# ITU-T 

SERIES Z: LANGUAGES AND GENERAL SOFTWARE ASPECTS FOR TELECOMMUNICATION SYSTEMS

Formal description techniques (FDT) - User Requirements Notation (URN)

## User Requirements Notation (URN) - Language definition

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| :---: |
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| Application of formal description techniques |
| Message Sequence Chart (MSC) |
| User Requirements Notation (URN) |
| Testing and Test Control Notation (TTCN) |
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| CHILL: The ITU-T high level language |
| MAN-MACHINE LANGUAGE |
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| Basic syntax and dialogue procedures |
| Extended MML for visual display terminals |
| Specification of the man-machine interface |
| Data-oriented human-machine interfaces |
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| QUALITY |
| Quality of telecommunication software |
| Quality aspects of protocol-related Recommendations |
| METHODS |
| Methods for validation and testing |
| MIDDLEWARE |
| Processing environment architectures |

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## Recommendation ITU-T Z. 151

## User Requirements Notation (URN) - Language definition

## Summary

Recommendation ITU-T Z. 151 defines the User Requirements Notation (URN) intended for the elicitation, analysis, specification and validation of requirements. URN combines modelling concepts and notations for goals (mainly for non-functional requirements and quality attributes) and scenarios (mainly for operational requirements, functional requirements and performance and architectural reasoning). The goal sub-notation is called goal-oriented requirements language (GRL) and the scenario sub-notation is called use case map (UCM).

History

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## Table of Contents

Page
1 Scope ..... 1
1.1 Goal modelling with URN ..... 1
1.2 Scenario modelling with URN ..... 2
1.3 Documentation structure ..... 3
2 References ..... 3
3 Definitions ..... 4
3.1 Terms defined elsewhere ..... 4
3.2 Terms defined in this Recommendation ..... 4
4 Abbreviations and acronyms ..... 5
5 Conventions ..... 6
5.1 Grammars ..... 6
5.2 Basic definitions ..... 6
5.3 Presentation style ..... 6
6 URN basic structural features ..... 7
6.1 URN abstract grammar metaclasses ..... 8
6.2 URN concrete grammar metaclasses ..... 12
7 GRL features ..... 14
7.1 GRL basic structural features ..... 14
7.2 GRL actors ..... 19
7.3 GRL intentional elements ..... 21
7.4 GRL links ..... 24
7.5 GRL strategies ..... 32
7.6 GRL indicators ..... 37
7.7 GRL contribution contexts ..... 43
7.8 GRL concrete grammar metaclasses ..... 46
8 UCM features ..... 62
8.1 UCM basic structural features ..... 63
8.2 UCM maps and path nodes. ..... 65
8.3 UCM stubs and plug-ins ..... 92
8.4 UCM components ..... 102
8.5 UCM scenario definitions ..... 110
8.6 UCM performance annotations ..... 120
8.7 UCM concrete grammar metaclasses ..... 130
9 Data language ..... 131
9.1 URN data model ..... 131
9.2 URN data types ..... 132
9.3 Grammar for expressions ..... 134
Page
9.4 Grammar for actions ..... 136
9.5 Grammar for failures ..... 137
10 URN interchange format ..... 137
11 URN analysis ..... 138
11.1 GRL model evaluation ..... 138
11.2 UCM scenario path traversal ..... 140
12 Compliance statement ..... 145
13 Tool compliance ..... 150
13.1 Definitions of valid tools ..... 150
13.2 Conformance ..... 150
Annex A - URN interchange format: XML schema ..... 151
Appendix I - Summary of the URN ..... 170
I. 1 Summary of abstract metamodel ..... 170
I. 2 Summary of concrete metamodel ..... 175
I. 3 Summary of URN symbols ..... 178
Appendix II - Examples of GRL model evaluation algorithms ..... 179
II. 1 Introduction ..... 179
II. 2 Example of quantitative evaluation algorithm ..... 181
II. 3 Example of qualitative evaluation algorithm ..... 185
II. 4 Example of hybrid evaluation algorithm ..... 192
II. 5 Calculating with exceeding expectations ..... 193
Appendix III - Examples of UCM path traversal mechanisms ..... 194
III. 1 Introduction ..... 194
III. 2 Example of depth-first UCM path traversal mechanism ..... 194
III. 3 Example of breadth-first UCM path traversal mechanism ..... 199
Bibliography ..... 205

## Introduction

## Coverage

User Requirement Notation (URN) has concepts for the specification of goals, non-functional requirements, rationales, indicators, behaviour, scenarios and structuring. This Recommendation focuses on the definition of an abstract syntax, a concrete graphical syntax and an interchange format for URN. An assessment of conformity of the current URN representation to the language requirements for URN (Recommendation ITU-T Z.150) is also included.

## Application

URN is applicable within standards bodies and industry. URN helps to describe and communicate requirements, and to develop reasoning about them. The main application areas include telecommunication systems, services and business processes, but URN is generally suitable for describing most types of reactive systems and information systems. The range of applications is from descriptions of business goals and requirements to high-level system design and architecture.

## Status/Stability

This Recommendation contains the stable definition of URN. URN components for goal modelling and scenario modelling have been used for more than a decade. The main text is accompanied by the following:

- Annex A: URN Interchange Format: XML Schema
- Appendix I: Summary of the URN
- Appendix II: Examples of GRL Model Evaluation Algorithms
- Appendix III: Examples of UCM Path Traversal Mechanisms
- URN Change Request Form


## Recommendation ITU-T Z. 151

## User Requirements Notation (URN) - Language definition

## 1 Scope

This Recommendation defines the User Requirements Notation (URN) intended for the elicitation, analysis, specification and validation of requirements. URN allows software and requirements engineers to discover and specify requirements for a proposed system or an evolving system, and analyse such requirements for correctness and completeness.

URN combines modelling concepts and notations for goals and intentions (mainly for nonfunctional requirements and quality attributes) and scenarios (mainly for operational requirements, functional requirements and performance and architectural reasoning). In particular, URN has concepts for the specification of goals, non-functional requirements, rationales, indicators, behaviour, scenarios and structuring.

This Recommendation focuses on the definition of an abstract syntax, a concrete graphical syntax, and an interchange format for URN. An assessment of conformity of the current URN representation to the language requirements for URN [ITU-T Z.150] is also included.

URN is applicable within standards bodies and industry. URN helps to describe and communicate requirements, and to develop reasoning about them. The main application areas include telecommunications systems, services and business processes, but URN is generally suitable for describing most types of reactive systems and information systems. The range of applications is from business descriptions of goals and requirements to high-level design.

URN is a notation that complies with [ITU-T Z.150]. It includes concepts and notations satisfying the language requirements of Z.150's URN-NFR (for non-functional requirements) and URN-FR (for functional requirements). URN integrates these concepts and notation into a single language.

### 1.1 Goal modelling with URN

The subset of the URN language that addresses ITU-T Z. 150 URN-NFR language requirements is named goal-oriented requirement language (GRL), which is a language for supporting goal-oriented modelling and reasoning about requirements, especially non-functional requirements and quality attributes. It provides constructs for expressing various types of concepts that appear during the requirement process. GRL has its roots in two widespread goal-oriented modelling languages: $i^{*}$ and the NFR framework. Major benefits of GRL over other popular notations include the integration of GRL with a scenario notation and a clear separation of GRL model elements from their graphical representation, enabling a scalable and consistent representation of multiple views/diagrams of the same goal model.
There are four main categories of concepts in GRL: actors, intentional elements, indicators and links. The intentional elements in GRL are goals, softgoals, tasks, resources and beliefs. They are intentional because they are used for models that allow answering questions such as why particular behaviours, and informational and structural aspects were chosen to be included in the system requirements, what alternatives were considered, what criteria were used to deliberate among alternative options, and what the reasons were for choosing one alternative over the other. Actors are holders of intentions; they are the active entities in the system or its environment (e.g., stakeholders or other systems) who want goals to be achieved, tasks to be performed, resources to be available and softgoals to be satisfied. Indicators make real-world measurements available for reasoning in the goal model, allowing for a more accurate assessment of the satisfaction of actors. Links are used to connect isolated elements in the requirement model. Different types of links
depict different structural and intentional relationships (including decompositions, contributions and dependencies).
This kind of modelling is different from the detailed specification of "what" is to be done. Here the modeller is primarily concerned with exposing "why" certain choices for behaviour and/or structure were made or constraints introduced. The modeller is not yet interested in the operational details of processes or system requirements, or component interactions. Omitting these kinds of details during early development and standardization phases allows taking a higher level (sometimes called a strategic stance) towards modelling the current or the future standard or software system and its embedding environment. Modelling and answering "why" questions leads one to consider the opportunities stakeholders seek out and/or vulnerabilities they try to avoid within their environment by utilizing capabilities of the software system and/or other stakeholders, by trying to rely upon and/or assign capabilities and by introducing constraints on how those capabilities ought to be performed.

GRL supports the analysis of strategies, which help reach the most appropriate trade-offs among (often conflicting) goals of stakeholders. A strategy consists of a set of intentional elements and indicators that are given initial satisfaction values. These satisfaction values capture contextual or future situations as well as choices among alternative means of reaching various goals. For indicators, these satisfaction values are based on real-world measurements. These satisfaction values are then propagated to the other intentional elements through their links, enabling a global assessment of the strategy being studied as well as the global satisfaction of the actors involved. A good strategy provides rationale and documentation for decisions leading to requirements, providing better context for standards/system developers and implementers while avoiding unnecessary re-evaluations of worse alternative strategies.
GRL also provides support for reasoning about scenarios by establishing correspondences between intentional GRL elements and non-intentional elements referring to scenario models of URN-FR. Modelling both goals and scenarios is complementary and aids the identification of further goals and additional scenarios (and scenario steps) important to stakeholders, thus contributing to the completeness and accuracy of requirements.

### 1.2 Scenario modelling with URN

The subset of the URN language that addresses Z.150 URN-FR language requirements is named use case map (UCM). UCM specifications employ scenario paths to illustrate causal relationships among responsibilities. Furthermore, UCMs provide an integrated view of behaviour and structure by allowing the superimposition of scenario paths on a structure of abstract components. The combination of behaviour and structure enables architectural reasoning after which it is possible to refine UCM specifications into more detailed scenario models such as MSCs or UML sequence diagrams, or into state machines in SDL-2010 or UML statechart diagrams and finally into concrete implementations. Validation, verification, performance analysis, interaction detection and test generation can be performed at all stages. Thus, the UCM notation enables a seamless transition from the informal to the formal by bridging the modelling gap between goal models and natural language requirements (e.g., use cases) and design in an explicit and visual way. The UCM notation allows the modeller to delay the specification of component states and messages and even, if desired, of concrete components to later, more appropriate, stages of the development process. The goal of the UCM notation is to provide the right degree of formality at the right time in the development process.
UCM specifications identify input sources and output sinks, as well as describe the required inputs and outputs of a scenario. UCM specifications also integrate many scenarios or related use cases in a map-like diagram. Scenarios can be structured and integrated incrementally. This enables reasoning about and detection of potential undesirable interactions of scenarios and components. Furthermore, the dynamic (runtime) refinement capabilities of the UCM notation allow for the
specification of (runtime) policies and for the specification of loosely coupled systems where functionality is decided at runtime through negotiation between components or compliance to highlevel goals. UCM scenarios can be integrated together, yet individual scenarios are tractable through scenario definitions based on a simple data model. UCMs treat scenario paths as first class model entities and therefore build the foundation to more formally facilitate reusability of scenarios and behavioural patterns across a wide range of architectures.
The UCM notation is a specification language intended for modellers as well as non-specialists because of its visual, simple and intuitive nature but at the same time it aims to provide sufficient rigorousness for developers or tools and contracts.
Most of the characteristics of excellent requirements such as verifiable, complete, consistent, unambiguous, understandable, modifiable and traceable can be supported by UCMs. Others such as prioritized and annotated are easily incorporated.

### 1.3 Documentation structure

This Recommendation defines the User Requirements Notation in the following way:

- Clauses 2, 3 and 4 describe, respectively, references to related ITU-T Recommendations and other standards, definitions and acronyms used in this Recommendation.
- Clause 5 describes conventions used in this Recommendation, with a particular emphasis on metamodelling.
- Clause 6 specifies the abstract syntax of basic structural features of the URN language.
- Clause 7 specifies the abstract syntax, concrete syntax and semantics of GRL features.
- Clause 8 specifies the abstract syntax, concrete syntax and semantics of UCM features.
- Clause 9 specifies the data language used to formalize conditions and expressions.
- Clause 10 specifies an XML-based interchange format for URN models based on the concrete syntax metamodel. The XML schema definition is provided in Annex A.
- Clause 11 describes basic URN analysis techniques, namely GRL model evaluation and UCM scenario path traversal.
- Clause 12 presents how this Recommendation complies with [ITU-T Z.150].
- Clause 13 defines levels of compliances for tools.
- Annex A presents the XML schema definition of the URN interchange format.
- Appendix I provides a summary of the URN metamodel and graphical notation.
- Appendix II gives three examples of GRL model evaluation algorithms.
- Appendix III gives two examples of UCM path traversal mechanisms.


## 2 References

The following ITU-T Recommendations and other references contain provisions which, through reference in this text, constitute provisions of this Recommendation. At the time of publication, the editions indicated were valid. All Recommendations and other references are subject to revision; users of this Recommendation are therefore encouraged to investigate the possibility of applying the most recent edition of the Recommendations and other references listed below. A list of the currently valid ITU-T Recommendations is regularly published. The reference to a document within this Recommendation does not give it, as a stand-alone document, the status of a Recommendation.
[ITU-T T.55] Recommendation ITU-T T. 55 (2008), Use of the universal multiple-octet coded character set (UCS).
[ITU-T Z.104] Recommendation ITU-T Z. 104 (2011), Specification and Description Language - Data and action language in SDL-2010.
[ITU-T Z.111] Recommendation ITU-T Z. 111 (2008), Notations and guidelines for the definition of ITU-T languages.
[ITU-T Z.150] Recommendation ITU-T Z. 150 (2011), User Requirements Notation (URN) Language requirements and framework.
[W3C XSD1] W3C (2004), XML Schema Part 1: Structures Second Edition. [http://www.w3.org/TR/xmlschema-1](http://www.w3.org/TR/xmlschema-1)
[W3C XSD2] W3C (2004), XML Schema Part 2: Datatypes Second Edition. [http://www.w3.org/TR/xmIschema-2](http://www.w3.org/TR/xmIschema-2)

## 3 Definitions

### 3.1 Terms defined elsewhere

The definitions given in [ITU-T Z.150] apply.

### 3.2 Terms defined in this Recommendation

This Recommendation defines the following terms:
3.2.1 abort scope: (UCM) the set of maps comprising the map where the abort start point is defined as well as all lower level maps as established by the hierarchy of stubs and plug-in maps.
3.2.2 actor: (GRL) element that represents an active entity (stakeholder or other) that has intentions and carries out actions to achieve its goals by exercising its know-how.
3.2.3 goal-oriented requirement language (GRL): The subset of the User Requirements Notation used to model and analyse non-functional requirements and quality attributes with goal graphs.
3.2.4 GRL link: (GRL) intentional relationship existing between intentional elements or actors.
3.2.5 indicator: (GRL) relates a measured, real-world, quantitative or qualitative value with a quantitative or qualitative satisfaction value and is used to measure the performance, any other relevant real-world property or satisfaction of an intentional element, actor or system.
3.2.6 in-path: (UCM) incoming path of a stub, in particular the last node connection before reaching the stub.
3.2.7 intentional element: (GRL) element that describes an intention. Used for models that allow answering questions such as why particular behaviours, informational and structural aspects were chosen to be included in the system requirement, what alternatives were considered, what criteria were used to deliberate among alternative options and what the reasons were for choosing one alternative over the other.
3.2.8 out-path: (UCM) outgoing path of a stub, in particular the first node connection after leaving the stub.
3.2.9 release path: (UCM) path with an end point or empty point that is connected to a timer.
3.2.10 scenario definition: (UCM) collection of initial values, initial conditions and desired conditions used to identify and test individual scenarios during the traversal of a UCM model.
3.2.11 strategy: (GRL) collection of satisfaction values associated with intentional elements used to provide an initial context for GRL model analysis.
3.2.12 traversal root map: (UCM) map that is at the highest level in the map hierarchy established by the traversal mechanism for a scenario definition.
3.2.13 trigger path: (UCM) path with an end point or empty point that is connected to a waiting place.
3.2.14 unconnected start point: (UCM) start point that is not a failure start point or abort start point and that is not directly connected to another end point or to another path.
3.2.15 use case map notation: The subset of the User Requirements Notation used to model and analyse operational requirements and functional requirements with use cases and scenarios.
3.2.16 visit: (UCM) visit of a synchronizing stub is characterized by how often an in-path of the stub has been traversed. If an in-path is traversed the first time, then it is the first visit of the stub. If the same in-path is traversed the $\mathrm{n}^{\text {th }}$ time, then it is the $\mathrm{n}^{\text {th }}$ visit of the stub. If another in-path of the stub is traversed for the first time, then it is the first visit of the stub. Plug-in maps that have been instantiated because of a visit are said to belong to the visit.
3.2.17 waiting path: (UCM) incoming path of a waiting place or timer that is not a release path or trigger path.

## 4 Abbreviations and acronyms

This Recommendation uses the following abbreviations:
COTS Commercial-Off-The-Shelf

DSP Digital Signal Processor
FAS Failure start point or Abort Start point
FP Failure Point

FR Functional Requirement
GRL Goal-oriented Requirement Language
MSC Message Sequence Chart
NFR Non-Functional Requirement
NTRP Number of arrivals along the Trigger/Release Path
NWP Number of arrivals along the Waiting Path
OCS Originating Call Screening
PCC Path Continuation Criteria
PT Path Traversal
RP Regular Path
SDL-2010 Specification and Description Language 2010
TRP Trigger/Release Path
TTCN-3 Testing and Test Control Notation (TTCN-3)
UCM Use Case Map
UCS Universal Multiple-Octet Coded Character Set
UML Unified Modelling Language
URN User Requirements Notation
URN-FR User Requirements Notation - Functional Requirements
URN-NFR User Requirements Notation - Non-Functional Requirements

UTF-8 8-bit UCS/Unicode Transformation Format
WP Waiting Path
XML eXtensible Markup Language
XSD XML Schema Definition

## 5 Conventions

The conventions of [ITU-T Z.111] apply to this Recommendation.
This Recommendation uses the universal multiple-octet coded character set (UCS) encoding of characters recommended in [ITU-T T.55].

### 5.1 Grammars

The conventions of [ITU-T Z.111] apply to this Recommendation.

### 5.2 Basic definitions

### 5.2.1 Validity

A specification is a valid User Requirements Notation specification only if it satisfies the syntactic rules and the static conditions defined in this Recommendation.

### 5.3 Presentation style

The conventions of [ITU-T Z.111] apply to this Recommendation.

### 5.3.1 Division of text

The conventions of [ITU-T Z.111] apply to this Recommendation.

### 5.3.2 Titled enumeration items

## a) Abstract grammar

The abstract grammar is specified in the form defined in [ITU-T Z.111]. The metamodel presentation of abstract syntax in [ITU-T Z.111], clause 5.4.1.2 is used. Each metaclass in the metamodel, attributes, relationships to other metaclasses and constraints (static conditions expressed in natural language) are specified. As an extension to [ITU-T Z.111] conventions there are additional subheadings of the form i) Attributes, ii) Relationships and iii) Constraints.

## b) Concrete grammar

The URN concrete grammar is presented as an extension to the abstract grammar metamodel combined with a description of the graphical symbols used. The concrete grammar includes all the metaclasses (with attributes, relationships and constraints) of the abstract grammar. The additional concepts (shown as grey metaclasses) that extend the abstract grammar metamodel are useful to support a graphical language but they have no semantic implication. Common additional concepts include layout information, line styles and informal descriptions. For example, in Figure 1, a colour attribute is added to an element of the abstract grammar. Composition with multiplicity $0 . .1$ is used here to ensure that specifications without this layout information are still valid and that the additional concept will not interfere during analysis.


Figure 1 - Example of metaclasses from an abstract grammar (white) and a concrete grammar (grey)

As a convention and in order to simplify diagrams, metaclasses that are defined in a different section but that are referenced in a diagram will have their attributes hidden. For example, Figure 2 makes reference to an abstract grammar element metaclass defined elsewhere (e.g., in Figure 1), but its attributes are hidden. Note that this is different from a metaclass without attributes, in which case the attribute compartment at the bottom of the metaclass (bounded by an horizontal line in the middle of the rectangle) is empty. Similarly, only relevant associations are presented in diagrams. Appendix I provides a global overview of all metaclasses, their associations and their attributes.


Figure 2 - Example of metaclasses where the left one (with attributes hidden) is detailed elsewhere

Not all URN metaclasses, attributes or relationships have a concrete graphical notation. It is then up to tools to provide ways of creating, accessing and modifying instances of these metaclasses (for instance, through a "property" window).

Many elements with a graphical representation also have model-specific coordinates and sizes. The following convention is used for layout coordinates information.

- Horizontal coordinate (x-axis): An integer value representing the number of point units from the origin (0). Positive values are at the right of the origin and negative values at the left of the origin.
- $\quad$ Vertical coordinate (y-axis): An integer value representing the number of point units from the origin (0). Positive values are below the origin and negative values above the origin.


## c) Semantics

The semantics of the abstract grammar metaclass is expressed in natural language. The semantics of a concrete grammar metaclass is that of its abstract grammar metamodel elements (the additional grey metaclasses have no semantics).
d) Model

This clause, when present, describes shorthand or alternative concrete syntaxes.

## e) Example

Where necessary, examples of use are included. These examples are only informative, not normative.

## $6 \quad$ URN basic structural features

The URN basic structural features describe containers for URN, GRL and UCM specifications, as well as definitions of URN model elements, their links and metadata, concerns and conditions. The abstract syntax metaclasses are first presented in clause 6.1. Their concrete grammar references concrete syntax metaclasses regrouped in clause 6.2.

- $\quad$ Clause 6.1: URN abstract grammar metaclasses
- Clause 6.2: URN concrete grammar metaclasses

NOTE - Clause 9 defines the data model and data language for URN. In particular, it defines the Integer type used in some of the attributes of the abstract and concrete syntax metaclasses for URN. The attribute types Boolean, Nat and String used in these metaclasses are those defined in [ITU-T Z.111].

### 6.1 URN abstract grammar metaclasses

The topmost metaclass, URNspec (see Figure 3), contains directly or indirectly all the other elements of a URN model, including concerns (see Figure 4). In this Recommendation, the terms "URN model" and "URN specification" are used interchangeably.


Figure 3 - Abstract grammar: URN specification, links, metadata and model elements

### 6.1.1 URNspec

URNspec is the root element of a URN model/specification. It names the specification and serves as a container for all the other specification elements (see Figure 3).
a) Abstract grammar

## i) Attributes

- name (String): The name of the URN specification.
ii) Relationships
- Composition of GRLspec (0..1): The URNspec may contain one GRL specification (see clause 7.1.1).
- Composition of UCMspec (0..1): The URNspec may contain one UCM specification (see clause 8.1.1).
- Composition of URNlink ( $0 . .{ }^{*}$ ): The URNspec may contain URN links.
- Composition of Metadata ( $0 .$. .*): The URNspec may contain metadata information.
- Composition of Concern (0..*): The URNspec may contain concerns.


## iii) Constraints

- There exists exactly one instance of URNspec in a URN specification.
b) Concrete grammar

URNspec has no concrete syntax. However, it may contain additional information in an instance of ConcreteURNspec.

## i) Relationships

- Composition of ConcreteURNspec (0..1): The URNspec may contain one concrete URN specification (see Figure 5).
c) Semantics

None (URNspec is a structural concept only).

### 6.1.2 URNmodelElement

URN model elements have names and unique identifiers. They can also be linked to each other (see Figure 3).
a) Abstract grammar
i) Attributes

- id (String): The identifier of the URN model element.
- name (String): The name of the URN model element.
ii) Relationships
- Composition of Metadata (0..*): A URNmodelElement may contain metadata information.
- Association with URNlink (fromLinks, 0..*): A URNmodelElement may be the source of URN links.
- Association with URNlink (toLinks, 0..*): A URNmodelElement may be the target of URN links.
- Association with Concern (0..1): A URNmodelElement may belong to one concern.
- URNmodelElement is a superclass of URNlink, Concern, GRLmodelElement (see clause 7.1.2) and UCMmodelElement (see clause 8.1.2).


## iii) Constraints

- id shall be unique within the URN specification.
- All instances of URNmodelElement shall appear in one of its subclasses (that is, metaclass URNmodelElement is abstract).
b) Concrete grammar

The concrete syntax for URNmodelElement is further defined in its subclasses. In addition, a URNmodelElement may contain an informal description in a Description.
i) Relationships

- Composition of Description (0..1): A URNmodelElement may contain one description (see Figure 6).
c) Semantics

A URNmodelElement is an uniquely identifiable model element that can contain metadata and be linked to other model elements. Its subclasses possibly have additional attributes and relationships.

### 6.1.3 URNlink

A URN link is a URN model element that connects a source URN model element to a target URN model element. URN links have a user-defined type (see Figure 3).
a) Abstract grammar
i) Attributes

- Inherits attributes from URNmodelElement.
- type (String): The user-defined type of the URN link.
ii) Relationships
- Inherits relationships from URNmodelElement.
- Contained by URNspec (1): A URNlink is contained in the URN specification.
- Association with URNmodelElement (fromElem, 1): A URNlink has one source URN model element.
- Association with URNmodelElement (toElem, 1): A URNlink has one target URN model element.
iii) Constraints
- Inherits constraints from URNmodelElement.
b) Concrete grammar

The presence of a link on a source or target model element is indicated with a triangle symbol ( $\downarrow$ ) next to the name of the element, if that element's name is displayed in the concrete syntax.
i) Relationships

- Inherits relationships from URNmodelElement.
c) Semantics

URNlinks provide modellers with a way to create new relationships of various types between any pair of model elements in a URN specification. These links can be used for traceability, refinement, composition and other purposes, hence providing an extensible semantics to URN.

### 6.1.4 Metadata

Metadata is a name-value pair that can be used to attach information to a URN specification or its model elements. Metadata is contained by the URNspec or a URNmodelElement (see Figure 3).
a) Abstract grammar
i) Attributes

- name (String): The name of the URN metadata information instance.
- value (String): The value of the URN metadata information instance.
ii) Relationships
- None.


## iii) Constraints

- Each Metadata instance is contained in exactly one instance of type URNspec or URNmodelElement.
b) Concrete grammar

None.
c) Semantics

Metadata instances provide modellers with a way to attach user-defined named values to most elements found in a URN specification, hence providing an extensible semantics to URN.

### 6.1.5 Concern

A Concern is a guarded grouping of URN model elements. Concerns are typically used to group related GRL and UCM diagrams into one unit of understanding (see Figures 3 and 4).


Figure 4 - Abstract grammar: URN concerns
a) Abstract grammar
i) Attributes

- Inherits attributes from URNmodelElement.
ii) Relationships
- Inherits relationships from URNmodelElement.
- Contained by URNspec (1): A Concern is contained in the URN specification.
- Composition of Condition (0..1): A Concern may contain one condition.
- Association with URNmodelElement (0..*): A Concern possibly groups URN model elements.
iii) Constraints
- Inherits constraints from URNmodelElement.
b) Concrete grammar

A Concern does not have a visual representation.
i) Relationships

- Inherits relationships from URNmodelElement.
c) Semantics

A Concern groups URN model elements together. This grouping can be guarded with a Condition for composition purposes.

### 6.1.6 Condition

Condition is a Boolean expression that serves as a guard, precondition, postcondition or failure condition. A Condition is contained by one of the following model elements: Concern, StartPoint, EndPoint, PluginBinding, NodeConnection or ScenarioDef (see Figures 4, 77 and 92).
a) Abstract grammar
i) Attributes

- expression (String): The Boolean expression of the condition.
ii) Relationships
- None.
iii) Constraints
- The expression of a Condition shall be a Boolean expression, as defined in clause 9.3.
- Each Condition instance is contained in exactly one instance of type Concern, StartPoint, EndPoint, PluginBinding, NodeConnection or ScenarioDef.
b) Concrete grammar

Condition has no concrete syntax, but the label of the ConcreteCondition contained by the condition is visualized for NodeConnections, StartPoints and EndPoints (see clauses 8.2.3, 8.2.6 and 8.2.8, respectively). Conditions for Concerns, PluginBindings or ScenarioDefs are not visualized.

## i) Relationships

- Composition of ConcreteCondition (0..1): A Condition may have one concrete condition (see Figure 7).
- Composition of Label (0..1): A Condition may have one label (see Figure 52).
c) Semantics

The expression of a Condition contained by a Concern indicates whether the grouping of model elements identified by the concern is to be enabled in the URN specification (true) or disabled (false).
The expression of a Condition not contained by a Concern is evaluated at runtime when the model element to which the condition belongs is reached during the path traversal of the UCM specification. The evaluation results in either true or false.
The expression may make use of globally defined Variables and shall be well-formed according to the textual grammar detailed in clause 9.3. If the expression uses a variable name with a "_pre" suffix (e.g., in the postcondition of a scenario definition), then the initialized value of this variable, prior to traversal of the UCM specification, is used. This is mainly useful in postconditions that compare the runtime value of a variable with the initial value of that variable. For example, the expression Variable $X==$ VariableX_pre +1 will be true if VariableX has been incremented by 1 since the beginning of the traversal.
If a Condition is not specified for a Concern, StartPoint, EndPoint, PluginBinding, NodeConnection or ScenarioDef, but the existence of the condition is required by, for example, model analysis, then the evaluation of the required condition is assumed to result in true.

### 6.2 URN concrete grammar metaclasses

The following concrete grammar metaclasses may be contained by some of the abstract grammar metaclasses. They have no semantics.

### 6.2.1 ConcreteURNspec

The ConcreteURNspec metaclass contains standard meta-information about the URN model (URNspec) itself (see Figure 5).

| URNspec | urnspec | info <br>  |
| :--- | :--- | :--- |

## Figure 5 - Concrete grammar: ConcreteURNspec metaclass

a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

There is no visual representation of this metaclass.

## i) Attributes

- description (String): An informal description of the URN specification.
- author (String): The author of the URN specification.
- created (String): The date and time of creation of the URN specification. The suggested format is (in English) "Month day, year hours:minutes:seconds AmOrPm timezone". For example: "November 15, 2007 9:21:06 AM EST".
- modified (String): The date and time of the last modification to the URN specification. The suggested format is (in English) "Month day, year hours:minutes:seconds AmOrPm timezone". For example: "November 15, 2007 9:21:06 AM EST".
- specVersion (String): The version number of the URN specification. It is suggested to use an integer that starts at 1 when the specification is first created and that is incremented by one each time the specification is modified.
- urnVersion (String): The version number of the URN standard used. For example: "Z. 151 (11/08)".
ii) Constraints
- The date modified is later than the date created.


### 6.2.2 Description

An informal Description can be attached to any URNmodelElement (see Figure 6).


Figure 6 - Concrete grammar: Description metaclass
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

There is no visual representation of this metaclass.

## i) Attributes

- description (String): An informal description of the URN model element.


### 6.2.3 ConcreteCondition

ConcreteCondition defines a label and a description for a Condition (see Figure 7).


Figure 7 - Concrete grammar: ConcreteCondition metaclass
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

The label of ConcreteCondition is visualized for containing Conditions of NodeConnections, StartPoints and EndPoints (see clauses 8.2.3, 8.2.6 and 8.2.8, respectively).
i) Attributes

- label (String): The label for the condition is used for visualization purposes.
- description (String): An informal description of the condition.
c) Semantics

None.

## $7 \quad$ GRL features

The goal-oriented requirement language provides a set of URN features that enable the description and analysis of goals/intentions of systems and stakeholders. The GRL features are grouped under six categories:

- GRL basic structural features: clause 7.1.
- GRL actors: clause 7.2.
- GRL intentional elements: clause 7.3.
- GRL links: clause 7.4.
- GRL strategies: clause 7.5.
- GRL indicators: clause 7.6.
- GRL contribution contexts: clause 7.7.
- GRL concrete grammar metaclasses: clause 7.8.

NOTE - Many of the concrete grammar metaclasses defined here are also used by UCM features.

### 7.1 GRL basic structural features

The GRL basic structural features describe containers for GRL specifications, as well as definitions of GRL model elements, including linkable elements. The abstract grammar metaclasses are presented in this clause, whereas their concrete grammar metaclasses are detailed in clause 7.8.

### 7.1.1 GRLspec

GRLspec serves as a container for the GRL specification elements (see Figure 8).
NOTE - The name intElements is used for backward compatibility with previous versions of this Recommendation. A more appropriate name would be contElements.


Figure 8 - Abstract grammar: GRL specification
a) Abstract grammar
i) Attributes

- None.


## ii) Relationships

- Contained by URNspec (1): The GRLspec is contained in the URN specification (see Figure 3).
- Composition of Actor (0..*): The GRLspec may contain actor definitions.
- Composition of GRLContainableElement (0..*): The GRLspec may contain containable elements.
- Composition of IndicatorConversion (0...*): The GRLspec may contain conversions for indicators.
- Composition of ElementLink (0..*): The GRLspec may contain element links.
- Composition of StrategiesGroup (0..*): The GRLspec may contain strategy groups.
- Composition of EvaluationStrategy (0..*): The GRLspec may contain evaluation strategies.
- Composition of ContributionContextGroup (0..*): The GRLspec may contain contribution context groups.
- Composition of ContributionContext ( $0 . . .^{*}$ ): The GRLspec may contain contribution contexts.


## iii) Constraints

- None.
b) Concrete grammar

GRLspec has no concrete syntax. However, it may contain concrete GRL specification information and GRL graphs.
i) Relationships

- Composition of ConcreteGRLspec (0..1): The GRLspec may contain one concrete GRL specification (see Figure 30).
- Composition of GRLGraph (0..*): The GRLspec may contain GRL graphs (see Figure 30).
c) Semantics

None (GRLspec is a structural concept only).

### 7.1.2 GRLmodeIElement

a) Abstract grammar

A GRLmodelElement is a URN model element specialized for GRL concepts (see Figure 9).


Figure 9 - Abstract grammar: GRL model, linkable and containable elements
i) Attributes

- Inherits attributes from URNmodelElement (see Figure 3).
ii) Relationships
- Inherits relationships from URNmodelElement.
- GRLmodelElement is a superclass of GRLLinkableElement, ElementLink, StrategiesGroup, EvaluationStrategy, IndicatorConversion, ContributionContextGroup and ContributionContext.
iii) Constraints
- Inherits constraints from URNmodelElement.
- All instances of GRLmodelElement shall appear in one of its subclasses (that is, metaclass GRLmodelElement is abstract).
b) Concrete grammar

The concrete syntax for GRLmodelElement is further defined in its subclasses.

## i) Relationships

- Inherits relationships from URNmodelElement (see Figure 6).
- GRLmodelElement is a superclass of GRLGraph, ActorRef, GRLNode and LinkRef (see Figure 30).


## c) Semantics

A GRLmodelElement is an uniquely identifiable GRL model element that can contain metadata and be linked to other URN model elements through URNlinks. Its subclasses may have additional attributes and relationships.

### 7.1.3 GRLLinkableElement

A GRLLinkableElement is a GRL model element that has an importance and can be linked to other GRL linkable elements through an ElementLink. GRLLinkableElement abstracts the commonalities of actor definitions, intentional elements and indicators (see Figure 9).
a) Abstract grammar

## i) Attributes

- Inherits attributes from GRLmodelElement.
- importance (ImportanceType): Qualitative importance of the containable element to its containing actor definition in the case of intentional elements and indicators and to the overall GRL model in the case of actors, if any. Default value is None.
- importanceQuantitative (Integer): Quantitative importance of the containable element to its containing actor definition in the case of intentional elements and indicators and to the overall GRL model in the case of actors, if any. Default value is 0 (see clause 9.2.2).
ii) Relationships
- Inherits relationships from GRLmodelElement.
- Association with ElementLink (linksSrc, 0..*): A GRLLinkableElement may be the source of GRL element links.
- Association with ElementLink (linksDest, 0...*): A GRLLinkableElement may be the destination of GRL element links.
- GRLLinkableElement is a superclass of GRLContainableElement and Actor.
- Uses ImportanceType enumeration.


## iii) Constraints

- Inherits constraints from GRLmodelElement.
- All instances of GRLLinkableElement shall appear in one of its subclasses (that is, metaclass GRLLinkableElement is abstract).
- importanceQuantitative $\geq 0$ and importanceQuantitative $\leq 100$.
- The name of a GRLLinkableElement cannot be the empty String "".
b) Concrete grammar

The concrete syntax for GRLLinkableElement is further defined in its subclasses. The line and fill colours of a linkable element are specified in its concrete style (ConcreteStyle) and are hence shared by all the linkable element's references.

## i) Relationships

- Inherits relationships from GRLmodeIElement.
- Composition of ConcreteStyle (0..1): A GRLLinkableElement may contain one concrete style (see Figure 30).


## c) Semantics

A GRLLinkableElement is a GRL model element that can be linked to other actor definitions, intentional elements and indicators. The semantics of importance and importanceQualitative are described by the subclasses of GRLLinkableElement (see clauses 7.1.5 and 7.2.1).

### 7.1.4 ImportanceType

The qualitative importance of an actor to the overall GRL model and of an intentional element or indicator to its actor definition can be High, Medium, Low or None (see Figure 9).
a) Abstract grammar
i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by GRLLinkableElement.


## iii) Constraints

- None.
b) Concrete grammar

None (enumeration metaclass). However, it influences the presentation of intentional elements and indicators contained by actor definitions (see clause 7.8.5) as well as the presentation of actors (see clauses 7.8.3 and 7.8.6).
c) Semantics

High is used for specifying the highest importance, Low for some non-null importance, Medium for a level in between high and low, and finally None for no importance. The satisfaction level of an intentional element or indicator with a None importance will have no impact on the qualitative evaluation of the global satisfaction of the associated actor definition. The satisfaction level of an actor with a None importance will have no impact on the qualitative evaluation of the global satisfaction of the overall GRL model.

### 7.1.5 GRLContainableElement

A GRLContainableElement is a GRL model element that can be contained in an actor. GRLContainableElement abstracts the commonalities of intentional elements and indicators (see Figure 9). A GRLContainableElement may be given Evaluation values (see Figure 24).
a) Abstract grammar
i) Attributes

- Inherits attributes from GRLLinkableElement.
ii) Relationships
- Inherits relationships from GRLLinkableElement.
- Association with Actor (0..1): A GRLContainableElement may be contained in one actor definition.
- GRLContainableElement is a superclass of IntentionalElement and Indicator.


## iii) Constraints

- Inherits constraints from GRLLinkableElement.
- All instances of GRLContainableElement shall appear in one of its subclasses (that is, metaclass GRLContainableElement is abstract).
- If importance of a GRLContainableElement is greater than 0 , then the GRLContainableElement shall be contained in an actor definition.
- If importanceQuantitative is not equal to None, then the GRLContainableElement shall be contained in an actor definition.
b) Concrete grammar

The concrete syntax for GRLContainableElement is further defined in its subclasses.

## i) Relationships

- Inherits relationships from GRLLinkableElement.
- Association with IntentionalElementRef (0..*): An GRLContainableElement may be referenced by intentional (and indicator) element references (see Figure 30).
c) Semantics

A GRLContainableElement is a GRL model element that can be contained in an actor definition.

A value of 0 for importanceQuantitative means that the intentional element or indicator is not important to the actor definition, whereas a value of 100 means that it is highly important. A value of None for importance means that the intentional element or indicator is not important to the actor definition, whereas a value of High means that it is highly important. Often, only top-level intentional elements or indicators in a GRL actor will have a non-null importance factor, which summarizes the importance of decomposing or contributing elements.
The two importance attributes are only taken into consideration during actor satisfaction analysis, when the intentional element or indicator is included by an actor definition (they have no meaning otherwise). Only the relevant importance attribute is considered depending on the type of analysis (qualitative or quantitative).
It is not required for importance and importanceQuantitative to be consistent, as modellers may want to use only one type of analysis (qualitative or quantitative). However, it is recommended to keep them consistent if the modellers intend to switch between different types of analysis.

### 7.2 GRL actors

Figure 10 shows the metaclasses for GRL actors, intentional elements and their links. It is referenced by this clause as well as by clauses 7.3 and 7.4.


Figure 10 - Abstract grammar: GRL actors, intentional elements and links

### 7.2.1 Actor

An Actor (also referred to as actor definition) is a GRL linkable element that represents an entity that has intentions and carries out actions to achieve its goals by exercising its know-how. Actor definitions are often used to represent stakeholders as well as systems. Actor definitions may contain containable elements (see Figure 10).

One could start modelling the domain using only actors and dependencies between actors, without containable elements inside the actors. One can then add intentional elements and indicators inside the actors to specify why actors depend on each other and how dependencies between actors are fulfilled.
a) Abstract grammar
i) Attributes

- Inherits attributes from GRLLinkableElement.
ii) Relationships
- Inherits relationships from GRLLinkableElement.
- Contained by GRLspec (1): An Actor definition is contained in the GRL specification (see Figure 8).
- Association with GRLContainableElement (0..*): An Actor definition may contain intentional elements and indicators.
iii) Constraints
- Inherits constraints from GRLLinkableElement.
- Any two Actor definitions cannot share the same name inside a URN specification.
b) Concrete grammar

An actor definition does not have a visual representation, but actor references (ActorRef) and collapsed actor references (CollapsedActorRef) in GRL diagrams do have a graphical representation. The colour of an actor definition's circle line and the fill colour are defined in the concrete style (ConcreteStyle) (from superclass GRLLinkableElement) and are hence shared by all the actor's references.

## i) Relationships

- Inherits relationships from GRLLinkableElement.
- Association with ActorRef $\left(0 . .^{*}\right)$ : An Actor definition may be referenced by actor references (see Figure 30).
- Association with CollapsedActorRef (0..*): An Actor definition may be referenced by collapsed actor references (see Figure 30).
c) Semantics

An Actor definition is a GRL linkable element that may contain intentional elements and indicators describing its intentions, capabilities and related measures. An actor definition may also depend on another actor definition to satisfy some intentional element or indicator. How well an actor definition is satisfied depends on the satisfaction level and importance of the intentional elements and indicators it contains.
A value of 0 for importanceQuantitative means that the actor is not important to the overall GRL model, whereas a value of 100 means that the actor is highly important. A value of None for importance means that the actor is not important to the overall GRL model, whereas a value of High means that the actor is highly important.
The two importance attributes are taken into consideration during overall GRL model satisfaction analysis. Only the relevant importance attribute is considered depending on the type of analysis (qualitative or quantitative).
It is not required for importance and importanceQuantitative to be consistent, as modellers may want to use only one type of analysis (qualitative or quantitative). However, it is recommended to keep them consistent if the modellers intend to switch between different types of analysis.
d) Model

None.
e) Examples

Figure 11 is a GRL diagram that shows a "Telecom Provider" as a collapsed actor (left) and as an actor with boundary (right). The collapsed actor has an importance value of 50 whereas the actor with boundary has an importance value of 0 (hence its importance is not shown). See clauses 7.8.3 and 7.8.6 for the details of the concrete syntax.


