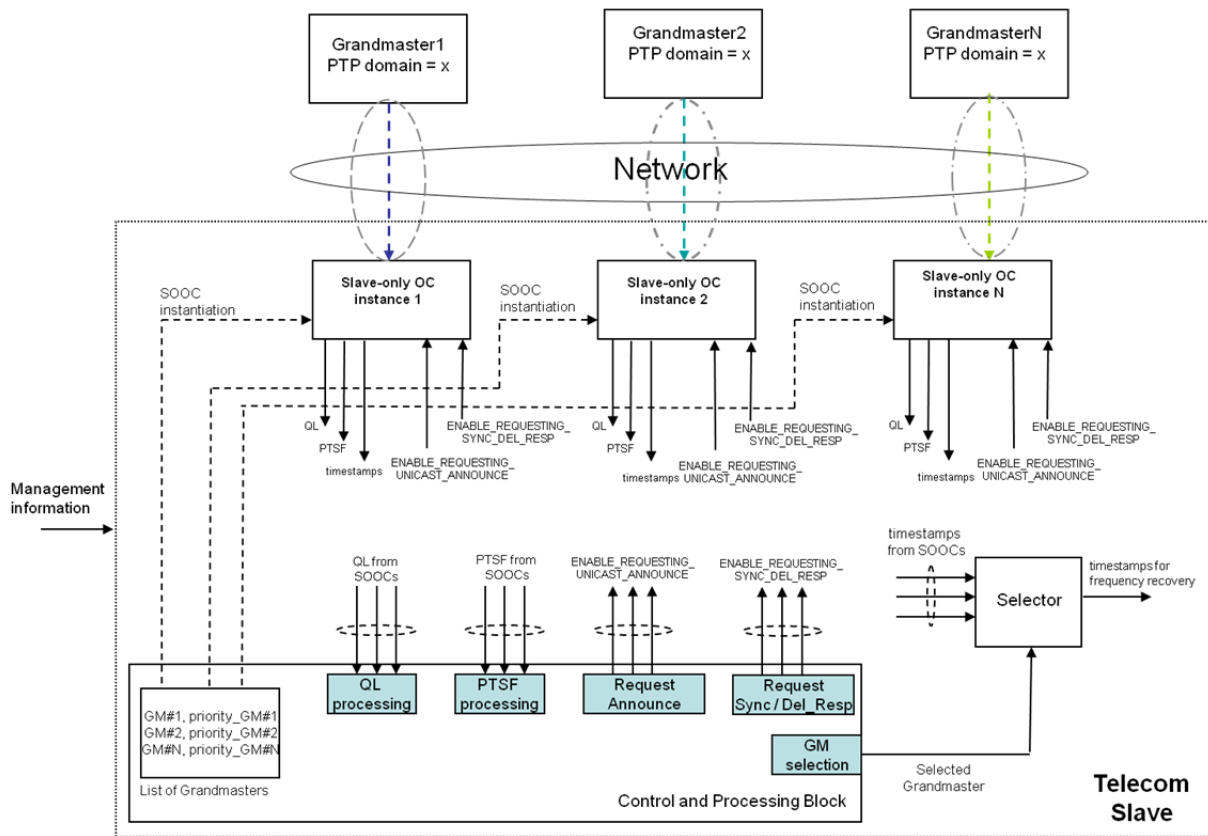


Figure 3 – Telecom slave model

The telecom slave model consists of several functions that are depicted below. It has to be noted that the SOOC instances are the only functions that are part of the PTP protocol. All other functions are outside the PTP protocol. Management of the functions in this model and overall PTP management is for further study.

List of grandmasters: A list containing the grandmasters that a telecom slave has been provisioned to communicate with. The list contains a number of N entries which are used to instantiate the slave-only OC instances (each entry of the list corresponds to a specific SOOC). Each entry of the list is associated a local priority of that grandmaster. The local priorities are used for master selection. Provisioning of the list of grandmasters and their priorities is via management control.

SOOC instance: A slave-only OC instance is used to communicate with its associated grandmaster. The SOOC provides as output: the QL and packet timing signal fail (PTSF) (see clause 6.7.3.2) to the control & processing block for the master selection, and the timestamps to the selector. The SOOC also maintains appropriate data set (e.g., the parentDS of the grandmaster). The SOOC receives as input the signals `ENABLE_REQUESTING_UNICAST_ANNOUNCE` and `ENABLE_REQUESTING_UNICAST_SYNC/DEL_RESP` from the control & processing block. These signals are used to enable the sending of *Signaling* messages to the grandmaster for requesting unicast transmission of *Announce* and *Sync/Delay_Resp* messages.