Figure 11 - Example: GRL collapsed actor (left) and actor with boundary (right)

### 7.3 GRL intentional elements

### 7.3.1 IntentionalElement

An IntentionalElement is a GRL containable element used for models that allow answering questions such as why particular behaviours, informational and structural aspects were chosen to be included in the system requirement, what alternatives were considered, what criteria were used to deliberate among alternative options and what the reasons were for choosing one alternative over
another. Intentional elements may be included in actor definitions and they can be linked to each other in different ways. There are different types of intentional elements specified. Intentional elements can be decomposed and they can be given a quantitative or qualitative importance level when included in an actor definition (see Figure 10).
a) Abstract grammar

## i) Attributes

- Inherits attributes from GRLContainableElement.
- type (IntentionalElementType): The type of intentional element.
- decompositionType (DecompositionType): The type of decomposition when this intentional element is the source of decomposition link, if any. Default value is AND.
ii) Relationships
- Inherits relationships from GRLContainableElement.
- Uses IntentionalElementType enumeration.
- Uses DecompositionType enumeration.


## iii) Constraints

- Inherits constraints from GRLContainableElement.
- If an IntentionalElement is associated with an Actor definition, then there is only one IntentionalElement with this name associated with the Actor definition.
- If an IntentionalElement is not associated with any Actor definition, then there is only one IntentionalElement with this name that is not associated with any Actor definition.
b) Concrete grammar

An intentional element does not have a visual representation, but intentional element references (IntentionalElementRef) in GRL diagrams do have a graphical representation.
i) Relationships

- Inherits relationships from GRLContainableElement.
c) Semantics

An IntentionalElement describes an intention or a capability. An intentional element contained in an Actor definition is held by this actor definition and therefore describes part of its intentions or capabilities.
d) Model

None.
e) Examples

Figure 12 is a GRL diagram that shows five intentional elements, one for each type.


Figure 12 - Example: GRL intentional elements

- "Voice Connection Be Setup" is defined as a (hard) goal because this is something that can be achieved entirely.
- "High Reliability" is defined as a softgoal because this is something that can never be entirely achieved (but that can be sufficiently achieved).
- "Make Voice Connection Over Wireless" is defined as a task because this is a particular way of setting up a connection.
- "Internet Connection" is defined as a resource because this is a physical entity that can be available or not.
- "Wireless is less reliable than Internet" is defined as a belief because this provides a rationale for some of the design decisions.
See clauses 7.1.4, 7.3.2, and 7.8.5 for the details of the concrete syntax.
The GRL diagram in Figure 13 shows a goal and a task contained by a "Telecom Provider" actor. The goal has an importance value of 50 whereas the task has an importance value of 0 (hence its importance is not shown).


Figure 13 - Example: GRL actor that contains a goal and a task

### 7.3.2 IntentionalElementType

An intentional element can be a Goal, Softgoal, Task, Resource or Belief (see Figure 10).
a) Abstract grammar

## i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by IntentionalElement.
iii) Constraints
- None.
b) Concrete grammar

The symbols in Figure 14 are used to denote the various types of GRL intentional elements. See usage in IntentionalElementRef, clause 7.8.5.


Figure 14 - Symbol: GRL intentional element types
c) Semantics

- A (hard) Goal is a condition or state of affairs in the world that the stakeholders would like to achieve. How the goal is to be achieved is not specified, allowing alternatives to be considered. A goal can be either a business goal or a system goal. A business goal expresses goals regarding the business or state of the business affairs the individual or organization wishes to achieve. A system goal expresses goals the target system should achieve and generally describes the functional requirements of the target information system.
- A Softgoal is a condition or state of affairs in the world that the actor would like to achieve, but unlike in the concept of (hard) goal, there are no clear-cut criteria for whether the condition is achieved, and it is up to subjective judgement and interpretation of the modeller to judge whether a particular state of affairs in fact achieves sufficiently the stated softgoal. Softgoals are often used to describe qualities and non-functional aspects such as security, robustness, performance, usability, etc.
- A Task specifies a particular way of doing something. When a task is part of the decomposition of a (higher-level) task, this restricts the higher-level task to that particular course of action. Tasks can also be seen as the solutions in the target system, which will address (or operationalize) goals and softgoals. These solutions provide operations, processes, data representations, structuring, constraints and agents in the target system to meet the needs stated in the goals and softgoals.
- A Resource is a physical or informational entity, for which the main concern is whether it is available.
- A Belief is used to represent design rationale. Beliefs make it possible for domain characteristics to be considered and properly reflected in the decision-making process, hence facilitating later review, justification and change of the system, as well as enhancing traceability.


### 7.4 GRL links

### 7.4.1 ElementLink

An ElementLink connects two GRL linkable elements and represents the intentional relationship existing between them. ElementLink abstracts the commonalities of decomposition, contribution and dependency links (see Figure 10).
a) Abstract grammar

## i) Attributes

- Inherits attributes from GRLmodelElement (see Figure 9).
ii) Relationships
- Inherits relationships from GRLmodeIElement.
- Contained by GRLspec (1): An ElementLink is contained in the GRL specification (see Figure 8).
- Association with GRLLinkableElement (src, 1): An ElementLink has one source GRL linkable element.
- Association with GRLLinkableElement (dest, 1): An ElementLink has one destination GRL linkable element.
- ElementLink is a superclass of Contribution, Dependency and Decomposition.


## iii) Constraints

- Inherits constraints from GRLmodelElement.
- All instances of ElementLink shall appear in one of its subclasses (that is, metaclass ElementLink is abstract).
- The source and destination GRL linkable elements shall be different.
b) Concrete grammar

The concrete syntax for ElementLink is further defined in its subclasses.
i) Relationships

- Inherits relationships from GRLmodelElement (see Figure 30).
- Association with LinkRef (0..*): An ElementLink may have link references (see Figure 30).
c) Semantics

An ElementLink is a directed link that connects a source actor definition, intentional element or indicator to a different destination actor definition, intentional element or indicator. The semantics of the link is provided by the subclass used.

### 7.4.2 Contribution

A Contribution link describes how a source intentional element or source indicator contributes to the satisfaction of a destination intentional element. A contribution is an effect that is a primary desire during modelling, whereas a correlation expresses knowledge about interactions between intentional elements in different categories. A correlation link is the same as a contribution link except that the correlation is not an explicit desire, but is a side-effect and that correlations are only used with intentional elements and not with indicators (see Figure 10).
a) Abstract grammar
i) Attributes

- Inherits attributes from ElementLink.
- contribution (ContributionType): The qualitative level of contribution. Default value is Unknown.
- quantitativeContribution (Integer): The quantitative level of contribution. Default value is 0 (see clause 9.2.2).
- correlation (Boolean): Indicates whether the link is a regular contribution (false) or a correlation (true). Default value is false.
ii) Relationships
- Inherits relationships from ElementLink.
- Uses ContributionType enumeration.


## iii) Constraints

- Inherits constraints from ElementLink.
- Actor definitions can neither be the source nor the destination of a contribution.
- The destination linkable element (dest) shall not be an intentional element of type Resource or Belief.
- The destination linkable element (dest) shall not be an indicator.
- If the source linkable element (src) is an indicator, then correlation is false.
- quantitativeContribution $\geq-100$ and quantitativeContribution $\leq 100$.
b) Concrete grammar

A Contribution does not have a visual representation, but link references (LinkRef) in GRL diagrams do provide a graphical representation.

## i) Relationships

- Inherits relationships from ElementLink.


## c) Semantics

A Contribution defines the level of impact that the satisfaction of a source intentional element or indicator has on the satisfaction of a destination intentional element. If the impact is qualitative (positive or negative, sufficient or insufficient; see the contributions in clause 7.4.3), then contribution will be used in goal model evaluations. The impact can be
also quantitative (value in [-100, 100]) in which case quantitativeContribution will be used in goal model evaluations. A correlation link (correlation is true) has the same impact on an evaluation as regular contribution links, but it emphasizes side-effects between intentional elements in different categories or actor definitions. Correlations are not used with indicators.

Only the relevant contribution attribute is considered depending on the type of analysis (qualitative or quantitative). It is not required for contribution and quantitativeContribution to be consistent, as modellers may want to use only one type of analysis (qualitative or quantitative). However, it is recommended to keep them consistent if the modellers intend to switch between different types of analysis.
NOTE - Semantic variation: Modellers are allowed to impose additional stylistic constraints on the well-formedness of contributions. For example, as it is possible for contribution links to be qualitative and partial, while goals, tasks and resources represent clear-cut concepts, a constraint is able specify that intentional elements only contribute to softgoals, though the destination intentional element has to be a softgoal.
d) Model

None.

## e) Example

Figure 15 is a GRL diagram that shows three contributions and two correlations linking five intentional elements.


Figure 15 - Example: GRL contributions and correlations

- "Make Voice Connection Over Wireless" has a positive and sufficient contribution on "High Reliability".
- "Make Voice Connection Over Internet" has some positive contribution on "High Reliability".
- "Wireless is less reliable than Internet" has some negative contribution on "High Reliability".
- "Make Voice Connection Over Wireless" has some negative correlation (side-effect) on "Minimize Spectrum Usage".
- "Make Voice Connection Over Internet" has some positive correlation (side-effect) on "Minimize Spectrum Usage".
See clauses 7.4.3 and 7.8.7 for the details of the concrete syntax.


### 7.4.3 ContributionType

A qualitative contribution level in a Contribution link can take one of the following values: Make, Help, SomePositive, Unknown, SomeNegative, Hurt, Break (see Figure 10).
a) Abstract grammar
i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by Contribution and by ContributionChange (see Figure 29).
iii) Constraints
- None.
b) Concrete grammar

Figure 16 lists the icons used to annotate GRL contribution links (including correlation links) according to the value of their (qualitative) contribution. See usage in LinkRef, clause 7.8.7.


Figure 16 - Symbol: GRL contribution types
c) Semantics

The qualitative contribution of a source intentional element or indicator to a destination intentional element can be one of the following values based on the degree (positive or negative) and sufficiency of the contribution to the satisfaction of the destination intentional element:

- Make: The contribution is positive and sufficient.
- Help: The contribution is positive but not sufficient.
- SomePositive: The contribution is positive, but the extent of the contribution is unknown.
- Unknown: There is some contribution, but the extent and the degree (positive or negative) of the contribution is unknown.
- SomeNegative: The contribution is negative, but the extent of the contribution is unknown.
- Break: The contribution of the contributing element is negative and sufficient.
- Hurt: The contribution is negative but not sufficient.
d) Model

An alternative presentation of the Unknown contribution is to simply omit the Unknown icon on the contribution link. This makes GRL diagrams less cluttered, without loss of information.

### 7.4.4 Dependency

A Dependency describes how a source actor definition (the depender) depends on a destination actor definition (the dependee) for an intentional element or indicator (the dependum). Often, the modeller will use two consecutive dependency links (from depender to dependum, and from
dependum to dependee) to express detailed dependencies, but dependencies can be used in more generic situations as well (see Figure 10).
The dependum specifies what the dependency is about, i.e., the intentional element or indicator around which a dependency relationship centres. With an intentional element or indicator as a source of the dependency, the depender may specify why it depends on the dependee for the dependum. With an intentional element or indicator as a target of the dependency, the dependee may specify how it is required to provide or satisfy the dependum.
a) Abstract grammar

## i) Attributes

- Inherits attributes from ElementLink.
ii) Relationships
- Inherits relationships from ElementLink.


## iii) Constraints

- Inherits constraints from ElementLink.
- Intentional elements of type Belief can neither be the source nor the destination of a dependency.
- At least one of the GRL linkable elements linked by a dependency link shall be an actor definition or an intentional element contained in an actor definition or an indicator contained in an actor definition.
- If the source and destination linked by a dependency link are intentional elements or indicators, then these intentional elements and indicators shall not be contained in the same actor definition.
b) Concrete grammar

A Dependency does not have a visual representation, but link references (LinkRef) in GRL diagrams do provide a graphical representation for dependencies.

## i) Relationships

- Inherits relationships from ElementLink.


## c) Semantics

Dependencies enable reasoning about how actor definitions depend on each other to achieve their goals. The satisfaction level of the depender may be limited by the ability of the dependee to provide the dependum to the depender.
Dependency links can be used in a number of configurations including but not limited to the ones described below. According to the required level of detail, intentional elements or indicators inside actor definitions can be used as source and/or destination of a dependency link. Assume Depender and Dependee are different instances of Actor definition, D1 and D2 are different instances of Dependency, and Why, How and What are different instances of GRLContainableElement. Why is inside Depender, How is inside Dependee and What is not inside any actor definition. A named arrow ( $-D \rightarrow$ ) indicates the presence of a dependency link $D$ between the source and target GRL linkable elements involved.
i) Depender $-\mathrm{D} 1 \rightarrow$ What $-\mathrm{D} 2 \rightarrow$ Dependee

- Depender depends on Dependee for What. What represents the dependum.
ii) Depender —D1 $\rightarrow$ How
- Depender depends on Dependee for How. The dependum is unknown.
iii) Why -D $\rightarrow$ What - D $2 \rightarrow$ Dependee
- Why in Depender depends on Dependee for What. What represents the dependum.
iv) Why -D1 $\rightarrow$ How
- Why in Depender depends on Dependee for How. The dependum is unknown.
v) Depender - D1 $\rightarrow$ Dependee
- Depender depends on Dependee. The dependum is unknown.
vi) Why - D1 $\rightarrow$ What-D2 $\rightarrow$ How
- Why in Depender depends on How in Dependee for What. What represents the dependum.


## d) Model

None.
e) Examples

The following examples are GRL diagrams illustrating the six configurations discussed in the semantics clause. The same numbering scheme is used. Explanations follow each diagram. The types of intentional elements used here are simply examples and do not preclude other usages in dependencies.


Figure 17 - Example: GRL dependencies (configuration 1)
i) The Store depends on the Telecom Provider to provide an Internet Connection (Figure 17). This is a configuration that focuses solely on strategic dependencies between actors. Why and how the dependum is provided are unknown.


Figure 18 - Example: GRL dependencies (configuration 2)
ii) The Store depends on the Telecom Provider to create an account (Figure 18). The dependum and why it is required are unknown.


Figure 19 - Example: GRL dependencies (configuration 3)
iii) To increase its visibility, the Store depends on the Telecom Provider to provide an Internet Connection (Figure 19). How the dependum is provided is unknown.


Figure 20 - Example: GRL dependencies (configuration 4)
iv) To increase its visibility, the Store depends on the Telecom Provider to create an account (Figure 20). The dependum is unknown.


Figure 21 - Example: GRL dependencies (configuration 5)
v) The Store depends on the Telecom Provider (Figure 21). This is a configuration that is typical of preliminary goal models that require further refinement. A dependency is identified, but what, why and how are still unknown.


Figure 22 - Example: GRL dependencies (configuration 6)
vi) To increase its visibility, the Store depends on the Telecom Provider to provide an Internet Connection by creating an account (Figure 22). This is a configuration that details the dependum (the Internet connection) together with why it is required and how it is provided.

### 7.4.5 Decomposition

Decomposition links provide the ability to define what source intentional elements need to be satisfied or available in order for a target intentional element to be satisfied. The type of decomposition (AND, XOR, IOR) is specified by the decompositionType attribute of the target intentional element. Therefore, an intentional element can be decomposed using one decomposition type only (see Figure 10).
a) Abstract grammar

## i) Attributes

- Inherits attributes from ElementLink.
ii) Relationships
- Inherits relationships from ElementLink.


## iii) Constraints

- Inherits constraints from ElementLink.
- Actor definitions can neither be the source nor the destination of a decomposition.
- Intentional elements of type Belief can neither be the source nor the destination of a decomposition.
- Indicators cannot be the destination of a decomposition.
b) Concrete grammar

A Decomposition does not have a visual representation, but link references (LinkRef) in GRL diagrams do provide a graphical representation.

## i) Relationships

- Inherits relationships from ElementLink.
c) Semantics

Decomposition links connect the essential parts of an intentional element, which include subtasks that shall be performed, subgoals that shall be achieved, resources that shall be accessible and softgoals that shall be satisfied. There is no ordering between the decomposing elements.
A Decomposition link enables the hierarchical decomposition (AND) of a target intentional element by a source element. A target intentional element can be decomposed into many source intentional elements using as many decomposition links. All of the source intentional elements are necessary for the target intentional element to be satisfied.
A Decomposition link also enables the description of alternative means of satisfying a target intentional element (XOR for mutually exclusive alternatives, or IOR for alternatives that are not mutually exclusive). One of the source intentional elements is sufficient for the target intentional element to be satisfied.
NOTE - Semantic variation: Modellers are allowed to impose additional stylistic constraints on the wellformedness of decomposition links. For example, tasks could be limited to AND decomposition, so that if the target of a decomposition link is an intentional element of type Task, then its decomposition type has to be AND.
d) Model

None.
e) Examples

Figure 23 shows two representations of XOR decompositions. On the left, the goal is decomposed into two mutually exclusive alternatives presented as tasks. On the right, the same decomposition is presented using means-end relationships (with the same meaning). See clauses 7.4.6 and 7.8.7 for the details of the concrete syntax.


Figure 23 - Example: GRL XOR decomposition: normal (left) and means-end (right) presentations

### 7.4.6 DecompositionType

a) Abstract grammar

An intentional element can be decomposed in one of three ways according to its decompositionType attribute: AND, XOR or IOR (see Figure 10).
i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by IntentionalElement.
iii) Constraints

None.
b) Concrete grammar

There is no specific icon for decomposition types. The name of the decomposition type itself (AND, XOR or IOR) is used. See usage in LinkRef, clause 7.8.7.
c) Semantics

- AND decomposition: The satisfaction of each of the sub-intentional elements is necessary to achieve the target.
- XOR decomposition: The satisfaction of one and only one of the sub-intentional elements is necessary to achieve the target.
- IOR decomposition: The satisfaction of one of the sub-intentional elements is sufficient to achieve the target, but many sub-intentional elements can be satisfied.


### 7.5 GRL strategies

GRL strategies are sets of initial evaluation values given to some containable elements (i.e., intentional elements and indicators) in a GRL model. For indicators, the initial evaluation values are based on real-world measures. These evaluation values, which can be quantitative or qualitative, are satisfaction levels that can then be propagated to the other intentional elements and indicators in the GRL model through the various decomposition, contribution and dependency links connecting them. Evaluations are used to assess how well goals in a model are achieved in a given context, which enables the selection of alternatives that represent appropriate trade-offs among the often-conflicting goals of the stakeholders/actors involved. A good strategy provides rationale and documentation for decisions leading to requirements, providing better context for standards/system developers and implementers while avoiding unnecessary re-evaluations of worse alternative strategies.
NOTE 1 - The name intElement is used for backward compatibility with previous versions of this Recommendation. A more appropriate name would be contElement.
NOTE 2 - The name group is used for backward compatibility with previous versions of this Recommendation. A more appropriate name would be groups.


Figure 24 - Abstract grammar: GRL evaluation strategies

### 7.5.1 StrategiesGroup

A StrategiesGroup is a collection of evaluation strategies. It is used to organize evaluation strategies and to manipulate them as a group (see Figure 24).
a) Abstract grammar
i) Attributes

- Inherits attributes from GRLmodelElement.
ii) Relationships
- Inherits relationships from GRLmodeIElement.
- Contained by GRLspec (1): A StrategiesGroup is contained in the GRL specification (see Figure 8).
- Association with EvaluationStrategy (0..*): A StrategiesGroup may refer to evaluation strategies.
iii) Constraints
- Inherits constraints from GRLmodelElement.
b) Concrete grammar

A StrategiesGroup does not have a visual representation.
i) Relationships

- Inherits relationships from GRLmodeIElement.
c) Semantics

None (StrategiesGroup is a structural concept only).

### 7.5.2 EvaluationStrategy

An EvaluationStrategy is a collection of evaluations. It is used to define satisfaction levels for a subset of the intentional elements of a GRL specification. An evaluation strategy provides the initial context for GRL model analysis based on a satisfaction propagation algorithm. The same evaluation strategy may be part of multiple groups of strategies (see Figure 24).

## a) Abstract grammar

## i) Attributes

- Inherits attributes from GRLmodelElement.


## ii) Relationships

- Inherits relationships from GRLmodeIElement.
- Contained by GRLspec (1): An EvaluationStrategy is contained in the GRL specification (see Figure 8).
- Composition of Evaluation (0..*): An EvaluationStrategy may contain evaluations.
- Association with StrategiesGroup (1..*): An EvaluationStrategy is referenced by at least one group of strategies.
- Association with EvaluationStrategy (parentStrategies, 0...): An EvaluationStrategy may be included by evaluation strategies.
- Association with EvaluationStrategy (includedStrategies, 0...) \{ordered\}: An EvaluationStrategy may have an ordered collection of included evaluation strategies.


## iii) Constraints

- Inherits constraints from GRLmodelElement.
- The strategy containment hierarchy established by the includedStrategies relationship does not contain any cycles (i.e., an EvaluationStrategy shall not appear more than once on a path from a top node to a leaf node in the containment hierarchy).
- Let LE be the list of Evaluations of an EvaluationStrategy. Let LCE be the list of GRLContainableElements associated with at least one Evaluation in LE. LCE shall not contain the same GRLContainableElement more than once.
- Let LE be the list of Evaluations of an EvaluationStrategy and the list of Evaluations of all includedStrategies of the same EvaluationStrategy. Let LEI be the subset of LE that contains only those Evaluations that are associated with a GRLContainableElement of type Indicator. Let LI be the list of Indicators associated with at least one Evaluation in LEI. For each Indicator in LI, there exists at least one Evaluation in LEI that is associated with the indicator and that is also associated with an IndicatorConversion. For each Indicator in LI, there exists at least one Evaluation in LEI that is associated with the indicator and that also contains an IndicatorEvaluation.
b) Concrete grammar

An EvaluationStrategy does not have a visual representation.

## i) Relationships

- Inherits relationships from GRLmodeIElement.
c) Semantics

The Evaluations contained in an EvaluationStrategy represent an initial context for the evaluation of a GRL model. Using a model evaluation algorithm (see clause 11.1), the initial values specified in the evaluations are propagated to the intentional elements and indicators that do not have any initial evaluation value, through the element links that connect them.
If an evaluation strategy contains another evaluation strategy, the evaluation algorithm considers the union of the Evaluations. For conflicting Evaluations (i.e., evaluations of the same containable element) however, the Evaluation of the containing evaluation strategy overrides the Evaluation of the contained evaluation strategy. If more than one evaluation strategy is included, then the last evaluation strategy in the ordered list of included evaluation strategies takes precedence over the previous ones (i.e., the evaluations are
applied beginning with the first included evaluation strategy in the ordered list; then each other evaluation strategy is applied up to the last included evaluation strategy, and finally the evaluations of the including evaluation strategy are applied).

### 7.5.3 Evaluation

An Evaluation provides initial quantitative and qualitative evaluation values to a containable element (see Figure 24).
a) Abstract grammar

## i) Attributes

- evaluation (Integer): Initial quantitative satisfaction value (also called evaluation value) of the associated intentional element. Default value is 0 (see clause 9.2.2).
- qualitativeEvaluation (QualitativeLabel): Initial qualitative satisfaction value (also called evaluation value) of the associated intentional element. Default value is None.
- exceeds (Boolean): Indicates whether the quantitative and qualitative evaluations exceed expectations (true) or not (false). Default value is false.
ii) Relationships
- Contained by EvaluationStrategy (1): An Evaluation is contained in one evaluation strategy.
- Composition of IndicatorEvaluation (0..1): An Evaluation may contain one set of realworld values (see Figure 26).
- Association with GRLContainableElement (1): An Evaluation provides initial evaluation values to one containable element.
- Association with IndicatorConversion (0..1): An Evaluation may have one conversion method to convert real-world values into GRL evaluation values (see Figure 26).
- Uses QualitativeLabel enumeration.


## iii) Constraints

- evaluation $\geq-100$ and evaluation $\leq 100$.
- If exceeds is true, then evaluation is 100 and qualitativeEvaluation is Satisfied.
- If the intElement of an Evaluation is of type Indicator, then the conversion shall be specified for the Evaluation.
- If the intElement of an Evaluation is of type Indicator, then the unit of the Indicator of the Evaluation is the same as the unit of the IndicatorConversion of the Evaluation.
b) Concrete grammar

An Evaluation does not have a visual representation. However, it may impact the presentation of intentional (and indicator) element references (see clause 7.8.5).
c) Semantics

An Evaluation defines the initial level of satisfaction of an intentional element and indicator. In the case of indicators, the initial level of satisfaction is based on a real-world measure (IndicatorEvaluation) and a conversion method (IndicatorConversion). If the level of satisfaction is qualitative (see the qualitative label types in clause 7.5.4), then qualitativeEvaluation will be used in goal model evaluations. If the level of satisfaction is quantitative (integer value between -100 for sufficiently denied and +100 for sufficiently satisfied, inclusively), then evaluation will be used in goal model evaluations. An evaluation value of 0 means that the intentional element is neither satisfied nor denied.

The exceeds attribute captures the fact that the initial level of satisfaction goes beyond expectations. This attribute is mostly used with indicators to indicate that the measured real-world value actually exceeds the agreed upon value which, when converted into a GRL evaluation value, results in full satisfaction.
Only the relevant evaluation attribute is considered depending on the type of analysis (qualitative or quantitative). It is not required for evaluation and qualitativeEvaluation to be consistent as modellers may want to use only one type of analysis (qualitative or quantitative). However, it is recommended to keep them consistent if the modellers intend to switch between different types of analysis.

### 7.5.4 QualitativeLabel

A QualitativeLabel represents the qualitative satisfaction level of an intentional element, indicator or actor. It is also used by conversions from qualitative real-world values to GRL evaluation values. It can be one of the following values: Denied, WeaklyDenied, WeaklySatisfied, Satisfied, Conflict, Unknown and None (see Figure 24).
a) Abstract grammar

## i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by Evaluation and QualToQMapping (see Figure 26).
iii) Constraints

None.
b) Concrete grammar

Figure 25 lists the icons that are used to annotate GRL intentional elements, indicators and actors according to their (qualitative) satisfaction level for a given strategy evaluation. See usage in ActorRef, clause 7.8.3, IntentionalElementRef, clause 7.8.5, CollapsedActorRef, clause 7.8.6.


Denied


Weakly denied




Figure 25 - Symbol: GRL qualitative labels

## c) Semantics

The qualitative satisfaction level of an intentional element or indicator can be one of the following values based on the degree (positive or negative) and magnitude of the satisfaction:

- Denied: The intentional element or indicator is sufficiently dissatisfied.
- WeaklyDenied: The intentional element or indicator is partially dissatisfied.
- WeaklySatisfied: The intentional element or indicator is partially satisfied.
- Satisfied: The intentional element or indicator is sufficiently satisfied.
- Conflict: There are arguments strongly in favour and strongly against the satisfaction of the intentional element or indicator.
- Unknown: The satisfaction level of the intentional element or indicator is unknown.
- None: The intentional element or indicator is neither satisfied nor dissatisfied.


## d) Model

An alternative presentation of the None satisfaction level is to simply omit the None icon on the intentional element or indicator. This makes GRL diagrams less crowded, without loss of information.

### 7.6 GRL indicators

GRL indicators represent real-world measurements in the GRL model. Two types of conversions translate a quantitative or qualitative real-world measurement into a quantitative or qualitative GRL evaluation value which can then be taken into consideration during GRL model analysis.


Figure 26 - Abstract grammar: GRL indicators

### 7.6.1 Indicator

An Indicator is a GRL containable element used for models that base GRL model analysis on realworld measurements. An indicator substantiates qualitative or quantitative evaluation values in the GRL model with the help of real-world data, allowing GRL model analysis to be more accurate and realistic than with satisfaction values only. Indicators may be included in actor definitions, they can be linked to each other in different ways and they can be given a quantitative or qualitative importance level when included in an actor definition (see Figure 26).
a) Abstract grammar
i) Attributes

- Inherits attributes from GRLContainableElement.
- unit (String): Indicates the measurement unit of the real-world values. Default value is the empty String "".
ii) Relationships
- Inherits relationships from GRLContainableElement.


## iii) Constraints

- Inherits constraints from GRLContainableElement.
- If an Indicator is associated with an Actor definition, then there is only one Indicator with this name associated with the Actor definition.
- If an Indicator is not associated with any Actor definition, then there is only one Indicator with this name that is not associated with any Actor definition.
b) Concrete grammar

An indicator does not have a visual representation, but intentional element (or indicator) references (IntentionalElementRef) in GRL diagrams do have a graphical representation.

## i) Relationships

- Inherits relationships from GRLContainableElement.
c) Semantics

An Indicator describes a qualitative or quantitative real-world measurement (IndicatorEvaluation contained by Evaluation associated with superclass GRLContainableElement of the indicator). This measurement is converted into a qualitative or quantitative GRL evaluation value (Evaluation) as defined by a conversion method (IndicatorConversion associated with the same Evaluation). An Indicator may have several IndicatorEvaluations, i.e., one for each EvaluationStrategy.
An indicator contained in an Actor definition belongs to this actor definition and therefore describes an actor-specific measurement.
The unit attribute of Indicator defines the unit of the real-world measurements of the indicator as defined in the previous paragraph (i.e., realWorldValue or realWorldLabel of IndicatorEvaluation). If decimal points are desired for the realWorldValue, then the unit is adjusted as the realWorldValue is always an integer value. For example, to set the realWorldValue to $\$ 1.15$, the realWorldValue is set to 115 and the unit of the Indicator is set to "cents". Similarly, to set the realWorldValue to $3.5 \%$, the realWorldValue is set to 35 and the unit is set to " $1 / 10$ of a percent".
The unit of Indicator and unit of all its IndicatorConversions applied to the real-world measurements of the indicator (i.e., the realWorldValues or realWorldLabels of all IndicatorEvaluations) shall be the same.

## d) Model

None.

## e) Examples

Figure 27 is a GRL diagram with one indicator which captures the failure rate of voice connections over the Internet.


Figure 27 - Example: GRL indicator
See clauses 7.1.4 and 7.8.5 for the details of the concrete syntax.
The GRL diagram in Figure 28 shows a task and an indicator contained by a "Telecom Provider" actor. The indicator has an importance value of 40 while the task has an importance value of 100. The Contribution link between the indicator and the task has a quantitativeContribution of 100. The indicator also has a Dependency link with a task in a "Technician" actor.


Figure 28 - Example: GRL model with an indicator

### 7.6.2 IndicatorEvaluation

An IndicatorEvaluation provides initial quantitative and qualitative real-world values to a containable element of type Indicator (see Figure 26).
a) Abstract grammar
i) Attributes

- realWorldValue (Integer): Initial quantitative real-world value of the associated indicator. Default value is 0 (see clause 9.2.2).
- realWorldLabel (String): Initial qualitative real-world value of the associated indicator. Default value is the empty String "".
ii) Relationships
- Contained by Evaluation (1): An IndicatorEvaluation is contained in one evaluation.
iii) Constraints
- The GRLContainableElement of the Evaluation containing an IndicatorEvaluation shall be of type Indicator.
b) Concrete grammar

An IndicatorEvaluation does not have a visual representation.
c) Semantics

An IndicatorEvaluation defines the initial real-world values of an indicator. If the real-world value is qualitative, then realWorldLabel will be used when converting the real-world value into GRL evaluations. If the level of satisfaction is quantitative, then realWorldValue will be used by the conversion. The conversion method is defined by the IndicatorConversion of the Evaluation containing the IndicatorEvaluation.
Only the relevant real-world value is considered depending on the type of conversion. It is not required for realWorldValue and realWorldLabel to be consistent as modellers may want to use only one type of conversion. However, it is recommended to keep them consistent if the modellers intend to switch between different types of conversions.

### 7.6.3 IndicatorConversion

An IndicatorConversion defines which quantitative and qualitative real-world values to convert into GRL evaluation values (see Figure 26).
a) Abstract grammar

## i) Attributes

- Inherits attributes from GRLmodelElement (see Figure 9).
- unit (String): Indicates the measurement unit of the real-world values. Default value is the empty String "".
ii) Relationships
- Inherits relationships from GRLmodeIElement.
- Contained by GRLspec (1): An IndicatorConversion is contained in the GRL specification (see Figure 8).
- Association with Evaluation (0...*): An IndicatorConversion may convert the initial real-world values associated with many Evaluations.
- IndicatorConversion is a superclass of LinearConversion and QualToQMappings.
iii) Constraints
- Inherits constraints from GRLmodelElement.
- All instances of IndicatorConversion shall appear in one of its subclasses (that is, metaclass IndicatorConversion is abstract).
b) Concrete grammar

An IndicatorConversion does not have a visual representation.

## i) Relationships

- Inherits attributes from GRLmodelElement.


## c) Semantics

The subclasses of IndicatorConversion specify how the initial real-world values of an indicator (IndicatorEvaluation of the associated Evaluation) are converted into GRL evaluation values (Evaluation). These subclasses may have additional attributes and relationships as required for the conversion.
An IndicatorConversion may only be chosen for an Indicator, if the unit of both is the same. For more details on units and decimal points for real-world values, see Indicator, clause 7.6.1.

### 7.6.4 LinearConversion

A LinearConversion converts quantitative real-world values into quantitative and qualitative GRL evaluation values based on linear extrapolation (see Figure 26).
a) Abstract grammar
i) Attributes

- Inherits attributes from IndicatorConversion.
- targetValue (Integer): Indicates the best result that can be measured for this quantitative real-world value. Default value is 0 (see clause 9.2.2).
- thresholdValue (Integer): Indicates the measurement result where the quantitative realworld value is neither negative nor positive but neutral. Default value is 0 (see clause 9.2.2).
- worstValue (Integer): Indicates the worst result that can be measured for this quantitative real-world value. Default value is 0 (see clause 9.2.2).
ii) Relationships
- Inherits relationships from IndicatorConversion.


## iii) Constraints

- Inherits constraints from IndicatorConversion.
- The three value attributes of a LinearConversion are either sorted in ascending or descending order (i.e., either (i) the targetValue is greater than or equal to the thresholdValue and the thresholdValue is greater than or equal to the worstValue or (ii) the targetValue is less than or equal to the thresholdValue and the thresholdValue is less than or equal to the worstValue).
b) Concrete grammar

A LinearConversion does not have a visual representation.

## i) Relationships

- Inherits relationships from IndicatorConversion.
c) Semantics

A LinearConversion specifies three real-world values, i.e., the targetValue, the thresholdValue and the worstValue, and then maps the targetValue to the GRL evaluation value 100 , the thresholdValue to the GRL evaluation value 0 and the worstValue to the GRL evaluation value -100. An IndicatorEvaluation defines a quantitative real-world value R (realWorldValue) that is to be converted by the LinearConversion associated with the IndicatorEvaluation through an Evaluation. A real-world value R that falls between the targetValue and the thresholdValue is extrapolated to the [0, 100] GRL evaluation value range according to the formula: ( $\mathrm{R}-$ thresholdValue)/(targetValue - thresholdValue) $\times$ 100. A real-world value $R$ that falls between the thresholdValue and the worstValue is extrapolated to the $[-100,0]$ GRL evaluation value range according to the formula: ( R thresholdValue)/(worstValue - thresholdValue) $\times(-100)$.
If the targetValue is the same as the thresholdValue or the worstValue is the same as the thresholdValue, then the result of the conversion is the GRL evaluation value of 0 . If $R$ is outside the [targetValue, worstValue] real-world value range, then the result of the conversion is the GRL evaluation value of 100 , if R is beyond the targetValue. In this case, the exceeds value is set to true. In all other cases, the exceeds value is set to false. If R is beyond the worstValue, then the result of the conversion is the GRL evaluation value of -100 .
NOTE - Semantic variation: The GRL qualitativeEvaluation value is calculated based on the resulting GRL evaluation value and a mapping from quantitative GRL evaluation values to qualitative GRL evaluation values. One possible mapping is shown in Table 1, but modellers are allowed to define any other mapping deemed appropriate.

Table 1 - GRL mapping from quantitative to qualitative evaluation values

| Quantitative value | Qualitative value |
| :---: | :---: |
| -100 | Denied |
| $(-100,0)$ | WeaklyDenied |
| 0 | None |
| $(0,100)$ | WeaklySatisfied |
| 100 | Satisfied |

d) Model

None.

## e) Examples

Table 2 gives an example for a linear conversion based on the GRL model in Figure 28, assuming that the unit of measurement for the indicator in Figure 28 is percentage. The linear conversion specifies the targetValue as $0 \%$, the thresholdValue as $5 \%$ and the worstValue as $100 \%$, and then maps these values to the GRL evaluation values 100,0 and 100 , respectively. Three sample real-world values and their corresponding GRL evaluation values are also given. The first two use the first formula, while the last one uses the second formula specified in this clause.

Table 2 - GRL linear conversion

|  | Target | Threshold | Worst | Example 1 | Example 2 | Example 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Real-world <br> value | $0 \%$ | $5 \%$ | $100 \%$ | $1 \%$ | $3.5 \%$ | $45 \%$ |
| GRL evaluation <br> value | 100 | 0 | -100 | $(1-5) /(0-5) \times$ <br> $100=80$ | $(3.5-5) /(0-5) \times$ <br> $100=30$ | $(45-5) /(100-5) \times$ <br> $(-100)=-42$ |

### 7.6.5 QualToQMappings

A QualToQMappings converts qualitative real-world values into quantitative and qualitative GRL evaluation values based on explicit mappings (see Figure 26).
a) Abstract grammar
i) Attributes

- Inherits attributes from IndicatorConversion.
ii) Relationships
- Inherits relationships from IndicatorConversion.
- Composition of QualToQMapping (0..*): A QualToQMappings conversion may contain many mappings.
iii) Constraints
- Inherits constraints from IndicatorConversion.
b) Concrete grammar

A QualToQMappings conversion does not have a visual representation.
i) Relationships

- Inherits relationships from IndicatorConversion.
c) Semantics

None (QualToQMappings is a structural concept only).

### 7.6.6 QualToQMapping

A QualToQMapping converts a single qualitative real-world value into quantitative and qualitative GRL evaluation values based on explicit mappings (see Figure 26).
a) Abstract grammar

## i) Attributes

- realWorldLabel (String): Indicates a qualitative, measurable, real-world value.
- evaluation (Integer): Indicates the mapped initial quantitative satisfaction value (also called evaluation value) (see clause 9.2.2).
- qualitativeEvaluation (QualitativeLabel): Indicates the mapped initial qualitative satisfaction value (also called evaluation value).
- exceeds (Boolean): Indicates whether the mapped values exceed expectations (true) or not (false).
ii) Relationships
- Contained by QualToQMappings (1): A QualToQMapping is contained in one QualToQMappings conversion.
- Uses QualitativeLabel enumeration.
iii) Constraints
- evaluation $\geq-100$ and evaluation $\leq 100$.
- If exceeds is true, then evaluation is 100 and qualitativeEvaluation is Satisfied.
b) Concrete grammar

A QualToQMapping does not have a visual representation.
c) Semantics

A QualToQMapping specifies one qualitative real-world value (realWorldLabel), one qualitative GRL evaluation value (qualitativeEvaluation), one quantitative GRL evaluation value (evaluation) and the exceeds flag (exceeds). An IndicatorEvaluation defines a qualitative real-world value R (realWorldLabel) that is to be converted by the QualToQMapping associated with the IndicatorEvaluation through an Evaluation E. If R matches the realWorldLabel of QualToQMapping, then the two GRL evaluation values and the exceeds flag are copied from the QualToQMapping to E.
d) Model

None.
e) Examples

Table 3 gives an example for three QualToQMappings based on the GRL model in Figure 28, assuming that the indicator in Figure 28 is measured by classifying the equipment used to establish connections.

Table 3 - GRL mappings of qualitative real-world value

| realWorldLabel | qualitativeEvaluation | evaluation | exceeds |
| :---: | :---: | :---: | :---: |
| Class 1 | Satisfied | 100 | false |
| Class 2 | WeaklySatisfied | 25 | false |
| Class 3 | WeaklyDenied | -25 | false |

### 7.7 GRL contribution contexts

GRL contribution contexts are sets of changes to quantitative and qualitative contributions in a GRL model. When a contribution context is selected, the changes override the existing contributions and the overridden contributions are subsequently considered by GRL goal model analysis.


Figure 29 - Abstract grammar: GRL contribution contexts

### 7.7.1 ContributionContextGroup

A ContributionContextGroup is a collection of contribution contexts. It is used to organize sets of contribution changes (also known as overrides) and to manipulate them as a group (see Figure 29).
a) Abstract grammar
i) Attributes

- Inherits attributes from GRLmodelElement (see Figure 9).
ii) Relationships
- Inherits relationships from GRLmodeIElement.
- Contained by GRLspec (1): A ContributionContextGroup is contained in the GRL specification (see Figure 8).
- Association with ContributionContext (0..*): A ContributionContextGroup may refer to contribution contexts.
iii) Constraints
- Inherits constraints from GRLmodelElement.
b) Concrete grammar

A ContributionContextGroup does not have a visual representation.
i) Relationships

- Inherits relationships from GRLmodeIElement.
c) Semantics

None (ContributionContextGroup is a structural concept only).

### 7.7.2 ContributionContext

A ContributionContext is a collection of contribution changes. The same contribution context may be part of multiple groups of contribution contexts (see Figure 29).
a) Abstract grammar
i) Attributes

- Inherits attributes from GRLmodelElement (see Figure 9).
ii) Relationships
- Inherits relationships from GRLmodeIElement.
- Contained by GRLspec (1): A ContributionContext is contained in the GRL specification (see Figure 8).
- Composition of ContributionChange (0..*): A ContributionContext may contain contribution changes.
- Association with ContributionContextGroup (1..*): A ContributionContext is referenced by at least one group of contribution contexts.
- Association with ContributionContext (parentContexts, 0..*): A ContributionContext may be included by contribution contexts.
- Association with ContributionContext (includedContexts, 0..*) \{ordered\}: A ContributionContext may have an ordered collection of included contribution contexts.
iii) Constraints
- Inherits constraints from GRLmodelElement.
- The contribution context containment hierarchy established by the includedContexts relationship does not contain any cycles (i.e., a ContributionContext shall not appear more than once on a path from a top node to a leaf node in the containment hierarchy).
- Let LC be the list of ContributionChanges of a ContributionContext. Let LCC be the list of Contributions associated with at least one ContributionChange in LC. LCC shall not contain the same Contribution more than once.
b) Concrete grammar

A ContributionContext does not have a visual representation.

## i) Relationships

- Inherits relationships from GRLmodeIElement.
c) Semantics

The ContributionChanges contained in a ContributionContext represent overrides to existing quantitative and qualitative contributions. When a contribution context is selected, the overrides are applied and a model evaluation algorithm (see clause 11.1) that propagates evaluations to intentional elements and indicators through their element links uses the overridden contributions.

When a contribution context is selected that contains another contribution context, the union of ContributionChanges are applied. For conflicting ContributionChanges (i.e., overrides to the same contribution) however, the ContributionChange of the containing contribution context overrides the ContributionChange of the contained contribution context. If more than one contribution context is included, then the last contribution context in the ordered list of included contribution contexts takes precedence over the previous ones (i.e., the overrides are applied beginning with the first included contribution context in the ordered list; then each other contribution context is applied up to the last included contribution context, and finally the overrides of the including contribution context are applied).

### 7.7.3 ContributionChange

A ContributionChange provides quantitative and qualitative contribution attributes that override an existing contribution (see Figure 29).
a) Abstract grammar

## i) Attributes

- newContribution (ContributionType): The overriding qualitative contribution level. Default value is Unknown.
- newQuantitativeContribution (Integer): The overriding quantitative contribution level. Default value is 0 (see clause 9.2.2).


## ii) Relationships

- Contained by ContributionContext (1): A ContributionChange is contained in one contribution context.
- Association with Contribution (1): A ContributionChange provides overrides for one contribution.
- Uses ContributionType enumeration.
iii) Constraints
$-\quad$ newQuantitativeContribution $\geq-100$ and newQuantitativeContribution $\leq 100$.
b) Concrete grammar

A ContributionChange does not have a visual representation. However, it may impact the presentation of link references (LinkRef) in GRL diagrams (see clause 7.8.7).
c) Semantics

A ContributionChange defines overrides for the quantitative and qualitative contribution attributes of an existing Contribution. The overrides are applied when the ContributionContext of the Contribution is selected.

Only the relevant overridden contribution attribute is considered depending on the type of analysis (qualitative or quantitative). It is not required for newContribution and newQuantitativeContribution to be consistent as modellers may want to use only one type of analysis (qualitative or quantitative). However, it is recommended to keep them consistent if the modellers intend to switch between different types of analysis.

### 7.8 GRL concrete grammar metaclasses

The following concrete grammar metaclasses may be contained by some of the GRL abstract grammar metaclasses. They have no semantics. Figure 30 shows all concrete grammar metaclasses that are GRL specific except for Label (see clause 7.8.8). Further details are shown for those concrete grammar metaclasses that are shared between GRL and UCM in clauses 7.8.8, 7.8.10, 7.8.11, 7.8.12 and 7.8.13.

NOTE - The name IntentionalElementRef is used for backward compatibility with previous versions of this Recommendation. A more appropriate name would be ContainableElementRef.


Figure 30 - Concrete grammar: GRL concrete syntax metaclasses

### 7.8.1 ConcreteGRLspec

ConcreteGRLspec defines how GRL XOR and IOR Decomposition links should be displayed either as a means-end presentation or as an XOR/IOR decomposition presentation. GRL supports both presentations. As ConcreteGRLspec is contained by GRLspec, the representation choice is global for all GRL diagrams (see Figure 30).
In the absence of a ConcreteGRLspec, the default presentation is the XOR/IOR decomposition.
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

ConcreteGRLspec does not have a visual representation, but it impacts the presentation of XOR decomposition links in GRL diagrams (see clause 7.8.7).

## i) Attributes

- showAsMeansEnd (Boolean): Indicates whether GRL XOR and IOR Decomposition links should be displayed with a means-end graphical syntax (true) or simply with an XOR/IOR decomposition graphical syntax (false). Default value is false.


### 7.8.2 GRLGraph

A GRLGraph is a container for all actor references, GRL nodes (collapsed actor references and intentional (or indicator) element references) and link references of a GRL diagram. In essence, a GRL graph (or diagram) is a view of the underlying GRL specification (see Figure 30).
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

GRLGraph represents the GRL diagram and as such has no concrete syntax except for Comments.

## i) Attributes

- Inherits attributes from GRLmodelElement.
ii) Relationships
- Inherits relationships from GRLmodeIElement.
- Contained by GRLspec (1): A GRLGraph is contained in the GRL specification.
- Composition of ActorRef (0..*): A GRLGraph may contain actor references.
- Composition of GRLNode (0..*): A GRLGraph may contain GRL nodes.
- Composition of LinkRef (0..*): A GRLGraph may contain link references.
- Composition of Comment (0..*): A GRLGraph may contain comments (see Figure 56).


### 7.8.3 ActorRef

An actor reference (ActorRef) shows an actor and its boundary on a GRL diagram (GRLGraph). It refers to an Actor definition. An actor reference shows the actor's boundary, where intentional elements may be included. In a URN specification, the same actor definition may be referenced many times in the same GRL diagram and in many GRL diagrams (see Figure 30).
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

The symbol for an actor reference is a circle, with the name of the actor reference (from superclass URNmodelElement) displayed inside the circle, together with its boundary, shown with a dashed-line ellipse (see Figure 31, where the name of the actor reference is ActorRef). The line and fill colours of the actor reference are those of the actor definition's concrete style (ConcreteStyle) (from actor definition's superclass GRLLinkableElement). If the importanceQualitative information is displayed (from actor definition's superclass GRLLinkableElement), then $(\mathrm{H})$ is used for High, (M) for Medium and (L) for Low. None is not displayed. If the quantitative importance information is displayed (from actor definition's superclass GRLLinkableElement), then the value is shown in parentheses, but only if greater than zero (see Figure 31).


Figure 31 - Symbol: GRL actor reference with importance values
When analysing an EvaluationStrategy in the GRL specification, the name in the actor reference symbol can be supplemented with a symbol denoting the current satisfaction value of the actor definition. The values to be reported in this way (qualitativeVal, quantitativeVal and exceedsVal) are discussed in clause 11.1. Depending on the nature of the analysis, a qualitative label icon (i) or a quantitative integer value (ii) can be used to annotate the actor reference symbol (see Figure 32). The icons for the qualitative labels are defined in clause 7.5.4 (QualitativeLabel). An additional + indicates if the exceedsVal is true as shown in (iii) and (iv).

i) With qualitative satisfaction value

ii) With quantitative satisfaction value

iii) With qualitative exceeds satisfaction value

iv) With quantitative exceeds satisfaction value

Figure 32 - Example: GRL actors with satisfaction values
The coordinate conventions of clause 5.3.2 apply. The top-left corner of the ActorRef is indicated by its Position ( $\mathrm{x}, \mathrm{y}$ ) and the bottom-right corner of the actor boundary by its Position and Size ( $\mathrm{x}+\mathrm{width}$, $\mathrm{y}+\mathrm{height)} ,\mathrm{as} \mathrm{illustrated} \mathrm{in} \mathrm{Figure} \mathrm{33}$. this presentation (see clause 7.8.3, numeral d) for label usage). The same layout principles apply also to IntentionalElementRefs and CollapsedActorRefs.


Figure 33 - Layout: Position and size of ActorRef, IntentionalElementRef and CollapsedActorRef
i) Attributes

- Inherits attributes from GRLmodelElement.
ii) Relationships
- Inherits relationships from GRLmodeIElement.
- Contained by GRLGraph (1): An ActorRef is contained in one GRL graph.
- Composition of Position (1): An ActorRef has one position.
- Composition of Size (1): An ActorRef has one size (for the actor boundary).
- Composition of Label (1): An ActorRef has one label (see Figure 52).
- Association with Actor (1): An ActorRef refers to one actor definition.
- Association with GRLNode (0..*): An ActorRef may include GRL nodes.
iii) Constraints
- Inherits constraints from GRLmodelElement.
- The name of an ActorRef is the same as the name of its referenced Actor definition.
- The name of an ActorRef including its annotations is inside the actor symbol.
- Rectangles containing the actor symbol and the actor boundary symbol share the same top-left corner.
- The boundary of an ActorRef shall not overlap with the boundary of another ActorRef.
- The nodes of an ActorRef do not include nodes of type CollapsedActorRef.
c) Semantics

None.

## d) Model

An alternate way of displaying an ActorRef, illustrated in Figure 34, is to omit the actor symbol, to add a stickman icon on the top-left side of the dashed ellipse and to add a Label containing the name of the actor reference (from superclass URNmodelElement) (i). This label can also contain the qualitative (ii) or quantitative (iii) satisfaction value of the corresponding actor definition (from its superclass GRLLinkableElement) resulting from the analysis of an EvaluationStrategy. The importance values are again shown in parentheses.


Figure 34 - Symbol: Alternative presentation for actor references
The coordinate conventions of clause 5.3.2 apply. The top-left corner of the ActorRef is indicated by its Position ( $\mathrm{x}, \mathrm{y}$ ) and the bottom-right corner by its Position and Size ( $\mathrm{x}+$ width, $\mathrm{y}+$ height). The bottom-left corner of the Label is relative to the Position ( x -deltaX, $y$-deltaY) (see Figure 88) for an illustration of these layout principles.

### 7.8.4 GRLNode

GRLNode is an abstraction of intentional element references, indicator references and collapsed actor references in a GRL diagram. GRL nodes except collapsed actor references can be included in actor references and they have a position and a size (see Figure 30).
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

The concrete syntax for GRLNode is further defined in its subclasses.

## i) Attributes

- Inherits attributes from GRLmodelElement.
ii) Relationships
- Inherits relationships from GRLmodeIElement.
- Contained by GRLGraph (1): A GRLNode is contained by one GRL graph.
- Composition of Position (1): A GRLNode has one position.
- Composition of Size (1): A GRLNode has one size.
- Association with ActorRef (0..1): A GRLNode may be included in one actor reference.
- Association with LinkRef (succ, $0 . .{ }^{*}$ ): A GRLNode may be the source of link references in a diagram.
- Association with LinkRef (pred, 0...*): A GRLNode may be the target of link references in a diagram.
- GRLNode is a superclass of IntentionalElementRef and CollapsedActorRef.
iii) Constraints
- Inherits constraints from GRLmodelElement.
- All instances of GRLNode shall appear in one of its subclasses (that is, metaclass GRLNode is abstract).
- The GRLGraph that contains the GRLNode shall be the GRLGraph that contains LinkRefs associated as pred.
- The GRLGraph that contains the GRLNode shall be the GRLGraph that contains LinkRefs associated as succ.
- If the GRLNode is included in one ActorRef, then the GRLGraph that contains this GRL node shall be the GRLGraph that contains this actor reference.
- If the GRLNode is included in one ActorRef, then the position and size of this GRL node shall be such that the node is entirely contained inside the boundary of the actor reference.


### 7.8.5 IntentionalElementRef

An intentional element reference (IntentionalElementRef) shows an intentional element or indicator on a GRL diagram. Its presentation depends on the type of the intentional element it refers to and whether it refers to an indicator. In a URN specification, the same intentional element or indicator definition may be referenced many times in the same GRL diagram and in many GRL diagrams (see Figure 30).
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

Figure 35 lists the symbols used for indicator references (hexagon with two additional horizontal lines) and intentional element references, which depend on the type of the intentional element definition they refer to (rounded-corner rectangle for Goal, cloud for Softgoal, hexagon for Task, rectangle for Resource and ellipse for Belief).


Figure 35 - Symbol: GRL intentional element and indicator references
The intentional element or indicator reference name (from superclass URNmodelElement) is displayed inside the symbol (in Figure 35, the name of Indicator class or the type of each intentional element is used as its name, for illustration purposes). If the intentional element or indicator is contained in an actor, then the importance information may also be displayed following the name. If the importanceQualitative information is displayed (from the superclass GRLLinkableElement), then (H) is used for High, (M) for Medium and (L) for Low. None is not displayed. If the quantitative importance information is displayed (from the superclass GRLLinkableElement), then the value is shown in parentheses, but only if greater than zero (see Figure 36).


Figure 36 - Example: GRL intentional elements and indicators with importance values

The line and fill colours of the intentional element or indicator reference are those of the intentional element definition's or indicator's concrete style (ConcreteStyle) (from the superclass GRLLinkableElement).
When analysing an EvaluationStrategy in the GRL specification, the intentional element or indicator reference symbol can be supplemented with a symbol denoting the current evaluation value of the referenced intentional element or indicator definition (see Figure 37). The values to be reported in this way (qualitativeVal, quantitativeVal and exceedsVal) are discussed in clause 11.1. Depending on the nature of the analysis, a qualitative label icon (i), a quantitative integer value (ii) or both (iii) can be used to annotate the intentional element or indicator reference symbol. The icons for the qualitative labels are defined in clause 7.5.4 (QualitativeLabel). An additional + indicates if the exceedsVal is true as shown in (iv), (v), and (vi). Furthermore for an indicator, the real-world value (from IndicatorEvaluation) including its unit (from Indicator) or the real-world label (from IndicatorEvaluation) is shown above the other symbols depending on the nature of the analysis. The unit for the real-world label is omitted as it typically states something like "5-point Likert scale". Figure 37 depicts a qualitative real-world value (i), a quantitative real-world value with its unit (ii), and both (iii). Note that a quantitative real-world value may be shown with a qualitative evaluation value, for example, if the analysis uses quantitative real-world values and qualitative evaluation values.


Figure 37 - Example: GRL intentional elements and indicators with satisfaction values

If the current EvaluationStrategy has an Evaluation for the referenced intentional element or indicator, then the current annotation is supplemented with a star $(*)$, which indicates that this is an initial value for this strategy (see Figure 38).


Figure 38 - Example: GRL intentional elements and indicators with initial satisfaction values

The coordinate conventions of clause 5.3.2 apply. The top-left corner of the IntentionalElementRef is indicated by its Position ( $\mathrm{x}, \mathrm{y}$ ) and the bottom-right corner by its Position and Size ( $\mathrm{x}+\mathrm{width}$, $\mathrm{y}+\mathrm{height}$ ), as explained in Figure 33. The additional annotations are added at a fixed position above the symbol, starting from the left.
i) Attributes

- Inherits attributes from GRLNode.


## ii) Relationships

- Inherits relationships from GRLNode.
- Association with GRLContainableElement (1): An IntentionalElementRef references one intentional element or indicator definition.
iii) Constraints
- Inherits constraints from GRLNode.
- The name of an IntentionalElementRef is the same as the name of its GRLContainableElement.
- If the IntentionalElementRef is included by an ActorRef, then the referenced IntentionalElement definition shall be included by the referenced Actor definition.
- The name of an IntentionalElementRef including its importance value is inside the intentional element symbol.
- Intentional element and indicator symbols on the same GRL diagram shall not overlap.
c) Semantics

None.
d) Model

NOTE - When evaluating a strategy in the GRL specification, the fill colour of the intentional element or indicator symbol is allowed to be overridden temporarily to provide additional visual feedback about the satisfaction level of the referenced intentional element or indicator definition. For example, the following colour scheme could be used: red for Denied, orange for WeaklyDenied, yellow for None, green-yellow for WeaklySatisfied, green for Satisfied, blue for Conflict and white for Unknown.

### 7.8.6 CollapsedActorRef

A collapsed actor reference (CollapsedActorRef) shows an actor on a GRL diagram. It is presented as a circle, with the actor name displayed inside the circle. A collapsed actor reference in a GRL diagram (GRLGraph) refers to an Actor definition. Unlike ActorRef, a collapsed actor reference does not show the actor's boundary. In a URN specification, the same actor definition may be referenced many times in the same GRL diagram and in many GRL diagrams (see Figure 30).
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

The symbol for a collapsed actor reference is a circle, with the collapsed actor reference name (from superclass URNmodelElement) displayed inside the circle (see Figure 39, where the name of this reference is CollapsedActorRef). The line and fill colours of the collapsed actor reference are those of the actor definition's concrete style (ConcreteStyle) (from actor definition's superclass GRLLinkableElement). If the importanceQualitative information is displayed (from actor definition's superclass GRLLinkableElement), then (H) is used for High, (M) for Medium and (L) for Low. None is not displayed. If the quantitative importance information is displayed (from actor definition's superclass GRLLinkableElement), then the value is shown in parentheses, but only if greater than zero.


Figure 39 - Symbol: GRL collapsed actor reference with importance values
When analysing an EvaluationStrategy in the GRL specification, the name in the actor reference symbol can be supplemented with a symbol denoting the current satisfaction value of the actor definition. The values to be reported in this way (qualitativeVal, quantitativeVal and exceedsVal) are discussed in clause 11.1. Depending on the nature of the analysis, a qualitative label icon (i) or a quantitative integer value (ii) can be used to annotate the actor reference symbol (see Figure 40). The icons for the qualitative labels are defined in clause 7.5.4 (QualitativeLabel). An additional + indicates if the exceedsVal is true as shown in (iii) and (iv).

i) With qualitative satisfaction value

ii) With quantitative satisfaction value

iii) With qualitative exceeds satisfaction value

iv) With quantitative exceeds satisfaction value

Figure 40 - Example: GRL collapsed actor references with satisfaction values
The coordinate conventions of clause 5.3.2 apply. The top-left corner of the CollapsedActorRef is indicated by its Position ( $\mathrm{x}, \mathrm{y}$ ) and the bottom-right corner of the actor by its Position and Size ( $\mathrm{x}+\mathrm{width}$, $\mathrm{y}+$ height), as explained in Figure 33.
i) Attributes

- Inherits attributes from GRLNode.
ii) Relationships
- Inherits relationships from GRLNode.
- Association with Actor (1): A CollapsedActorRef refers to one actor definition.
iii) Constraints
- Inherits constraints from GRLNode.
- The name of a CollapsedActorRef is the same as the name of its referenced Actor definition.
- The name of a CollapsedActorRef including its annotations is inside the collapsed actor symbol.


### 7.8.7 LinkRef

A link reference (LinkRef) displays with a line an element link (Contribution, Dependency or Decomposition) between two GRL linkable elements on a GRL diagram (GRLGraph). A link reference is a directed link that connects a source GRL node to a different target GRL node. Link references can be shown as straight lines or as curved lines, and they can contain intermediate bend points. Depending on the nature of the referenced element link, various icons, line ends and labels are displayed (see Figure 30).
a) Abstract grammar

None. This is a concrete syntax metaclass only.

The symbol used to display the LinkRef depends on the type of ElementLink it represents. In the following definitions, each of the link symbols connects the source symbol (left) to the target symbol (right).
For a Contribution, the symbols for contribution and correlation links are different. In both cases, however, the Label represents the value of the contribution and/or qualitativeContribution attributes of the Contribution (see ContributionType, clause 7.4.3).

- If the value of the correlation attribute of the Contribution is false, then the symbol is an arrow with the head pointed at the target (see Figure 41).


Figure 41 - Symbol: GRL contribution

- If the value of the correlation attribute of the Contribution is true (i.e., the link is a correlation), then the symbol is a dashed arrow with the head pointed at the target (see Figure 42).


Figure 42 - Symbol: GRL correlation

- Depending on the purpose of the GRL model (for quantitative and/or qualitative analysis) the Label can include the icon of the qualitativeContribution only (i), a textual representation of the qualitativeContribution only (ii), both the icons and the textual representation of the qualitativeContribution (iii), the numerical contribution value (iv), or both the icon of the qualitativeContribution and the numerical contribution value (v). The choice of presentation should be left to the modeller. This applies to correlations as well. The position of the label is relative to the head of the arrow. The icons for the qualitative labels are defined in clause 7.5.4 (QualitativeLabel). A fully satisfied contribution is used here as an example (see Figure 43).


Figure 43 - Examples: GRL contribution links with contribution values

- If a ContributionContext is selected, the quantitative and qualitative contribution attributes of the Contributions affected by the ContributionContext are overridden. Overriden contributions are indicated by a * in parentheses as shown in Figure 44.


[^0]ii) Text only
iii) Icon and text
iv) Number only
v) Icon and number

Figure 44 - Examples: GRL contribution links with overridden contribution values

For a Dependency, the symbol is a line with a $D$ on it (see Figure 45). The flat side of the $D$ is pointed at the source. There is no Label associated with a dependency link.


Figure 45 - Symbol: GRL dependency
For a Decomposition, the symbol is a line with a bar crossing it. The decompositionType of the target element (i.e., what is being decomposed) is also shown at the end of the line, on the target side. It is shown only once even if there are many decomposition links targeting that element. There is no Label associated with a decomposition link.

- If there is no ConcreteGRLspec or if the value of the showAsMeansEnd attribute of the ConcreteGRLspec is false, then the different types of decomposition links are presented as in Figure 46.


Figure 46 - Symbol: GRL decompositions

- If the value of the showAsMeansEnd attribute of the ConcreteGRLspec is true, then XOR and IOR decompositions are shown with an open-headed arrow (i.e., as a meansend relationship, see Figure 47). The presentation of the AND decomposition remains unchanged.


Figure 47 - Symbol: GRL means-end
The line presentation of a LinkRef starts at the source symbol, goes through the ordered list of bend points (LinkRefBendpoint) (if any), and stops at the target symbol. The line segments are straight if the value of the curve attribute of the link reference is false. If the value of the curve attribute of the link reference is true, then the bend points are part of a curved line that connects the start symbol to the target symbol.

## i) Attributes

- Inherits attributes from GRLmodelElement.
- curve (Boolean): Indicates whether the link should be displayed as a straight line (false) or as a curved line (true). Default value is false.
ii) Relationships
- Inherits relationships from GRLmodeIElement.
- Contained by GRLGraph (1): A LinkRef is contained by one GRL graph.
- Composition of Label (0..1): A LinkRef may have one label (see Figure 52).
- Composition of LinkRefBendpoint (0..*) \{ordered\}: A LinkRef may have an ordered collection of link reference bend points.
- Association with ElementLink (1): A LinkRef represents one element link.
- Association with GRLNode (source, 1): A LinkRef has one source link GRL node.
- Association with GRLNode (target, 1): A LinkRef has one target link GRL node.
iii) Constraints
- Inherits constraints from GRLmodelElement.
- The source and target GRL nodes shall be different.
- The LinkRef has a Label if and only if the ElementLink to which the LinkRef refers is a Contribution.
- If the source GRL node is an IntentionalElementRef, then the IntentionalElement or Indicator definition referenced by that source shall be the source of the ElementLink to which the LinkRef refers.
- If the target GRL node is an IntentionalElementRef, then the IntentionalElement or Indicator definition referenced by that target shall be the destination of the ElementLink to which the LinkRef refers.
- If the source GRL node is a CollapsedActorRef, then the Actor definition referenced by that source shall be the source of the ElementLink to which the LinkRef refers.
- If the target GRL node is a CollapsedActorRef, then the Actor definition referenced by that target shall be the destination of the ElementLink to which the LinkRef refers.
- The line connects the border of the source symbol to the border of the target symbol.
c) Semantics

None.
d) Model

For dependency links, the $D$ on the line can also be filled (see Figure 48). There is no semantic distinction between a non-filled and a filled $D$.


Figure 48 - Symbol: Alternative presentation for GRL dependencies
For an IOR decomposition link, the presentation can use OR at the target end instead of IOR, for simplicity (see Figure 49).


Figure 49 - Symbol: Alternative presentation for IOR decomposition

## e) Examples

Several examples were already presented in clauses 7.4.2, 7.4.4, and 7.4.5. The following GRL diagrams illustrate the effects of bend points on straight and curved lines. This link reference to a dependency that goes from a softgoal to a task has two bend points. If the value of the curve attribute is false, then straight-line segments are used (Figure 50).


Figure 50 - Example: GRL link with two bend points shown with straight lines
If on the other hand the value of the curve attribute is true, then a curved line that passes through all the bend points is used (Figure 51).


Figure 51 - Example: GRL link with two bend points shown as a curved line

### 7.8.8 Label

A Label can be attached to many types of URN model elements. A Label is contained by an ActorRef, Condition, ComponentRef, LinkRef, NodeConnection or PathNode. An additional label is contained by a StartPoint for its list of failures and by a FailurePoint for its failure specification. A label indicates the position of the name (or another attribute) of the element relative (in X and Y ) to the position of that element, if the element has a position. For elements without position information (i.e., conditions, link references and node connections), the label position is relative to other information (for more details see clauses 6.1.6, 7.8.7 and 8.2.3, respectively) (see Figure 52).


Figure 52 - Concrete grammar: Label metaclass
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

The content displayed by the Label depends on the kind of URN model element that contains it and is explained in the respective clauses.
i) Attributes

- deltaX (Integer): The relative position, measured in point units, along the horizontal (X) axis of the relevant labelled attribute of the containing URN model element. Can be positive (to the left of the symbol) or negative (to the right of the symbol) (see clause 9.2.2).
- deltaY (Integer): The relative position, measured in point units, along the vertical (Y) axis of the relevant labelled attribute of the containing URN model element. Can be positive (above the symbol) or negative (below the symbol) (see clause 9.2.2).


## ii) Constraints

- A Label instance shall be contained in exactly one instance of type ActorRef, Condition, ComponentRef, LinkRef, NodeConnection or PathNode.


### 7.8.9 LinkRefBendpoint

A bend point is a fixed point on a GRL diagram through which a link reference has to pass, therefore breaking the line connecting a source to a target element into several connected segments (straight or curved). A bend point is contained by a LinkRef (see Figure 30).
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

None. However, bend points influence the rendering of link references on a GRL diagram (see clause 7.8.7).
i) Attributes

- x (Integer): Horizontal coordinate (on the x -axis) of the bend point, in point units (see clause 9.2.2).
- y (Integer): Vertical coordinate (on the y-axis) of the bend point, in point units (see clause 9.2.2).
ii) Constraints
- The line representation of the LinkRef that contains the LinkRefBendpoint shall pass through the specified bend point.


### 7.8.10 Position

The Position metaclass is used to specify the position of various graphical elements in GRL and UCM diagrams. A Position is contained by a PathNode, ComponentRef, ActorRef or GRLNode (see Figure 53).


Figure 53 - Concrete grammar: Position metaclass
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

A Position specifies the horizontal and vertical coordinates of the graphical model element where it is contained. These coordinates can be positive or negative. The coordinate conventions of clause 5.3.2 apply.

## i) Attributes

- x (Integer): Horizontal coordinate (on the x-axis), in point units, of the graphical element containing the Position (see clause 9.2.2).
- y (Integer): Vertical coordinate (on the y-axis), in point units, of the graphical element containing the Position (see clause 9.2.2).
ii) Constraints
- Each Position instance is contained in exactly one instance of type PathNode, ComponentRef, ActorRef or GRLNode.


### 7.8.11 Size

The Size metaclass is used to specify the size of various graphical elements in GRL and UCM diagrams. A Size is contained by a ComponentRef, ActorRef or GRLNode (see Figure 54).


Figure 54 - Concrete grammar: Size metaclass
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

A Size specifies the width and height of the graphical model element where it is contained. The coordinate conventions of clause 5.3.2 apply.
i) Attributes

- width (Integer): The width of the graphical element containing the Size, in point units (see clause 9.2.2).
- height (Integer): The height of the graphical element containing the Size, in point units (see clause 9.2.2).
ii) Constraints
- width $>0$
- height $>0$
- Each Size instance is contained in exactly one instance of type ComponentRef, ActorRef or GRLNode.


### 7.8.12 ConcreteStyle

The ConcreteStyle metaclass is used to specify the colour of various graphical elements in GRL and UCM diagrams. A ConcreteStyle is contained by a GRLLinkableElement or Component. This information is attached to the definitions of intentional elements, indicators, actor definitions and component definitions so their references can be coloured consistently across diagrams (see Figure 55).


Figure 55 - Concrete grammar: ConcreteStyle metaclass
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

A ConcreteStyle specifies the fill and line colours of the model element where it is contained, and whether this element should use the fill colour or not. References to a model element can then use these colours across diagrams in a consistent way.
Colours are represented as a string using a red, green and blue colour model. The intensity of each colour component is encoded as a value between 0 (lowest intensity) and 255 (highest intensity), inclusively. The values for red, green and blue in that order are separated by commas. For example, "255,255,0" represents the colour yellow (red=255, green $=255$, blue $=0$ ).

## i) Attributes

- lineColor (String): Colour of the outside line of the references to the element containing the ConcreteStyle.
- fillColor (String): Fill colour of the references to the element containing the ConcreteStyle.
- filled (Boolean): Indicates whether the fill colour should be used. Default value is false.
ii) Constraints
- Each ConcreteStyle instance is contained in exactly one instance of type GRLLinkableElement or Component.


### 7.8.13 Comment

The Comment metaclass is used to add graphical comments to GRL and UCM diagrams. A Comment is contained by a UCMmap or GRLGraph (see Figure 56).


Figure 56 - Concrete grammar: Comment metaclass
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

A Comment is illustrated using the following symbol, with the description string displayed in the middle of the symbol (see Figure 57). The description text is wrapped on multiple lines according to the width.

Figure 57 - Symbol: URN comment
The coordinate conventions of clause 5.3.2 apply. The top-left corner of the Comment is at ( $\mathrm{x}, \mathrm{y}$ ) and the bottom-right corner at ( $\mathrm{x}+\mathrm{width}, \mathrm{y}+$ height).

## i) Attributes

- description (String): The text to be displayed in the Comment.
- x (Integer): Horizontal coordinate (on the x-axis) of the Comment, in point units (see clause 9.2.2).
- y (Integer): Vertical coordinate (on the y-axis) of the Comment, in point units (see clause 9.2.2).
- width (Integer): Width of the Comment, in point units (see clause 9.2.2).
- height (Integer): Height of the Comment, in point units (see clause 9.2.2).
- fillColor (String): Fill colour of the Comment. The colour conventions of ConcreteStyle apply.
ii) Constraints
- width $>0$
- height $>0$
- Each Comment instance is contained in exactly one instance of type UCMmap or GRLGraph.


### 7.8.14 ConcreteStrategy

The ConcreteStrategy metaclass is used to specify the author of an evaluation strategy (see Figure 30).
a) Abstract grammar

None. This is a concrete syntax metaclass only.
b) Concrete grammar

There is no visual representation of this metaclass.
i) Attributes

- author (String): The name of the author of the evaluation strategy containing the ConcreteStrategy.


## 8 UCM features

The Use Case Map notation provides a set of URN features that enable the description and analysis of use cases and scenarios. The UCM features are grouped under seven categories:

- UCM basic structural features: Clause 8.1;
- UCM maps and path nodes: Clause 8.2;
- UCM stubs and plug-ins: Clause 8.3;
- UCM components: Clause 8.4;
- UCM scenario definitions: Clause 8.5;
- UCM performance annotations: Clause 8.6;
- UCM concrete grammar metaclasses: Clause 8.7.

NOTE 1 - Many of the concrete grammar metaclasses used by UCM features were already defined for GRL in clause 7.8. Only the ones specific to UCM are defined in clause 8.7.
NOTE 2 - In the examples used here to illustrate the UCM concrete syntax, the scenarios flow (are read) from left to right. However, this does not have to be the case with UCM diagrams in general.

### 8.1 UCM basic structural features

The UCM basic structural features describe containers for UCM specifications, as well as definitions of UCM model elements. The abstract syntax metaclasses are presented in this clause. There are no specific concrete grammar metaclasses for these features.

### 8.1.1 UCMspec

UCMspec serves as a container for the UCM specification elements (see Figure 58).


Figure 58 - Abstract grammar: UCM specification
a) Abstract grammar
i) Attributes

- None.
ii) Relationships
- Contained by URNspec (1): The UCMspec is contained in the URN specification (see Figure 3).
- Composition of UCMmap (0..*): The UCMspec may contain UCM maps.
- Composition of Responsibility ( $0 . .^{*}$ ): The UCMspec may contain responsibility definitions.
- Composition of ComponentType (0...): The UCMspec may contain component types.
- Composition of Component (0..*): The UCMspec may contain component definitions.
- Composition of EnumerationType (0..*): The UCMspec may contain enumeration types.
- Composition of Variable (0..*): The UCMspec may contain variables.
- Composition of ScenarioGroup (0..*): The UCMspec may contain scenario groups.
- Composition of ScenarioDef ( $0 . .^{*}$ ): The UCMspec may contain scenario definitions.
- Composition of GeneralResource (0..*): The UCMspec may contain general resources.
iii) Constraints
- None.
b) Concrete grammar

None.
c) Semantics

None (UCMspec is a structural concept only).

### 8.1.2 UCMmodelElement

A UCMmodelElement is a URN model element specialized for UCM concepts (see Figure 59).


Figure 59 - Abstract grammar: UCM model elements
a) Abstract grammar

## i) Attributes

- Inherits attributes from URNmodelElement (see Figure 3).
ii) Relationships
- Inherits relationships from URNmodeIElement.
- UCMmodelElement is a superclass of UCMmap, ComponentRef, ComponentType, Component, Workload, GeneralResource, PathNode, Variable, EnumerationType, Responsibility, ScenarioGroup and ScenarioDef.


## iii) Constraints

- Inherits constraints from URNmodelElement.
- All instances of UCMmodelElement shall appear in one of its subclasses (that is, metaclass UCMmodelElement is abstract).
b) Concrete grammar

The concrete syntax for UCMmodelElement is further defined in its subclasses.
i) Relationships

- Inherits relationships from URNmodelElement (see Figure 6).


## c) Semantics

A UCMmodeIElement is an uniquely identifiable UCM model element that can contain metadata and be linked to other model elements. Its subclasses may have additional attributes and relationships.

### 8.2 UCM maps and path nodes

UCMmaps and PathNodes enable modelling of scenario behaviour by specifying causal relationships between path nodes on one or more UCM maps. A map contains any number of paths and structural elements (see clause 8.4). Paths express the causal flow of behaviour of a system and may contain several types of path nodes, expressing actions, sequence, alternatives and concurrency as well as the beginning and end of scenarios (see Figure 60).
Hierarchical structuring of maps with the help of stubs and plug-in maps is covered in clause 8.3.


Figure 60 - Abstract grammar: UCM paths and path nodes

### 8.2.1 UCMmap

UCMmap serves as a container for all path nodes and component references of a map. A map may be a singleton, i.e., only one runtime instance of it shall exist. A map may be reused as a plug-in map for stubs (see Figures 60, 77 and 85).
a) Abstract grammar
i) Attributes

- Inherits attributes from UCMmodelElement (see Figure 59).
- singleton (Boolean): Indicates whether one (true) or more (false) runtime instances of a UCMmap shall exist. Default value is true (i.e., only one runtime instance shall exist).


## ii) Relationships

- Inherits relationships from UCMmodelElement.
- Contained by UCMspec (1): A UCMmap is contained in the UCM specification (see Figure 58).
- Composition of PathNode (0..*): A UCMmap may contain path nodes.
- Composition of NodeConnection (0..*): A UCMmap may contain node connections.
- Composition of ComponentRef ( $0 . .{ }^{*}$ ): A UCMmap may contain component references.
- Association with PluginBinding (0..*): A UCMmap may be used as a plug-in map in plug-in bindings.


## iii) Constraints

- Inherits constraints from UCMmodelElement.
b) Concrete grammar

UCMmap represents the map diagram and as such has no concrete syntax except for Comments.
ii) Relationships

- Inherits relationships from UCMmodelElement.
- Composition of Comment (0... ): A UCMmap may have comments (see Figure 56).
c) Semantics

A UCMmap may contain zero or many UCM paths consisting of NodeConnections and PathNodes which may optionally as well as partially be bound to structural elements called components via ComponentRefs. A singleton map exists only once at runtime, i.e., if the same singleton map is used as a plug-in map (see PluginBinding, clause 8.3.2) for two different stubs (see Stub, clause 8.3.1), the same (and only) runtime instance of the map in the UCM specification is used for both stubs. If, however, the map is not a singleton, a different map runtime instance is used for each different runtime instance of a stub that uses the map as its plug-in map. For a more detailed discussion on runtime instances of maps in the UCM specification, see clause 8.3.1.
d) Model

None.

The UCM diagrams in Figure 61 present a UCM model of a simple phone system, describing how a phone connection is made between an originating user and a terminating user who have their own phone agents. Each agent handles the features of its associated user. Three features are described on separate plug-in maps:

- Originating Call Screening (OCS) is an originating user feature that filters outgoing calls to phone numbers on a screening list (see Figure 61.iv).
- Teen Line (TL) is an originating user feature that filters outgoing calls during a certain time interval (when TL is active) from users who do not have an appropriate personal identification number (PIN) or do not provide the PIN within an appropriate time-frame (see Figure 61.vi).
- Terminating Call Screening (TCS) is a terminating user feature that filters incoming calls from phone numbers on a screening list (see Figure 61.v).
The basic behaviour of the simple phone system is defined on the root map (Simple Connection) of the UCM model (see Figure 61.i) and the root map's two plug-in maps Originating Features and Terminating Features (see Figures 61.ii and 61.iii, respectively). Upon a request from the originating user, the originating agent first executes any Originating Features (OrigFeatures) defined for the user. If no feature exists, the default plug-in is selected (see Figure 61.vii). If a feature fails, the originating user is notified. If none of these features fails or no feature is defined for the user, the originating agent sends a request to the terminating agent.
Upon receipt of the request from the originating agent, the terminating agent executes any Terminating Features (TermFeatures) defined for the user. If no feature exists, the default plug-in is selected (see Figure 61.vii). If a feature fails, the originating user is notified. If none of these features fails or no feature is defined for the user, the terminating agent checks whether the terminating user is busy. If the terminating user is busy, the originating user hears the busy signal. If the terminating user is not busy, the phone of the terminating user rings and the originating user hears the ringing signal.

i) Simple connection

Parent: Agent

ii) Originating features

## Parent: Agent


iv) Originating call screening
iii) Terminating features

v) Terminating call screening

OriginatingUser Parent: Agent

vi) Teen line

vii) Default

Figure 61 - Example: UCM model
The plug-in bindings of the UCM model in Figure 61 that connect the in-paths and outpaths of a stub with the start and end points on the plug-in map are defined in Table 4. The component plug-in bindings in this example always connect the stub's component with the "parent:" component on the plug-in map. Furthermore, the following Boolean global variables are specified that more formally define the conditions for the branches of OR-forks and the preconditions of plug-in bindings.

- subOCS: true if the originating user is subscribed to OCS;
- subTL: true if the originating user is subscribed to TL;
- subTCS: true if the terminating user is subscribed to TCS;
- busy: true if the terminating user is busy;
- onOCSlist: true if the dialled phone number is on the OCS list;
- onTCSlist: true if the originating user's phone number is on the TCS list;
- TLactive: true if TL is active;
- PINvalid: true if the PIN is valid.

The OR-fork on the Terminating Features plug-in map uses the variable busy. The OR-fork on the Originating Call Screening plug-in map uses the variable onOCSlist. The OR-fork on the Terminating Call Screening plug-in map uses the variable onTCSlist. Finally, the OR-forks on the Teen Line plug-in map use the variables TLactive and PINvalid.

Table 4 - Example: Plug-in bindings for the UCM model

| Stub | Plug-in map | In-path/Start point | Out-path/EndPoint | Precondition |
| :---: | :---: | :---: | :---: | :---: |
| Originating | Originating <br> Features | in-path/start | OUT1/success; <br> OUT2/fail | true |
| Terminating | Terminating <br> Features | in-path/start | OUT1/success; <br> OUT2/reportSuccess; <br> OUT3/busy; <br> OUT4/fail | true |
| OrigFeatures | Originating <br> Call Screening | in-path/start | OUT1/success; <br> OUT2/fail | subOCS |
| OrigFeatures | Teen Line | in-path/start | OUT1/success; <br> OUT2/fail | subTL |
| OrigFeatures | Default | in-path/start | OUT1/continue | not (subOCS or <br> subTL) |
| TermFeatures | Terminating <br> Call Screening | in-path/start | OUT1/success; <br> OUT2/fail | subTCS |
| TermFeatures | Default | in-path/start | OUT1/continue | not subTCS |

NOTE - The UCM model introduced in this clause is a reasonable, initial model but still needs to be thoroughly tested. This is done with the help of scenario definitions in the example of clause 8.5.2, where the UCM model itself and the global data model of the URN specification (see clause 9.1) are further refined.

### 8.2.2 PathNode

PathNode is a UCM model element that represents all possible path nodes on a UCM path. Path nodes may express actions, alternatives (choice points and merge points) and concurrency (parallel branching points and synchronization points) as well as the beginning and end of scenarios. Path nodes may optionally be bound (i.e., allocated) to component references (see Figures 60 and 85).

## a) Abstract grammar

## i) Attributes

- Inherits attributes from UCMmodelElement (see Figure 59).
ii) Relationships
- Inherits relationships from UCMmodeIElement.
- Contained by UCMmap (1): A PathNode is contained in one UCM map.
- Association with NodeConnection (succ, $0 . .^{*}$ ): A PathNode may be the source of node connections.
- Association with NodeConnection (pred, 0..*): A PathNode may be the target of node connections.
- Association with ComponentRef (0..1): A PathNode may be bound to one component reference.
- PathNode is a superclass of RespRef, WaitingPlace, FailurePoint, OrFork, AndFork, OrJoin, AndJoin, Stub, EndPoint, StartPoint, EmptyPoint and Connect.


## iii) Constraints

- Inherits constraints from UCMmodelElement.
- All instances of PathNode shall appear in one of its subclasses (that is, metaclass PathNode is abstract).
- The UCMmap that contains the PathNode shall be the UCMmap that contains NodeConnections associated as pred.
- The UCMmap that contains the PathNode shall be the UCMmap that contains NodeConnections associated as succ.
- If the PathNode is included in one ComponentRef, then the UCMmap that contains this PathNode shall be the UCMmap that contains the ComponentRef.
b) Concrete grammar

The concrete syntax for PathNode is further defined in its subclasses. If a path node has a Position, the path node is placed on its UCMmap according to Position coordinates.
The coordinate conventions of clause 5.3.2 apply. The centre of the PathNode is indicated by its Position (x, y). The bottom-centre of the Label (if any) is relative to the Position (x-deltaX, y-deltaY). Similarly, if the PathNode also has a Condition (EndPoint and StartPoint sub-classes do), then the bottom-centre of the Label of the path node's Condition is relative to the path node's Position ( $x$-deltaX, $y$-deltaY). A condition is visualized in italic font and enclosed in square brackets (see Figure 62) for an illustration of these layout principles.


Figure 62 - Layout: Position, label and condition for PathNode

## i) Relationships

- Inherits relationships from UCMmodeIElement.
- Composition of Label (0..1): A PathNode may have one label (see Figure 52).
- Composition of Position (0..1): A PathNode may have one position (see Figure 53).
- PathNode is a superclass of DirectionArrow.


## ii) Constraints

- If the PathNode is bound to one ComponentRef, then the Position of this PathNode shall be such that the node is entirely contained inside the boundary of the ComponentRef.
- A PathNode that is not a Connect has exactly one Position.
c) Semantics

A PathNode exists on one UCM map and may optionally be bound to one component reference. Path nodes are arranged in a directed graph with the help of node connections that link source path nodes with target path nodes. Subclasses of path nodes differ among other things in terms of how many path branches (in short branches) may arrive at a path node and how many branches may leave a path node. Further semantics for a path node is therefore defined in the clauses for the subclasses of path nodes.