NOTE 4 – The signals `ENABLE_REQUESTING_UNICAST_ANNOUNCE` and `ENABLE_REQUESTING_UNICAST_SYNC/DEL_RESP` are internal signals used for the purpose of explaining the telecom slave behaviour.

Control & processing block: This block is used to process the inputs QL, PTSF, priority. The block is also used to control the selector and to deliver to the SOOC instances the output signals `ENABLE_REQUESTING_UNICAST_ANNOUNCE` and `ENABLE_REQUESTING_UNICAST_SYNC/DEL_RESP`.

The inputs QL, PTSF and priority are used to determine the grandmaster that will be used for frequency recovery, according to the master selection process specified in clause 6.7.3. The selected grandmaster is indicated to the selector.

The output signal ENABLE_REQUESTING_UNICAST_ANNOUNCE is sent to the SOOC instances to enable the request of *Announce* messages to obtain the QL value from the grandmasters. The output signal ENABLE_REQUESTING_UNICAST_SYNC/DEL_RESP is sent to the SOOC instances to enable the request of *Sync* messages (and *Delay_Resp* messages for a two-way slave). The enabling of these signals is implementation specific.

Selector block: The selector block is used to pass the timestamps of the selected "grandmaster" used for the frequency recovery.

6.7.3 Master selection process

The clock master selection process is outside of the scope of the [IEEE 1588] PTP protocol. The master is chosen from a locally-provisioned list of grandmasters and their respective priorities, as described in clause 6.7.2.

The following parameters contribute to the master selection process:

- quality level;
- packet timing signal fail (PTSF-lossSync, PTSF-lossAnnounce, PTSF-unusable);
- priority;

where the quality level is carried in the clockClass attribute by the *Announce* messages of the candidate master (see clause 6.7.3.1 for details on the correspondence between the quality level and the clockClass attribute), the packet timing signal fail conditions are described in clause 6.7.3.2, and the priority is locally maintained in the slave.

The algorithm selects the reference with the highest quality level, which is not experiencing a signal fail condition PTSF-lossSync or PTSF-lossAnnounce.

NOTE 1 – PTSF-unusable condition is for further study; it may also trigger the selection of a new master in some cases or a switch in holdover.

If multiple inputs have the same highest quality level, the input with the highest priority is selected. For the case where multiple inputs have the same highest priority and quality level, the current existing selected reference is maintained if it belongs to this group, otherwise an arbitrary reference from this group is selected.

NOTE 2 – If no input could be selected, the normal behaviour of a clock will be to either enter holdover in the case of loss of the incoming signal, or remain in free-run, in the case where no signal has been present. These are outside the scope of this Recommendation.

The default behaviour for switching between masters (e.g., due to loss of signal or temporary reduction in QL) is revertive. When the signal or QL is restored, the telecom slave should revert to the highest priority master.

6.7.3.1 Mapping of SSM quality levels to PTP clock class

Table 1 provides the mapping values of SSM quality levels to the PTP clockClass attribute. The clockClass attribute is to transmit the SSM QL from the packet master to the packet slave.

Table 1 – Mapping of quality levels to PTP clockClass values

SSM QL	ITU-T G.781			PTP clockClass
	Option I	Option II	Option III	
0001		QL-PRS		80
0000		QL-STU	QL-UNK	82
0010	QL-PRC			84
0111		QL-ST2		86
0011				88
0100	QL-SSU-A	QL-TNC		90
0101				92
0110				94
1000	QL-SSU-B			96
1001				98
1101		QL-ST3E		100
1010		QL-ST3/ QL-EEC2		102
1011	QL-SEC/ QL-EEC1		QL-SEC	104
1100		QL-SMC		106
1110		QL-PROV		108
1111	QL-DNU	QL-DUS		110

6.7.3.2 Packet timing signal fail

This clause defines the notion of packet timing signal fail (PTSF), which corresponds to a signal indicating a failure of the PTP packet timing signal received by the slave.