### 8.2.3 NodeConnection

NodeConnection establishes a directed graph of path nodes by linking a source path node with a different target path node. Causality flows along that graph. Node connections have a probability value stating for certain pairs of source and target path nodes the likelihood with which the link between the pair of path nodes is taken in the UCM specification. Node connections also allow the definition of a synchronization threshold for certain pairs of source and target path nodes (see Figures 60 and 77).
a) Abstract grammar

## i) Attributes

- probability (Nat): The probability with which this node connection is taken in the UCM specification. Default value is 100 .
- threshold (String): The threshold is an Integer expression that indicates the synchronization threshold for an out-path of a synchronizing stub.
ii) Relationships
- Contained by UCMmap (1): A NodeConnection is contained in one UCM map.
- Composition of Condition (0..1): A NodeConnection may contain one condition.
- Association with PathNode (source, 1): A NodeConnection has one source path node.
- Association with PathNode (target, 1): A NodeConnection has one target path node.
- Association with InBinding (0..*): A NodeConnection may have in-bindings.
- Association with OutBinding (0..*): A NodeConnection may have out-bindings.
- Association with Timer (0..1): A NodeConnection may represent the timeout path of one timer.


## iii) Constraints

- probability $\geq 0$ and probability $\leq 100$.
- The value of probability may be less than 100 only for a NodeConnection with a source path node of type OrFork or Timer.
- The threshold shall be empty or an Integer expression, as defined in clause 9.3.
- The threshold shall evaluate to a non-negative Integer value, or it may be empty, in which case it is deemed to evaluate to 0 .
- The evaluation value of threshold may be other than 0 only for a NodeConnection with a source path node of type Stub with its synchronizing attribute equalling to true.
- The source PathNode of a NodeConnection shall be different from the target PathNode of the same NodeConnection.
- A NodeConnection may have one Condition only if the source path node of the NodeConnection is of type OrFork, AndFork, AndJoin, FailurePoint or WaitingPlace.
- A NodeConnection may have an InBinding only if the target path node of the NodeConnection is of type Stub.
- A NodeConnection may have an OutBinding only if the source path node of the NodeConnection is of type Stub.
- If a NodeConnection represents a timeout path of a Timer, the source path node of the NodeConnection is the same Timer.
b) Concrete grammar

A NodeConnection is rendered as a curved line between the two linked PathNodes.
The coordinate conventions of clause 5.3.2 apply. If a Condition is defined for the node connection, then the Label of the ConcreteCondition contained by the condition is displayed in square brackets and italic font. The bottom-centre of the label of that condition is relative to the middle of the curved line linking the source and target path nodes. If no label attribute is defined for the concrete condition, then the square brackets also do not need to be shown.
If a Label is defined for the node connection, then the bottom-centre of the label is relative to the middle of the curved line linking the source and target path nodes. The label text is rendered in italic font (see clause 8.3.1).
i) Relationships

- Composition of Label (0..1): A NodeConnection may have one label (see Figure 52).
ii) Constraints
- A NodeConnection may have one Label only if the source path node or the target path node of the NodeConnection is of type Stub.


## c) Semantics

The directed graph of PathNodes linked by NodeConnections is at the core of the traversal of UCMs, as paths in the UCM specification are traversed according to these links and the semantics of the path nodes. In the simplest case, a path node may appear in a node connection once as a source path node and once as a target path node, thus representing the causal ordering of a sequence. Other path nodes may be the source path node or target path node in several node connections, thus representing choice point, merging points, concurrent branching points and synchronization points. Further semantics for a node connection of path nodes is defined in the clauses for the subclasses of PathNode.
It is not required that the directed graph is well-nested in terms of its branching and merging constructs, i.e., the path nodes OrFork, OrJoin, AndFork and AndJoin. For example, an OrFork may never be followed by an OrJoin, or an OrFork may be followed by an AndJoin.

For performance analysis purposes, a node connection may have a probability which expresses the likelihood that the link from the source path node to the target path node is taken. The value of a probability is expressed relative to the probabilities of other node connections with the same source path node. A probability value in per cent is derived by dividing the value of the probability attribute by the sum of the probabilities of all node connections with the same source path node (i.e., 100 means that the link is taken, 0 means that the link is not taken and 75 means that there is a $3: 1$ chance that the link is taken). Only certain node connections can have probabilities as defined in the Constraints subclause of this clause, i.e., probabilities make sense only for OR-forks and timers. Probabilities have no effect on the regular traversal of UCM models.
The threshold of a node connection is required to specify a part of the synchronizing stub (see clause 8.3.1 for more details).
Node connections may also have a condition which shall be fulfilled (i.e., shall evaluate to true) before the link from the source path node to the target path node can be taken. Only
certain node connections can have conditions as defined in the Constraints subclause of this clause, i.e., conditions make sense only for OR-forks, AND-forks, AND-joins, failure points and waiting places. The conditions for AND-forks and AND-joins are only required to flatten hierarchical UCM specifications (see clause 8.3 for an explanation), and are therefore not considered in the concrete syntax.
Node connections also play a role in the hierarchical structuring of UCM specifications through InBindings and OutBindings, as explained in clause 8.3.

### 8.2.4 Responsibility

A Responsibility (also referred to as responsibility definition) is a reusable definition of a scenario activity representing something to be performed (operation, action, task, function, etc.) or in other words a step in the scenario. Responsibility definitions are referenced from UCM maps by responsibility references. An expression allows for the formal definition of more detailed behaviour of a responsibility definition with respect to the global data model of the URN specification (see clause 9.1) (see Figure 60).
a) Abstract grammar

## i) Attributes

- Inherits attributes from UCMmodelElement (see Figure 59).
- expression (String): The expression of the responsibility definition is described using the URN action language (see clause 9.4). It describes the impact of the responsibility definition on the global data model of the URN specification.
ii) Relationships
- Inherits relationships from UCMmodeIElement.
- Contained by UCMspec (1): A Responsibility definition is contained in the UCM specification (see Figure 58).
- Composition of Demand (0..*): A Responsibility definition may contain demands (see Figure 94).
- Association with RespRef (0..*): A Responsibility definition may be referenced by responsibility references.


## iii) Constraints

- Inherits constraints from UCMmodelElement.
- Any two Responsibility definitions cannot share the same name inside a URN specification.
- The name of a Responsibility definition cannot be the empty String "".
- The expression shall be an action, as defined in clause 9.4.
b) Concrete grammar

Responsibility definition has no concrete syntax, but responsibility references (see RespRef, clause 8.2.5) for the responsibility definition are visualized.

## i) Relationships

- Inherits relationships from UCMmodelElement.
c) Semantics

Responsibility definition defines required actions or steps to fulfil a scenario, either informally through its name or more formally with the help of its expression. The actions of the expression may make use of globally defined Variables. When the traversal of a scenario path reaches a responsibility reference (RespRef), the expression defined in the
associated responsibility definition is interpreted. This may change the values of variables in the global data model of the URN specification. If the expression results in a division by zero, the traversal of the scenario path stops at the responsibility reference and an error is generated.

Responsibility definitions also play a role in the performance analysis of UCM specifications, as their references can make Demands on processing resources (see clause 8.6.15).

### 8.2.5 RespRef

RespRef is a path node that references a responsibility definition (see Figure 60).
a) Abstract grammar
i) Attributes

- Inherits attributes from PathNode.
- repetitionCount (String): The repetition count is an Integer expression that indicates how often the responsibility reference is repeated at runtime.
- hostDemand (String): The demand is an Integer expression that indicates an average demand on the processing resource of the component reference to which the responsibility reference is bound. The demand is the value of the hostDemand attribute divided by 1000 .
ii) Relationships
- Inherits relationships from PathNode.
- Association with Responsibility (1): A RespRef has one responsibility definition.


## iii) Constraints

- Inherits constraints from PathNode.
- The name of a RespRef shall be the same as the name of its associated Responsibility definition.
- The repetitionCount shall be empty or an Integer expression, as defined in clause 9.3.
- The repetitionCount shall evaluate to a positive Integer value or it may be empty, in which case it is deemed to evaluate to 1 .
- The hostDemand shall be an Integer expression, as defined in clause 9.3.
- The hostDemand shall evaluate to a non-negative Integer value.
- A RespRef is the source PathNode of exactly one NodeConnection.
- A RespRef is the target PathNode of exactly one NodeConnection.
b) Concrete grammar

The symbol for RespRef on a UCM path is defined as an $\mathbf{X}$ with the name of the responsibility reference (from superclass URNmodelElement) displayed next to the symbol according to Label coordinates (see Figure 63).


Figure 63 - Symbol: UCM responsibility reference

## i) Relationships

- Inherits relationships from PathNode.


## ii) Constraints

- Inherits constraints from PathNode.
- A RespRef shall have one Label.


## c) Semantics

RespRef allows for the reuse of the same Responsibility definition in multiple locations on one or more UCMmaps.
The repetitionCount is an Integer expression that indicates how often the same responsibility reference is repeated. It is equivalent to N consecutive responsibility references to the same responsibility definition placed in a sequence on a UCM path, with N being the resulting value of the repetition count expression.
The hostDemand is used for performance analysis and describes the average demand (i.e., the average number of operation requests per traversal of a scenario, divided by 1000) the responsibility reference exerts on a ProcessingResource. The demand applies to the processing resource that hosts the component definition that is referenced by the component reference to which the responsibility reference is bound. If the responsibility reference is not bound to a component reference, or if it is bound to a component reference that is not hosted by a processing resource, then the host demand is ignored.

### 8.2.6 StartPoint

StartPoint is a path node that denotes the guarded beginning of local scenario behaviour. A start point has a failureKind further indicating its semantics and specifies a list of failures. A StartPoint may also trigger scenario definitions (see Figures 60, 77 and 92).
a) Abstract grammar
i) Attributes

- Inherits attributes from PathNode.
- failureKind (FailureKind): The type of start point. Default value is None.
- failureList (String): The list of failure variables to which a start point responds. Default value is the empty String "".
ii) Relationships
- Inherits relationships from PathNode.
- Composition of Condition (0..1): A StartPoint may contain one precondition.
- Composition of Workload (0..1): A StartPoint may contain one work load (see Figure 94).
- Association with InBinding (0..*): A StartPoint may have in-bindings.


## iii) Constraints

- Inherits constraints from PathNode.
- A StartPoint is the source PathNode of exactly one NodeConnection.
- A StartPoint is the target PathNode of zero or one NodeConnection.
- If a StartPoint is the target PathNode of one NodeConnection, the source PathNode of the NodeConnection is of type Connect.
- If the failureKind of a StartPoint is Failure or Abort, the StartPoint cannot be the target PathNode of a NodeConnection.
- If the failureKind of a StartPoint is Failure or Abort, the StartPoint cannot have a precondition.
- If the failureKind of a StartPoint is None, the failureList of the StartPoint is the empty String "".
- If the failureKind of a StartPoint is Failure or Abort, the failureList of the StartPoint is a failure list, as defined in clause 9.5 . Each failure in the failure list shall be defined as the failure of at least one FailurePoint.
- If the failureKind of a StartPoint is Failure or Abort, the StartPoint does not have any inbindings.


## b) Concrete grammar

The symbol for StartPoint at the beginning of a UCM path is defined as a filled circle ( $\boldsymbol{\bullet}$ ) with the name of the start point (from superclass URNmodelElement) optionally displayed next to the symbol according to Label coordinates of the start point. For a failure start point, the filled circle is overlayed with the letter F, while an abort start point is indicated by the overlayed letter F and an additional lightning bolt. If the start point is not a failure start point or abort start point, the label of the ConcreteCondition contained by the Condition of the start point (e.g., C1) is also displayed in square brackets and italic font next to the symbol according to Label coordinates of the condition (see Figures 64 and 62). If no label attribute is defined for the concrete condition, then the square brackets also do not need to be shown. If the start point is a failure start point or abort start point, the failureList is shown instead of the condition according to the failureLabel coordinates of the start point and enclosed by double square brackets instead of single square brackets. The symbol of a start point is the same as the symbol of a WaitingPlace.


Figure 64 - Symbol: UCM start point, failure start point and abort start point
i) Relationships

- Inherits relationships from PathNode.
- Composition of Label (failureLabel, 0..1): A StartPoint may have one label for its failure list (see Figure 52).
ii) Constraints
- Inherits constraints from PathNode.


## c) Semantics

StartPoint denotes the beginning of scenario behaviour. The precondition of a start point expresses the conditions for which a scenario is defined. If the precondition is satisfied (true), then the scenario may proceed along the UCM path beginning at the start point. If the precondition is not satisfied (false), then the scenario cannot start.
Start points also denote the beginning of scenarios for failure and exception handling. See FailureKind and FailurePoint for additional semantics in clauses 8.2.7 and 8.2.17, respectively.
Start points also play a role in: a) the hierarchical structuring of UCM specifications through InBindings, as explained in clause 8.3 ; b) UCM scenario definitions, as explained in clause 8.5 ; and c) the performance analysis of UCM specifications through Workloads, as explained in clause 8.6.

### 8.2.7 FailureKind

A start point can be characterized as Failure, Abort or None (see Figure 60).
a) Abstract grammar

## i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by StartPoint.
iii) Constraints
- None.
b) Concrete grammar

None (enumeration metaclass).
c) Semantics

FailureKind defines the behaviour of a start point when it is used with a FailurePoint for the handling of failures and exceptions. None indicates that this is a regular start point as described in clause 8.2.6. Failure indicates a failure start point, denoting the beginning of scenario behaviour in response to a failure or exception at a FailurePoint. Abort indicates an abort start point, i.e., a failure start point that in addition cancels all scenario behaviours in its abort scope. The abort scope is defined as the map of the abort start point as well as all lower level maps as established by the hierarchy of stubs and plug-in maps.
d) Model

None.
e) Examples

The abort scope depends on the location of the abort start point. The traversal of any path element in the abort scope is stopped when the abort start point is triggered. Assume that all maps in Figure 65 are singleton maps. If the abort start point is specified on Map A, then the abort scope contains Map A and all maps that can be reached from Map A, i.e., Map B, Map C and Map D. In this case, all active traversals in the abort scope are stopped as long as Map A is in the map hierarchy of the traversal. For example, the traversal of the parallel branch with responsibility R1 is stopped as is the traversal of Map D, but only if Map D was reached from Map C, which in turn was reached from Map A. If Map D was reached from Map E, then the traversal is not stopped, because Map A is not in the map hierarchy for that traversal.

If the abort start point is defined on Map B, then only Map B is in the abort scope. If the abort start point is defined on Map C, then Map C and Map D are in the abort scope. If the abort start point is defined on Map D, then only Map D is in the abort scope. If the abort start point is defined on Map E, then Map E and Map D are in the abort scope.


Figure 65 - Example: UCM abort scope
The concept of a visit (see clause 8.3.1) also applies to failure and abort start points on non-singleton maps. When such a failure or abort start point is traversed for the nth time, it is the nth visit of the map and hence the map instantiated for the nth visit is traversed. Consequently, the abort scope then pertains only to those map hierarchies that include the nth runtime instance of the map. Any traversal that has a different runtime instance of the map in its current map hierarchy is not affected by the abort start point.

### 8.2.8 EndPoint

EndPoint is a path node that denotes the end of local scenario behaviour for which a postcondition may be defined. An EndPoint may also be a desired end of scenario definition (see Figures 60, 77 and 92).
a) Abstract grammar

## i) Attributes

- Inherits attributes from PathNode.
ii) Relationships
- Inherits relationships from PathNode.
- Composition of Condition (0..1): An EndPoint may contain one postcondition.
- Association with OutBinding (0..*): An EndPoint may have out-bindings.


## iii) Constraints

- Inherits constraints from PathNode.
- An EndPoint is the source PathNode of zero or one NodeConnection.
- An EndPoint is the target PathNode of exactly one NodeConnection.
- If an EndPoint is the source PathNode of one NodeConnection, the target PathNode of the NodeConnection is of type Connect.


## b) Concrete grammar

The symbol for EndPoint at the end of a UCM path is defined as a filled bar (I) with the name of the end point (from superclass URNmodelElement) optionally displayed next to the symbol according to Label coordinates of the end point. The label of the ConcreteCondition contained by the Condition of the end point (e.g., C1) is also displayed in square brackets and italic font next to the symbol according to Label coordinates of the condition (see Figures 66 and 62). If no label attribute is defined for the concrete condition, then the square brackets also do not need to be shown.


Figure 66 - Symbol: UCM end point

## i) Relationships

- Inherits relationships from PathNode.
ii) Constraints
- Inherits constraints from PathNode.
c) Semantics

EndPoint denotes the end of scenario behaviour. The postcondition of an end point expresses the condition following successful traversal of a given scenario. If the postcondition is satisfied (true), then the scenario was traversed successfully. If the postcondition is not satisfied (false), then the scenario was not traversed successfully.
End points also play a role in: a) the hierarchical structuring of UCM specifications through OutBindings, as explained in clause 8.3; and b) UCM scenario definitions, as explained in clause 8.5.

### 8.2.9 OrFork

OrFork is a path node that represents a guarded choice point for alternative branches in scenario behaviour (see Figure 60).
a) Abstract grammar
i) Attributes

- Inherits attributes from PathNode.
ii) Relationships
- Inherits relationships from PathNode.


## iii) Constraints

- Inherits constraints from PathNode.
- An OrFork is the source PathNode of one or more NodeConnections.
- An OrFork is the target PathNode of exactly one NodeConnection.
b) Concrete grammar

The symbol for OrFork on a UCM path is defined as a fork with one incoming branch and at least two outgoing branches. The name of the OR-fork (from superclass URNmodelElement) is optionally displayed next to the symbol according to Label coordinates of the OR-fork. The branch conditions of the OR-fork (e.g., C1, C2, C3) are shown as defined in the concrete syntax of NodeConnection (see Figure 67).


Figure 67 - Symbol: UCM OR-fork

## ii) Relationships

- Inherits relationships from PathNode.
iii) Constraints
- Inherits constraints from PathNode.
c) Semantics

OrFork represents a choice point in the UCM specification with at least two alternative, outgoing branches. Each alternative, outgoing branch (i.e., NodeConnection) has a Condition. When arriving at the OR-fork during traversal of the UCM path, the conditions are evaluated. If exactly one condition evaluates to true, the alternative branch with that condition is chosen and the traversal continues along that branch. If no condition or more than one condition evaluates to true (non-determinism), then the traversal stops and a warning is generated. If a condition is not specified for at least one alternative branch (incompleteness), the traversal also stops and an error is generated.

### 8.2.10 OrJoin

OrJoin is a path node that represents a merge point for alternative or concurrent branches in scenario behaviour (see Figure 60).
a) Abstract grammar
i) Attributes

- Inherits attributes from PathNode.
ii) Relationships
- Inherits relationships from PathNode.
iii) Constraints
- Inherits constraints from PathNode.
- An OrJoin is the source PathNode of exactly one NodeConnection.
- An OrJoin is the target PathNode of one or more NodeConnections.
b) Concrete grammar

The symbol for OrJoin on a UCM path is defined as a merge of at least two branches into one branch. The name of the OR-join (from superclass URNmodelElement) is optionally displayed next to the symbol according to Label coordinates (see Figure 68).


Figure 68 - Symbol: UCM OR-join

## ii) Relationships

- Inherits relationships from PathNode.


## iii) Constraints

- Inherits constraints from PathNode.

OrJoin represents a simple merge point of at least two branches without synchronization. The branches can be either alternative or concurrent branches. When an OR-join is reached during traversal of the UCM path, the traversal simply continues past the OR-join to the next node. If two concurrent branches arrive at an OR-join during the traversal, both will continue and the node past the OR-join will be traversed twice.

### 8.2.11 AndFork

AndFork is a path node that represents the beginning of concurrent branches in scenario behaviour (see Figure 60).
a) Abstract grammar
i) Attributes

- Inherits attributes from PathNode.
ii) Relationships
- Inherits relationships from PathNode.


## iii) Constraints

- Inherits constraints from PathNode.
- An AndFork is the source PathNode of one or more NodeConnections.
- An AndFork is the target PathNode of exactly one NodeConnection.
b) Concrete grammar

The symbol for AndFork on a UCM path is defined as a filled bar (I) with one incoming branch and at least two outgoing branches. The name of the AND-fork (from superclass URNmodelElement) is optionally displayed next to the symbol according to Label coordinates (see Figure 69).


Figure 69 - Symbol: UCM AND-fork

## ii) Relationships

- Inherits relationships from PathNode.
iii) Constraints
- Inherits constraints from PathNode.
c) Semantics

AndFork represents a concurrent branching point with at least two concurrent, outgoing branches. When an AND-fork is reached during traversal of the UCM path, the traversal simply continues in parallel past the AND-fork to the next node for each outgoing branch.

### 8.2.12 AndJoin

AndJoin is a path node that represents a synchronization point of alternative or concurrent paths in scenario behaviour (see Figure 60).
a) Abstract grammar
i) Attributes

- Inherits attributes from PathNode.
ii) Relationships
- Inherits relationships from PathNode.
iii) Constraints
- Inherits constraints from PathNode.
- An AndJoin is the source PathNode of exactly one NodeConnection.
- An AndJoin is the target PathNode of one or more NodeConnections.
b) Concrete grammar

The symbol for AndJoin on a UCM path is defined as a filled bar (I) with at least two incoming branches and one outgoing branch. The name of the AND-join (from superclass URNmodelElement) is optionally displayed next to the symbol according to Label coordinates (see Figure 70).


Figure 70 - Symbol: UCM AND-join

## i) Relationships

- Inherits relationships from PathNode.
ii) Constraints
- Inherits constraints from PathNode.


## c) Semantics

AndJoin represents a merge point of at least two incoming branches with synchronization. The incoming branches can be either alternative or concurrent branches. For each incoming branch, the AND-join maintains a counter. The counter for a branch is increased by one when the AND-join is reached along that branch during traversal of the UCM path. Traversal of the UCM path may continue past the AND-join only if the counter for each incoming branch of the AND-join is greater than zero. Before continuing on to the next path node, each counter is decreased by one. The behaviour of an AND-join is best described with the help of counters, but the usage of counters is not mandatory and the same effect may be achieved through other means.

### 8.2.13 EmptyPoint

EmptyPoint is a path node that is used to asynchronously connect two paths (see Figure 60).
a) Abstract grammar
i) Attributes

- Inherits attributes from PathNode.
ii) Relationships
- Inherits relationships from PathNode.


## iii) Constraints

- Inherits constraints from PathNode.
- An EmptyPoint is the source PathNode of one or two NodeConnections.
- An EmptyPoint is the target PathNode of exactly one NodeConnection.
- If an EmptyPoint is the source PathNode of two NodeConnections, the target PathNode of exactly one of the two NodeConnections is of type Connect.
b) Concrete grammar

The symbol for EmptyPoint on a UCM path is defined as a small, empty circle ( $O$ ). The name of the empty point (from superclass URNmodelElement) is optionally displayed next to the symbol according to Label coordinates (see Figure 71).


Figure 71 - Symbol: UCM empty point

## i) Relationships

- Inherits relationships from PathNode.
ii) Constraints
- Inherits constraints from PathNode.
c) Semantics

EmptyPoint does not have any scenario semantics of its own but rather facilitates the asynchronous connection of two paths (see Connect). Consequently, the traversal of a UCM path simply passes through an empty point and immediately continues in parallel on to the path nodes following the empty point (i.e., in this case, two NodeConnections exist with the empty point as the source node). Furthermore, an empty point bound to a ComponentRef does not carry any meaning. If, for example, a path crosses into a component because only an empty point is bound to a ComponentRef that references the Component definition, it cannot be concluded that the component takes part in the scenario behaviour. The presence of empty points, however, facilitates the layout of complex UCM paths and diagrams.
d) Model

An alternative presentation of the EmptyPoint is to simply omit the empty point symbol on the path. This makes UCM diagrams less cluttered, without loss of information.

### 8.2.14 WaitingPlace

WaitingPlace is a path node that represents a point in scenario behaviour where the continuation of the scenario depends on the fulfilment of a condition or the arrival of a trigger event (i.e., the arrival of a connected UCM path). A waiting place has a waitType further indicating its semantics (see Figure 60).

## a) Abstract grammar

i) Attributes

- Inherits attributes from PathNode.
- waitType (WaitKind): The type of waiting place.
ii) Relationships
- Inherits relationships from PathNode.
- Uses WaitKind enumeration.
- WaitingPlace is a superclass of Timer.


## iii) Constraints

- Inherits constraints from PathNode.
- A WaitingPlace that is not a Timer is the source PathNode of exactly one NodeConnection.
- A WaitingPlace is the target PathNode of one or two NodeConnections.
- If a WaitingPlace is the target PathNode of two NodeConnections, the source PathNode of exactly one of the two NodeConnections is of type Connect.
b) Concrete grammar

The symbol for WaitingPlace on a UCM path is defined as a filled circle ( $\bullet$ ) with the name of the waiting place (from superclass URNmodelElement) optionally displayed next to the symbol according to Label coordinates (see Figure 72). The condition of the waiting place (e.g., C1) is shown as defined in the concrete syntax of NodeConnection. The symbol of a waiting place is the same as the symbol of a StartPoint. Waiting places are visualized the same way regardless of the value of waitType. For the concrete syntax of the Timer subclass of WaitingPlace, see clause 8.2.15. See also Connect, clause 8.2.18, for further visualizations of waiting places.


Figure 72 - Symbol: UCM waiting place

## i) Relationships

- Inherits relationships from PathNode.
ii) Constraints
- Inherits constraints from PathNode.


## c) Semantics

WaitingPlace represents a location on a UCM path where the traversal of the path stops until a condition is satisfied or a trigger event arrives. The arrival of a trigger event is modelled with a second UCM path that is connected to the waiting place (see Connect, clause 8.2.18). A trigger counter keeps track of the arrivals (see WaitKind for details, clause 8.2.16). The condition of the waiting place is the Condition of the NodeConnection with the waiting place as its source.
Upon arrival at a waiting place via the waiting path (for a definition see Connect, clause 8.2.18), the waiting counter of the waiting place is increased by one. The initial value of the waiting counter is zero.
The traversal of the waiting path is allowed to continue past the waiting place, if a) the condition evaluates to true or $b$ ) both the waiting counter and the trigger counter are greater than zero. When continuing past the waiting place, the waiting counter and the trigger counter are decreased by one. If any counter is already zero, it is not decreased any further. If the condition evaluates to false and the trigger event never arrives, the traversal of the UCM path stops and a warning is generated.
Table 5 gives an overview of the decision process for continuing past the waiting place (PWP) or generating a warning (WAR) based on the condition of the waiting place (CWP)
and the trigger counter (TC). The overview assumes that the traversal of the UCM path has already reached the waiting place via the waiting path (i.e., waiting counter $>0$ ). Table 5 is read row by row. For example, the last row says that if the CWP evaluates to false (first column), then a warning is generated if TC equals zero (second column) and traversal continues past the waiting place if TC is greater than zero (third column).

Table 5 - Overview of waiting place semantics

| $\boldsymbol{C W P}$ | $\boldsymbol{T C}=\boldsymbol{0}$ | $\boldsymbol{T C}>\boldsymbol{0}$ |
| :---: | :---: | :---: |
| True | PWP | PWP |
| False | WAR | PWP |

The behaviour of a waiting place is best described with the help of counters, but the usage of counters is not mandatory and the same effect may be achieved through other means.

### 8.2.15 Timer

Timer is a specialization of the WaitingPlace path node where the continuation of the scenario depends on the fulfilment of conditions, the arrival of a trigger event (i.e., the arrival of a connected UCM path), or the occurrence of a timeout (see Figure 60).
a) Abstract grammar

## i) Attributes

- Inherits attributes from WaitingPlace.
ii) Relationships
- Inherits relationships from WaitingPlace.
- Association with NodeConnection (0..1): A Timer may have one node connection representing its timeout path.
iii) Constraints
- Inherits constraints from WaitingPlace.
- A Timer is the source PathNode of one or two NodeConnections.
b) Concrete grammar

The symbol for Timer on a UCM path is defined as a clock symbol (도) with the name of the timer (from superclass URNmodelElement) optionally displayed next to the symbol according to Label coordinates of the timer. The branch conditions of the timer (e.g., C1, C 2 ) are shown as defined in the concrete syntax of NodeConnection. The optional timeout path of a timer is rendered as a zigzag path. See also Connect, clause 8.2.18, for further visualizations of timers.


Figure 73 - Symbol: UCM timer with timeout path

## i) Relationships

- Inherits relationships from WaitingPlace.
ii) Constraints
- Inherits constraints from WaitingPlace.

The semantics of a Timer overrides the semantics defined for WaitingPlace. A timer represents a location on a UCM path where the traversal of the path stops until conditions are satisfied or a trigger event arrives. The arrival of a trigger event is modelled with a second UCM path that is connected to the waiting place (see Connect, clause 8.2.18). A trigger counter keeps track of the arrivals (see WaitKind for details, clause 8.2.16). The conditions of the waiting place are the Conditions of the NodeConnection with the timer as its source. There is one condition for the timeout path and one condition for the regular path.

Upon arrival at a timer via the waiting path (for a definition see Connect, clause 8.2.18), the waiting counter of the timer is increased by one. The initial value of the waiting counter is zero.
The traversal of the waiting path is allowed to continue past the timer along the regular path if, a) the condition of the regular path evaluates to true or b) the condition of the regular path evaluates to false, the condition of the timeout path evaluates to false and both the waiting counter and the trigger counter are greater than zero.
The traversal of the waiting path is allowed to continue past the timer along the timeout path if, a) the condition of the regular path evaluates to false and the condition of the timeout path evaluates to true or $b$ ) the condition of the regular path evaluates to false, the condition of the timeout path evaluates to false, the waiting counter is greater than zero and the trigger counter is zero.

Table 6 gives an overview of the decision process for selecting either the regular path (RP) or the timeout path (TOP) for continuation of the traversal of the UCM path based on the condition for the regular path (CRP), the condition for the timeout path (CTOP), and the trigger counter (TC). The overview assumes that the traversal of the UCM path has already reached the timer via the waiting path (i.e., waiting counter $>0$ ). Table 6 is read row by row. For example, the last row says that if the CRP evaluates to false (first column) and the CTOP evaluates to false (second column), then the timeout path is taken if TC equals zero (third column) and the regular path is taken if TC is greater than zero (fourth column).

Table 6 - Overview of timer semantics

| $\boldsymbol{C R P}$ | $\boldsymbol{C T O P}$ | $\boldsymbol{T C}=\mathbf{0}$ | $\boldsymbol{T C}>\boldsymbol{0}$ |
| :---: | :---: | :---: | :---: |
| True | True | RP | RP |
| True | False | RP | RP |
| False | True | TOP | TOP |
| False | False | TOP | RP |

When continuing past the timer, the waiting counter and the trigger counter are decreased by one. If any counter is already zero, it is not decreased any further.
The behaviour of a timer is best described with the help of counters, but the usage of counters is not mandatory and the same effect may be achieved through other means.

### 8.2.16 WaitKind

A waiting place can be Transient or Persistent (see Figure 60).
a) Abstract grammar
i) Attributes

- None (enumeration metaclass).


## ii) Relationships

- Used by WaitingPlace.


## iii) Constraints

- None.
b) Concrete grammar

None (enumeration metaclass).
c) Semantics

WaitKind defines how a trigger path in the case of a WaitingPlace that is not a Timer and how a release path in the case of a Timer are handled (for a definition of trigger path, release path and waiting path see Connect, clause 8.2.18).

If the wait kind is Transient or Persistent, a trigger counter keeps track of how often a scenario has arrived at the waiting place via the trigger or release path. The initial value of the trigger counter is zero.

For transient waiting places, the trigger counter is set to one upon arrival at the waiting place via the trigger or release path, if the waiting counter for the waiting path of a waiting place is greater than zero (see WaitingPlace and Timer, clauses 8.2.14 and 8.2.15, respectively). In this case, the trigger counter is never greater than one, thus modelling the arrival of a trigger is only taken into account when the scenario is expecting the trigger (i.e., the scenario is already waiting at the waiting place). Otherwise, the trigger is thrown away. For persistent waiting places, the trigger counter is increased by one upon arrival at the waiting place via the trigger or release path. In this case, all triggers are taken into account.
When continuing past the waiting place, the trigger counter behaves the same way for transient and persistent waiting places (for more details see WaitingPlace and Timer, clauses 8.2.14 and 8.2.15, respectively).
The behaviour of trigger and release paths is best described with the help of counters, but the usage of counters is not mandatory and the same effect may be achieved through other means.

### 8.2.17 FailurePoint

FailurePoint is a path node that represents a point in scenario behaviour where the continuation of the scenario depends on the occurrence of a failure or exception (see Figure 60).
a) Abstract grammar

## i) Attributes

- Inherits attributes from PathNode.
- failure (String): The name of the failure indicating which failure or exception occurred.
ii) Relationships
- Inherits relationships from PathNode.


## iii) Constraints

- Inherits constraints from PathNode.
- The failure shall be a failure, as defined in clause 9.5.
b) Concrete grammar

The symbol for FailurePoint on a UCM path is defined as a series of parallel lines with different lengths (similar to the electrical earth symbol) shown just below the path with the name of the failure point (from superclass URNmodelElement) optionally displayed next to the symbol according to Label coordinates (see Figure 74). The condition of the failure
point (e.g., $x>3$ ) is shown as defined in the concrete syntax of NodeConnection. The failure of a failure point (e.g., xOutOfBounds) is shown similarly to the condition but enclosed by double square brackets and according to the failureLabel coordinates of the failure point.
$\cdots \frac{\text { FailurePoint }}{\overline{\overline{\bar{E}}}} \cdots$
$[x>3][[x$ OfBounds $]]$
z.151(12)_F74

Figure 74 - Symbol: UCM failure point

## i) Relationships

- Inherits relationships from PathNode.
- Composition of Label (failureLabel, 0..1): A FailurePoint may have one label for its failure or exception (see Figure 52).
ii) Constraints
- Inherits constraints from PathNode.


## c) Semantics

FailurePoint represents a location on a UCM path where the traversal of the path determines whether a failure or exception has occurred by examining the condition of the FailurePoint, i.e., the failure condition. The failure condition of the failure point is the negation of the Condition of the NodeConnection with the failure point as its source. The negation of the failure condition is stored to allow the continuation of the scenario past the failure point if the condition is true (i.e., the failure or exception did not occur) and hence a uniform handling of conditions of node connections by the traversal mechanism.
For example, if the failure condition is $x>3$, i.e., the failure or exception occurred if $x$ is greater than 3 (see Figure 74 ), then ! $(x>3)$ is stored in the condition of the node connection. If this condition now evaluates to true, the scenario is allowed to continue past the failure point because the failure or exception did not occur. This is consistent with all other conditions where continuation of the scenario is also dependent on the condition evaluating to true. If the condition of the node connection evaluates to false, traversal of the scenario stops at the failure point because the failure or exception occurred. The type of failure or exception that occurred is defined by the failure attribute. Hence, if this failure attribute is used in one or more failure or abort start points, the scenario immediately continues in parallel at these failure or abort start points. Consequently, the path that starts at a failure or abort start point describes failure or exception handling. In the case of an abort start point, other scenarios are aborted as described in clause 8.2.7.
Before the scenario is allowed to continue beyond a failure or abort start point during the traversal of a scenario, the map hierarchy of the traversal needs to be taken into account.

- Situation A: If the map of the failure point is the same as the map of the failure or abort start point, then the map hierarchy does not need to be adjusted, i.e., it remains the same as the map hierarchy at the time the traversal reached the failure point. The scenario continues with the same runtime instance of the map.
- Situation B: If the failure or abort start point is on a different map than the failure point, then the map hierarchy needs to be adjusted. In this case, the parent map of the current map is compared against the map of the failure or abort start point. If there is a match, then the scenario is deemed to have exited the current map and returned to the parent map where the scenario continues at the failure or abort start point. The map hierarchy is reduced accordingly. If there is no match, then the next parent map is tried and so on.

The traversal continues with the runtime instance of the matched map in the map hierarchy.

- Situation C: If there is no match at all and the map hierarchy has been exhausted, then the failure and abort start point is deemed to be at the root level, the existing map hierarchy is discarded, and the traversal continues on the map of the failure or abort start point. The map hierarchy hence contains only that map. If this map is a singleton, the traversal continues in a straightforward manner with the only runtime instance available for a singleton map. If this map is a non-singleton, the choice of runtime instance depends on the visit (see clause 8.3.1). When the failure or abort start point is traversed for the $\mathrm{n}^{\text {th }}$ time, then the map instantiated for the $\mathrm{n}^{\text {th }}$ visit is traversed. The traversal mechanism issues a warning in this case.


## d) Model

None.

## e) Examples

A failure point FP1 is defined on Map D in the example in Figure 75. When the scenario reaches the failure point, the failure condition (i.e., $x>3$ ) is examined. If $x$ is not greater than 3 , no failure or exception occurred and the scenario continues past the failure point. If x is greater than 3, a failure or exception occurred and the traversal stops at the failure point. What happens next depends on the failure attribute of the failure point. If the failure is xOutOfBounds, then the scenario continues at the failure start point shown in Figure 75 because the failureList attribute of the failure start point also specifies the same failure. If the failure point specifies a different failure, then the scenario terminates at the failure point with a warning, because the failure or exception is not handled anywhere on the maps shown in Figure 75.
When the scenario continues at the failure start point, the map hierarchy of the traversal needs to be adjusted. Table 7 summarizes various required adjustments of the map hierarchy depending on which map the failure point is specified in the example in Figure 75. For example, if the failure point is specified on Map C, then the map hierarchy is adjusted to "Map A - Map C" if the failure point was reached when traversing "Map A Map C - Map D". The scenario hence continues on the runtime instance of Map C as specified in the map hierarchy when the failure point was reached. However, if the failure point was reached when traversing "Map E - Map F - Map D", then the map hierarchy is replaced with "Map C", because Map C is outside the existing map hierarchy. In this case, the concept of a visit (see clause 8.3.1) applies. If the failure or abort start point is traversed for the nth time, then the runtime instance of Map C instantiated for the nth visit is traversed.
As a second example, consider that the failure point is specified on Map B. In this case, the map hierarchy is replaced with "Map B" and the concept of visit applies, because Map B is outside the map hierarchy for both situations covered by the second and third column in Table 7.

Table 7 - Adjustment of hierarchy of plug-in maps

| Failure point <br> specified on Map | Map hierarchy when failure point is reached |  |
| :---: | :---: | :---: |
|  | Map A - Map C - Map D | Map E-Map F - Map D |
| A | Map A | Map A (nth visit) |
| B | Map B (nth visit) | Map B (nth visit) |
| C | Map A - Map C | Map C (nth visit) |
| D | Map D | Map D |
| E | Map E (nth visit) | Map E |
| F | Map F (nth visit) | Map E - Map F |






Figure 75 - Example: UCM failure point

### 8.2.18 Connect

Connect is a path node that allows exactly two UCM paths to be connected with each other either synchronously (i.e., in sequence by connecting an EndPoint to another path) or asynchronously (i.e., in passing by connecting an EmptyPoint to another path) (see Figure 60).
a) Abstract grammar

## i) Attributes

- Inherits attributes from PathNode.
ii) Relationships
- Inherits relationships from PathNode.
iii) Constraints
- Inherits constraints from PathNode.
- A Connect is the source PathNode of exactly one NodeConnection.
- A Connect is the target PathNode of exactly one NodeConnection.
- If a Connect is the source PathNode of a NodeConnection, the target PathNode of the NodeConnections is of type WaitingPlace or StartPoint.
- If a Connect is the target PathNode of a NodeConnection, the source PathNode of the NodeConnections is of type EndPoint or EmptyPoint.
- Let P1 be the source PathNode of the NodeConnection for which a Connect is the target PathNode. Let P2 be the target PathNode of the NodeConnection for which the same Connect is the source PathNode. If at least one of P1, P2 and the Connect is bound to a ComponentRef, then all three have to be bound to the same ComponentRef.

A Connect has no concrete syntax as it is not visualized directly. A connect, however, influences the visualization of other path nodes that are linked together by this connect. Figure 76 illustrates all six possible combinations. If an EmptyPoint is used to connect two paths together (see examples on left side), the symbol for the empty point is not rendered.


Figure 76 - Examples: UCM connects
The second UCM path that either touches a start point or waiting place or that ends with an end point connected to a start point or waiting place in the above examples is called a trigger path. The second UCM path that either touches a timer or that ends with an end point connected to a timer in the above examples is called a release path. The path segment before a waiting place or timer is called the waiting path.
i) Relationships

- Inherits relationships from PathNode.
ii) Constraints
- Inherits constraints from PathNode.
- A Connect does not have a Label.
- A Connect does not have a Position.
- There shall not be any visual spacing between the symbols for the path nodes before and after the Connect.
c) Semantics

Connect does not have any scenario semantics of its own but rather facilitates the synchronous and asynchronous connection of two paths. Consequently, the traversal of a UCM path simply passes through a connect and immediately continues on to the path node following the connect. An asynchronous connection involves an EmptyPoint, while a synchronous connection involves an EndPoint. In an asynchronous connection the traversal of the UCM path continues along the trigger or release path regardless of what happens at the connected StartPoint, WaitingPlace or Timer. In a synchronous connection, the traversal of the trigger or release path comes to an end at the end point. Only the traversal of the waiting path continues.

All possible combinations of NodeConnections with connects are summarized in Table 8.

Table 8 - Combinations of node connections with connects

| First node connection |  | Second node connection |  | Visualized in |
| :---: | :---: | :---: | :---: | :---: |
| Source node | Target node | Source node | Target node |  |
| Empty Point | Connect | Same Connect | Start Point | Figure 76 (i) |
| Empty Point | Connect | Same Connect | Waiting Place | Figure 76 (ii) |
| Empty Point | Connect | Same Connect | Timer | Figure 76 (iii) |
| End Point | Connect | Same Connect | Start Point | Figure 76 (iv) |
| End Point | Connect | Same Connect | Waiting Place | Figure 76 (v) |
| End Point | Connect | Same Connect | Timer | Figure 76 (vi) |

### 8.3 UCM stubs and plug-ins

Stubs and their PluginBindings enable hierarchical structuring of UCM specifications. A PluginBinding binds (i.e., connects) model elements on the parent map that contains the stub with models elements on a plug-in map. PluginBindings specify ComponentBindings (covered in clause 8.4), InBindings and OutBindings. An InBinding binds the in-path of a stub (i.e., a NodeConnection) with a start point on the plug-in map, while an OutBinding binds the out-path of a stub (another NodeConnection) with an end point on the plug-in map (see Figure 77).


Figure 77 - Abstract grammar: UCM stubs and plug-ins

### 8.3.1 Stub

Stub is a path node that indicates the presence of hierarchically-structured UCM maps (see Figures 60 and 77).

## a) Abstract grammar

## i) Attributes

- Inherits attributes from PathNode.
- dynamic (Boolean): Indicates whether the stub is dynamic (true), i.e., can have more than one plug-in map, or static (false), i.e., can have at the most one plug-in map. Default value is false.
- synchronizing (Boolean): Indicates whether the stub synchronizes its plug-in maps (true) or not (false). Default value is false.
- blocking (Boolean): Indicates whether the stub allows its plug-in maps to be visited more than once at the same time (false) or whether the stub blocks an additional visit (true). Default value is false.
ii) Relationships
- Inherits relationships from PathNode.
- Composition of PluginBinding (0..*): A Stub may contain plug-in bindings.
iii) Constraints
- Inherits constraints from PathNode.
- If synchronizing is true, then dynamic is true.
- If blocking is true, then synchronizing is true.
- If static is true, then the number of PluginBindings contained by the Stub is zero or one.
- If static is true, then the precondition of PluginBinding of the Stub is true.
- If static is true, then the replicationFactor of PluginBinding of the Stub is one.
b) Concrete grammar

The basic symbol for Stub on a UCM path is defined as a diamond symbol $(\diamond)$ with the name of the stub (from superclass URNmodelElement) optionally displayed next to the symbol according to Label coordinates of the stub.


Figure 78 - Symbol: UCM static, dynamic and synchronizing stubs
A static stub is rendered with a solid outline, a dynamic stub is rendered with a dashed outline and a synchronizing stub is rendered with the letter $S$ inside the stub symbol (see Figure 78). IN and OUT labels may optionally be shown for all kinds of stubs, but the synchronization threshold shall be shown for an out-path of a synchronizing stub if the threshold has been defined by the modeller (see Figure 79). The synchronization threshold is defined by the threshold attribute of the NodeConnection that represents an out-path of the stub (e.g., ST). The synchronization threshold is appended at the end of the OUT label. IN and OUT labels are shown as defined in the concrete syntax of NodeConnection. A blocking stub adds a $B$ rendered in subscript to the symbol of the synchronizing stub, while replicated plug-in maps add an $X$ rendered in superscript to the symbol of a dynamic stub. Replicated plug-in maps are defined by the replicationFactor attribute of PluginBinding.


Figure 79 - Symbol: UCM stubs with further annotations
The incoming node connection of the stub is called in-path, while the outgoing node connection is called out-path. Any kind of stub may have zero or many in-paths and outpaths as shown in Figure 80.


Figure 80 - Example: UCM stubs with different numbers of in-paths and out-paths

## i) Relationships

- Inherits relationships from PathNode.
ii) Constraints
- Inherits constraints from PathNode.


## c) Semantics

Stub represents hierarchical structuring of UCM specifications through containment of plug-in maps. When the traversal of a UCM path reaches a stub, the traversal continues with the plug-in maps of the stubs. When the traversal reaches an end point on a plug-in map, the traversal may return to the map of the stub (i.e., the parent map) and proceed past the stub. The exact binding of the parent map to the plug-in map is specified with the help of PluginBindings, ComponentBindings (covered in clause 8.4), InBindings and OutBindings.

## i) Types of stubs

Several types of stubs exist as explained in the following paragraphs.

- A static stub has at the most one plug-in map that cannot be replicated and that is always selected (see PluginBinding) when the traversal of the UCM path reaches the static stub.
- A dynamic stub may have many plug-in maps that can be replicated and that are selected based on the preconditions of their PluginBindings when the traversal of the UCM path reaches the dynamic stub. The selected plug-in maps of the stub are traversed in parallel.
- A synchronizing stub is a dynamic stub that in addition synchronizes its plug-in maps before the traversal of the UCM path is allowed to continue past the stub. By default, a synchronizing stub expects as many plug-in maps as were selected to arrive at an out-path before the scenario is continued (i.e., not necessarily all plugin maps defined for the stub). A synchronization threshold (the threshold attribute of the NodeConnections representing the out-paths of the stub) may override the default. The synchronization threshold is an Integer expression greater than zero and can be defined for each out-path of a stub. The synchronization threshold may
be greater than the number of plug-in maps defined for the stub, because a single plug-in map may arrive at a stub's out-path multiple times due to loops. All plug-in maps that arrive at a stub's out-path after its synchronization threshold has been reached are ignored.
- Finally, a blocking stub is a synchronizing stub that does not allow its plug-in maps to be visited more than once at the same time.
A visit in the context of synchronizing and blocking stubs is defined by how often an in-path of the stub has been traversed. If an in-path is traversed the first time, then it is the first visit of the stub. If the same in-path is traversed the nth time, then it is the nth visit of the stub. If another in-path of the stub is traversed for the first time, then it is the first visit of the stub. Plug-in maps that have been instantiated because of a visit are said to belong to the visit.
The concept of a visit also applies to unconnected start points on non-singleton plug-in maps regardless of the type of the plug-in map's stub. When such an unconnected start point is traversed for the nth time, it is the nth visit of the plug-in map and hence the plug-in map instantiated for the nth visit is traversed.


## ii) Plug-in map runtime instances

Plug-in maps that are plugged into a stub are instantiated when the stub is reached the first time during the traversal of a UCM path. The fact that stubs are often used to restructure a complicated map implies that a stub runtime instance shall contain not more than one runtime instance of a plug-in map at any time (with the exception of replicated plug-in maps which require that the specified number of runtime instances is created). This also applies to a stub that is used in a loop. The "not more than one map runtime instance per stub runtime instance" rule ensures the equivalence of a plug-in maps-based UCM specification with its flattened representation that uses only one single map. Synchronizing stubs are an exception for this rule and are discussed later on in this clause.
Since maps can be designated as singleton maps, there are three cases a modeller may want to capture, as illustrated in the example below (see Figure 81).

- Map G is a singleton and therefore the same runtime instance of this map is used by the stubs on Map A, Map B and Map C. The same applies to Map H and the stubs on Map D, Map E and Map F.
- Map I, on the other hand, is not a singleton. Therefore, the stubs on Map G and Map H use different runtime instances of Map I.
- Finally, if a group of stubs are to use the same runtime instance of a plug-in map, this is achieved with an intermediary layer of singleton maps. For example, the group of stubs on Map A, Map B and Map C uses the same runtime instance of Map I but the group of stubs on Map D, Map E and Map F uses a different runtime instance of Map I.


Uses $1^{\text {st }}$ instance



Uses $2^{n d}$ instance

Z.151(12)_F81

Figure 81 - Example: UCM plug-in map runtime instances

## iii) Flattened UCM models

The flattening of a static stub and its plug-in map is as follows. The in-paths of the stub are merged with the start points on the plug-in map according to the specified InBindings. The out-paths of the stub are merged with the end points on the plug-in map according to the specified OutBindings. The structural specifications on the parent map are merged with the structural specifications on the plug-in map according to the specified ComponentBindings. Structural specifications are treated the same way as for all types of stubs.

The semantics for a dynamic stub is similar to static stubs in that a dynamic stub shall contain only one runtime instance of each of its plug-in maps at a time. The semantics differs as the purpose of a dynamic stub is to model AND-forks and OR-joins in addition to simple hierarchical structuring (see Figure 82). Each in-path is equivalent to an AND-fork that is connected to the flattened plug-in maps according to the specified InBindings. Analogously, each out-path corresponds to an OR-join connected to the flattened plug-in maps based on the specified OutBindings. Plug-in bindings are indicated in the example below by labelling in-paths, out-paths, start points and end points with $i N$ and $o N$. Preconditions of plug-in maps are indicated in square brackets next to the name of the plug-in map.


Figure 82 - Example: Semantic flattening of a dynamic stub
The semantics of an AND-fork in a flattened UCM model corresponds to the semantics of stubs with guarded plug-in maps and not the semantics of regular AND-forks in nonflattened models, i.e., guards on concurrent branches of an AND-fork are allowed. The URN metamodel accommodates these guards only for the purpose of flattened UCM models. AND-forks with guards, however, are not used in standard URN models.

The semantics for a synchronizing stub in terms of runtime instances of maps, however, is slightly different from the semantics for static and dynamic stubs, because the plugin maps bound to a synchronizing stub have to act as one group. If an in-path of the synchronizing stub is visited for a second time, a second group of plug-in maps have to be created. Therefore, synchronizing stubs can contain more than one runtime instance of a plug-in map at the same time. This behaviour, however, is equivalent to one runtime instance with tokens flowing between AND-forks and AND-joins that can only synchronize if they were created by an AND-fork during the same visit. The synchronizing stub is therefore still conceptually equivalent to its flattened counterpart (see Figure 83) with each in-path converted to an AND-fork and each out-path converted to an AND-join. The connections of the AND-fork and AND-join to the flattened plug-in maps are again based on the specified InBindings and OutBindings.


Figure 83 - Example: Semantic flattening of a synchronizing stub
The semantics of AND-forks in flattened UCM models is the same as for dynamic stubs explained earlier. The semantics of AND-joins corresponds to synchronizing stubs and not the regular AND-joins in non-flattened models. Thus, they allow the specification of synchronization thresholds. Again, the URN metamodel already allows for these thresholds for the purpose of flattening UCM models even though they are not used in standard URN models.
d) Model

None.

## e) Examples

Multiple plug-in map runtime instances for a synchronizing stub with multiple in-paths are created as follows. If an in-path is visited for the first time after a different in-path was visited the first time, then no new plug-in map runtime instances need to be created, because both traversals belong to the same visit. See the UCMs in Figure 84 and the first, second and third column of Table 9 for an example. Given a synchronizing stub with three in-paths i1, i2 and i3, two plug-in maps bound to the stub as indicated in the example below, and a traversal order of the in-paths (i1: 1st, 4th, 5th; i2: 2nd, 6th; i3: 3rd, 7th, 8th, 9 th), there will be four visits where runtime instances of both plug-in maps P1 and P2 are created. The first runtime instances of P1 and P2 are created at the first traversal of in-path i1. The second and third traversals do not cause new runtime instances to be created because these in-paths have not yet been used for the first runtime instances, and hence are part of the same visit. The fourth traversal creates the second set of runtime instances (second visit) because in-path il is traversed for the second time. The fifth traversal creates the third set of runtime instances because in-path il is again traversed. The sixth and seventh traversals use the runtime instances of the plug-in maps that belong to the second visit because the events go to the longest-waiting runtime instance of a plug-in map. The eighth traversal uses the third set of runtime instances. Finally, the ninth traversal causes
the fourth set of runtime instances to be created because in-path i3 is traversed for the fourth time.
The traversal of in-paths is important for the creation of plug-in map runtime instances. The traversal of start points on the plug-in map, however, is not important except in the case of an unconnected start point on a non-singleton plug-in map. Such a start point may also cause the creation of a plug-in map runtime instance. Consequently, if the needed runtime instance has already been created by the arrival at the unconnected start point, the traversal of an in-path then shall not trigger the creation of a plug-in map runtime instance.
NOTE 1 - Such a start point does not exist in the plug-in maps in Figure 84 as all of the start points are connected to the stub via plug-in bindings.
Furthermore, if a replication factor is defined for a plug-in map, then as many runtime instances of the plug-in map as specified by the replication factor are created.
NOTE 2 - It is only possible to define a replication factor other than the default value for plug-in maps of dynamic stubs.

Table 9 - Runtime instances and synchronizing stubs

| \# | In-path | Resulting action \{specified synchronization threshold\} | Resulting action \{default synchronization threshold\} |
| :---: | :---: | :---: | :---: |
| 1 | i1 | Create 1st P1 and 1st P2; continue with il on 1st P1 | Create 1st P1 and 1st P2; set synchronization threshold to 2; continue with il on 1st P1 |
| 2 | i2 | Continue with i2 on 1st P1 (for the second time on that runtime instance) and 1st P2 | Continue with i2 on 1st P1 (for the second time on that runtime instance) and 1st P2 \{the synchronization threshold is reached and traversal continues past the stub\} |
| 3 | i3 | Continue with i3 on 1st P2 \{the synchronization threshold is reached and traversal continues past the stub\} | Continue with i3 on 1st P2 \{ignore arrival at out-path\} |
| 4 | i1 | Create 2nd P1 and 2nd P2; continue with il on 2nd P1 | Create 2nd P1 and 2nd P2; set synchronization threshold to 2; continue with il on 2nd P1 |
| 5 | i1 | Create 3rd P1 and 3rd P2; continue with il on 3rd P1 | Create 3rd P1 and 3rd P2; set synchronization threshold to 2; continue with il on 3rd P1 |
| 6 | i2 | Continue with i2 on 2nd P1 (for the second time on that runtime instance) and 2nd P2 | Continue with i2 on 2nd P1 (for the second time on that runtime instance) and 2nd P2 \{the synchronization threshold is reached and traversal continues past the stub\} |
| 7 | i3 | Continue with i3 on 2nd P2 \{the synchronization threshold is reached and traversal continues past the stub\} | Continue with i3 on 2nd P2 \{ignore arrival at out-path\} |
| 8 | i3 | Continue with i3 on 3rd P2 | Continue with i3 on 3rd P2 |
| 9 | i3 | Create 4th P1 and 4th P2; continue with i3 on 4th P2 | Create 4th P1 and 4th P2; set synchronization threshold to 2; continue with i 3 on 4th P2 |

If the synchronization threshold is not specified in the example in Figure 84, then the default behaviour stipulates that as many plug-in map runtime instances shall arrive at the out-path as are traversed in parallel before the traversal is allowed to continue. The fourth
column in Table 9 explains the behaviour of the synchronizing stub in this case assuming that both plug-in maps are selected.
The synchronization threshold is always specified upon first arrival at a stub during each visit. Subsequent arrivals during the same visit along other in-paths do not change the synchronization threshold for that visit, even if the number of plug-in map runtime instances that are being traversed changes.


Figure 84 - Example: UCM synchronizing stub with threshold

### 8.3.2 PluginBinding

PluginBinding defines the binding (i.e., connection) of behavioural and structural specifications on a parent map to behavioural and structural specifications on a plug-in map with the help of ComponentBindings (covered in clause 8.4), InBindings and OutBindings. A plug-in binding is contained by a stub and has a precondition that defines when the plug-in map is to be selected. Furthermore, a replication factor can be defined for a plug-in map, specifying how many runtime instances of the plug-in map are to be traversed in parallel. Finally, a plug-in map has a probability value stating the likelihood with which the plug-in map is selected in the UCM specification (see Figures 77 and 85).

## a) Abstract grammar

## i) Attributes

- id (String): The identifier of the plug-in binding.
- probability (Nat): The probability with which the plug-in map is selected in the UCM specification. Default value is 100 .
- replicationFactor (String): The replication factor is an Integer expression that indicates how many runtime instances of the plug-in map are used.
ii) Relationships
- Contained by Stub (1): A PluginBinding is contained in one stub.
- Composition of Condition (0..1): A PluginBinding may contain one precondition.
- Composition of InBinding (0..*): A PluginBinding may contain in-bindings.
- Composition of OutBinding (0..*): A PluginBinding may contain out-bindings.
- Composition of ComponentBinding (0..*): A PluginBinding may contain component bindings.
- Association with UCMmap (1): A PluginBinding has one plug-in map.
iii) Constraints
- id shall be unique within the URN specification.
- probability $\geq 0$ and probability $\leq 100$.
- The replicationFactor shall be empty or an Integer expression, as defined in clause 9.3.
- The replicationFactor shall evaluate to a positive Integer value or it may be empty, in which case it is deemed to evaluate to 1 .
- If the singleton attribute of the UCMmap of a PluginBinding its set to true, then the replicationFactor shall evaluate to 1 .
- The UCMmap of a PluginBinding is the same as the UCMmaps that contain the StartPoints that belong to the InBindings of the PluginBinding.
- The UCMmap of a PluginBinding is the same as the UCMmaps that contain the EndPoints that belong to the OutBindings of the PluginBinding.
- The UCMmap containing the Stub of a PluginBinding is the same as the UCMmaps that contain the NodeConnections that belong to the InBindings of the PluginBinding.
- The UCMmap containing the Stub of a PluginBinding is the same as the UCMmaps that contain the NodeConnections that belong to the OutBindings of the PluginBinding.
- A UCMmap shall not be associated with more than one PluginBinding of the same Stub.
b) Concrete grammar

None.

## c) Semantics

PluginBinding groups together the ComponentBindings, InBindings and OutBindings of one plug-in map for one stub. The Condition of a plug-in map is a Boolean expression for a precondition that determines whether the plug-in map is selected when the traversal of a UCM path reaches the stub. If the plug-in map is selected, the traversal of the path continues on the plug-in map.
In addition, several attributes are defined by a PluginBinding. First, a PluginBinding is uniquely identified by its id.
Second, a replicationFactor defines for a plug-in map how many runtime instances of the plug-in map are to be traversed in parallel. A replication factor other than the default value may be defined for dynamic stubs but not for static stubs. Replicated maps for a dynamic stub are conceptually the same as copying one UCM map many times and plugging all copies with the same preconditions and the same bindings into the same stub.
Third, and for performance analysis purposes, a plug-in binding may have a probability which expresses the likelihood that the plug-in map is selected. The value of a probability is expressed relative to the probabilities of other plug-in maps of the same stub. A probability value in per cent is derived by dividing the value of the probability attribute by the sum of the probabilities of all plug-in maps of the same stub (i.e., 100 means that the plug-in map is selected, 0 means that the plug-in map is not selected, and 75 means that there is a 3:1 chance that the plug-in map is selected). Probabilities have no effect on the regular traversal of UCM models.
If no in-bindings are defined for a plug-in map or the precondition of the plug-in map evaluates to false, then the traversal of the UCM path stops at the stub on the parent map. If no out-bindings are defined for a plug-in map, the traversal of the UCM path stops at an end point on the plug-in map. If a condition is not specified for at least one alternative plug-in map (incompleteness), the traversal also stops and an error is generated.

### 8.3.3 InBinding

InBinding defines the connection of an in-path of a stub (i.e., a NodeConnection) on a parent map with a StartPoint on a plug-in map of the stub (see Figure 77).
a) Abstract grammar
i) Attributes

- None.
ii) Relationships
- Contained by PluginBinding (1): An InBinding is contained in one plug-in binding.
- Association with NodeConnection (1): An InBinding consists of one node connection that represents an in-path of a stub.
- Association with StartPoint (1): An InBinding consists of one start point.
iii) Constraints
- The target PathNode of the NodeConnection of an InBinding is the Stub that contains the PluginBinding of the InBinding.
- A StartPoint shall occur only once in all InBindings of a PluginBinding.
- Each in-path of a stub shall be in at least one InBinding.
b) Concrete grammar

None.
c) Semantics

The traversal of a UCM path utilizes the InBindings of a Stub's plug-in map to move from the parent map to the plug-in map.

### 8.3.4 OutBinding

OutBinding defines the connection of an out-path of a stub (i.e., a NodeConnection) on a parent map with an EndPoint on a plug-in map of the stub (see Figure 77).
a) Abstract grammar
i) Attributes

- None.
ii) Relationships
- Contained by PluginBinding (1): An OutBinding is contained in one plug-in binding.
- Association with NodeConnection (1): An OutBinding consists of one node connection that represents the out-path of a stub.
- Association with EndPoint (1): An OutBinding consists of one end point.
iii) Constraints
- The source PathNode of the NodeConnection of an OutBinding is the Stub that contains the PluginBinding of the OutBinding.
- An EndPoint shall occur only once in all OutBindings of a PluginBinding.
- Each out-path of a stub shall be in at least one OutBinding.
b) Concrete grammar

None.
c) Semantics

The traversal of a UCM path utilizes the OutBindings of a Stub's plug-in map to move from the plug-in map back to the parent map. The traversal shall only return to the same Stub through which the plug-in map was entered. The traversal, however, does not always return only one Stub. For example, if the traversal of two UCM paths entered a plug-in map
through two different Stubs S1 and S2 and the two UCM paths subsequently synchronize in the plug-in map and reach an end point E, then the traversal returns to S 1 and S 2 as long as an OutBinding exists from E to one out-path in each Stub.

### 8.4 UCM components

Components enable modelling of scenarios structure by specifying the entities involved in a scenario, covering the environment as well as the architectural structure of a system. Components may contain other components. Paths including any path node may be superimposed over components, thus allocating scenario behaviour to scenario structure. Map elements residing inside a component are said to be bound to the component. Components are characterized by a component kind and may also be typed. Component bindings belong to a plug-in binding and specify the relationship of components on a parent map with components on a plug-in map (see Figure 85).


Figure 85 - Abstract grammar: UCM components

### 8.4.1 Component

A Component (also referred to as component definition) is a generic and abstract entity that can represent software entities (e.g., objects, processes, databases or servers) as well as non-software entities (e.g., actors or hardware). A component definition is characterized by its kind and its optional type, may contain other component definitions or be contained in other component definitions, may require the context of a parent scenario to be fully defined and may allow at the most one UCM path to be active inside its boundary at any time (i.e., a mutual exclusion mechanism) (see Figure 85).
a) Abstract grammar

## i) Attributes

- Inherits attributes from UCMmodeIElement (see Figure 59).
- kind (ComponentKind): The kind of component. Default value is Team.
- protected (Boolean): Indicates whether the traversal of UCM paths allocated to the component definition is ruled by a mutual exclusion mechanism (true) or not (false). Default value is false (i.e., the component is not protected).
- context (Boolean): Indicates whether the component definition requires a component from a parent map to be connected to the component definition with the help of a component plug-in binding (true) or not (false). Default value is false.


## ii) Relationships

- Inherits relationships from UCMmodelElement.
- Contained by UCMspec (1): A Component definition is contained in the UCM specification (see Figure 58).
- Association with ComponentType (0..1): A Component definition may have one component type.
- Association with Component (includingComponents, 0..*): A Component definition may be included by component definitions.
- Association with Component (includedComponents, 0..*): A Component definition may include component definitions.
- Association with ComponentRef (0..*): A Component definition may be referenced by component references.
- Association with ProcessingResource (0..1): A Component definition may be hosted by one processing resource (see Figure 94).
- Association with PassiveResource (0..1): A Component definition may correspond to one passive resource (see Figure 94).
- Uses ComponentKind enumeration.
iii) Constraints
- Inherits constraints from UCMmodelElement.
- Any two Component definitions cannot share the same name inside a URN specification.
- The name of a Component definition cannot be the empty String "".
- The Component containment hierarchy established by the includedComponents relationship does not contain any cycles (i.e., a Component definition shall not appear more than once on a path from a top node to a leaf node in the containment hierarchy).
- The context attribute of the Component definition of a pluginComponent in a ComponentBinding shall be true.
- The kind attribute of the Component definition of a pluginComponent in a ComponentBinding shall be Team.
- The Component definition of a pluginComponent in a ComponentBinding shall not have a ComponentType.
b) Concrete grammar

Component definition has no concrete syntax, but component references (see ComponentRef, clause 8.4.4) for the component definition are visualized. The line and fill colours of a component definition are specified in its definition's ConcreteStyle and are hence shared by all the component definition's references.
i) Relationships

- Inherits relationships from UCMmodelElement.
- Composition of ConcreteStyle (0..1): A Component definition may have one concrete style (see Figure 55).

Component definitions represent the underlying structure of scenarios and may contain other components. A component definition may itself be contained in other components. The containment hierarchy of component definitions does not necessarily have to match the containment hierarchy of component references (see ComponentRef in clause 8.4.4) as intermediate ComponentRefs may not be shown on a UCM diagram. A component definition may have a user-defined ComponentType, which further characterizes the component definition but does not influence the traversal of a UCM path bound to the component.
The traversal of a UCM path, however, is influenced by the kind of component as detailed in clause 8.4.3. The traversal also takes into account the protected attribute of a component definition. Upon entering a component reference associated with a protected component definition along a path, the traversal continues only if no other path is being traversed in the component definition.
The context attribute specifies that a ComponentBinding should exist for the component definition (i.e., when the component reference to the component definition is used on a plug-in map, then a binding to a component on the parent map should be specified). The existence of such a component binding, however, is not mandatory. If the traversal reaches a component reference to a component definition with the context attribute set to true, but no component binding is specified, then the traversal issues only a warning but continues the scenario.
A component definition may have several includingComponents (i.e., more than one parent), therefore allowing the capture of several architectural alternatives in one UCM model. A modeller may investigate various allocations of sub-components to components, usually defined in different plug-in maps of a dynamic stub, and reason about trade-offs involving these alternatives. The alternatives may also be reasoned about and evaluated more formally in the URN model with the help of GRL models for the alternative component structures.
Component definitions also play a role in the performance analysis of UCM specifications as explained in clause 8.6. A component definition can be optionally hosted on a ProcessingResource, which then becomes the target of host demands made by responsibility references bound to references to that component definition. A component definition may optionally be considered as a PassiveResource.
NOTE - Semantic variation: Modellers are allowed to impose additional constraints on the containment hierarchy of component definitions. For example, if the specification of architectural alternatives in one UCM model is not desired, a component definition is allowed to be contained at most in only one parent component definition. Furthermore, additional constraints could be imposed in terms of how different kinds of components may be contained in other components. For example, a component of kind Process that is not allowed to be contained in a component of kind Object. Such constraints could also be extended to user-defined ComponentTypes, so that:

- A Component is only allowed to be included at the most by one other Component; and
- Let C1 and C2 be Component definitions and the kind of C1 be set to Object. If C1 is the ancestor of C2 in the containment hierarchy of Component definitions established by the includedComponents relationship of Component definitions, then the kind of C2 is not allowed to be set to Process.


### 8.4.2 ComponentType

A ComponentType allows the definition of user-defined types of components (see Figure 85).

## a) Abstract grammar

i) Attributes

- Inherits attributes from UCMmodelElement (see Figure 59).
ii) Relationships
- Inherits relationships from UCMmodeIElement.
- Contained by UCMspec (1): A ComponentType is contained in the UCM specification (see Figure 58).
- Association with Component (0..*): A ComponentType may be assigned to component definitions.
iii) Constraints
- Inherits constraints from UCMmodelElement.
b) Concrete grammar

A ComponentType does not have a visual representation.
i) Relationships

- Inherits relationships from UCMmodeIElement.
c) Semantics

ComponentTypes group Component definitions according to a user-defined name. For example, a call scenario may include two call agents, one for subscriber A and one for subscriber B . While the names of the component definitions for these call agents are "subscriber A" and "subscriber B", the name of the component type is "call agent" and is associated with both component definitions. The component type, however, does not influence the traversal of UCM paths.

### 8.4.3 ComponentKind

A component definition can be a Team, a Process, an Object, an Agent or an Actor (see Figure 85).
a) Abstract grammar
i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by Component.
iii) Constraints
- None.
b) Concrete grammar

None (enumeration metaclass). However, it influences the presentation of components references (see clause 8.4.4).
c) Semantics

ComponentKind differentiates between several kinds of components. A Team is a generic component, used as a container for sub-components of any kind. A Process is an active component, which implies the existence of a control thread. An Object is a passive component, which is usually controlled by a process. An Agent is an autonomous component, which acts on behalf of other components. An Actor is an external component that describes an entity, either human or artificial, that interacts with the system.

The traversal of UCM paths treats all kinds of components the same way with the exception of components of kind Object. The traversal interleaves the traversal of path nodes of parallel branches that are bound to the same component definition, if the component definition is of kind Object.

### 8.4.4 ComponentRef

ComponentRef references a component definition. In a URN specification, the same component definition may be referenced many times in the same UCM diagram and in many UCM diagrams. Component references may contain other component references and path nodes. Relationships between component references on a parent map and component references on a plug-in map may be established with the help of component plug-in bindings (see Figure 85).
a) Abstract grammar

## i) Attributes

- Inherits attributes from UCMmodeIElement (see Figure 59).


## ii) Relationships

- Inherits relationships from UCMmodeIElement.
- Contained by UCMmap (1): A ComponentRef is contained in one UCM map.
- Association with PathNode (0..*): A ComponentRef may contain path nodes.
- Association with Component (1): A ComponentRef references one component definition.
- Association with ComponentBinding (parentBindings, 0..*): A ComponentRef may be the parent component in component bindings.
- Association with ComponentBinding (pluginBindings, 0..*): A ComponentRef may be the component on the plug-in map in component bindings.
- Association with ComponentRef (parent, 0..1): A ComponentRef may be included by one component reference.
- Association with ComponentRef (children, 0..*): A ComponentRef may include component references.
iii) Constraints
- Inherits constraints from UCMmodelElement.
- The name of a ComponentRef shall be the same as the name of its associated Component definition.
- The UCMmap that contains the ComponentRef shall be the UCMmap that contains ComponentRefs associated as children.
- The containment hierarchy of ComponentRefs established by the children relationship does not contain any cycles (i.e., a ComponentRef shall not appear more than once on a path from a top node to a leaf node in the containment hierarchy).
- Let CR1 and CR2 be ComponentRefs. If CR1 is the parent of CR2, then the Component definition of CR1 shall be an ancestor of the Component definition of CR2 in the containment hierarchy of Component definitions established by the includedComponents relationship of Component definitions.
b) Concrete grammar

The symbol for ComponentRef on a UCM map depends on the kind of the Component definition to which the ComponentRef refers: a rectangle for Team, a parallelogram for Process, a rounded-corner rectangle for Object, a rectangle with a thick border for Agent
and a rectangle with a stickman icon in its top-left corner for Actor (see Figure 86, where the name of each component reference is the same as its kind, for illustration purposes).


Figure 86 - Symbol: UCM component reference
If the protected attribute of the Component definition to which the ComponentRef refers is true, then a second, slightly smaller outline is added to the symbol for ComponentRef as shown in Figure 87.


Figure 87 - Symbol: UCM protected and context-dependent component reference

The name of the component reference (from superclass URNmodelElement) is displayed next to the symbol according to Label coordinates. If the context attribute of the Component definition to which the ComponentRef refers is true, then the name is prefixed with "parent:" in italic font (see Figure 87). The coordinate conventions of clause 5.3.2 apply. The top-left corner of the ComponentRef is indicated by its Position ( $\mathrm{x}, \mathrm{y}$ ) and the bottom-right corner by its Position and Size ( $\mathrm{x}+\mathrm{width}, \mathrm{y}+\mathrm{height)} .\mathrm{The} \mathrm{bottom-left} \mathrm{corner} \mathrm{of}$ the Label is relative to the Position (x-deltaX, $y$-deltaY) (see Figure 88) for an illustration of these layout principles.


Figure 88 - Layout: Position, size and label for ComponentRef

## i) Relationships

- Inherits relationships from UCMmodeIElement.
- Composition of Label (0..1): A ComponentRef may have one label (see Figure 52).
- Composition of Size (0..1): A ComponentRef may have one size (see Figure 54).
- Composition of Position (0..1): A ComponentRef may have one position (see Figure 53).
ii) Constraints
- A ComponentRef shall have one Label.
- A ComponentRef shall have one Size.
- A ComponentRef shall have one Position.
- The symbol of a ComponentRef shall not overlap with the symbol of another ComponentRef, unless it is entirely inside the symbol of that ComponentRef.
c) Semantics

ComponentRef allows for the reuse of the same Component definition in multiple locations on one or more UCMmaps. The semantics of ComponentRef is defined by the attributes of its Component definition and its ComponentBindings (see clauses 8.4.1 and 8.4.5, respectively).
d) Model

None.

## e) Examples

The following examples demonstrate the relationship of component definitions and component references in terms of component containment. First of all, if Component definition C 1 is the parent of Component definition C 2 , which in turn is the parent of Component definition C3, then a UCM diagram may show a ComponentRef to C3 being contained in a ComponentRef to C 1 without showing a ComponentRef for the intermediary C 2 . This is possible because constraints 8.4 .1 d and 8.4 .4 e stipulating that containment hierarchies shall be cycle-free and that C 1 shall be an ancestor of C 3 in the containment hierarchy is not violated (see Figure 89.i).
If, however, a ComponentRef to C 4 is the parent of a ComponentRef to C 5 and another ComponentRef to C 5 is the parent of another ComponentRef to C 4 , then the constraints are violated, because an attempt to satisfy both ComponentRef containments leads to a cycle in the containment hierarchy (see Figure 89.ii).
On the other hand, if a ComponentRef to C6 is the parent of a ComponentRef to C7 and a ComponentRef to C8 is the parent of another ComponentRef to C7, then the constraints are not violated. In this case, however, the containment hierarchy for Component definitions is not yet fully specified as there are several possible options for the parent of C7. First, C6 is the parent of C 8 , which in turn is the parent of C 7 . Second, C 8 is the parent of C 6 , which in turn is the parent of C7. Third, the UCM model may specify structural alternatives and therefore only one of C 6 and C 8 is the parent of C 7 but there is no containment relationship between C6 and C8. The third option is the most general interpretation of the hierarchy of component references and is therefore reflected in the containment hierarchy of component definitions (see Figure 89.iii).

i) Valid containment

ii) Invalid containment

iii) Valid containment

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Figure 89 - Examples: UCM component containment hierarchies

### 8.4.5 ComponentBinding

ComponentBinding captures the relationship of component references on a parent map with components references on a plug-in map (see Figure 85).
a) Abstract grammar
i) Attributes

- None.
ii) Relationships
- Contained by PluginBinding (1): A ComponentBinding is contained in one plug-in binding.
- Association with ComponentRef (parentComponent, 1): A ComponentBinding consists of one component reference on the parent map.
- Association with ComponentRef (pluginComponent, 1): A ComponentBinding consists of one component reference on the plug-in map.


## iii) Constraints

- The UCMmap of the ComponentRef associated as parentComponent is the UCMmap of the Stub of the PluginBinding of the ComponentBinding.
- The UCMmap of the ComponentRef associated as pluginComponent is the UCMmap of the PluginBinding of the ComponentBinding.
- Let M be a non-singleton UCMmap and let PG be a pluginComponent group where each pluginComponent is contained in M , has the same Component definition, and belongs to a ComponentBinding in the same PluginBinding. Then, each Component definition of a parentComponent of a ComponentBinding of the pluginComponents in PG shall be the same.
- Let M be a singleton UCMmap and let PG be a pluginComponent group where each pluginComponent is contained in M , has the same Component definition, and belongs to a ComponentBinding in any PluginBinding. Then, each Component definition of a parentComponent of a ComponentBindings of the pluginComponents in PG shall be the same.
b) Concrete grammar

None.

## c) Semantics

ComponentBinding establishes a relationship between a component reference on a parent map with a component reference on a plug-in map. When a component reference with a component plug-in binding is reached on a plug-in map during the traversal of a UCM path, the component definition of the component reference on the plug-in map is not used by the traversal. Instead, the traversal of a UCM path uses the component definition of the parent component reference. Consequently, the component definition of the component reference on the plug-in map is irrelevant. Therefore, its component kind and type are also irrelevant and not specified.
Component bindings may specify four different relationships as illustrated in the examples in Figure 90 . The path on the plug-in map may not be bound to a component reference at all (i), the structure of the parent component C 1 is refined on the plug-in map as component C 2 is contained in the parent component (ii), the parent component C 1 is playing a role specified on the plug-in map (e.g., a role in an architectural or behavioural pattern) (iii), and the parent component C 1 uses the services provided by component C 2 as specified by the path bound to C 2 on the plug-in map (iv). Consequently, the location of a stub relative to components on the parent map does not have any semantic significance (i.e., the behaviour and structure defined on a plug-in map of a stub do not necessarily have to be bound to the same component reference as the stub).


Figure 90 - Example: Plug-in bindings for components
d) Model

None.

## e) Example

With the help of plug-in bindings for components, the relationship of multiple components on a parent map and on plug-in maps may also be modelled as shown in the example in Figure 91. Components on the plug-in map for which plug-in bindings are supposed to exist are identified as usual by the prefix "parent:". Parent component C 1 is bound to component Name1 on the plug-in map, whereas parent component C3 is bound to component Name2 on the plug-in map. This example also shows that component plug-in bindings may be established regardless of the location of the stub on the parent map, i.e., even if the stub is not bound to any component reference on the parent map.


Figure 91 - Example: Plug-in bindings for multiple components

### 8.5 UCM scenario definitions

Scenario definitions (see ScenarioDef, clause 8.5.2) make use of path variables and conditions to identify individual scenarios in an integrated collection of UCMs. Conditions allow the explicit definition of otherwise hidden causal dependencies of path segments, thereby reducing the number of path segments that can be combined to create useful and sensible end-to-end scenarios. Once defined, such scenarios can be grouped or used for highlighting and animating specific paths or for generating other representations such as message sequence charts or TTCN-3 test cases (see Figure 92).

There are no specific concrete grammar metaclasses for the model elements defined in this clause.


Figure 92 - Abstract grammar: UCM scenario definitions

### 8.5.1 ScenarioGroup

ScenarioGroup is a collection of scenario definitions. It is used to organize scenario definitions and to manipulate them as a group (see Figure 92).
a) Abstract grammar

## i) Attributes

- Inherits attributes from UCMmodelElement (see Figure 59).
ii) Relationships
- Inherits relationships from UCMmodeIElement.
- Contained by UCMspec (1): A ScenarioGroup is contained in the UCM specification (see Figure 58).
- Association with ScenarioDef (0..*): A ScenarioGroup may refer to scenario definitions.


## iii) Constraints

- Inherits constraints from UCMmodelElement.
b) Concrete grammar

A ScenarioGroup does not have a visual representation.

## i) Relationships

- Inherits relationships from UCMmodeIElement.
c) Semantics

None (ScenarioGroup is a structural concept only).

### 8.5.2 ScenarioDef

ScenarioDef defines a scenario through the UCM model (i.e., a path through the model for which alternatives at each choice point have been chosen). A scenario definition includes the start points of the scenario, the desired end points to be reached, preconditions and postconditions that have to be satisfied and initialization values for variables in the global data model of the URN specification (see clause 9.1) (see Figure 92).
a) Abstract grammar
i) Attributes

- Inherits attributes from UCMmodeIElement (see Figure 59).
ii) Relationships
- Inherits relationships from UCMmodeIElement.
- Contained by UCMspec (1): A ScenarioDef is contained in the UCM specification (see Figure 58).
- Composition of Condition (preconditions, 0..*): A ScenarioDef may contain preconditions.
- Composition of Condition (postconditions, 0..*): A ScenarioDef may contain postconditions.
- Composition of Initialization (0..*): A ScenarioDef may contain variable initializations.
- Association with ScenarioGroup (1..*): A ScenarioDef is referenced by at least one group of scenarios.
- Association with ScenarioDef (parentScenarios, 0...*): A ScenarioDef may be included by scenario definitions.
- Association with ScenarioDef (includedScenarios, 0..*) \{ordered\}: A ScenarioDef may have an ordered collection of included scenario definitions.
- Association with StartPoint (0..*) \{ordered\}: A ScenarioDef may define an ordered collection of start points to be triggered.
- Association with EndPoint (0..*): A ScenarioDef may define end points to be reached.
iii) Constraints
- Inherits constraints from UCMmodelElement.
- The scenario containment hierarchy established by the includedScenarios relationship does not contain any cycles (i.e., a ScenarioDef shall not appear more than once on a path from a top node to a leaf node in the containment hierarchy).
b) Concrete grammar

A ScenarioDef does not have a visual representation.

## i) Relationships

- Inherits relationships from UCMmodeIElement.
c) Semantics

ScenarioDef is used as the starting point for the UCM traversal mechanism. The traversal of a path begins at the first StartPoint specified in a scenario definition, if the preconditions (see Condition, clause 6.1.6) are satisfied after initializing the variables in the global data model of the URN specification according to the Initializations specified in the scenario definition. If a precondition is not satisfied, the traversal of the scenario failed and an error is generated.

The start points are triggered in the order specified in the scenario definition, beginning with the first one. The following start point is only triggered when the traversal triggered by the first start point cannot proceed any further. If an error occurs, none of the remaining start points are triggered.
At the end of the traversal of a path, the reached EndPoints are compared against the desired EndPoints specified in the scenario definition and the postconditions of the scenario definition are evaluated. Postconditions of scenario definitions may make use of variable names with the suffix " pre", denoting the value of a variable Initialization. The scenario traversal completed successfully if all desired end points have been reached and all postconditions evaluate to true. In all other cases, the traversal of the scenario failed and an error is generated.
If a scenario definition contains another scenario definition, the traversal of a UCM path considers the union of the StartPoints, EndPoints, preconditions and postconditions for its purposes. For conflicting Initializations (i.e., initializations of the same variable), however, the Initialization of the containing scenario overrides the Initialization of the contained scenario. If more than one scenario definition is included, then the last scenario definition in the ordered list of included scenarios takes precedence over the previous ones (i.e., the initializations are applied beginning with the first included scenario definition in the ordered list; then each other scenario definition is applied up to the last included scenario definition, and finally the initializations of the including scenario are applied).
If the preconditions of a scenario definition contradict the preconditions defined by its contained scenario definitions, then the traversal will never be able to start. Analogously for postconditions, the traversal will never be able to finish successfully if postconditions contradict each other. The order of the start points in the union of start points from all included scenarios and the scenario itself is determined by the ordered list of included scenarios defined in a scenario definition. The start points of the first included scenario definition have priority over all other start points, followed by the next included scenario definition, and eventually the last included scenario definition. The start points of the scenario definition itself are triggered last. Within each set of start points, the start points are again ordered and the first start point has the highest priority.
d) Model

None.

## e) Examples

Scenario definitions can help identify problems with a UCM specification as they can be used to specify desired scenario behaviour. Essentially, scenario definitions are test cases that can be run by the UCM path traversal against a UCM specification. The example in this clause builds on the example UCM model from clause 8.2.1, illustrates different ways of structuring scenario definitions, and shows how the UCM path traversal may help detect undesired interactions between scenarios.
One approach to structuring scenario definitions defines complete end-to-end scenarios for each scenario definition. End-to-end scenarios may consist of many features defined on separate plug-in maps as shown in Figure 61. With this approach, a scenario definition does not include other scenario definitions but specifies all required scenario definition elements itself. For example, the scenario definitions in Table 10 are structured according to this approach.

Table 10 - Example: End-to-end UCM scenario definitions

| Name | Start points | Initializations ${ }^{1)}$ | End points |
| :---: | :---: | :---: | :---: |
| Basic Call success | request | !subOCS, !subTL, !subTCS, !busy | ring, ringing |
| Basic Call busy | request | !subOCS, !subTL, !subTCS, busy | busy |
| OCS success | request | subOCS, !subTL, !subTCS, !busy, !onOCSlist | ring, ringing |
| OCS busy | request | subOCS, !subTL, !subTCS, busy, !onOCSlist | busy |
| OCS denied | request | subOCS, !subTL, !subTCS, onOCSlist | notify |
| TL success | request | !subOCS, subTL, !subTCS, !busy, !TLactive | ring, ringing |
| TL pin success | request, enterPIN | !subOCS, subTL, !subTCS, !busy, TLactive, PINvalid | ring, ringing |
| TL busy | request | !subOCS, subTL, !subTCS, busy, !TLactive | busy |
| TL pin busy | request, enterPIN | !subOCS, subTL, !subTCS, busy, TLactive, PINvalid | busy |
| TL invalid pin | request, enterPIN | !subOCS, subTL, !subTCS, !busy, TLactive, !PINvalid | notify |
| TL timeout | request | !subOCS, subTL, !subTCS, !busy, TLactive | notify |
| TCS success | request | !subOCS, !subTL, subTCS, !busy, !onTCSlist | ring, ringing |
| TCS busy | request | !subOCS, !subTL, subTCS, busy, !onTCSlist | busy |
| TCS denied | request | !subOCS, !subTL, subTCS, onTCSlist | notify |
| TL pin TCS success | request, enterPIN | !subOCS, subTL, subTCS, !busy, TLactive, PINvalid, !onTCSlist | ring, ringing |

${ }^{1)}$ <variable> is shorthand for <variable> = true; ! <variable> is shorthand for <variable> = false.
The first two scenario definitions specify basic call behaviour, the next three the OCS feature combined with basic call, the next six the TL feature combined with basic call, and the next three feature the TCS feature combined with basic call. The last scenario definition specifies the behaviour of a combination of TL, TCS and basic call.
Another way of structuring scenario definitions is to use one scenario definition per feature that captures the common specifications for all basic scenario definitions of the feature. For example, the scenario definitions in Table 11 show a common scenario definition used by the TL feature.