Three types of PTSF may be raised in a slave implementation:

- [PTSF-lossSync], lack of reception of PTP timing messages from a master (loss of the packet timing signal): if the slave does not receive anymore the timing messages sent by a master (i.e., *Sync* and eventually *Follow_up* and *Delay_Resp* messages), then a PTSF-lossSync associated to this master must occur. A timeout period (e.g., "syncReceiptTimeout" and "delayRespReceiptTimeout") for these timing messages must be implemented in the slave before triggering the PTSF-lossSync (the range and default value of this timeout parameter are for further study).
- [PTSF-lossAnnounce], lack of reception of PTP *Announce* messages from a master (loss of the channel carrying the traceability information): if the slave does not receive anymore the *Announce* messages sent by a master, then a PTSF-lossAnnounce associated to this master must occur. A timeout period for these *Announce* messages must be implemented in the slave before triggering the PTSF-lossAnnounce (the range and default value of this timeout parameter are as per [IEEE 1588]). This timeout corresponds to the "announceReceiptTimeout" attribute specified in [IEEE 1588], clause 7.7.3.1.

- [PTSF-unusable], unusable PTP packet timing signal received by the slave, exceeding the input tolerance of the slave (noisy packet timing signal): if the PTP packet timing signal is not usable for the slave to achieve the performance target (e.g., violates the slave input tolerance because of excessive PDV noise), then a PTSF-unusable associated to this master must occur. The criteria used to determine that the packet timing signal is not suitable to be used is for further study (An example of criteria to be studied may relate to the PDV experienced by the packet timing signal as it traverses the network from the master to the slave).

The following actions must be triggered following a PTSF:

- When a PTSF occurs (PTSF-lossSync, PTSF-lossAnnounce, or PTSF-unusable), the master associated to the PTP packet timing signal in failure is considered as not reachable, in failure, or the quality has deteriorated due to e.g., excessive PDV.
- In case PTSF-lossSync or PTSF-lossAnnounce occurs: the slave must select an alternate master as the new timing source if possible or must switch into holdover otherwise.
- In case PTSF-unusable occurs: the consequent actions to be performed are implementation specific and are for further study.

6.8 Additional protection functions

The following architectural functions are specified in [ITU-T G.8265].

6.8.1 Temporary master exclusion – Lock-out function

It must be possible in the telecom slave to exclude temporarily a master from the list of grandmasters (lock-out functionality).

6.8.2 Slave wait-to-restore time function

A wait-to-restore time must be implemented in the telecom slave.

The range associated to wait-to-restore time is for further study.

6.8.3 Slave non-reversion function

As part of the master selection process, a non-revertive mode may optionally be implemented in the telecom slave.

6.8.4 Forced traceability of master function

It must be possible to force the SSM QL value at the input of the grandmaster by configuration.

When no SSM is delivered by the timing signal used as a reference by the grandmaster (e.g., 2 MHz signal), the SSM QL value may be forced to a certain value before being mapped in the clockClass attribute and sent in the *Announce* messages by the grandmaster.

These network implementations and scenarios will need to be defined by the operator on a case by case basis. It shall be highly dependent on the operator's architecture.

6.8.5 Packet slave clock QL hold off function

In the case where sufficient holdover performance exists within the telecom slave, it must be possible to delay the transition of the QL value at the output of the slaves. This will allow the operator to limit downstream switching of the architecture under certain network implementations when traceability to the packet master is lost.

These network implementations and scenarios will need to be defined by the operator on a case by case basis. It shall be highly dependent on the operator's architecture.

The quality of the holdover oscillator is for further study.

The time maintained is for further study.

6.8.6 Slave output squelch function

In case the telecom slave provides an external output synchronization interface (e.g., 2 MHz), a squelch function must be implemented.

It must be possible to configure the telecom slave so that when all the grandmasters it can communicate with are in failure conditions (e.g., the QLs received are all going under a certain threshold, or PTSF conditions are raised), the output timing signal could be cut off.

These network implementations and scenarios will need to be defined by the operator on a case by case basis.

All aspects of this function are for further study.

7 ITU-T PTP profile for frequency distribution without timing support from the network

The [IEEE 1588] profile that supports frequency distribution in unicast mode is contained in Annex A.

8 Security aspects

Security aspects are for further study. See also [ITU-T G.8265].

Annex A

ITU-T PTP profile for frequency distribution without timing support from the network (unicast mode)

(This annex forms an integral part of this Recommendation)

This annex contains the telecom profile for frequency distribution as required by [IEEE 1588]. In order to claim compliance with the telecom profile, the requirements in this annex and in the body of this Recommendation must both be met.