Table 11 - Example: End-to-end UCM scenario definitions with common elements

| Name | Start points | Initializations ${ }^{1)}$ | End points |
| :---: | :---: | :---: | :---: |
| TL common | request | !subOCS, subTL, !subTCS, !busy, TLactive, PINvalid |  |
| The following scenario definitions include TL common (elements that are scenario definition-specific and not defined in TL common are shown in bold and italic): |  |  |  |
| TL success | request | !subOCS, subTL, !subTCS, !busy, !TLactive, PINvalid | ring, ringing |
| TL pin success | request, enterPIN | !subOCS, subTL, !subTCS, !busy, TLactive, PINvalid | ring, ringing |
| TL busy | request | !subOCS, subTL, !subTCS, busy, !TLactive, PINvalid | busy |

Table 11 - Example: End-to-end UCM scenario definitions with common elements

| Name | Start points | Initializations ${ }^{\text {1) }}$ | End points |
| :--- | :---: | :--- | :---: |
| TL pin busy | request, <br> enterPIN | !subOCS, subTL, !subTCS, busy, TLactive, PINvalid | busy |
| TL invalid pin | request, <br> enterPIN | !subOCS, subTL, !subTCS, !busy, TLactive, <br> !PINvalid | notify |
| TL timeout | request | !subOCS, subTL, !subTCS, !busy, TLactive, <br> PINvalid | notify |
| ${ }^{1)}<$ variable $>$ is shorthand for $<$ variable $>=$ true; !<variable $>$ is shorthand for $<$ variable $>=$ false. |  |  |  |

The elements from the common scenario definition are merged with the elements from the including scenario definition. The including scenario definition may override initializations of the common scenario definition (e.g., TL success and TL busy override TLactive, TL busy and TL pin busy override busy, and TL invalid pin overrides PINvalid). This second approach of structuring scenario definitions demonstrates one reason for providing included scenarios in the abstract UCM metamodel. Common prefixes of a scenario can be captured and reused across many scenario definitions. By including a scenario definition, a scenario is positioned at the desired path location. For example, any TL scenario always proceeds from the request start point to the OrigFeatures stub. Any TCS scenario, on the other hand, always proceeds from the request start point to the TermFeatures stub.
A third way of structuring scenario definitions defines feature-specific scenario definitions that do not describe end-to-end scenarios. An end-to-end scenario is created by including several scenario definitions in another scenario definition. Table 12 gives an example of this approach with the help of the basic call, OCS, TL and TCS features. Elements of a scenario definition that are scenario definition-specific and not defined in an included scenario definition are shown in bold and italic. The basic feature-specific scenarios are highlighted in bold.

Table 12 - Example: Feature-specific UCM scenario definitions

| Name $^{\text {1) }}$ | Start points | Initializations ${ }^{\text {2) }}$ | End points |
| :--- | :---: | :--- | :---: |
| Basic Call core | request | !subOCS, !subTL, !subTCS, !busy |  |
| Basic Call success <br> +Basic Call core | request | !subOCS, !subTL, !subTCS, !busy | ring, ringing |
| Basic Call busy <br> +Basic Call core | request | !subOCS, !subTL, !subTCS, busy | busy |
| OCS core |  | subOCS, !onOCSlist |  |
| OCS success <br> +Basic Call success, <br> +OCS core | request | subOCS, !subTL, !subTCS, !busy, !onOCSlist | ring, ringing |
| OCS busy <br> +Basic Call busy, <br> +OCS core | request | subOCS, !subTL, !subTCS, busy, !onOCSlist | busy |
| OCS denied <br> +Basic Call core <br> +OCS core | request | subOCS, !subTL, !subTCS, onOCSlist | notify |
| TL core | subTL, TLactive, PINvalid |  |  |

Table 12 - Example: Feature-specific UCM scenario definitions

| Name ${ }^{1)}$ | Start points | Initializations ${ }^{2)}$ | End points |
| :---: | :---: | :---: | :---: |
| TL core PIN <br> +TL core | enterPIN | subTL, TLactive, PINvalid |  |
| TL success <br> +Basic Call success <br> +TL core | request | !subOCS, subTL, !subTCS, !busy, !TLactive, PINvalid | ring, ringing |
| TL pin success <br> +Basic Call success <br> +TL core PIN | request, enterPIN | !subOCS, subTL, !subTCS, !busy, TLactive, PINvalid | ring, ringing |
| TL busy <br> +Basic Call busy <br> +TL core | request | !subOCS, subTL, !subTCS, busy, !TLactive, PINvalid | busy |
| TL pin busy <br> + Basic Call busy <br> + TL core PIN | request, enterPIN | !subOCS, subTL, !subTCS, busy, TLactive, PINvalid | busy |
| TL invalid pin <br> +Basic Call core <br> +TL core PIN | request, enterPIN | !subOCS, subTL, !subTCS, !busy, TLactive, !PINvalid | notify |
| TL timeout <br> + Basic Call core <br> +TL core | request | !subOCS, subTL, !subTCS, !busy, TLactive, PINvalid | notify |
| TCS core |  | subTCS, !onTCSIist |  |
| TCS success <br> +Basic Call success <br> +TCS core | request | !subOCS, !subTL, subTCS, !busy, !onTCSlist | ring, ringing |
| TCS busy <br> +Basic Call busy <br> +TCS core | request | !subOCS, !subTL, subTCS, busy, !onTCSlist | busy |
| TCS denied <br> +Basic Call core <br> +TCS core | request | !subOCS, !subTL, subTCS, onTCSIIst | notify |
| Below are scenario definitions that combine more than two features: |  |  |  |
| TL pin TCS success <br> +Basic Call success <br> +TL core PIN <br> +TCS core | request, enterPIN | !subOCS, subTL, subTCS, !busy, TLactive, PINvalid, !onTCSlist | ring, ringing |
| TL invalid pin OCS <br> +Basic Call core <br> + TL core PIN <br> +OCS core | request, enterPIN | subOCS, subTL, !subTCS, !busy, TLactive, !PINvalid, !onOCSlist | notify |
| ${ }^{1)}+$ denotes an included scenario. <br> ${ }^{2)}$ <variable> is shorthand for <variable> = true; !<variable> is shorthand for <variable> = false. |  |  |  |

The last two scenario definitions are of particular interest because the more features are combined, the more likely it is that undesired interactions between these features manifest themselves in the UCM model. The penultimate scenario definition is not problematic, but the last one is. If the UCM path traversal attempts to run this scenario, the traversal will encounter two active plug-in maps for the TermFeatures stub, because the preconditions for
both the Teen Line plug-in map and the Originating Call Screening plug-in map are fulfilled. Both plug-in maps are therefore run in parallel. However, only the Teen Line feature fails and ends at the notify end point. The Originating Call Screening feature, however, succeeds and continues to the ring and ringing end points. This is an undesired interaction, because the active Teen Line feature has been circumvented and the scenario ends at both ring/ringing as well as notify.
There are different ways of resolving this conflict. One solution requires the following changes to the UCM model, as shown in Figure 93:

- Add two new Boolean variables: chkOCS and chkTL.
- Add responsibility initFeatures just before the OrigFeatures stub (initializes the new variables: chkOCS = subOCS; chkTL = subTL).
- Change the precondition for the OCS plug-in map of the OrigFeatures stub: chkOCS.
- Change the precondition for the Teen Line plug-in map of the OrigFeatures stub: chkTL and not chkOCS.
- Change the precondition for the Default plug-in map of the OrigFeatures stub: not (chkOCS or chkTL).
- Add an OR-fork just after the OrigFeatures stub on the OUT1 out-path.
- Loop back to the OrigFeatures stub if (chkOCS or chkTL) (FeatureLeft branch), continue otherwise (NoFeatureLeft branch).
- Add a variable assignment to the responsibility checkOCS on the Originating Call Screening plug-in map (chkOCS = false).
- Add a variable assignment to the responsibility checkTime on the Teen Line plug-in map (chkTL = false).
This solution gives priority to OCS over Teen Line (see preconditions of plug-in maps) because OCS does not require user interaction and it is not worth asking the originating user for a PIN if the call is blocked by OCS. This solution does not run the plug-in maps in parallel but one at the time. Each time the traversal reaches the OrigFeatures stub, a different feature is chosen, because a chk $<$ Feature $>$ variable was changed by a responsibility on the previously run plug-in map (see the variable assignments in the last two bullets).


Figure 93 - Example: UCM model (improved)
NOTE - All approaches for structuring scenario definitions in this clause could have specified preconditions and postconditions for the scenarios in addition to start point, end points and initializations but did not for simplicity of the example UCM model.

### 8.5.3 Initialization

Initialization specifies the initial value of a variable in a scenario definition (see Figure 92).
a) Abstract grammar

## i) Attributes

- value (String): The initial value of a variable.
ii) Relationships
- Contained by ScenarioDef (1): An Initialization is contained in one scenario definition.
- Association with Variable (1): An Initialization is for one variable.
iii) Constraints
- The value shall be a Boolean literal as defined in clause 9.2.1, if the type of the Variable is Boolean.
- The value shall be an Integer literal (possibly preceded by the additive complement operator) as defined in clause 9.2.2, if the type of the Variable is Integer.
- The value shall be an enumeration literal from the values of the EnumerationType of the Variable as defined in clause 9.2.3, if the type of the Variable is Enumeration.
b) Concrete grammar

None.
c) Semantics

Initializations are used by the traversal of a UCM path to set variables of the global data model in the URN specification (see clause 9.1) before traversing the UCM model based on a scenario definition.

### 8.5.4 Variable

Variables are part of the global data model in the URN specification (see clause 9.1). A variable may be one of several types and is initialized by the UCM traversal mechanism with Initialization values (see Figure 92).
a) Abstract grammar
i) Attributes

- Inherits attributes from UCMmodelElement (see Figure 59).
- type (DatatypeKind): The type of the variable. Default value is Boolean.
ii) Relationships
- Inherits relationships from UCMmodeIElement.
- Contained by UCMspec (1): A Variable is contained in the UCM specification (see Figure 58).
- Association with EnumerationType (0..1): A Variable may be of Enumeration type.
- Uses DatatypeKind enumeration.
iii) Constraints
- Inherits constraints from UCMmodelElement.
- Any two Variables cannot share the same name inside a URN specification.
- A Variable has one EnumerationType if and only if the type of the Variable is Enumeration.
- The name of a Variable shall be different from the following keywords: and, or, xor, not, mod, true, false, if, else, UCMFailures.
- The name of a Variable shall not end with " $\_$pre".
b) Concrete grammar

A Variable does not have a visual representation.

## i) Relationships

- Inherits relationships from UCMmodelElement.
c) Semantics

Variable is defined by its type and may be initialized according to an Initialization at the beginning of the traversal of a UCM path. The value of a variable may change during the traversal of a UCM path because of the expression of a Responsibility definition. The initial value of a Variable provided by its Initialization is accessible in data expressions (see clause 9.3 ) by using its name with the "_pre" suffix. A variable has a data item of the same type, or it is "undefined".

### 8.5.5 EnumerationType

EnumerationType defines the valid values of an Enumeration (see Figure 92).
a) Abstract grammar
i) Attributes

- Inherits attributes from UCMmodelElement (see Figure 59).
- values (String): A comma-separated list of values specifies the valid choices for an Enumeration type.
ii) Relationships
- Inherits relationships from UCMmodelElement.
- Contained by UCMspec (1): An EnumerationType is contained in the UCM specification (see Figure 58).
- Association with Variable (0..*): An EnumerationType may be used for variables.


## iii) Constraints

- Inherits constraints from UCMmodelElement.
- values shall be a comma-separated list of enumeration literals as defined in clause 9.2.3.
- Each individual value in values shall be unique within values.
- Each individual value in values shall be different from all Variable names.
- Each individual value in values shall not end with "_pre".
- Each individual value in values shall be different from the following keywords: and, or, xor, not, mod, true, false, if, else.
b) Concrete grammar

An EnumerationType does not have a visual representation.
i) Relationships

- Inherits relationships from UCMmodeIElement.
c) Semantics

EnumerationType specifies the choices of valid values for a variable of type Enumeration. An Enumeration consists of at least one String value. If more than one value is specified for an Enumeration, a list of values separated by commas is used. For example, "Value1,Value2" specifies an Enumeration with two valid values.

### 8.5.6 DatatypeKind

A variable can be a Boolean, an Integer or an Enumeration (see Figure 92).
a) Abstract grammar
i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by Variable.
iii) Constraints
- None.
b) Concrete grammar

None (enumeration metaclass).

## c) Semantics

DatatypeKind defines the data type for a variable and therefore influences the valid values, valid expressions and valid uses a variable may have. All of these are defined for Boolean, Integer and Enumeration in more detail in clause 9 - Data language.

### 8.6 UCM performance annotations

UCM performance annotations enable the performance analysis of UCM models. Different kinds of resources can be defined so that potential deployments and usages of UCM components supporting scenarios can be analysed. A Component may be hosted on a ProcessingResource or may be considered as a PassiveResource. A RespRef may make a demand on the processing resource hosting its containing component. A Responsibility may also make explicit demands (e.g., service requests) on ExternalOperations. A StartPoint may contain a Workload describing the load density applied to a scenario. Workloads can be open with different arrival distributions, or closed with a fixed population. The time units used are specified in the workload (see Figure 94).
These annotations, together with others in metaclasses previously defined (hostDemand and repetitionCount in RespRef, probability in NodeConnection, and probability in PluginBinding), enable transformations of UCM models to models specified in languages suitable for performance analysis. Such transformations are, however, outside the scope of this Recommendation.
There are no specific concrete grammar metaclasses for the model elements defined in this clause.


Figure 94 - Abstract grammar: UCM performance annotations

### 8.6.1 Workload

Workload is a characterization of the load intensity applied to a scenario initiated at a StartPoint. A workload is open or closed and specifies a time unit (see Figure 94).
a) Abstract grammar
i) Attributes

- Inherits attributes from UCMmodelElement (see Figure 59).
- unit (TimeUnit): The unit of time used by the other attributes of the workload. Default value is ms (millisecond).
ii) Relationships
- Inherits relationships from UCMmodeIElement.
- Contained by StartPoint (1): A Workload is contained in one start point.
- Uses TimeUnit enumeration.
- Workload is a superclass of OpenWorkload and ClosedWorkload.
iii) Constraints
- Inherits constraints from UCMmodelElement.
- All instances of Workload shall appear in one of its subclasses (that is, metaclass Workload is abstract).
b) Concrete grammar

A Workload does not have a visual representation.

## i) Relationships

- Inherits relationships from UCMmodelElement.
c) Semantics

A Workload characterizes the requests for a scenario at a start point. A workload shall be either open (OpenWorkload) or closed (ClosedWorkload).
The time unit used in the definition of workload parameters (in OpenWorkload and ClosedWorkload subclasses) are specified by the unit attribute.

### 8.6.2 TimeUnit

The time unit used by a workload definition can be year (year), day (day), hour (h), second (s), millisecond (ms), microsecond ( $\mu \mathrm{s}$ ) or nanoseconds (ns) (see Figure 94).
a) Abstract grammar

## i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by Workload and ActiveResource.
iii) Constraints
- None.
b) Concrete grammar

None (enumeration metaclass).
c) Semantics

A time unit (used by a workload) can be one of the following:

- year: year (365 days)
- day: day (24 hours)
- h: hour (3600 seconds)
- s : second
- ms: millisecond (1/1000 second)
- $\mu \mathrm{s}$ : microsecond ( $1 / 1000$ millisecond)
- ns: nanosecond (1/1000 microsecond)


### 8.6.3 ClosedWorkload

A ClosedWorkload is a Workload with a fixed number of active users that cycle through the system (see Figure 94).
a) Abstract grammar

## i) Attributes

- Inherits attributes from Workload.
- population (String): The number of active users in a closed workload.
- externalDelay (String): The mean think time of users between requests on the containing start point.
ii) Relationships
- Inherits relationships from Workload.
iii) Constraints
- Inherits constraints from Workload.
- The population shall be an Integer expression, as defined in clause 9.3.
- The population shall evaluate to a non-negative Integer value.
- The externalDelay shall be an Integer expression, as defined in clause 9.3.
- The externalDelay shall evaluate to a non-negative Integer value.
b) Concrete grammar

A ClosedWorkload does not have a visual representation.

## i) Relationships

- Inherits relationships from Workload.
c) Semantics

A ClosedWorkload is a Workload that has a population attribute defining the finite number of active users of the containing scenario start point, as well as an external delay (externalDelay) defining the mean think time of each user between requests on that start point. The time unit of the external delay is specified by the workload's unit attribute.

### 8.6.4 OpenWorkload

An OpenWorkload is a Workload that represents streams of requests which arrive at a given rate in some predetermined pattern (see Figure 94).
a) Abstract grammar

## i) Attributes

- Inherits attributes from Workload.
ii) Relationships
- Inherits relationships from Workload.
- OpenWorkload is a superclass of OWPoisson, OWPeriodic, OWUniform and OWPhaseType.
iii) Constraints
- Inherits constraints from Workload.
- All instances of OpenWorkload shall appear in one of its subclasses (that is, metaclass OpenWorkload is abstract).
b) Concrete grammar

An OpenWorkload does not have a visual representation.

## i) Relationships

- Inherits relationships from Workload.
c) Semantics

An OpenWorkload is a Workload with an open arrival pattern defined by one of its subclasses. The arrival pattern represents streams of requests which arrive at the workload's start point.

### 8.6.5 OWPoisson

OWPoisson is an OpenWorkload with a Poisson arrival distribution (see Figure 94).
a) Abstract grammar

## i) Attributes

- Inherits attributes from OpenWorkload.
- mean (String): The mean of the Poisson distribution is the value of the mean attribute divided by 1000 .
ii) Relationships
- Inherits relationships from OpenWorkload.
iii) Constraints
- Inherits constraints from OpenWorkload.
- The mean shall be an Integer expression, as defined in clause 9.3.
- The mean shall evaluate to a positive Integer value.
b) Concrete grammar

An OWPoisson does not have a visual representation.

## i) Relationships

- Inherits relationships from Workload.
c) Semantics

OWPoisson is an OpenWorkload with a mathematical Poisson arrival distribution. The mean of the distribution (often called $\lambda$ in mathematics) is the mean attribute (a positive Integer) divided by 1000 .

### 8.6.6 OWPeriodic

OWPeriodic is an OpenWorkload with a periodic arrival (see Figure 94).
a) Abstract grammar
i) Attributes

- Inherits attributes from OpenWorkload.
- period (String): The period of the periodic arrival.
- deviation (String): The maximal deviation of the periodic arrival.
ii) Relationships
- Inherits relationships from OpenWorkload.


## iii) Constraints

- Inherits constraints from OpenWorkload.
- The period shall be an Integer expression, as defined in clause 9.3.
- The period shall evaluate to a positive Integer value.
- The deviation shall be an Integer expression, as defined in clause 9.3.
- The deviation shall evaluate to a non-negative Integer value.
b) Concrete grammar

An OWPeriodic does not have a visual representation.
i) Relationships

- Inherits relationships from Workload.
c) Semantics

OWPeriodic is an OpenWorkload with a periodic arrival characterized by a period and a maximal deviation. The time units of the period and deviation are specified by the workload's unit attribute.

### 8.6.7 OWUniform

OWUniform is an OpenWorkload with a uniform arrival distribution and a sampling interval (see Figure 94).
a) Abstract grammar
i) Attributes

- Inherits attributes from OpenWorkload.
- start (String): The start of the sampling interval.
- end (String): The end of the sampling interval.
ii) Relationships
- Inherits relationships from OpenWorkload.
iii) Constraints
- Inherits constraints from OpenWorkload.
- The start shall be an Integer expression, as defined in clause 9.3.
- The start shall evaluate to a non-negative Integer value.
- The end shall be an Integer expression, as defined in clause 9.3.
- The end shall evaluate to a positive Integer value greater than start's evaluated value.
b) Concrete grammar

An OWUniform does not have a visual representation.

## i) Relationships

- Inherits relationships from Workload.
c) Semantics

OWUniform is an OpenWorkload with a mathematical (discrete) uniform arrival distribution. The time units of the start and end are specified by the workload's unit attribute.

### 8.6.8 OWPhaseType

OWPhaseType is an OpenWorkload with a phase-type arrival distribution (see Figure 94).
a) Abstract grammar
i) Attributes

- Inherits attributes from OpenWorkload.
- alpha (String): The probability row-vector of the phase-type arrival distribution. Each probability is expressed in thousandth.
- s (String): The subgenerator square matrix of the phase-type arrival distribution.
ii) Relationships
- Inherits relationships from OpenWorkload.


## iii) Constraints

- Inherits constraints from OpenWorkload.
b) Concrete grammar

An OWPhaseType does not have a visual representation.
i) Relationships

- Inherits relationships from Workload.
c) Semantics

OWPhaseType is an OpenWorkload with a mathematical phase-type arrival distribution (that results from a system of one or more interrelated Poisson processes occurring in sequence, or phases). A phase-type distribution can be used to describe other types of distributions, which are special cases: exponential, Erlang, deterministic, Coaxian, hyperexponential and hypo-exponential distributions.
The alpha attribute is vector of Integer values, where the values represent probabilities multiplied by 1000 and are separated by commas (e.g., " $900,100,0$ ").

The $s$ attribute is a square matrix of Integer values, where each row is a vector between square brackets and each vector is separated by a comma (e.g., " $[-3,0,0],[0,-4,0]$, [ $0,0,-5]$ ").
The time units used in the s matrix are specified by the workload's unit attribute.

### 8.6.9 GeneralResource

GeneralResource is a UCM model element that represents a resource that can be used by responsibilities or that can be used to deploy components on (see Figure 94).
a) Abstract grammar
i) Attributes

- Inherits attributes from UCMmodelElement (see Figure 59).
- multiplicity (Nat): The number of available resources. Default value is 1.
- schedPolicy (String): The type of scheduling policy.
ii) Relationships
- Inherits relationships from UCMmodelElement.
- Contained by UCMspec (1): A GeneralResource is contained in the UCM specification (see Figure 58).
- GeneralResource is a superclass of PassiveResource and ActiveResource.
iii) Constraints
- Inherits constraints from UCMmodelElement.
- All instances of GeneralResource shall appear in one of its subclasses (that is, metaclass GeneralResource is abstract).
b) Concrete grammar

A GeneralResource does not have a visual representation.
i) Relationships

- Inherits relationships from UCMmodelElement.


## c) Semantics

GeneralResource is an abstract class used to define attributes common to the other resources. The multiplicity represents the number of copies of the same resource. An optional schedPolicy attribute can be used to assign a specific scheduling policy to the resource, but its format is outside the scope of this Recommendation.

### 8.6.10 PassiveResource

PassiveResource is a GeneralResource that represents a resource that can be acquired and released (see Figure 94).
a) Abstract grammar
i) Attributes

- Inherits attributes from GeneralResource.
ii) Relationships
- Inherits relationships from GeneralResource.
- Association with Component (0..1): A PassiveResource may have one component definition.
iii) Constraints
- Inherits constraints from GeneralResource.
b) Concrete grammar

A PassiveResource does not have a visual representation.
i) Relationships

- Inherits relationships from GeneralResource.
c) Semantics

Passive resources are resources that do not have their own threads of control. Passive resources represent resources that shall be held but which do not perform operations. A Component associated to a passive resource represents that passive resource on UCM diagrams.

### 8.6.11 ActiveResource

ActiveResource is a GeneralResource that executes or processes its operations itself within the context of a performance model (see Figure 94).
a) Abstract grammar

## i) Attributes

- Inherits attributes from GeneralResource.
- opTime (String): The time required by the ActiveResource to do one operation.
- unit (TimeUnit): The unit of time used by opTime. Default value is ms (millisecond).
ii) Relationships
- Inherits relationships from GeneralResource.
- ActiveResource is a superclass of ProcessingResource and Externa/Operation.
- Uses TimeUnit enumeration.
iii) Constraints
- Inherits constraints from GeneralResource.
- All instances of ActiveResource shall appear in one of its subclasses (that is, metaclass ActiveResource is abstract).
- The opTime shall be an Integer expression, as defined in clause 9.3.
- The opTime shall evaluate to a non-negative Integer value.
b) Concrete grammar

An ActiveResource does not have a visual representation.

## i) Relationships

- Inherits relationships from GeneralResource.
c) Semantics

ActiveResources are resources that have their own thread of control. Active resources represent resources that perform operations. The opTime attribute describes the time needed by the resource to perform one operation, in the time unit specified.

### 8.6.12 ProcessingResource

ProcessingResource is an ActiveResource that represents a hardware processor (see Figure 94).
a) Abstract grammar
i) Attributes

- Inherits attributes from ActiveResource.
- kind (DeviceKind): The specific kind of hardware processing device represented by the resource. Default value is Processor.


## ii) Relationships

- Inherits relationships from ActiveResource.
- Association with Component (0..*): A ProcessingResource may have component definitions for which it acts as a host.
- Uses DeviceKind enumeration.
iii) Constraints
- Inherits constraints from ActiveResource.
b) Concrete grammar

A ProcessingResource does not have a visual representation.

## i) Relationships

- Inherits relationships from ActiveResource.
c) Semantics

A ProcessingResource represents a hardware host for the software Components associated with it. The kind attribute defines the type of hardware being represented by the resource.

### 8.6.13 DeviceKind

A processing resource can be a Processor, a Disk or a digital signal processor (DSP) (see Figure 94).
a) Abstract grammar
i) Attributes

- None (enumeration metaclass).
ii) Relationships
- Used by ProcessingResource.
iii) Constraints
- None.
b) Concrete grammar

None (enumeration metaclass).
c) Semantics

DeviceKind is an enumerated type representing one of three kinds of hardware (processor, disk or DSP).

### 8.6.14 ExternalOperation

An ExternalOperation is an ActiveResource that represents services provided by external devices which are not defined in the current model (see Figure 94).
a) Abstract grammar
i) Attributes

- Inherits attributes from ActiveResource.
ii) Relationships
- Inherits relationships from ActiveResource.
- Association with Demand (0..*): An ExternalOperation may have demands made on it.
iii) Constraints
- Inherits constraints from ActiveResource.
b) Concrete grammar

An ExternalOperation does not have a visual representation.
i) Relationships

- Inherits relationships from ActiveResource.
c) Semantics

An ExternalOperation represents a service performed by a resource or set of resources defined outside of the scope of the current model. ExternalOperations are used to describe operations done by external services.

### 8.6.15 Demand

A Demand describes an average service request to (or use of) an ExternalOperation performed by a Responsibility (see Figure 94).
a) Abstract grammar

## i) Attributes

- quantity (String): The average number of requests to the ExternalOperation per use of the scenario is the value of the quantity attribute divided by 1000 .
ii) Relationships
- Contained by Responsibility (1): A Demand is contained in one responsibility definition.
- Association with ExternalOperation (1): A Demand is for one external operation.
iii) Constraints
- The quantity shall be an Integer expression, as defined in clause 9.3.
- The quantity shall evaluate to a non-negative Integer value.
b) Concrete grammar

None.
c) Semantics

A Demand quantifies the average number of service requests of a Responsibility to an ExternalOperation per traversal of a scenario. A responsibility can have multiple demands to external operations, in addition to its own hostDemand (on the processing resource that hosts the component that contains the responsibility).

### 8.7 UCM concrete grammar metaclasses

The only concrete grammar metaclasses specific to the UCM notation is the direction arrow. All other concrete grammar metaclasses that may be contained by some of the UCM abstract grammar metaclasses have been covered in previous clauses. Concrete condition, label, position, size, concrete style and comment have already been covered in clauses $6.2 .3,7.8 .8,7.8 .10,7.8 .11,7.8 .12$ and 7.8.13, respectively (see Figures 7, 52, 53, 54, 55 and 56, respectively). See Figure I. 10 for a complete overview of the concrete grammar metaclasses for the UCM notation.


Figure 95 - Concrete grammar: DirectionArrow metaclasses

### 8.7.1 DirectionArrow

DirectionArrow visualizes the direction of causal flow of a path in a UCM diagram. They are useful for long paths or for paths whose visualization would be otherwise ambiguous in terms of direction (see Figure 95).
a) Abstract grammar

DirectionArrow has no abstract syntax.
i) Attributes

- Inherits attributes from PathNode.
ii) Relationships
- Inherits relationships from PathNode.


## iii) Constraints

- Inherits constraints from PathNode.
b) Concrete grammar

The symbol for DirectionArrow on a UCM path is defined as an open arrow-head (>) pointing towards the successor node connection (see Figure 96).


Figure 96 - Symbol: UCM direction arrow

## i) Relationships

- Inherits relationships from PathNode.


## ii) Constraints

- Inherits constraints from PathNode.
- A DirectionArrow is the source PathNode of exactly one NodeConnection.
- A DirectionArrow is the target PathNode of exactly one NodeConnection.

DirectionArrow is a special case in that it is a concrete grammar metaclass that is specializing an abstract grammar metaclass. The abstract URN metamodel, therefore, ignores PathNodes of type DirectionArrow as if DirectionArrows do not exist, replacing the two NodeConnections for a DirectionArrow (PathNode P1 $\rightarrow$ DirectionArrow $\rightarrow$ PathNode P2) with one NodeConnection (PathNode P1 $\rightarrow$ PathNode P2).
d) Model

None.
e) Example

The example in Figure 97 shows one stub with two in-paths and two out-paths. One pair of in-path and out-path forms a loop, first exiting the stub and then re-entering the stub. Although the direction of the loop is defined in the UCM model, the visualization of the loop is ambiguous if no direction arrow is used. With the direction arrow, however, it is possible to determine from the visualization that responsibility R 2 follows responsibility R1, and not the opposite.


Figure 97 - Example: UCM direction arrow

## $9 \quad$ Data language

URN supports simple data types and a data language sufficient to enable the evaluation of GRL strategies, the traversal of UCM models according to scenario definitions and UCM performance analysis. Though URN offers modellers a concrete syntax that is mostly graphical, the data language is textual and is based on a subset of the data language for SDL-2010 [ITU-T Z.104]. In order to accommodate modellers less experienced with SDL-2010, the concrete textual syntax also allows for operators from conventional programming languages (e.g., C and Java) to be used.
This clause is divided as follows:

- $\quad$ Clause 9.1: URN data model
- Clause 9.2: URN data types
- Clause 9.3: Grammar for expressions
- Clause 9.4: Grammar for actions

NOTE - Though the SDL-2010-like and programming language concrete syntaxes are supported by this grammar, it is recommended not to mix both styles to define the expressions and actions in a URN model.

### 9.1 URN data model

The data model of a URN specification is defined by the Variables the URN specification contains together with the annotations attached to URN model elements (e.g., performance annotations). In URN, variables are global. They are strongly typed and hence they can only contain values of their type (e.g., an Integer value cannot be assigned to a Boolean variable, or vice versa). Variables and annotations for which no value was provided are "undefined".

The primary intent of the URN data model is not to capture domain model data or complex data structures but to support GRL strategy evaluations, UCM scenario traversal and UCM performance
annotations. It is hence simpler than most data languages found in programming languages and other specification languages.

### 9.2 URN data types

URN has three predefined basic data types, namely Boolean, Integer and Enumeration (see Figure 98). These represent a subset of the data types of [ITU-T Z.104], with support for a subset of the SDL-2010 syntax, operators and semantics.


Figure 98 - URN data types

### 9.2.1 Boolean

The Boolean data type corresponds to a subset of the predefined Boolean sort in [ITU-T Z.104]. It is used to represent true and false values, which are the only two literals of this type:

```
<boolean literal> ::= true | false
```

Often a Boolean is used as the result of a comparison.
Table 13 lists the Boolean operators supported in URN, together with their SDL-2010 and alternative syntaxes, as well as signatures. The semantics is that of SDL-2010 Booleans.

Table 13 - Operators of the Boolean data type

| Operator | SDL-2010 <br> syntax | Alternative <br> syntax | Signature |
| :--- | :---: | :---: | :---: |
| Equal | $=$ | $==$ | (Boolean, Boolean) $\rightarrow$ Boolean |
| Not Equal | $/=$ | $!=$ | (Boolean, Boolean) $\rightarrow$ Boolean |
| Negation | not | $!$ | (Boolean) $\rightarrow$ Boolean |
| Conjunction | and | $\& \&$ | (Boolean, Boolean) $\rightarrow$ Boolean |
| Disjunction | or | $\\|$ | (Boolean, Boolean) $\rightarrow$ Boolean |
| Exclusive Disjunction | xor | $\wedge$ | (Boolean, Boolean) $\rightarrow$ Boolean |
| Logical Implication | $=>$ | $=>$ | (Boolean, Boolean) $\rightarrow$ Boolean |

### 9.2.2 Integer

The Integer data type corresponds to a subset of the predefined Integer sort in [ITU-T Z.104]. It is used to represent mathematical integers with a decimal notation. The literals are non-empty sequences of decimal digits:

```
<integer literal> ::= <decimal digit>+
<decimal digit> ::= 0 | 1 1 2 2 | 3 | 4 | 5 | | 6 | 7 | | 8 | 9
```

Table 14 lists the Integer operators supported in URN, together with their SDL-2010 and alternative syntaxes, as well as signatures. The semantics is that of SDL-2010 Integers. However, unlike the
division of SDL, division in URN does not generate any exception; ${ }^{1}$ attempting to divide an Integer by zero results in an error (e.g., during the traversal of a UCM scenario, see clause 11.2).

Table 14 - Operators of the Integer data type

| Operator | SDL-2010 <br> syntax | Alternative <br> syntax | Signature |
| :--- | :---: | :---: | :---: |
| Equal | $=$ | $==$ | (Integer, Integer) $\rightarrow$ Boolean |
| Not Equal | $/=$ | $!=$ | (Integer, Integer) $\rightarrow$ Boolean |
| Greater Than | $>$ | $>$ | (Integer, Integer) $\rightarrow$ Boolean |
| Lower Than | $<$ | $<$ | (Integer, Integer) $\rightarrow$ Boolean |
| Greater or Equal to | $>=$ | $>=$ | (Integer, Integer) $\rightarrow$ Boolean |
| Lower or Equal to | $<=$ | $<=$ | (Integer, Integer) $\rightarrow$ Boolean |
| Addition | + | + | (Integer, Integer) $\rightarrow$ Integer |
| Subtraction | - | - | (Integer, Integer) $\rightarrow$ Integer |
| Multiplication | $*$ | $/$ | (Integer, Integer) $\rightarrow$ Integer |
| Division | mod | $\circ$ | (Integer, Integer) $\rightarrow$ Integer |
| Modulus | - | - | (Integer) $\rightarrow$ Integer |
| Additive Complement |  |  |  |

### 9.2.3 Enumeration

The Enumeration data type corresponds to a data type definition in [ITU-T Z.104] where only literals are listed (enumerating the elements of the sort). The literals are non-empty sequences of letters, decimal digits and underscores, but they are not allowed to start with a decimal digit or be solely composed of underscores. Each literal shall be unique within the enumeration type, and its name shall be different from operator keywords used in the grammars of clauses 9.3 and 9.4 (namely: and, or, xor, not, mod, true, false, if, else). A letter is a printable, alphabetical character from UCS [ITU-T T.55] and it can include accents or not. Letters are case sensitive.

```
<enumeration literal> ::= <identifier>
<identifier> ::=
    <letter> [<word>]
    | <underscore>+ <word> {<underscore>+ <word>}* <underscore>*
    | <letter> [<word>] <underscore>+
    [<word> {<underscore>+ <word>}* <underscore>*]
<word> ::= {<letter> | <decimal digit>}+
<letter> ::= (any alphabetical character, with or without accent)
<underscore> :: = _
```

Table 15 lists the Enumeration operators supported in URN, together with their SDL-2010 and alternative syntaxes, as well as signatures. The name of the Enumeration (EnumerationName) is the type of the enumeration's literals. Only equality and inequality operators are supported; there is no notion of ordering of the literals of the enumeration. Two enumeration literals compared for equality shall be of the same type (i.e., they are from the same Enumeration type).

[^1]Table 15 - Operators of the Enumeration data type

| Operator | SDL-2010 <br> syntax | Alternative <br> syntax | Signature |
| :---: | :---: | :---: | :--- |
| Equal | $=$ | $==$ | (EnumerationName, EnumerationName) $\rightarrow$ Boolean |
| Not Equal | $/=$ | $!=$ | (EnumerationName, EnumerationName) $\rightarrow$ Boolean |

### 9.3 Grammar for expressions

The following grammar defines the concrete syntax for URN data expression (<expression>). SDL-2010 operator precedence rules apply. As explained in clause 8.5.4, variable names are not allowed to end with "_pre", so that this suffix can be used in expressions to refer to the initial value of the variable, as provided by a scenario definition.

```
<expression> ::= <implication>
<implication> ::= <disjunction> {<implies> <disjunction>}*
<disjunction> ::= <conjunction> {{<or> | <xor>} <conjunction>}*
<conjunction> ::= <comparison> {<and> <comparison>}*
<comparison> ::= <boolean unit> {{<equals> | <not equals>} <boolean unit>}*
<boolean unit> ::= <negation> | <relational expression> | <boolean literal>
<negation> ::= <not> <boolean unit>
<relational expression> ::=
    <additive expression> [ { <greater than>
                        | <greater or equal to>
        | <lower than>
        | <lower or equal to> }
        <additive expression> ]
<additive expression> ::=
    <multiplicative expression> {{<addition> | <substraction>}
                                <multiplicative expression>}*
<multiplicative expression> ::=
    <unary expression> {
            {<multiplication> | <division> | <modulus>}
            <unary expression> }*
<unary expression> ::= [<addition> | <substraction>]
    { <left parenthesis> <expression> <right parenthesis>
    | <integer literal>
    | <enumeration literal>
    | <variable> }
```

<equals> $::==\mid==$
<not equals> $::=1=\mid \quad!=$
<and> $::=$ and |\&\&
<or> $::=$ or | ||
<xor> ::= xor |^
<implies> ::= =>
<not> ::= not | !
<greater than> ::= >

```
<greater or equal to> ::= >=
<lower than> ::= <
<lower or equal to>::= <=
<addition> ::= +
<substraction> ::= -
<multiplication> ::= *
<division> ::= /
<modulus> ::= mod | %
<left parenthesis> ::= (
<right parenthesis>::= )
<boolean literal> ::= true | false
<integer literal> ::= <decimal digit>+
<decimal digit> ::= 0 | 1 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9
<enumeration literal> ::= <identifier>
<variable> ::= <variable name> | <pre variable name>
<variable name> ::= <identifier>
<pre variable name> ::= <variable name> <pre suffix>
<identifier> ::=
    <letter> [<word>]
    | <underscore>+ <word> {<underscore>+ <word>}* <underscore>*
    | <letter> [<word>] <underscore>+
                            [<word> {<underscore>+ <word>}* <underscore>*]
<word> ::= {<letter> | <decimal digit>}+
<letter> ::= (any printable alphabetical character recommended in UCS
    [ITU-T T.55])
<underscore> ::= -
<pre suffix> ::= _pre
```

The type of an expression is computed using the signatures of the operators used. An expression between parentheses has the same type as the contained expression.

An <identifier> is an <enumeration literal> if it is one of the enumeration values of one of the EnumerationTypes defined in the URN specification. Its type is then the name of that EnumerationType.

An <identifier> is a <variable name> if it is the name of a Variable defined in the URN specification. Its type is then the type of that Variable.

An <identifier> that is neither an <enumeration literal> nor a <variable name> shall generate an error. A type mismatch shall also generate an error.

An expression that contains <pre variable name> shall only be used for postconditions of scenario definitions (see clause 8.5.2). Otherwise, an error shall be generated.

Of particular interests are the following types of expression, often used in String attributes of metaclasses:

- A Boolean expression is an <expression> whose computed type is Boolean.
- An Integer expression is an <expression> whose computed type is Integer.


### 9.4 Grammar for actions

URN supports a simple action language for modifying the values of variables. An action (<action>) is used by the expression attribute of a UCM Responsibility (see clause 8.2.4). Actions include simple assignments, compound statements and conditional statements. Actions are of a special type called Void, which is different from any data type, including user-defined enumerations. The different actions, together with their syntax and signatures, are defined in Table 16.

Table 16 - Actions and their signatures

| Operator | SDL-2010 <br> syntax | Alternative <br> syntax | Signature |
| :---: | :--- | :--- | :---: |
| Boolean Assignment | $:=$ | $=$ | (Boolean, Boolean) $\rightarrow$ Void |
| Integer Assignment | $:=$ | $=$ | (Integer, Integer) $\rightarrow$ Void |
| Enumeration Assignment | $:=$ | $=$ | (EnumerationName, EnumerationName) $\rightarrow$ Void |
| If Statement | if | if | (Boolean, Void) $\rightarrow$ Void |
| If-Else Statement | if else | if else | (Boolean, Void, Void) $\rightarrow$ Void |
| Compound Statement | $\}$ | $\}$ | (Void) $\rightarrow$ Void |

The concrete syntax for actions is defined by the following grammar. It supplements the grammar for expressions defined in clause 9.3. The type of an action is computed according to the signatures defined in Table 16 and the rules from clause 9.3. A type mismatch shall generate an error.

```
<action> ::= <statement>*
<statement> ::= <assignment> | <compound statement> | <if statement>
<assignment> ::= <variable name> <assignment operator> <expression>
    <statement terminator>
<compound statement> ::= <left curly bracket> <statement>* <right curly bracket>
<if statement> ::= <if> <left parenthesis> <expression>
    <right parenthesis> <statement> [<else> <statement>]
<assignment operator> ::= = | :=
<if> ::= if
<else> ::= else
<statement terminator>::= ;
<left curly bracket> ::= {
<right curly bracket> ::= }
```

For assignments, the value of a variable is replaced with the result of the evaluation of the <expression>. The types of <variable name> and of <expression> shall be the same; otherwise an error is generated. A value cannot be assigned to a Variable whose name ends with "_pre" (see clause 8.5.4), as such a variable name refers to the value of an Initialization.
Compound statements simply execute their inner statements in sequence.
An <if statement> executes its <statement> only when its Boolean <expression> evaluates to true. An <if-else statement> executes its first <statement> if its Boolean <expression> evaluates to true, and its second <statement> (after the else) if its Boolean <expression> evaluates to false.

### 9.5 Grammar for failures

URN supports a simple language for specifying failures or exceptions. A failure (<failure>) is used by the failure attribute of a UCM FailurePoint (see clause 8.2.17). A failure shall be the enumeration value (see clause 9.2.3) of the EnumerationType which has the reserved name UCMFailures and is defined in the URN specification. A failure list (<failure list>) is used by the failureList attribute of a UCM StartPoint (see clause 8.2.6). The failure list may be empty.

```
<failure list> ::= [<failure> {<comma> <failure>}*]
<failure> ::= <enumeration literal>
<comma> ::= ,
```


## 10 URN interchange format

Files describing URN models are expressed in XML. An XML schema definition (XSD) [W3C XSD1], specified in Annex A, defines the URN interchange format. It uses the UTF-8 encoding of UCS, which supports multiple natural languages, as recommended in [ITU-T T.55].

This schema is a serialization of the URN concrete syntax metamodel, which extends the abstract syntax metamodel. Concrete metaclasses are optional in the metamodel, and hence in the schema. Consequently, this format can be used to describe models based on the concrete syntax, or based solely on the abstract syntax.

The following rules were followed for the generation of the XSD schema in Annex A.

- Enumeration class: A simpleType element is declared for the enumeration class with the name attribute set to the class name. A restriction element is generated with base set to string. Each of the class attributes are appended to the restriction element as XSD enumeration elements with value set to the attribute name. They are presented first in the schema, in alphabetical order.
- Normal metaclass: A complexType element is declared for the metaclass with the name attribute set to the class name. The attributes and association roles are defined in a sequence element. They are also sorted in alphabetical order.
- Data type: URN and ITU-T Z. 111 data types were converted to their XSD equivalent [W3C XSD2]: xsd:nonNegativeInteger for Nat, xsd:boolean for Boolean, xsd:string for String (Token) and xsd:integer for Integer. This enables some validation of the values by XML tools. The Boolean, Integer, Enumeration and DataType metaclasses are not included in the schema.
- Attribute: An element is declared for each class attribute. The element name is set to that of the attribute name of the metaclass. The XSD minOccurs and maxOccurs are left unspecified (minOccurs and maxOccurs default to 1). Default values are provided for enumeration types.
- Identifiers: The id attribute of URNmodelElement is of XSD type ID. An ID attribute of the same name was added to NodeConnection as the latter did not have an identifier while it is referenced by associations.
- Association: An element is declared for each navigable association owned by a class. The element name is set to that of the association role. The minOccurs and maxOccurs reflect the cardinality of the association (default to 1 if absent). IDREF is used as a type, with the target metaclass name provided as a comment. If the role is \{ordered $\}$, then IDREFS is used instead of IDREF.
- Composition: An element is declared for each of composition owned by a class. The element name is set to that of the association role. The minOccurs and maxOccurs reflect the cardinality of the association (default to 1 if absent). The name of the target metaclass is used as a type.
- Inheritance: An extension element is generated with the base attribute set to the base class name.
- Root element: URNspec is defined as the root element of the schema.
- Constraints: Static semantics constraints of the language are not enforced by the schema.

NOTE - In this XSD schema, when unspecified, the default values for elements of types xsd:boolean, xsd:integer and xsd:string are false, 0 and "", respectively.

## 11 URN analysis

URN models can be analysed in many ways. This clause focuses on two important techniques, namely GRL model evaluation and UCM scenario path traversal. As GRL models can be used for different purposes, the goal-oriented modelling community has developed many analysis approaches. It is premature to standardize any of them, but GRL evaluation algorithms should be described according to different criteria and shall meet minimal requirements. Examples of evaluation algorithms are formalized and illustrated in Appendix II. The UCM path traversal mechanism is presented as a set of requirements that further define the dynamic semantics of UCM models. These requirements allow implementers to develop their own traversal algorithm and optimize or extend various aspects of it according to their needs. Two examples of a traversal algorithm are illustrated in Appendix III.
Although UCM models support a predefined set of performance annotations, quantitative performance analysis based on annotated UCMs is outside the scope of this Recommendation.

### 11.1 GRL model evaluation

During GRL model evaluation, for each GRLLinkableElement (i.e., GRL IntentionalElement, GRL Indicator and GRL Actor), three new evaluation values shall be created: one that is qualitative (qualitativeVal of type QualitativeLabel), one that is quantitative (quantitativeVal, an Integer value in the range [ $-100 . .100]$ ) and one for the exceeds flag (exceedsVal, a Boolean value). Furthermore, a set of these three evaluation values is also created for the GRL model itself.

A GRL model is evaluated by assigning satisfaction values to a subset of the intentional elements and indicators (this is done with an EvaluationStrategy) and then propagating these values to the other intentional elements and indicators via the ElementLinks connecting them. Each Evaluation of an EvaluationStrategy shall see its values copied to its associated GRLContainableElement (i.e., IntentionalElement or Indicator): in the case of an IntentionalElement, evaluation is copied to quantitativeVal, qualitativeEvaluation is copied to qualitativeVal and exceeds is copied to exceedsVal. If the Evaluation is associated with an Indicator, then the realWorldValue and realWorldLabel of the IndicatorEvaluation of the Evaluation are first converted based on the IndicatorConversion of the Evaluation, before copying the resulting values to the quantitativeVal, qualitativeVal and exceeds evaluation attributes. The quantitativeVal, qualitativeVal and exceedsVal evaluation attributes of all other intentional elements, indicators, actors and the GRL model shall be initially set to 0 , None and false, respectively.

From this initial context, goal models can be evaluated in many ways. Although no specific algorithm is given here, algorithms and tools for GRL model evaluation should consider the following non-exhaustive list of criteria when describing and formalizing evaluation algorithms.
a) Evaluation type: Three general types of evaluations are considered:

- Quantitative evaluation: uses the quantitativeContribution attribute of Contribution, the importanceQuantitative attribute of GRLLinkableElements and the new quantitativeVal attribute of GRLContainableElements initialized from the selected EvaluationStrategy.
- Qualitative evaluation: uses the qualitative contribution attribute of Contribution, the importance attribute of GRLLinkableElements and the new attribute qualitativeVal of GRLContainableElements initialized from the selected EvaluationStrategy.
- Hybrid evaluation: uses another combination of the above three categories of attributes (for contribution, importance and containable element evaluation value).
b) Propagation direction: Three different propagation directions for the evaluation values through different GRL element links are considered:
- Forward propagation: the EvaluationStrategy initializes some of the IntentionalElements and Indicators in the GRL model (source nodes, often leaves in the graph), and the evaluation values are propagated in a bottom-up way to higher-level intentional elements and indicators (targets) of the model. The results of selected alternatives can be analysed and conflicts detected.
- Backward propagation: the EvaluationStrategy initializes some of the IntentionalElements and Indicators in the GRL model (target nodes, often roots in the graph), and the evaluation values are propagated in a top-down way to lower-level intentional elements and indicators (sources) of the model. Such propagation is used to find a set of alternatives that, if satisfied, would lead to the initial values provided.
- Mixed propagation: a combination of the above where the EvaluationStrategy initializes some of the IntentionalElements and Indicators that are neither leaves nor roots. From these elements, forward propagation is used to compute evaluation values of higher-level intentional elements and indicators whereas backward propagation is used to compute evaluation values of lower-level intentional elements and indicators.
c) Overall GRL model satisfaction: An algorithm may evaluate an evaluation level for the whole GRL model, or not.
d) Actor satisfaction: An algorithm may evaluate actor evaluation levels, or not.
e) Exceeding expectations: An algorithm may consider the exceeds attribute, or not. The exceeds attribute can be used to assess whether expectations are exceeded.
f) Automation: An algorithm may be fully automated, or interactive (e.g., to resolve conflicts).
g) Cycles: An algorithm may handle cycles in GRL models (completely or partially), or require models to be acyclic.
h) Conflicts: An algorithm may determine that multiple contributions targeting the same intentional elements or indicators are conflicting, or not. In addition, if conflicts are detected, then there could be one or many categories of conflicts.
i) Strategy consistency: An algorithm may allow inconsistent strategies, or not. An EvaluationStrategy is inconsistent if some of the initial evaluations it contains propagate into evaluations of intentional elements and indicators that are also initialized by the strategy, but with different values.
j) Evaluation overriding: An algorithm may allow some evaluations defined as part of a strategy to be overridden during the propagation, or not.
k) Relation to UCM: The results of the propagation may impact the values of UCM scenario variables, or not. In addition, updates to UCM scenario variables after path traversal may impact intentional element evaluations, or not.

1) Evaluation ordering for links: GRL element links (decompositions, contributions and dependencies) may be evaluated in different orders. An algorithm should either specify that order or mention that there is no order.
m) Link evaluations: An evaluation algorithm should provide functions to compute results of decomposition, contribution and dependency link usages.
n) Tolerance: For contribution links, an algorithm may define a tolerance to help decide whether an intentional element becomes satisfied or just weakly satisfied (and respectively denied or just weakly denied) because of contributions.

Other criteria for which GRL could not easily offer support (e.g., probabilistic evaluations, separate values for satisfaction and denial, ranges of evaluation values instead of single values or optimistic and pessimistic evaluations) are outside the scope of this Recommendation.
GRL model evaluations are performed using the abstract syntax metaclasses, independently of the presence or absence of GRL diagrams. During model evaluations, however, the presentation of IntentionalElementRefs in GRL diagrams should be updated to reflect the current evaluation values (quantitativeVal, qualitativeVal and exceedsVal) of the referenced intentional element or indicator (see Figures 37 and 38). Similarly, the presentation of ActorRef and CollapsedActorRef in GRL diagrams should be updated to reflect the current evaluation values (quantitativeVal, qualitativeVal and exceedsVal) of the referenced actors (see Figures 32, 34 and 40).

### 11.2 UCM scenario path traversal

### 11.2.1 Overview

The path traversal (PT) mechanism is based on the abstract grammar metaclasses of the UCM notation. The path traversal mechanism traverses a UCM model by starting at the first start point as defined in a scenario definition by the modeller. The actual path to be traversed is determined by the initial, user-defined values of path variables and the changes to these values at responsibilities during the traversal. The path traversal mechanism moves from one path element to the next if path continuation criteria (PCC) are met. If more than one next path element meet the continuation criteria, all of these path elements are visited in parallel. Each UCM path element has specific criteria. The traversal ends when the last end point is reached. If the traversal stopped at a path element that is not an end point, a warning or error is issued. If the traversal cannot move from one currently visited path element to its next path element, the traversal continues with other path elements that are currently visited in parallel, if any exist. If none exist or all currently visited path elements cannot continue to their next path nodes, the traversal continues with the next start point in the ordered list of start points defined for the scenario definition. If the traversal is forcibly terminated, then the traversal is not allowed to continue for all path elements that are currently visited in parallel as well as all remaining start points and the traversal comes to a complete stop.
As a prerequisite for the path traversal, the start points, end points, initializations, preconditions and postconditions of all included scenario definitions shall be merged with the corresponding elements from the scenario definition itself as defined in the semantics of clause 8.5.2. The path traversal then operates on the merged scenarios as explained above. Initial values of the variables are accessible in postconditions by adding " pre" to a variable name. Since initializations of included scenarios may be overridden by the including scenario, the initial value of a variable refers to the initialization applied after the merging of included scenarios.

The path traversal mechanism as defined below assumes a sequential implementation of parallel paths. Furthermore, the choice of which parallel path to follow at any given time may be made at random since UCMs do not provide timing information sufficient enough for a more realistic simulation of parallel paths. If the path traversal mechanism encounters a non-deterministic choice point, a warning shall be issued. The traversal, however, may continue possibly by interacting with the modeller or by expanding multiple scenarios. If the path traversal mechanism continues because of a failure or exception at a failure point - with a failure or abort start point on a map that is not in the map hierarchy at the time the traversal reached the failure point, the traversal continues and a warning may be issued. A warning is also issued if a failure or exception occurs at a failure point, but there is no failure or abort start point specified for the traversal to continue.

The current requirements for the path traversal mechanism (Table 17) cover all path elements and some component features. The path traversal mechanism is the basis for many advanced applications of UCMs. Most of these applications require additional capabilities. Scenario highlighting and animation can be done with the basic path traversal mechanism. The ability to associate path elements with sequence numbers indicating the order in which the path elements were traversed, however, makes repeated highlighting and animation more efficient. The generation of message sequence charts requires the ability to deal with component information and a wellnestedness transformation/warning mechanism. The generation of performance models requires the ability to deal with arrival and device characteristics, device demands, data access modes and response-time requirements. Test case generation requires the ability to deal with information about controllable and observable activities. None of these additional capabilities, however, is currently a requirement for the path traversal mechanism.

### 11.2.2 Requirements for path traversal mechanism

The requirements for the path traversal mechanism use the terms traversal root map, unconnected start point, abort scope and visit which are shown in bold and underline in Table 17 and are defined in clause 3 .

Table 17 - Requirements for path traversal mechanism

| ID | Requirement |
| :--- | :--- |
| 1 | Path traversal (PT) shall start at the first start point of the scenario definition. |
| 2 | PT shall start with the initial values for path data variables as defined by the variable initializations <br> of the scenario definition. |
| 3 | PT shall start with the special initial value "undefined" for path data variables not initialized by the <br> variable initializations of the scenario definition. |
| 4 | PT shall evaluate an expression to "undefined" if any value within the expression evaluates to <br> "undefined". |
| 5 | PT shall forcibly terminate the traversal if the result of an expression is "undefined". |
| 6 | PT shall forcibly terminate the traversal if the preconditions of the scenario definition are not <br> fulfilled. |
| 7 | PT shall move in parallel from path element A to path elements $\mathrm{B}_{1}, \mathrm{~B}_{2}, \ldots$ and $\mathrm{B}_{\mathrm{N}}$ if <br> a) the traversal is currently visiting path element A, and <br> b) there is a direct node connection from A to $\mathrm{B}_{1}$, from A to $\mathrm{B}_{2}, \ldots$, and from A to $\mathrm{B}_{\mathrm{N}}$, and <br> c) the Path continuation criteria (PCC) of A is fulfilled. |
| 8 | PT shall continue at the next start point of the scenario definition if it cannot move to another path <br> element from any of the currently visited path elements. |
| 9 | PT shall stop the traversal if <br> a) it cannot move to another path element from any of the currently visited path elements and <br> b) there is no unused start point remaining in the scenario definition. |
| 10 | PT shall issue a warning if <br> a) the traversal has stopped and <br> b) the traversal is currently visiting at least one path element other than an end point. |
| 11 | PT shall issue an error if the traversal has stopped and <br> a) at least one end point of the scenario definition has not been visited or <br> b) at least one postcondition of the scenario definition is not fulfilled or <br> c) a postcondition of at least one currently visited end point is not fulfilled. |
| 12 | The PCC for a start point that is not a failure start point or abort start point shall be fulfilled if the <br> precondition of the start point evaluates to true. |

Table 17 - Requirements for path traversal mechanism

| ID | Requirement |
| :---: | :---: |
| 13 | The PCC for an end point shall be fulfilled if the postcondition of the end point evaluates to true. |
| 14 | The PCC for a responsibility reference shall be always fulfilled. |
| 15 | Upon arrival at a responsibility reference, PT shall evaluate the expression for the repetition count of the responsibility reference. |
| 16 | After evaluating the repetition count of a responsibility reference, PT shall execute the expression of the responsibility definition of the responsibility reference as many times as specified by the repetition count. |
| 17 | PT shall execute the value assignment statements in the expression of a responsibility definition in the order defined by the modeller. |
| 18 | PT shall update the values of the path data variables immediately after executing one value assignment statement. |
| 19 | PT shall forcibly terminate the traversal if the execution of a value assignment statement results in a division by zero. |
| 20 | PT shall forcibly terminate the traversal if the evaluation of a condition results in a division by zero. |
| 21 | The PCC for an OR-fork shall be fulfilled if the PCC of exactly one branch of the OR-fork is fulfilled. |
| 22 | The PCC for a branch of an OR-fork shall be fulfilled if its condition evaluates to true. |
| 23 | The PCC shall forcibly terminate the traversal if a condition for a branch of an OR-fork is not specified. |
| 24 | The PCC for an OR-join shall be always fulfilled. |
| 25 | The PCC for an AND-fork shall be always fulfilled. |
| 26 | The PCC for an AND-join shall be fulfilled if the traversal is currently visiting the AND-join for all of its incoming paths. |
| 27 | The PCC for an empty point shall be always fulfilled. |
| 28 | The PCC for a connect shall be always fulfilled. |
| 29 | Upon arrival at a persistent waiting place, transient waiting place, persistent timer or transient timer along the waiting path WP, PT shall increase the number of arrivals along WP by 1 (the initial number of arrivals along WP is 0 ). |
| 30 | Upon arrival at a persistent waiting place or persistent timer along the trigger/release path TRP, PT shall increase the number of arrivals along TRP by 1 (the initial number of arrivals along TRP is 0 ). |
| 31 | Upon arrival at a transient waiting place or transient timer along the trigger/release path TRP, PT shall set the number of arrivals along TRP to 1 , if the number of arrivals along the waiting path is greater than 0 (the initial number of arrivals along TRP is 0 ). |
| 32 | The PCC for a waiting place W shall be fulfilled if <br> a) W's condition evaluates to true or <br> b) the number of arrivals along the waiting path of W is at least one and the number of arrivals along the trigger/release path of W is at least one. |
| 33 | The PCC for a timer shall be fulfilled if the PCC of exactly one branch (i.e., either the PCC of its regular path or the PCC of its timeout path) is fulfilled. |
| 34 | The PCC for a regular path RP of a timer shall be fulfilled if the condition of RP evaluates to true. |

Table 17 - Requirements for path traversal mechanism

| ID | Requirement |
| :---: | :---: |
| 35 | The PCC of the regular path RP of a timer T shall be fulfilled if <br> a) the condition of RP evaluates to false, and <br> b) the condition of T's timeout path evaluates to false, and <br> c) the number of arrivals along the waiting path of T is at least one and the number of arrivals along the trigger/release path of T is at least one. |
| 36 | The PCC for a timeout path of a timer T shall be fulfilled if the PCC of T's regular path is not fulfilled. |
| 37 | PT shall decrease NWP, the number of arrivals along the waiting path, by 1 when continuing past the waiting place or timer unless NWP is already 0 . |
| 38 | PT shall decrease NTRP, the number of arrivals along the trigger/release path. by 1 when continuing past the waiting place or timer unless NTRP is already 0 . |
| 39 | The PCC for a failure point FP shall be fulfilled if the condition of FP evaluates to true. |
| 40 | Upon arrival at a failure point FP with failure V and the condition of FP evaluating to false, PT shall traverse in parallel the failure and abort start points whose failure lists contain V. |
| 41 | Upon arrival at a failure start point or abort start point FAS, PT shall adjust the map hierarchy of the traversal by reducing it to the subset of the map hierarchy starting at the map of FAS. |
| 42 | Upon arrival at an abort start point A, PT shall stop the traversal of all path elements in the abort scope of A. |
| 43 | PT shall be deemed to be visiting a stub $S$ if at least one path element on at least one plug-in map of S is being visited. |
| 44 | Upon arrival at a stub S, PT shall first traverse in parallel the plug-in maps of S before continuing with the traversal. |
| 45 | Upon arrival at a dynamic stub S, PT shall traverse in parallel the number of runtime instances of a plug-in map M of S as specified by the replication factor of the plug-in binding of $M$. |
| 46 | For each plug-in map runtime instance M of stub S , PT shall move in parallel from S to start points $\mathrm{SP}_{1}, \mathrm{SP}_{2}, \ldots$ and $\mathrm{SP}_{\mathrm{N}}$ of plug-in map runtime instance M if <br> a) the traversal is currently visiting path element S , and <br> b) the traversal has reached the stub via a direct node connection NC from path element A to S , and <br> c) there is an in-binding of M from NC to $\mathrm{SP}_{1}$, from NC to $\mathrm{SP}_{2}, \ldots$, and from NC to $\mathrm{SP}_{\mathrm{N}}$, and <br> d) the PCC of the plug-in map runtime instance M is fulfilled. |
| 47 | The PCC for the plug-in map runtime instance of a static stub shall be always fulfilled. |
| 48 | The PCC for a plug-in map runtime instance $M$ of a dynamic stub shall be fulfilled if the precondition of the plug-in binding for M evaluates to true. |
| 49 | The PCC for an out-path O from the non-synchronizing stub S to a path element shall be fulfilled if <br> a) the traversal is visiting an end point E on a plug-in map runtime instance M of S , and <br> b) an out-binding OB from E to O exists for M and OB belongs to the same plug-in binding used to arrive at M . |
| 50 | The PCC for an out-path O from the synchronizing stub $S$ to path element B shall be fulfilled if <br> a) the traversal has visited end points $E_{1}, E_{2}, \ldots$ or $E_{N}$ on a plug-in map runtime instance $M$ of $S$ as often as specified by O's synchronization threshold during the same visit, and <br> b) out-bindings $\mathrm{OB}_{1}$ from $\mathrm{E}_{1}$ to $\mathrm{O}, \mathrm{OB}_{2}$ from $\mathrm{E}_{2}$ to $\mathrm{O}, \ldots$ and $\mathrm{OB}_{\mathrm{N}}$ from $\mathrm{E}_{\mathrm{N}}$ to O exist and $\mathrm{OB}_{1}, \mathrm{OB}_{2}$, $\ldots$ and $\mathrm{OB}_{\mathrm{N}}$ belong to the same plug-in binding used to arrive at M . |
| 51 | PT shall synchronize a synchronizing stub's plug-in map runtime instances, only if they belong to the same visit. |

Table 17 - Requirements for path traversal mechanism

| ID | Requirement |
| :---: | :---: |
| 52 | Once for each visit upon first arrival at a synchronizing stub $S$ with the default synchronization threshold for an out-path O, PT shall set the synchronization threshold of O to the number of S's plug-in map runtime instances with preconditions evaluating to true. |
| 53 | PT shall ignore the arrival of plug-in map runtime instances at an out-path $O$ of a synchronizing stub S during a visit, if the synchronization threshold of O has been reached for the visit. |
| 54 | Upon arrival at a synchronizing stub $S$ with blocking enabled, PT shall allow an in-path of $S$ to be traversed another time when all plug-in map runtime instances of $S$ have been traversed. |
| 55 | When all plug-in map runtime instances of a synchronizing stub S have been traversed in the $\mathrm{N}^{\text {th }}$ visit, PT shall treat an in-path of $S$ as having been visited $N$ times, if the in-path was visited less than N times. |
| 56 | Upon arrival at a singleton map M, PT shall traverse the only runtime instance of M that exists in the UCM model. |
| 57 | Upon arrival at an unconnected start point $S$ of a non-singleton traversal root map M, PT shall traverse the $\mathrm{N}^{\text {th }}$ runtime instance of M where N is the number of times S has been visited in the current traversal. |
| 58 | Upon arrival at a non-singleton plug-in map M of a non-synchronizing stub S, PT shall traverse <br> a) a different runtime instance of $M$ per different runtime instance of a stub and <br> b) the same runtime instance of $M$ for the same runtime instance of $S$. |
| 59 | Upon arrival at a non-singleton replicated plug-in map M of a non-synchronizing stub S, PT shall traverse <br> a) a different set of replicated runtime instances of M per different runtime instance of a stub and b) the same set of replicated runtime instances of $M$ for the same runtime instance of $S$. |
| 60 | Upon arrival at a non-singleton plug-in map M along an in-path of a synchronizing stub S, PT shall traverse <br> a) a different runtime instance of M per different runtime instance of a stub, and <br> b) the Nth runtime instance of M for this runtime instance of S during the Nth visit, and <br> c) the same runtime instance of $M$ for the same runtime instance of $S$ in the same visit. |
| 61 | Upon arrival at a non-singleton replicated plug-in map $M$ along an in-path of a synchronizing stub $S$, PT shall traverse <br> a) a different set of replicated runtime instances of $M$ per different runtime instance of a stub, and <br> b) the N th set of replicated runtime instances of M for this runtime instance of S during the N th visit, and <br> c) the same set of replicated runtime instances of $M$ for the same runtime instance of $S$ in the same visit. |
| 62 | Upon entering a protected component reference C along a path $\mathrm{P}, \mathrm{PT}$ shall start the traversal of P when no other path is being traversed in any component reference of the component definition of C. |
| 63 | PT shall interleave path nodes of parallel branches that are bound to the same component reference C , if the component definition of C is of kind Object. |
| 64 | Upon arrival at a component reference C on a plug-in map runtime instance with a component plugin binding to a component reference CP on the parent map runtime instance, PT shall use the component definition of CP as the component definition of C . |
| 65 | Upon arrival at a component reference C for which a plug-in binding is expected to be specified, PT shall issue a warning and continue with the traversal without replacing C. |

[ITU-T Z.150] lists each of the language requirements defined for the URN (FR and NFR). Table 18 recalls the requirements identified in Table 2 of [ITU-T Z.150] and provides the compliance statement of this Recommendation against these requirements.

Each language requirement possesses a unique identifier (ID) and his type. A language requirement is of type FR if it relates exclusively to functional requirements. A language requirement is of type NFR if it relates exclusively to non-functional requirements. A language requirement is of type URN if it is common to both functional and non-functional requirements. Language requirements are also defined as being essential (E), i.e., shall be supported, or desirable (D), i.e., should be supported. A language requirement is expressed as a capability the URN has. Table 18 lists all these attributes.

For each requirement in Table 18, compliance is established by listing the model elements defined in this Recommendation (or more precisely the clauses where they are defined) that satisfy the requirement. Brief additional explanations are provided, where needed, in the last column.

Table 18 - Compliance statement of this Recommendation against ITU-T Z. 150 language requirements

| ID | ITU-T Z. 150 language requirement | Type | E/D | $\begin{gathered} \text { ITU-T } \\ \text { Z.151 } \\ \text { clauses } \end{gathered}$ | Explanations |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Specify tentative and ill-defined requirements. | NFR | E | 7.1.1, 7.3.1 | Goal specifications, intentional elements |
| 2 | Specify refinement of goals and NFRs. | NFR | E | 7.4.5 | AND-type decompositions |
| 3 | Specify alternative refinement of goals and NFRs. | NFR | E | 7.4.5 | IOR-type and XOR-type decompositions |
| 4 | Specify alternative functional (operational) requirements. | NFR | E | 7.4.5 | IOR-type and XOR-type decompositions, means-end |
| 5 | Specify satisficeability of goals and NFRs. | NFR | E | 7.5 | Evaluation strategies |
| 6 | Support (qualitative) goals and NFRs that do not have clear metrics and measurements for their achievements. | NFR | E | 7.3.1, 7.3.2 | Intentional elements of type softgoal |
| 7 | Support quantitative goals and NFRs. | NFR | E | 7.3.1, 7.3.2 | Intentional elements of type goal |
| 8 | Specify trade-offs in goals and NFRs. | NFR | E | 7.5 | Evaluation strategies |
| 9 | Specify argumentation during modelling. | NFR | E | 7.3.1, 7.3.2 | Intentional elements of type belief |
| 10 | Specify business, organizational and system objectives. | NFR | E | 7.2 | GRL actors |
| 11 | Specify links between high-level objectives and lower-level specifications. | NFR | E | 7.4, 6.1.3 | Element links, URN links |
| 12 | Specify multiple stakeholders' requirements and interests. | NFR | E | 7.2 | GRL actors |
| 13 | Specify synergies and conflicts among goals and NFRs. | NFR | E | 7.4 | Element links |
| 14 | Support requirements priorities. | NFR | E | 7.3.1 | Importance attributes |

Table 18 - Compliance statement of this Recommendation against ITU-T Z. 150 language requirements

| ID | ITU-T Z. 150 language requirement | Type | E/D | $\begin{gathered} \text { ITU-T } \\ \text { Z.151 } \\ \text { clauses } \end{gathered}$ | Explanations |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 15 | Support negotiation for solving conflicting goals and NFRs. | NFR | E | 7.5 | Evaluation strategies |
| 16 | Support requirements evolution and changes. | NFR | E | 6.1.2, 7.5 | Identifiers, evaluation strategies (for regression) |
| 17 | Handle functional and non-functional requirements concurrently. | NFR | E | 7.3.1, 7.3.2 | Goals and softgoals |
| 18 | Specify selection criteria when choosing among alternative functional requirements. | NFR | E | 7.5 | Evaluation strategies |
| 19 | Support incremental commitments of requirements. | NFR | E | 7.3.1, 7.5 | Beliefs and evaluation strategies |
| 20 | Support requirements management during all development phases. | NFR | E | 6.1.2 | URNmodelElement with unique id attribute |
| 21 | Have model elements that are identifiable and connectable to artefacts in external models. | NFR | E | 6.1.2 | URNmodelElement with unique id attribute |
| 22 | Support multiple levels of formality. | NFR | E | $\begin{gathered} \hline 7.3 .1,6.1 .3, \\ 8.1 .2 \end{gathered}$ | GRL Intentional elements, URN links, UCM model elements |
| 23 | Provide ease of use for customers and system users. | URN | E |  | Tool issue |
| 24 | Provide precise requirements for developers and testers. | NFR | E | 6.1.3, 7.4.5 | URN links, GRL decomposition |
| 25 | Support modular descriptions of goal and NFR models. | NFR | E | 7.8.2, 8.2 | GRL graphs and UCM maps |
| 26 | Support the reuse of goals, NFRs and knowledge in general. | NFR | D |  | Tool issue |
| 27 | Support performance indicators and mappings to satisfaction levels. | NFR | E | 7.6 | Indicators including evaluations of indicators and conversion methods |
| 28 | Support the mapping of input events and preconditions to output events and postconditions in various degrees of detail. | FR | E | 8.2 | UCM maps |
| 29 | Specify the set of input events at a scenario start point. | FR | E | 8.2.6 | Start point |
| 30 | Specify the set of output events at a scenario end point. | FR | E | 8.2.8 | End point |
| 31 | Specify preconditions at scenario start points. | FR | E | 6.1.6, 8.2.6 | Preconditions of start points |
| 32 | Specify postconditions at scenario end points. | FR | E | 6.1.6, 8.2.8 | Postconditions of end points |

Table 18 - Compliance statement of this Recommendation against ITU-T Z. 150 language requirements

| ID | ITU-T Z. 150 language requirement | Type | E/D | $\begin{gathered} \text { ITU-T } \\ \text { Z. } 151 \\ \text { clauses } \end{gathered}$ | Explanations |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33 | Specify input sources (human or machine). | FR | E | 8.4 | Components |
| 34 | Specify output sinks (human or machine). | FR | E | 8.4 | Components |
| 35 | Specify responsibilities and references to these responsibilities. | FR | E | 8.2.4, 8.2.5 | Responsibilities and responsibility references |
| 36 | Specify system operations as causal flows of responsibilities (paths). | FR | E | 8.2.2, 8.2.3 | Path nodes and node connections |
| 37 | Specify alternative paths. | FR | E | 8.2.9 | Or-forks |
| 38 | Specify common paths. | FR | E | 8.2.10 | Or-joins |
| 39 | Specify condition-based decision-making at branching points. | FR | E | 6.1.6, 8.2.9 | Conditions on Or-forks |
| 40 | Define a data model and expression evaluator to express and evaluate conditions at branching points. | FR | E | $\begin{gathered} 9,6.1 .6 \\ \text { 8.2.9 } \end{gathered}$ | URN data language, with use in conditions on Orforks |
| 41 | Specify parallel or concurrent paths. | FR | E | 8.2.11 | And-forks |
| 42 | Specify synchronization of paths within a scenario. | FR | E | 8.2.12 | And-joins |
| 43 | Specify synchronization between paths from multiple scenarios. | FR | E | $\begin{aligned} & \hline \text { 8.2.14, } \\ & \text { 8.2.16, } \\ & \text { 8.2.18 } \end{aligned}$ | Waiting places, wait kinds and connects |
| 44 | Specify timed synchronization, with a timeout path. | FR | E | $\begin{aligned} & \text { 8.2.15, } \\ & \text { 8.2.16, } \\ & \text { 8.2.18 } \end{aligned}$ | Timers, wait kinds and connects |
| 45 | Specify repetitive actions within a scenario. | FR | E | 8.2.5 | Responsibility reference with repetitionCount attribute |
| 46 | Support hierarchical decomposition of scenarios. | FR | E | 8.3 | Stubs and plug-ins |
| 47 | Specify subscenarios as scenarios. | FR | E | 8.3 | Stubs and plug-ins |
| 48 | Specify subscenario preconditions and postconditions. | FR | E | 8.3.2, 6.1.6 | Conditions on plug-in bindings |
| 49 | Specify scenario containers with multiple subscenarios. | FR | E | 8.3.1 | Dynamic stubs |
| 50 | Define a data model and expression evaluator to select subscenarios in dynamic containers. | FR | E | $\begin{gathered} \hline 9,6.1 .6, \\ \text { 8.3.1 } \end{gathered}$ | URN data language, with use in conditions on dynamic stubs |
| 51 | Group-related scenarios. | FR | E | 8.2, 8.5.1 | UCM maps and scenario groups |
| 52 | Extract individual scenarios from grouped scenarios. | FR | E | 8.5.2, 11.2 | Scenario definitions and UCM path traversal mechanism |

Table 18 - Compliance statement of this Recommendation against ITU-T Z. 150 language requirements

| ID | ITU-T Z. 150 language requirement | Type | E/D | $\begin{gathered} \text { ITU-T } \\ \text { Z. } 151 \\ \text { clauses } \end{gathered}$ | Explanations |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 53 | Specify individual scenarios using a data model and initializations. | FR | E | 8.5.3, 9 | Initializations and URN data model |
| 54 | Express desirable feature interactions in scenarios. | FR | E | 8.5.2, 11.2 | Scenario definitions and successful UCM path traversal mechanism |
| 55 | Detect undesirable feature interactions in scenarios. | FR | E | 11.2 | UCM path traversal mechanism errors and warnings |
| 56 | Specify scenario cancellation situations with scope. | FR | E | $\begin{gathered} \text { 8.2.6, 8.2.7, } \\ \text { 8.2.17 } \end{gathered}$ | Abort start points with abort scope |
| 57 | Specify scenarios describing recovery mechanisms. | FR | E | $\begin{aligned} & \text { 8.2.6, 8.2.7, } \\ & \text { 8.2.17 } \end{aligned}$ | Failure points, failure start points and abort start points |
| 58 | Specify qualitative time-dependent behaviour in scenarios. | FR | E | 8.2.15 | Timers |
| 59 | Specify timer types in time-dependent behaviour. | FR | E | $\begin{aligned} & \text { 8.2.15, } \\ & \text { 8.2.16 } \end{aligned}$ | Timers and wait kinds |
| 60 | Specify components and references to these components. | FR | E | 8.4.1, 8.4.4 | Components and component references |
| 61 | Specify scenarios without reference to components. | FR | E | 8.2.2 | Path nodes do not need to be bound to components |
| 62 | Specify scenarios where scenario elements are allocated to components. | FR | E | $\begin{gathered} \text { 8.2.2, 8.4.1, } \\ \text { 8.4.4 } \end{gathered}$ | Path nodes may be bound to components |
| 63 | Specify abstract components and COTS. | FR | E | 8.4.2, 8.4.5 | Component types and component bindings |
| 64 | Specify dynamic entities. | FR | E | 8.4.3, 8.4.5 | Component kinds and component bindings |
| 65 | Specify system boundaries. | FR | E | 8.4.1, 8.4.3 | Components of kind other than Actor |
| 66 | Specify the behaviour of the system's environment. | FR | E | $\begin{gathered} \text { 8.2.2, 8.4.1, } \\ \text { 8.4.4 } \end{gathered}$ | Path nodes not bound to components |
| 67 | Specify actors external to the system. | FR | E | 8.4.1, 8.4.3 | Components of kind Actor |
| 68 | Support backward traceability from URN to source documents. | URN | E | 6.1.2, 6.1.4 | Unique model element identifier, metadata |
| 69 | Support forward traceability from URN to the other models used in the development process. | URN | E | 6.1.2, 6.1.4 | Unique model element identifier, metadata |
| 70 | Support facilities to connect URN elements to external requirements objects. | URN | E | 6.1.2, 6.1.4 | Unique model element identifier, metadata |
| 71 | Enable transformations to elements of other languages in the ITU-T family of languages and of UML. | URN | D | 11.2 | UCM path traversal mechanism |

Table 18 - Compliance statement of this Recommendation against ITU-T Z. 150 language requirements

| ID | ITU-T Z. 150 language requirement | Type | E/D | $\begin{gathered} \text { ITU-T } \\ \text { Z.151 } \\ \text { clauses } \end{gathered}$ | Explanations |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 72 | Support traceability between operational aspects of goal/NFR models and responsibilities/scenarios in scenario models. | URN | E | 6.1.3 | URN links |
| 73 | Support traceability between performance constraints in NFR models and responsibilities/scenarios/response-time requirements in scenario models. | URN | E | 6.1.3 | URN links |
| 74 | Support the testing of requirements. | URN | E | 8.5 | Scenario definitions |
| 75 | Support testing based on requirements. | FR | E | 8.5 | Scenario definitions |
| 76 | Support the evaluation of the satisfaction of goals and NFRs. | NFR | E | 7.5, Appendix II | Evaluation strategies, GRL evaluation algorithms |
| 77 | Enable preliminary analysis of performance properties. | URN | E | 8.6 | UCM performance annotations |
| 78 | Attach performance/workload annotations to scenario elements. | FR | E | 8.6 | UCM performance annotations |
| 79 | Specify the environment's processing capacity, network delays and services provided. | FR | E | 8.6 | UCM performance annotations |
| 80 | Specify response times in terms of target fragments of scenarios. | FR | E | 8.5.2, 8.6 | Scenario definitions, UCM performance annotations |
| 81 | Specify identifiers for the model elements. | URN | E | 6.1.2 | URNmodelElement with unique id attribute |
| 82 | Specify document versions. | URN | E | 6.2.1 | specVersion |
| 83 | Support textual annotations traceable to graphical elements. | URN | E | 7.8.13 | Comments |
| 84 | Support a graphical representation of requirements. | URN | E | 6.2, 7.8, 8.7 | Concrete grammar metaclasses and graphical concrete syntax |
| 85 | Support a tool-oriented interchange format. | URN | E | 10 | URN interchange format |
| 86 | Support textual annotations displayable on conventional media. | URN | E | 7.8.13 | Comments |
| 87 | Support the grouping of any elements in a model. | URN | E | 6.1.5 | Concern |
| 88 | Support annotating any model element with name-value pairs. | URN | E | 6.1.4 | Metadata |
| 89 | Support linking any pair of model elements. | URN | E | 6.1.3 | URN links |

This clause defines the compliance for tools that claim to support the User Requirements Notation and which therefore should be capable of creating, editing, presenting and analysing valid URN specifications. The validity of a URN specification is defined as in clause 5.2.1.

### 13.1 Definitions of valid tools

### 13.1.1 Compliant URN tool

A tool that detects non-compliance of a description with this Recommendation. If the tool handles a superset notation, it is allowed to categorize non-compliance as a warning rather than a failure.

### 13.1.2 Valid URN tool

A compliant URN tool that supports the abstract grammar defined in this Recommendation and fulfils the requirements of the GRL model evaluation and of the UCM path traversal mechanism defined in clause 11.

### 13.1.3 Valid graphical URN tool

A valid URN tool that also supports the concrete grammar defined in this Recommendation.

### 13.1.4 Compliant GRL tool

A tool that detects non-compliance of a GRL description with this Recommendation. If the tool handles a superset notation, it is allowed to categorize non-compliance as a warning rather than a failure.

### 13.1.5 Valid GRL tool

A compliant GRL tool that supports the GRL abstract grammar defined in this Recommendation and fulfils the requirements of the GRL model evaluation defined in clause 11.1.

### 13.1.6 Valid graphical GRL tool

A valid GRL tool that also supports the GRL concrete grammar defined in this Recommendation.

### 13.1.7 Compliant UCM tool

A tool that detects non-compliance of a UCM description with this Recommendation. If the tool handles a superset notation, it is allowed to categorize non-compliance as a warning rather than a failure.

### 13.1.8 Valid UCM tool

A compliant UCM tool that supports the UCM abstract grammar defined in this Recommendation and fulfils the requirements of the UCM path traversal mechanism defined in clause 11.2.

### 13.1.9 Valid graphical UCM tool

A valid UCM tool that also supports the UCM concrete grammar defined in this Recommendation.

### 13.2 Conformance

A conformance statement clearly identifying the language features and requirements not supported should accompany any tool that handles a subset of this Recommendation. If no conformance statement is provided, it shall be assumed that the tool is a valid graphical URN tool. It is therefore preferable to supply a conformance statement; otherwise, any unsupported feature allows the tool to be rejected as invalid.