A.1 Profile identification

profileName: ITU-T PTP profile for frequency distribution without timing support from the network (unicast mode)

profileVersion: 1.0

profileIdentifier: 00-19-A7-00-01-00.

This profile is specified by ITU-T.

A copy may be obtained from www.itu.int.

A.2 PTP attribute values

The default values and ranges of the PTP attributes for use in this profile are contained in Tables A.1, A.2, A.3, A.4 and A.5.

Attributes not specified by this profile shall use the [IEEE 1588] default initialization values and ranges.

Table A.1 – defaultDS data set member specifications

Clause [IEEE 1588]	Members of the data set	Packet master requirements		Slave only clock requirements	
		Default value	Range	Default value	Range
8.2.1.2.1	defaultDS.twoStepFlag (static)	As per PTP	{FALSE, TRUE}	As per PTP	{FALSE}
8.2.1.2.2	defaultDS.clockIdentity (static)	As per PTP, based on EUI 64 format	As per PTP	As per PTP, based on EUI 64 format	As per PTP
8.2.1.2.3	defaultDS.numberPorts (static)	1	{1}	1	{1}
8.2.1.3.1.1	defaultDS.clockQuality.clockClass (dynamic)	Note 2	{80-110}	255	{255}
8.2.1.3.1.2	defaultDS.clockQuality.ClockAccuracy (dynamic)	Note 1	Note 1	Note 1	Note 1

Table A.1 – defaultDS data set member specifications

Clause [IEEE 1588]	Members of the data set	Packet master requirements		Slave only clock requirements	
		Default value	Range	Default value	Range
8.2.1.3.1.3	defaultDS.clockQuality.offsetScaledLogVariance (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.1.4.1	defaultDS.priority1 (configurable)	Note 1	Note 1	Note 1	Note 1
8.2.1.4.2	defaultDS.priority2 (configurable)	Note 1	Note 1	Note 1	Note 1
8.2.1.4.3	defaultDS.domain Number (configurable)	4	{4-23}	4	{4-23}
8.2.1.4.4	defaultDS.slaveOnly (configurable)	FALSE	{FALSE}	TRUE	{TRUE}

NOTE 1 – As per PTP, not applicable for this profile.
 NOTE 2 – The default value should correspond to the holdover quality of the master. The holdover of the master is outside the scope of this Recommendation. The clock class values are provided in Table 1.

Table A.2 – currentDS data set member specifications

Clause [IEEE 1588]	Members of the data set	Master requirements		Slave requirements	
		Default value	Range	Default value	Range
8.2.2.2	currentDS.stepsRemoved (dynamic)	As per PTP	As per PTP	As per PTP	As per PTP
8.2.2.3	currentDS.offsetFrom Master (dynamic)	Note	Note	Note	Note
8.2.2.4	currentDS.meanPath Delay (dynamic)	Note	Note	Note	Note

NOTE – As per PTP, not applicable for this profile.

Table A.3 – parentDS data set member specifications

Clause [IEEE 1588]	Members of the data set	Master requirements		Slave requirements	
		Default value	Range	Default value	Range
8.2.3.2	parentDS.parentPort Identity (dynamic)	As per PTP	As per PTP	As per PTP	As per PTP
8.2.3.3	parentDS.parentStats (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.3.4	parentDS.observedParentOffsetScaledLog Variance (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.3.5	parentDS.observedParentClockPhaseChangeRate (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.3.6	parentDS.grandmaster Identity (dynamic)	As per PTP	As per PTP	As per PTP	As per PTP
8.2.3.7	parentDS.grandmasterClockQuality (dynamic)	As per PTP. Note 2	As per PTP. Note 2	As per PTP. Note 2	As per PTP. Note 2
8.2.3.8	parentDS.grandmasterPriority1 (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.3.9	parentDS.grandmasterPriority2 (dynamic)	Note 1	Note 1	Note 1	Note 1
NOTE 1 – As per PTP, not applicable for this profile.					
NOTE 2 – Within this profile, only the clockClass attribute in this structure is used for the master selection, as per clause 6.7.3.					

Table A.4 – timePropertiesDS data set member specifications

Clause [IEEE 1588]	Members of the data set	Master requirements		Slave requirements	
		Default value	Range	Default value	Range
8.2.4.2	timePropertiesDS.currentUtcOffset (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.4.3	timePropertiesDS.currentUtcOffsetValid (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.4.4	timePropertiesDS.leap59 (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.4.5	timePropertiesDS.leap61 (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.4.6	timePropertiesDS.timeTraceable (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.4.7	timePropertiesDS.frequencyTraceable (dynamic)	FALSE	{FALSE, TRUE} Note 2	FALSE	{FALSE, TRUE} Note 2

Table A.4 – timePropertiesDS data set member specifications

Clause [IEEE 1588]	Members of the data set	Master requirements		Slave requirements	
		Default value	Range	Default value	Range
8.2.4.8	timePropertiesDS.ptp Timescale (dynamic)	As per PTP	As per PTP	As per PTP	As per PTP
8.2.4.9	timePropertiesDS.time Source (dynamic)	Note 1	Note 1	Note 1	Note 1
NOTE 1 – As per PTP, not applicable for this profile.					
NOTE 2 – If the clock is traceable to a PRC, then this parameter must be set to TRUE, otherwise it shall be FALSE.					

Table A.5 – portDS data set member specifications

Clause [IEEE 1588]	Members of the data set	Master requirements		Slave requirements	
		Default value	Range	Default value	Range
8.2.5.2.1	portDS.portIdentity.clock Identity (static)	As per PTP, based on EUI 64 format	As per PTP	As per PTP, based on EUI 64 format	As per PTP
8.2.5.2.1	portDS.portIdentity.port Number (static)	1	{1}	1	{1}
8.2.5.3.1	portDS.portState (dynamic)	As per PTP	As per PTP	As per PTP	As per PTP
8.2.5.3.2	portDS.logMinDelayReq Interval (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.5.3.3	portDS.peerMeanPath Delay (dynamic)	Note 1	Note 1	Note 1	Note 1
8.2.5.4.1	portDS.logAnnounce Interval (configurable)	Note 1	Note 1	Note 1	Note 1
8.2.5.4.2	portDS.announceReceipt Timeout (configurable)	2	{2}	As per PTP	As per PTP
8.2.5.4.3	portDS.logSyncInterval (configurable)	Note 1	Note 1	Note 1	Note 1
8.2.5.4.4	portDS.delayMechanism (configurable)	01 Note 2	{01} Note 2	'01' for a two-way slave, and 'FE' for a one-way slave	{01, FE}
8.2.5.4.5	portDS.logMinPdelay ReqInterval (configurable)	Note 1	Note 1	Note 1	Note 1

Table A.5 – portDS data set member specifications

Clause [IEEE 1588]	Members of the data set	Master requirements		Slave requirements	
		Default value	Range	Default value	Range
8.2.5.4.6	portDS.versionNumber (configurable)	2	{2}	2	{2}
NOTE 1 – As per PTP, not applicable for this profile. NOTE 2 – The master must support two-way operation.					

A.3 PTP options

A.3.1 Node types required, permitted, or prohibited

In this profile, the required node types are: ordinary clocks.

In this profile, the prohibited node types are: boundary and transparent clocks.

A.3.2 Transport mechanisms required, permitted, or prohibited

In this profile, the required transport mechanism is UDP/IPv4 as per Annex D in [IEEE 1588].

A.3.3 Unicast messages

All messages are sent in unicast.

NOTE – In this telecom profile, unicast negotiation is enabled per default.

The slave will initiate the session by following the unicast message negotiation procedure defined in [IEEE 1588], clause 16.1.

A.3.4 REQUEST_UNICAST_TRANSMISSION TLV

The value of logInterMessagePeriod shall be the logarithm, to base 2, of the requested mean period, in seconds, between the requested unicast messages.

For requesting unicast *Announce* messages: The configurable range shall be one message per 16 seconds to eight messages per second. The default initialization value of logInterMessagePeriod shall be one message every two seconds.

For requesting unicast *Sync* messages: The configurable range shall be one message every 16 seconds to 128 messages per second. No default rate is specified.

For requesting unicast *Delay_Resp* messages: The configurable range shall be one message every 16 seconds to 128 messages per second. No default rate is specified.

The durationField value in each REQUEST_UNICAST_TRANSMISSION TLV shall have a default initialization value of 300 seconds. The configurable range shall be 60 seconds to 1000 seconds.

The maintenance and configuration of these default and configuration range values is implementation specific.

A.3.5 GRANT_UNICAST_TRANSMISSION TLV

In implementing the GRANT_UNICAST_TRANSMISSION TLV mechanism, the granted values shall be the same as requested in the received REQUEST_UNICAST_TRANSMISSION TLV as long as the requests are in the configurable range.

A.4 Best master clock algorithm (BMCA) options

This profile does not use the default BMCA as described in [IEEE 1588]. Clock selection is described in clause 6.7.

For a grandmaster clock, the alternate BMCA output is static and provides a recommended state = BMC_MASTER and a state decision code = M1.

For a slave-only ordinary clock, the alternate BMCA output is static and provides a recommended state = BMC_SLAVE and a state decision code = S1.

A.5 Path delay measurement option (delay request/delay response)

The delay request/delay response mechanism can be used in this profile. The peer delay mechanism shall not be used in this profile.

A.6 Configuration management options

Management aspects will be specified in a future version of this profile.

A.7 Clock identity format

The use of IEEE EUI-64 to generate the clock identity must be supported as indicated in clause 7.5.2.2.2 of [IEEE 1588]. Non-IEEE EUI formats are not supported.

A.8 Flags used by this profile

The flags used by this profile are listed in Table A.6.

Table A.6 – PTP flags

Flag	Value
alternateMasterFlag	FALSE
unicastFlag	TRUE
PTP profile Specific1	FALSE
PTP profile Specific2	FALSE
Reserved	FALSE

NOTE – The "renewal invited" flag described in [IEEE 1588], clause 16.1.4.2.6 is not used in this profile and is set to FALSE.

Appendix I

Use of mixed unicast/multicast mode for PTP messages

(This appendix does not form an integral part of this Recommendation)

The profile in Annex A covers unicast operation for frequency distribution without timing support from the network. PTP was primarily designed for multicast operation. This appendix provides information on the possible use of multicast for PTP in a telecom environment.

Depending on the way multicast is used in a network, the use of the multicast mode for the PTP *Delay_Req* and *Delay_Resp* messages may not be appropriate in a telecom environment. In some cases, it could lead to a situation where the *Delay_Req* and *Delay_Resp* messages would be replicated and potentially distributed to multiple nodes, consuming network resources. In other cases, this issue may not occur.

Moreover, multicast may not always be supported in all the parts of a telecom network. Multicast may also generate additional PDV when compared to unicast.

The unicast mode solves those issues, but has some drawbacks for the *Sync*, *Follow_Up* and *Announce* messages; instead of having a unique flow for those messages that is sent to all slaves, one dedicated flow per slave has to be sent by the master.

Therefore, depending on the network environment, the use of multicast for *Sync*, *Follow_Up* and *Announce* messages may sometimes be a better option in order to reduce the traffic load on the master. However, the use of multicast messages for *Delay_Req* and *Delay_Resp* messages requires further study in a telecom environment, in order to avoid the replication issues described above.

Therefore, if the multicast mode is used for the *Sync*, *Follow_up* and *Announce* messages that are sent to a slave using two-way operation, then it implies a mix of unicast and multicast modes, since *Delay_Req* and *Delay_Resp* messages are sent in unicast. This situation can be a valid option in some network scenarios in order to reduce the traffic flow between master and slaves.

The mix of multicast and unicast generally creates delay asymmetry, but this asymmetry is not an issue for frequency delivery, which is targeted in the profile described in this Recommendation. It is only the potential additional PDV in one direction created by the multicast mode that may be an issue.

Two modes may be suitable for transporting the PTP timing messages in a telecom environment:

- unicast mode: where the PTP *Sync*, *Follow_Up*, *Delay_Req*, *Delay_Resp*, *Announce* and *Signaling* messages are sent in unicast;
- mix of unicast and multicast modes: where the *Sync*, *Follow_Up* and *Announce* messages are sent in multicast, and the *Delay_Req*, *Delay_Resp* and *Signaling* messages are sent in unicast.

NOTE – Not all timing messages are always used, as it depends on the behaviour of the slave (e.g., one-way or two-way) or of the master (e.g., one-step clock/two-step clock). Therefore, some situations may exist where only multicast mode is used (e.g., a slave working in one-way where *Delay_Req* and *Delay_Resp* messages are not used).

The development of the mixed multicast/unicast mode for use in a telecom environment is for further study.

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