## Annex A

## URN interchange format: XML schema

## (This annex forms an integral part of this Recommendation.)

The following XML schema defines the URN interchange format. It is explained in clause 10.

```
<?xml version="1.0" encoding="UTF-8" standalone="no"?>
<!-
== XML Schema for the User Requirements Notation (Recommendation ITU-T Z.151)
== Version: 20120902
-->
<xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema" attributeFormDefault="unqualified"
                            elementFormDefault="qualified">
```

$<!--=========================-->$
$<!--=====$ Root Element $=====-->$
$<!--=======================-\gg$
<xsd:element name="URNspec" type="URNspec"/>
<!-- ===================================================1-->>>>
<!-- ============ Simple Type Definitions ============ -->
<!-- ==================================================-->>
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
<!-- ComponentKind -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:simpleType name="ComponentKind">
<xsd:restriction base="xsd:string">
<xsd:enumeration value="Team"/>
<xsd:enumeration value="Object"/>
<xsd:enumeration value="Process"/>
<xsd:enumeration value="Agent"/>
<xsd:enumeration value="Actor"/>
</xsd:restriction>
</xsd:simpleType>
<!-
<!-- ContributionType -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
<xsd:simpleType name="ContributionType">
<xsd:restriction base="xsd:string">
<xsd:enumeration value="Make"/>
<xsd:enumeration value="Help"/>
<xsd:enumeration value="SomePositive"/>
<xsd:enumeration value="Unknown"/>
<xsd:enumeration value="SomeNegative"/>
<xsd:enumeration value="Hurt"/>
<xsd:enumeration value="Break"/>
</xsd:restriction>
</xsd:simpleType>
<!-
<!-- DatatypeKind -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:simpleType name="DatatypeKind">
<xsd:restriction base="xsd:string">
<xsd:enumeration value="Boolean"/>
<xsd:enumeration value="Integer"/>
<xsd:enumeration value="Enumeration"/>
</xsd:restriction>
</xsd:simpleType>
<!--
<!-- DecompositionType -->
<!-
<xsd:simpleType name="DecompositionType">
<xsd:restriction base="xsd:string">
<xsd:enumeration value="AND"/>
<xsd:enumeration value="XOR"/>

```
    <xsd:enumeration value="IOR"/>
    <xsd:restriction>
</xsd:simpleType>
<!-
<!-- DeviceKind -->
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:simpleType name="DeviceKind">
    <xsd:restriction base="xsd:string">
    <xsd:enumeration value="Processor"/>
    <xsd:enumeration value="Disk"/>
    <xsd:enumeration value="DSP"/>
</xsd:restriction>
</xsd:simpleType>
<!-
<!-- FailureKind -->
<!-
<xsd:simpleType name="FailureKind">
    <xsd:restriction base="xsd:string">
    <xsd:enumeration value="Failure"/>
    <xsd:enumeration value="Abort"/>
    <xsd:enumeration value="None"/>
</xsd:restriction>
</xsd:simpleType>
<!-
<!-- ImportanceType -->
<!-
<xsd:simpleType name="ImportanceType">
    <xsd:restriction base="xsd:string">
    <xsd:enumeration value="High"/>
    <xsd:enumeration value="Medium"/>
    <xsd:enumeration value="Low"/>
    <xsd:enumeration value="None"/>
</xsd:restriction>
</xsd:simpleType>
<!-
<!-- IntentionalElementType -->
<!-
<xsd:simpleType name="IntentionalElementType">
    <xsd:restriction base="xsd:string">
    <xsd:enumeration value="Softgoal"/>
    <xsd:enumeration value="Goal"/>
    <xsd:enumeration value="Task"/>
    <xsd:enumeration value="Resource"/>
    <xsd:enumeration value="Belief"/>
    </xsd:restriction>
</xsd:simpleType>
<!-
<!-- QualitativeLabel -->
<!-
<xsd:simpleType name="QualitativeLabel">
    <xsd:restriction base="xsd:string">
    <xsd:enumeration value="Denied"/>
    <xsd:enumeration value="WeaklyDenied"/>
    <xsd:enumeration value="WeaklySatisfied"/>
    <xsd:enumeration value="Satisfied"/>
    <xsd:enumeration value="Conflict"/>
    <xsd:enumeration value="Unknown"/>
    <xsd:enumeration value="None"/>
    </xsd:restriction>
</xsd:simpleType>
<!-
<!-- TimeUnit -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:simpleType name="TimeUnit">
    <xsd:restriction base="xsd:string">
    <xsd:enumeration value="year"/>
    <xsd:enumeration value="day"/>
    <xsd:enumeration value="h"/>
    <xsd:enumeration value="s"/>
    <xsd:enumeration value="ms"/>
```

```
    <xsd:enumeration value="us"/>
    <xsd:enumeration value="ns"/>
    </xsd:restriction>
</xsd:simpleType>
<!--
<!-- WaitKind -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
<xsd:simpleType name="WaitKind">
    <xsd:restriction base="xsd:string">
    <xsd:enumeration value="Transient"/>
    <xsd:enumeration value="Persistent"/>
    </xsd:restriction>
</xsd:simpleType>
<!-- ==================================================-->
<!-- ============ Complex Type Definitions =========== -->
<!-- =================================================-->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<!-- ActiveResource -->
<!--
<xsd:complexType name="ActiveResource">
    <xsd:complexContent>
    <xsd:extension base="GeneralResource">
        <xsd:sequence>
            <xsd:element name="opTime" type="xsd:string"/>
            <xsd:element default="ms" name="unit" type="TimeUnit"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- Actor -->
<!--
<xsd:complexType name="Actor">
    <xsd:complexContent>
    <xsd:extension base="GRLLinkableElement">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="collapsedRefs" type="xsd:IDREF"/>
                            <!-- CollapsedActorRef -->
        <xsd:element maxOccurs="unbounded" minOccurs="0" name="actorRefs" type="xsd:IDREF"/> <!-- ActorRef -->
        <xsd:element maxOccurs="unbounded" minOccurs="0" name="elems" type="xsd:IDREF"/>
                        <!-- GRLContainableElement -->
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- ActorRef -->
<!-
<xsd:complexType name="ActorRef">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
        <xsd:sequence>
            <xsd:element name="label" type="Label"/>
            <xsd:element name="actorDef" type="xsd:IDREF"/> <!-- Actor -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="nodes" type="xsd:IDREF"/> <!-- GRLNode -->
            <xsd:element name="pos" type="Position"/>
            <xsd:element name="size" type="Size"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!--
    !-~~~~~~~~~~
<!-- AndFork -->
<!-
<xsd:complexType name="AndFork">
    <xsd:complexContent>
    <xsd:extension base="PathNode"/>
    </xsd:complexContent>
</xsd:complexType>
```

```
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<!-- AndJoin -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="AndJoin">
    <xsd:complexContent>
    <xsd:extension base="PathNode"/>
</xsd:complexContent>
</xsd:complexType>
<!-
<!-- ClosedWorkload -->
<
<xsd:complexType name="ClosedWorkload">
    <xsd:complexContent>
        <xsd:extension base="Workload">
        <xsd:sequence>
            <xsd:element name="population" type="xsd:string"/>
            <xsd:element name="externalDelay" type="xsd:string"/>
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<!-- CollapsedActorRef -->
<!-
<xsd:complexType name="CollapsedActorRef">
    <xsd:complexContent>
    <xsd:extension base="GRLNode">
        <xsd:sequence>
            <xsd:element name="actor" type="xsd:IDREF"/> <!-- Actor -->
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!--
<!-- Comment -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="Comment">
    <xsd:sequence>
    <xsd:element name="description" type="xsd:string"/>
    <xsd:element name="x" type="xsd:integer"/>
    <xsd:element name="y" type="xsd:integer"/>
    <xsd:element name="width" type="xsd:integer"/>
    <xsd:element name="height" type="xsd:integer"/>
    <xsd:element name="fillColor" type="xsd:string"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- Component -->
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="Component">
    <xsd:complexContent>
    <xsd:extension base="UCMmodelElement">
        <xsd:sequence>
            <xsd:element name="kind" type="ComponentKind"/>
            <xsd:element name="protected" type="xsd:boolean"/>
            <xsd:element name="context" type="xsd:boolean"/>
            <xsd:element minOccurs="0" name="type" type="xsd:IDREF"/> <!-- ComponentType -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="includedComponents" type="xsd:IDREF"/>
                        <!-- Component -->
                <xsd:element maxOccurs="unbounded" minOccurs="0" name="includingComponents" type="xsd:IDREF"/>
                        <!-- Component -->
            <xsd:element minOccurs="0" name="host" type="xsd:IDREF"/> <!-- ProcessingResource -->
            <xsd:element minOccurs="0" name="resource" type="xsd:IDREF"/> <!-- PassiveResource -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="compRefs" type="xsd:IDREF"/>
                                    <!-- ComponentRef -->
                <xsd:element minOccurs="0" name="style" type="ConcreteStyle"/>
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
```

```
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<!-- ComponentBinding -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ComponentBinding">
    <xsd:sequence>
    <xsd:element name="id" type="xsd:ID" /> <!-- ADDED because ComponentBinding is not a URNmodelElement (no ID) -->
    <xsd:element name="parentComponent" type="xsd:IDREF"/> <!-- ComponentRef -->
    <xsd:element name="pluginComponent" type="xsd:IDREF"/> <!-- ComponentRef -->
</xsd:sequence>
</xsd:complexType>
<!-
<!-- ComponentRef -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ComponentRef">
    <xsd:complexContent>
    <xsd:extension base="UCMmodelElement">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="parentBindings" type="xsd:IDREF"/>
                        <!-- ComponentBinding -->
        <xsd:element maxOccurs="unbounded" minOccurs="0" name="pluginBindings" type="xsd:IDREF"/>
                        <!-- ComponentBinding -->
        <xsd:element name="compDef" type="xsd:IDREF"/> <!-- Component -->
        <xsd:element minOccurs="0" name="label" type="Label"/>
        <xsd:element maxOccurs="unbounded" minOccurs="0" name="children" type="xsd:IDREF"/> <!-- ComponentRef -->
        <xsd:element minOccurs="0" name="parent" type="xsd:IDREF"/> <!-- ComponentRef -->
        <xsd:element maxOccurs="unbounded" minOccurs="0" name="nodes" type="xsd:IDREF"/> <!-- PathNode -->
        <xsd:element minOccurs="0" name="pos" type="Position"/>
        <xsd:element minOccurs="0" name="size" type="Size"/>
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- ComponentType -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ComponentType">
    <xsd:complexContent>
    <xsd:extension base="UCMmodelElement">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="instances" type="xsd:IDREF"/> <!-- Component -->
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- Concern -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
<xsd:complexType name="Concern">
    <xsd:complexContent>
    <xsd:extension base="URNmodelElement">
        <xsd:sequence>
            <xsd:element minOccurs="0" name="condition" type="Condition"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="elements" type="xsd:IDREF"/>
                                    <!-- URNmodelElement -->
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!--
<!-- ConcreteCondition -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ConcreteCondition">
    <xsd:sequence>
    <xsd:element name="label" type="xsd:string"/>
    <xsd:element name="description" type="xsd:string"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- ConcreteGRLspec -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
```

```
<xsd:complexType name="ConcreteGRLspec">
    <xsd:sequence>
    <xsd:element name="showAsMeansEnd" type="xsd:boolean"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- ConcreteStrategy -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
<xsd:complexType name="ConcreteStrategy">
    <xsd:sequence>
    <xsd:element name="author" type="xsd:string"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- ConcreteStyle -->
<!-
<xsd:complexType name="ConcreteStyle">
    <xsd:sequence>
    <xsd:element name="lineColor" type="xsd:string"/>
    <xsd:element name="fillColor" type="xsd:string"/>
    <xsd:element name="filled" type="xsd:boolean"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- ConcreteURNspec -->
<!-
<xsd:complexType name="ConcreteURNspec">
    <xsd:sequence>
    <xsd:element name="description" type="xsd:string"/>
    <xsd:element name="author" type="xsd:string"/>
    <xsd:element name="created" type="xsd:string"/>
    <xsd:element name="modified" type="xsd:string"/>
    <xsd:element name="specVersion" type="xsd:string"/>
    <xsd:element name="urnVersion" type="xsd:string"/>
    </xsd:sequence>
</xsd:complexType>
<!--
<!-- Condition -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="Condition">
    <xsd:sequence>
    <xsd:element name="expression" type="xsd:string"/>
    <xsd:element minOccurs="0" name="desc" type="ConcreteCondition"/>
    <xsd:element minOccurs="0" name="label" type="Label"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- Connect -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
<xsd:complexType name="Connect">
    <xsd:complexContent>
    <xsd:extension base="PathNode"/>
    </xsd:complexContent>
</xsd:complexType>
<!--
<!-- Contribution -->
<!-
<xsd:complexType name="Contribution">
    <xsd:complexContent>
        <xsd:extension base="ElementLink">
            <xsd:sequence>
            <xsd:element default="Unknown" name="contribution" type="ContributionType"/>
            <xsd:element name="quantitativeContribution" type="xsd:integer"/>
            <xsd:element name="correlation" type="xsd:boolean"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- ContributionChange -->
```

```
<!-
<xsd:complexType name="ContributionChange">
    <xsd:sequence>
    <xsd:element default="Unknown" name="newContribution" type="ContributionType"/>
    <xsd:element name="newQuantitativeContribution" type="xsd:integer"/>
    <xsd:element name="contribution" type="xsd:IDREF"/> <!-- Contribution -->
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- ContributionContext -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ContributionContext">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="changes" type="ContributionChange"/>
            <xsd:element maxOccurs="unbounded" name="groups" type="xsd:IDREF"/>
                        <!-- ContributionContextGroup -->
        <xsd:element maxOccurs="unbounded" minOccurs="0" name="parentContexts" type="xsd:IDREF"/>
                <!-- ContributionContext -->
        <xsd:element minOccurs="0" name="includedContexts" type="xsd:IDREFS"/>
            <!-- ContributionContext {ordered} -->
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<!-- ContributionContextGroup -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ContributionContextGroup">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="contribs" type="xsd:IDREF"/>
                        <!-- ContributionContext -->
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~~~~
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="Decomposition">
    <xsd:complexContent>
    <xsd:extension base="ElementLink"/>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~~
<!-- Demand -->
<!-
<xsd:complexType name="Demand">
    <xsd:sequence>
    <xsd:element name="quantity" type="xsd:string"/>
    <xsd:element name="resource" type="xsd:IDREF"/> <!-- ExternalOperation -->
    </xsd:sequence>
</xsd:complexType>
<!--~~~~~~~~~~~~
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="Dependency">
    <xsd:complexContent>
    <xsd:extension base="ElementLink"/>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- Description -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="Description">
    <xsd:sequence>
    <xsd:element name="description" type="xsd:string"/>
```

```
</xsd:sequence>
</xsd:complexType>
<!
<!-- DirectionArrow -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="DirectionArrow">
    <xsd:complexContent>
    <xsd:extension base="PathNode"/>
</xsd:complexContent>
</xsd:complexType>
<!-
<!-- ElementLink -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ElementLink">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="refs" type="xsd:IDREF"/> <!-- LinkRef -->
            <xsd:element name="dest" type="xsd:IDREF"/> <!-- GRLLinkableElement -->
            <xsd:element name="src" type="xsd:IDREF"/> <!-- GRLLinkableElement -->
            </xsd:sequence>
    </xsd:extension>
</xsd:complexContent>
</xsd:complexType>
<!-
<!-- EmptyPoint -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="EmptyPoint">
    <xsd:complexContent>
    <xsd:extension base="PathNode"/>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- EndPoint -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="EndPoint">
    <xsd:complexContent>
    <xsd:extension base="PathNode">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="outBindings" type="xsd:IDREF"/>
                        <!-- OutBinding -->
            <xsd:element minOccurs="0" name="postcondition" type="Condition"/>
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- EnumerationType -->
<!-
<xsd:complexType name="EnumerationType">
    <xsd:complexContent>
    <xsd:extension base="UCMmodelElement">
        <xsd:sequence>
            <xsd:element name="values" type="xsd:string"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="instances" type="xsd:IDREF"/> <!-- Variable -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!--
<!-- Evaluation -->
<!--
<xsd:complexType name="Evaluation">
    <xsd:sequence>
    <xsd:element name="evaluation" type="xsd:integer"/>
    <xsd:element default="None" name="qualitativeEvaluation" type="QualitativeLabel"/>
    <xsd:element name="exceeds" type="xsd:boolean"/>
    <xsd:element minOccurs="0" name="indicatorEval" type="IndicatorEvaluation"/>
    <xsd:element name="intElement" type="xsd:IDREF"/> <!-- GRLContainableElement -->
    <xsd:element minOccurs="0" name="conversion" type="IndicatorConversion"/>
```

```
</xsd:sequence>
</xsd:complexType>
<!-
<!-- EvaluationStrategy -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="EvaluationStrategy">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="evaluations" type="Evaluation"/>
            <xsd:element maxOccurs="unbounded" name="group" type="xsd:IDREF"/> <!-- StrategiesGroup -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="parentStrategies" type="xsd:IDREF"/>
                <!-- EvaluationStrategy -->
            <xsd:element minOccurs="0" name="includedStrategies" type="xsd:IDREFS"/>
                <!-- EvaluationStrategy {ordered} -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- ExternalOperation -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ExternalOperation">
    <xsd:complexContent>
    <xsd:extension base="ActiveResource">
            <xsd:sequence>
                    <xsd:element maxOccurs="unbounded" minOccurs="0" name="demands" type="xsd:IDREF"/> <!-- Demand -->
    </xsd:sequence>
    </xsd:extension>
</xsd:complexContent>
</xsd:complexType>
<!-
<!-- FailurePoint -->
<!-
<xsd:complexType name="FailurePoint">
    <xsd:complexContent>
        <xsd:extension base="PathNode">
            <xsd:sequence>
            <xsd:element name="failure" type="xsd:string"/>
            <xsd:element minOccurs="0" name="failureLabel" type="Label"/>
            </xsd:sequence>
    </xsd:extension>
</xsd:complexContent>
</xsd:complexType>
<!-
<!-- GeneralResource -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="GeneralResource">
    <xsd:complexContent>
    <xsd:extension base="UCMmodelElement">
            <xsd:sequence>
            <xsd:element default="1" name="multiplicity" type="xsd:nonNegativeInteger"/>
            <xsd:element name="schedPolicy" type="xsd:string"/>
            </xsd:sequence>
    </xsd:extension>
</xsd:complexContent>
</xsd:complexType>
<!-
<!-- GRLGraph -->
<!-
<xsd:complexType name="GRLGraph">
    <xsd:complexContent>
        <xsd:extension base="GRLmodelElement">
            <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="connections" type="LinkRef"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="nodes" type="GRLNode"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="contRefs" type="ActorRef"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="comments" type="Comment"/>
            </xsd:sequence>
            </xsd:extension>
```

```
    </xsd:complexContent>
</xsd:complexType>
<!--~~~~~~~~~~~~~~~~~~~~~~
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="GRLContainableElement">
    <xsd:complexContent>
    <xsd:extension base="GRLLinkableElement">
        <xsd:sequence>
            <xsd:element minOccurs="0" name="actor" type="xsd:IDREF"/> <!-- Actor -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="refs" type="xsd:IDREF"/>
                        <!-- IntentionalElementRef -->
        </xsd:sequence>
    </xsd:extension>
</xsd:complexContent>
</xsd:complexType>
<!--
<!-- GRLLinkableElement -->
<!-
<xsd:complexType name="GRLLinkableElement">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
        <xsd:sequence>
            <xsd:element default="None" name="importance" type="ImportanceType"/>
            <xsd:element name="importanceQuantitative" type="xsd:integer"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="linksDest" type="xsd:IDREF"/> <!-- ElementLink -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="linksSrc" type="xsd:IDREF"/> <!-- ElementLink -->
            <xsd:element minOccurs="0" name="style" type="ConcreteStyle"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- GRLmodelElement -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="GRLmodelElement">
    <xsd:complexContent>
    <xsd:extension base="URNmodelElement"/>
    </xsd:complexContent>
</xsd:complexType>
<!--~~~~~~~~~~~~
<!-- GRLNode -->
<!-
<xsd:complexType name="GRLNode">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="pred" type="xsd:IDREF"/> <!-- LinkRef -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="succ" type="xsd:IDREF"/> <!-- LinkRef -->
            <xsd:element minOccurs="0" name="contRef" type="xsd:IDREF"/> <!-- ActorRef -->
            <xsd:element name="pos" type="Position"/>
            <xsd:element name="size" type="Size"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- GRLspec -->
<!-
<xsd:complexType name="GRLspec">
    <xsd:sequence>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="intElements" type="GRLContainableElement"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="indConversions" type="IndicatorConversion"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="contribContextGroups" type="ContributionContextGroup"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="contribContexts" type="ContributionContext"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="actors" type="Actor"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="links" type="ElementLink"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="groups" type="StrategiesGroup"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="strategies" type="EvaluationStrategy"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="grlGraphs" type="GRLGraph"/>
```

```
    <xsd:element minOccurs="0" name="info" type="ConcreteGRLspec"/>
</xsd:sequence>
</xsd:complexType>
<!-
<!-- InBinding -->
<!-
<xsd:complexType name="InBinding">
    <xsd:sequence>
    <xsd:element name="id" type="xsd:ID" /> <!-- ADDED because InBinding is not a URNmodelElement (no ID) -->
    <xsd:element name="startPoint" type="xsd:IDREF"/> <!-- StartPoint -->
    <xsd:element name="stubEntry" type="xsd:IDREF"/> <!-- NodeConnection -->
</xsd:sequence>
</xsd:complexType>
<!-
<!-- Indicator -->
<!-
<xsd:complexType name="Indicator">
    <xsd:complexContent>
    <xsd:extension base="GRLContainableElement">
        <xsd:sequence>
            <xsd:element name="unit" type="xsd:string"/>
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- IndicatorConversion -->
<!-
<xsd:complexType name="IndicatorConversion">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
        <xsd:sequence>
            <xsd:element name="unit" type="xsd:string"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="evals" type="xsd:IDREF"/>
                <!-- Evaluation -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- IndicatorEvaluation -->
<!-
<xsd:complexType name="IndicatorEvaluation">
    <xsd:sequence>
    <xsd:element name="realWorldValue" type="xsd:integer"/>
    <xsd:element name="realWorldLabel" type="xsd:string"/>
</xsd:sequence>
</xsd:complexType>
<!-
<!-- Initialization -->
<!-
<xsd:complexType name="Initialization">
    <xsd:sequence>
    <xsd:element name="value" type="xsd:string"/>
    <xsd:element name="variable" type="xsd:IDREF"/> <!-- Variable -->
    </xsd:sequence>
</xsd:complexType>
<!--
<!-- IntentionalElement -->
<xsd:complexType name="IntentionalElement">
    <xsd:complexContent>
        <xsd:extension base="GRLContainableElement">
        <xsd:sequence>
            <xsd:element name="type" type="IntentionalElementType"/>
            <xsd:element default="AND" name="decompositionType" type="DecompositionType"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
```

```
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<!-- IntentionalElementRef -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="IntentionalElementRef">
    <xsd:complexContent>
    <xsd:extension base="GRLNode">
        <xsd:sequence>
            <xsd:element name="def" type="xsd:IDREF"/> <!-- GRLContainableElement -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- Label -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="Label">
    <xsd:sequence>
    <xsd:element name="deltaX" type="xsd:integer"/>
    <xsd:element name="deltaY" type="xsd:integer"/>
    </xsd:sequence>
</xsd:complexType>
<
<!-- LinearConversion -->
<!-
<xsd:complexType name="LinearConversion">
    <xsd:complexContent>
    <xsd:extension base="IndicatorConversion">
            <xsd:sequence>
            <xsd:element name="targetValue" type="xsd:integer"/>
            <xsd:element name="thresholdValue" type="xsd:integer"/>
            <xsd:element name="worstValue" type="xsd:integer"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~
<!-- LinkRef -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="LinkRef">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
        <xsd:sequence>
            <xsd:element name="curve" type="xsd:boolean"/>
            <xsd:element name="link" type="xsd:IDREF"/> <!-- ElementLink -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="bendpoints" type="LinkRefBendpoint"/>
                        <!-- {ordered} -->
            <xsd:element minOccurs="0" name="label" type="Label"/>
            <xsd:element name="target" type="xsd:IDREF"/> <!-- GRLNode -->
            <xsd:element name="source" type="xsd:IDREF"/> <!-- GRLNode -->
            </xsd:sequence>
            </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- LinkRefBendpoint -->
<!--
<xsd:complexType name="LinkRefBendpoint">
    <xsd:sequence>
    <xsd:element name="x" type="xsd:integer"/>
    <xsd:element name="y" type="xsd:integer"/>
    </xsd:sequence>
</xsd:complexType>
<!--
<!-- Metadata -->
<xsd:complexType name="Metadata">
    <xsd:sequence>
    <xsd:element name="name" type="xsd:string"/>
    <xsd:element name="value" type="xsd:string"/>
</xsd:sequence>
```

```
</xsd:complexType>
<!--
<!-- NodeConnection -->
<xsd:complexType name="NodeConnection">
    <xsd:sequence>
    <xsd:element name="id" type="xsd:ID"/> <!-- ADDED because NodeConnection is not a URNmodelElement (no ID) -->
    <xsd:element default="100" name="probability" type="xsd:nonNegativeInteger"/>
    <xsd:element name="threshold" type="xsd:string"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="inBindings" type="xsd:IDREF"/> <!-- InBinding -->
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="outBindings" type="xsd:IDREF"/> <!-- OutBinding -->
    <xsd:element minOccurs="0" name="condition" type="Condition"/>
    <xsd:element minOccurs="0" name="timer" type="xsd:IDREF"/> <!-- Timer -->
    <xsd:element minOccurs="0" name="label" type="Label"/>
    <xsd:element name="target" type="xsd:IDREF"/> <!-- PathNode -->
    <xsd:element name="source" type="xsd:IDREF"/> <!-- PathNode -->
    </xsd:sequence>
</xsd:complexType>
<!--
<!-- OWPeriodic -->
<!-
<xsd:complexType name="OWPeriodic">
    <xsd:complexContent>
    <xsd:extension base="OpenWorkload">
        <xsd:sequence>
            <xsd:element name="period" type="xsd:string"/>
            <xsd:element name="deviation" type="xsd:string"/>
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~~~~
<!-- OWPhaseType -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="OWPhaseType">
    <xsd:complexContent>
        <xsd:extension base="OpenWorkload">
        <xsd:sequence>
            <xsd:element name="alpha" type="xsd:string"/>
            <xsd:element name="s" type="xsd:string"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~~~~
<!-- OWPoisson -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="OWPoisson">
    <xsd:complexContent>
    <xsd:extension base="OpenWorkload">
        <xsd:sequence>
            <xsd:element name="mean" type="xsd:string"/>
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- OWUniform -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
<xsd:complexType name="OWUniform">
    <xsd:complexContent>
    <xsd:extension base="OpenWorkload">
        <xsd:sequence>
            <xsd:element name="start" type="xsd:string"/>
            <xsd:element name="end" type="xsd:string"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
```

```
<!-- OpenWorkload -->
<!--
<xsd:complexType name="OpenWorkload">
    <xsd:complexContent>
    <xsd:extension base="Workload"/>
    </xsd:complexContent>
</xsd:complexType>
<!
<!-- OrFork -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="OrFork">
    <xsd:complexContent>
    <xsd:extension base="PathNode"/>
    </xsd:complexContent>
</xsd:complexType>
<!--
<!-- OrJoin -->
<!-- OrJoin
<xsd:complexType name="OrJoin">
    <xsd:complexContent>
    <xsd:extension base="PathNode"/>
    </xsd:complexContent>
</xsd:complexType>
<!--~~~~~~~~~~~~
<!-- OutBinding -->
<!-
<xsd:complexType name="OutBinding">
    <xsd:sequence>
    <xsd:element name="id" type="xsd:ID" /> <!-- ADDED because OutBinding is not a URNmodelElement (no ID) -->
    <xsd:element name="endPoint" type="xsd:IDREF"/> <!-- EndPoint -->
    <xsd:element name="stubExit" type="xsd:IDREF"/> <!-- NodeConnection -->
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- PassiveResource -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="PassiveResource">
    <xsd:complexContent>
    <xsd:extension base="GeneralResource">
        <xsd:sequence>
            <xsd:element minOccurs="0" name="component" type="xsd:IDREF"/> <!-- Component -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- PathNode -->
<!-
<xsd:complexType name="PathNode">
    <xsd:complexContent>
        <xsd:extension base="UCMmodelElement">
        <xsd:sequence>
            <xsd:element minOccurs="0" name="label" type="Label"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="pred" type="xsd:IDREF"/>
                        <!-- NodeConnection -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="succ" type="xsd:IDREF"/>
                        <!-- NodeConnection -->
            <xsd:element minOccurs="0" name="contRef" type="xsd:IDREF"/> <!-- ComponentRef -->
            <xsd:element minOccurs="0" name="pos" type="Position"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- PluginBinding -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="PluginBinding">
    <xsd:sequence>
    <xsd:element name="id" type="xsd:ID"/> <!-- ADDED because PluginBinding is not a URNmodelElement (no ID) -->
    <xsd:element default="100" name="probability" type="xsd:nonNegativeInteger"/>
```

```
    <xsd:element name="replicationFactor" type="xsd:string"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="in" type="InBinding"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="out" type="OutBinding"/>
    <xsd:element name="plugin" type="xsd:IDREF"/> <!-- UCMmap -->
    <xsd:element minOccurs="0" name="precondition" type="Condition"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="components" type="ComponentBinding"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- Position -->
<!-
<xsd:complexType name="Position">
    <xsd:sequence>
    <xsd:element name="x" type="xsd:integer"/>
    <xsd:element name="y" type="xsd:integer"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- ProcessingResource -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ProcessingResource">
    <xsd:complexContent>
    <xsd:extension base="ActiveResource">
        <xsd:sequence>
            <xsd:element default="Processor" name="kind" type="DeviceKind"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="components" type="xsd:IDREF"/>
                        <!-- Component -->
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- QualToQMapping -->
<!--
<xsd:complexType name="QualToQMapping">
    <xsd:sequence>
    <xsd:element name="realWorldLabel" type="xsd:string"/>
    <xsd:element name="evaluation" type="xsd:integer"/>
    <xsd:element name="qualitativeEvaluation" type="QualitativeLabel">
    <xsd:element name="exceeds" type="xsd:boolean"/>
    </xsd:sequence>
</xsd:complexType>
<!
<!-- QualToQMappings -->
<!-
<xsd:complexType name="QualToQMappings">
    <xsd:complexContent>
    <xsd:extension base="IndicatorConversion">
            <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="mappings" type="QualToQMapping"/>
            </xsd:sequence>
            </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~~~~~
<!-- Responsibility -->
<!-
<xsd:complexType name="Responsibility">
    <xsd:complexContent>
        <xsd:extension base="UCMmodelElement">
            <xsd:sequence>
            <xsd:element name="expression" type="xsd:string"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="demands" type="Demand"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="respRefs" type="xsd:IDREF"/> <!-- RespRef -->
            </xsd:sequence>
            </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!--
<!-- RespRef -->
```

```
<xsd:complexType name="RespRef">
    <xsd:complexContent>
    <xsd:extension base="PathNode">
        <xsd:sequence>
            <xsd:element name="repetitionCount" type="xsd:string"/>
            <xsd:element name="hostDemand" type="xsd:string"/>
            <xsd:element name="respDef" type="xsd:IDREF"/> <!-- Responsibility -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~~~~
<!-- ScenarioDef -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="ScenarioDef">
    <xsd:complexContent>
    <xsd:extension base="UCMmodelElement">
        <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="initializations" type="Initialization"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="postconditions" type="Condition"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="preconditions" type="Condition"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="parentScenarios" type="xsd:IDREF"/>
                <!-- ScenarioDef -->
            <xsd:element minOccurs="0" name="includedScenarios" type="xsd:IDREFS"/> <!-- ScenarioDef {ordered} -->
            <xsd:element maxOccurs="unbounded" name="groups" type="xsd:IDREF"/> <!-- ScenarioGroup -->
            <xsd:element minOccurs="0" name="startPoints" type="xsd:IDREFS"/> <!-- StartPoint {ordered} -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="endPoints" type="xsd:IDREF"/>
                <!-- EndPoint -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<!-- ScenarioGroup -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
<xsd:complexType name="ScenarioGroup">
    <xsd:complexContent>
    <xsd:extension base="UCMmodelElement">
            <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="scenarios" type="xsd:IDREF"/>
                        <!-- ScenarioDef -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!--~~~~~~
<!-
<xsd:complexType name="Size">
    <xsd:sequence>
    <xsd:element name="width" type="xsd:integer"/>
    <xsd:element name="height" type="xsd:integer"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- StartPoint -->
<
<xsd:complexType name="StartPoint">
    <xsd:complexContent>
    <xsd:extension base="PathNode">
        <xsd:sequence>
            <xsd:element default="None" name="failureKind" type="FailureKind"/>
            <xsd:element name="failureList" type="xsd:string"/>
            <xsd:element minOccurs="0" name="failureLabel" type="Label"/>
            <xsd:element minOccurs="0" name="workload" type="Workload"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="inBindings" type="xsd:IDREF"/>
                        <!-- InBinding -->
            <xsd:element minOccurs="0" name="precondition" type="Condition"/>
            </xsd:sequence>
```

```
    </xsd:extension>
</xsd:complexContent>
</xsd:complexType>
<!-
<!-- StrategiesGroup -->
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="StrategiesGroup">
    <xsd:complexContent>
    <xsd:extension base="GRLmodelElement">
    <xsd:sequence>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="strategies" type="xsd:IDREF"/>
                        <!-- EvaluationStrategy -->
            </xsd:sequence>
    </xsd:extension>
</xsd:complexContent>
</xsd:complexType>
<!-
<!-- Stub -->
<!-
<xsd:complexType name="Stub">
    <xsd:complexContent>
    <xsd:extension base="PathNode">
            <xsd:sequence>
            <xsd:element name="dynamic" type="xsd:boolean"/>
            <xsd:element name="synchronizing" type="xsd:boolean"/>
            <xsd:element name="blocking" type="xsd:boolean"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="bindings" type="PluginBinding"/>
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- Timer -->
<!--
<xsd:complexType name="Timer">
    <xsd:complexContent>
    <xsd:extension base="WaitingPlace">
            <xsd:sequence>
            <xsd:element minOccurs="0" name="timeoutPath" type="xsd:IDREF"/> <!-- NodeConnection -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!
<!-- UCMmap -->
<
<xsd:complexType name="UCMmap">
    <xsd:complexContent>
        <xsd:extension base="UCMmodelElement">
            <xsd:sequence>
            <xsd:element name="singleton" type="xsd:boolean"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="parentStub" type="xsd:IDREF"/>
                        <!-- PluginBinding -->
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="contRefs" type="ComponentRef"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="connections" type="NodeConnection"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="nodes" type="PathNode"/>
            <xsd:element maxOccurs="unbounded" minOccurs="0" name="comments" type="Comment"/>
            </xsd:sequence>
            </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<!-- UCMmodelElement -->
<!--
<xsd:complexType name="UCMmodelElement">
    <xsd:complexContent>
    <xsd:extension base="URNmodelElement"/>
    </xsd:complexContent>
</xsd:complexType>
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
```

```
<!-- UCMspec -->
<!-
<xsd:complexType name="UCMspec">
    <xsd:sequence>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="enumerationTypes" type="EnumerationType"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="variables" type="Variable"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="scenarioGroups" type="ScenarioGroup"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="resources" type="GeneralResource"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="ucmMaps" type="UCMmap"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="components" type="Component"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="componentTypes" type="ComponentType"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="responsibilities" type="Responsibility"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="scenarioDefs" type="ScenarioDef"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- URNlink -->
<!
<xsd:complexType name="URNlink">
    <xsd:complexContent>
        <xsd:extension base="URNmodelElement">
        <xsd:sequence>
            <xsd:element name="type" type="xsd:string"/>
            <xsd:element name="toElem" type="xsd:IDREF"/> <!-- URNmodelElement -->
            <xsd:element name="fromElem" type="xsd:IDREF"/> <!-- URNmodelElement -->
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
<!-
<!-- URNmodelElement -->
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="URNmodelElement">
    <xsd:sequence>
    <xsd:element name="id" type="xsd:ID"/>
    <xsd:element name="name" type="xsd:string"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="metadata" type="Metadata"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="toLinks" type="xsd:IDREF"/> <!-- URNlink -->
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="fromLinks" type="xsd:IDREF"/> <!-- URNlink -->
    <xsd:element minOccurs="0" name="desc" type="Description"/>
    <xsd:element minOccurs="0" name="concern" type="xsd:IDREF"/> <!-- Concern -->
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- URNspec -->
<
<xsd:complexType name="URNspec">
    <xsd:sequence>
    <xsd:element name="name" type="xsd:string"/>
    <xsd:element minOccurs="0" name="ucmspec" type="UCMspec"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="metadata" type="Metadata"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="urnLinks" type="URNlink"/>
    <xsd:element minOccurs="0" name="grlspec" type="GRLspec"/>
    <xsd:element minOccurs="0" name="info" type="ConcreteURNspec"/>
    <xsd:element maxOccurs="unbounded" minOccurs="0" name="concerns" type="Concern"/>
    </xsd:sequence>
</xsd:complexType>
<!-
<!-- Variable -->
<!-
<xsd:complexType name="Variable">
    <xsd:complexContent>
        <xsd:extension base="UCMmodelElement">
        <xsd:sequence>
            <xsd:element default="Boolean" name="type" type="DatatypeKind"/>
            <xsd:element minOccurs="0" name="enumerationType" type="xsd:IDREF"/> <!-- EnumerationType -->
            </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
```

```
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<!-- WaitingPlace -->
<!--~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ -->
<xsd:complexType name="WaitingPlace">
    <xsd:complexContent>
        <xsd:extension base="PathNode">
        <xsd:sequence>
        <xsd:element name="waitType" type="WaitKind"/>
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
    </xsd:complexType>
    <!--~~~~~~~~~~~
<!-- ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
<xsd:complexType name="Workload">
    <xsd:complexContent>
        <xsd:extension base="UCMmodelElement">
        <xsd:sequence>
        <xsd:element default="ms" name="unit" type="TimeUnit"/>
        </xsd:sequence>
    </xsd:extension>
    </xsd:complexContent>
    </xsd:complexType>
</xsd:schema>
```


## Appendix I

## Summary of the URN

(This appendix does not form an integral part of this Recommendation.)

## I. 1 Summary of abstract metamodel

Figure I. 1 shows the top level of the abstract metamodel of URN. The diagram shows the top-level elements URNspec and URNmodelElement as well as the concepts of URNlink and Metadata that can be used for both GRL and UCM models. Concerns are shown because they encapsulate also both GRL and UCM model elements.


Figure I. 1 - Abstract grammar: URN top level
Figure I. 2 presents the core abstract metamodel of GRL. The diagram is linked to Figure I. 1 via GRLspec and GRLmodelElement. The diagram shows the relationships between ElementLinks and GRLLinkableElements at the top, the relationships between Actors, IntentionalElements and Indicators on the middle-left and different kinds of ElementLinks on the middle-right.


Figure I. 2 - Abstract grammar: GRL core overview

Figure I. 3 presents the abstract metamodel related to GRL ContributionChanges and their organization into ContributionContexts and ContributionContextGroups. The diagram is linked to Figure I. 1 via GRLspec and GRLmodeIElement.


Figure I. 3 - Abstract grammar: GRL contribution changes overview

Figure I. 4 presents the abstract metamodel of GRL strategies. The diagram is linked to Figure I. 1 via GRLspec and GRLmodelElement. The diagram shows concepts for the evaluation of GRL models (StrategiesGroup, EvaluationStrategy and Evaluation) that include indicators (IndicatorEvaluation, IndicatorConversion, LinearConversion, QualToQMappings and QualToQMapping).


Figure I. 4 - Abstract grammar: GRL strategies overview
Figure I. 5 presents the core abstract metamodel of the UCM notation. The diagram is linked to Figure I. 1 via UCMspec and UCMmodelElement. The diagram roughly shows path-related concepts at the top, plug-in binding-related concepts on the middle-left and component-related concepts at the bottom.


Figure I. 5 - Abstract grammar: UCM core overview
Figure I. 6 presents the abstract metamodel of UCM scenarios. The diagram is linked to Figure I. 1 via UCMspec and UCMmodelElement. The diagram also introduces further relationships for StartPoint and EndPoint.


Figure I. 6 - Abstract grammar: UCM scenarios overview
Figure I. 7 presents the abstract metamodel of the performance annotations for the UCM notation. The diagram is linked to Figure I. 1 via UCMspec and UCMmodelElement. The diagram also introduces further relationships for StartPoint, Responsibility and Component.


Figure I. 7 - Abstract grammar: UCM performance overview

## I. 2 Summary of concrete metamodel

Figure I. 9 shows the top level of the concrete metamodel of URN, which extends the abstract grammar metamodel of Figure I.1. The diagram shows the top-level elements of the abstract metamodel URNspec and URNmodelElement as well as the concept of Condition (all defined in Figure I.1) and all their related concrete metamodel classes in grey colour.


Figure I. 8 - Concrete grammar: URN top level

Figure I. 9 presents the complete concrete metamodel of GRL, which extends the abstract grammar metamodel of Figure I. 1 through Figure I.4. The diagram is linked to Figure I. 1 via GRLspec, URNmodelElement and GRLmodelElement. It is also linked to Figure I. 2 via Actor,

GRLLinkableElement, GRLContainableElement and ElementLink. It is finally linked to Figure I. 4 via EvaluationStrategy. The diagram shows all concrete metamodel classes in grey colour.


Figure I. 9 - Concrete grammar: GRL
Figure I. 10 presents the concrete metamodel of the UCM notation, which extends the abstract grammar metamodel of Figures I.1, I.5, I. 6 and I.7. The diagram is linked to Figure I. 1 via URNmodelElement, UCMmodelElement and Condition. It is linked to Figure I. 5 via UCMmap, PathNode, NodeConnection, Component and ComponentRef. The diagram shows all concrete metamodel classes in grey colour.


Figure I. 10 - Concrete grammar: UCM

## I. 3 Summary of URN symbols




Belief



Softgoal


Actor with Boundary


Collapsed Actor


Denied


Conflict
Unknown


Weakly Satisfied Satisfied


Exceeds
(c) GRL Satisfaction Levels

(d) GRL Contributions Types

Figure I. 11 - GRL symbols


Figure I. 12 - UCM symbols

## Appendix II

## Examples of GRL model evaluation algorithms

(This appendix does not form an integral part of this Recommendation.)

## II. 1 Introduction

## II.1.1 Overview and characteristics

This appendix defines and illustrates three examples of algorithms for GRL model evaluation. These algorithms share the following common characteristics, explained in the specified numerals of clause 11.1:

- (b) Forward propagation.
- (c) Overall GRL model satisfaction is evaluated.
- (d) Actor satisfaction is evaluated.
- (e) Exceeding expectations are considered.
- (f) Fully automated.
- (g) Cycles in models are handled partially: a cycle will only be evaluated if one of its elements has a value initialized by the strategy.
- (i) Inconsistent evaluation strategies are allowed.
- (j) Evaluations defined as part of a strategy are not overridden.
- (l) Element links are evaluated in the following order: decompositions, contributions and dependencies.

A generic algorithm based on the above characteristics is presented in clause II.1.2.
The differences can be summarized as follows:

- Clause II.2: (a) Quantitative evaluation, (h) no conflict detection, (k) with relation to UCM and (n) with tolerance.
- Clause II.3: (a) Qualitative evaluation, (h) conflict detection, (k) without relation to UCM and (n) without tolerance.
- Clause II.4: (a) Hybrid evaluation, (h) no conflict detection, (k) with relation to UCM and (n) with tolerance.

As for link evaluation functions (m), they will be explained in detail for each algorithm. Algorithms are explained in plain text when they are trivial, and with pseudocode that takes advantage of the URN abstract metamodel when they are not trivial. Exceeding expectations are taken into consideration the same way for all three presented algorithms and are hence discussed in clause II.5.

## II.1.2 Generic algorithm overview

The example algorithms all follow the same three steps: 1) initialize the evaluation values of the GRL intentional elements and indicators based on the strategy selected; 2) do a forward propagation of the evaluation values to the other elements; and 3) calculate the satisfaction of actors and the overall GRL model satisfaction. The first step follows the requirements presented in clause 11.1, and the third step depends on the type of evaluation chosen. This clause discusses the second step in more detail, as it is common to the three evaluation algorithms illustrated in this appendix.
The forward propagation algorithm in Figure II. 1 follows a bottom-up, automated approach that can handle cycles partially and that does not override the initial evaluation values provided by a strategy, even when inconsistent. This algorithm takes as inputs the GRL specification and the selected strategy. It outputs a hash map containing a new evaluation value for each intentional
element and indicator. In this algorithm, each intentional element or indicator knows its number of incoming source links (totalSourceLink) and tracks the number of links that have been used in the propagation so far (linkReady).

```
Algorithm ForwardPropagation
Inputs GRLmodel:GRLspec, currentStrategy:EvaluationStrategy
Output newEvaluations:HashMap
elementsReady:List \(=\varnothing \quad / /\) containable elements that can be evaluated
elementsWaiting:List \(=\varnothing \quad / /\) containable elements that cannot yet be evaluated
newEvaluations \(=\varnothing\)
for each element:GRLContainableElement in GRLmodel.intElements
\{
    element. \(\operatorname{linkReady}=0\)
    if (element in currentStrategy.evaluations.intElement) // is the element initialized?
        elementsReady.add(element)
    else
        elementsWaiting.add(element)
\}
while (elementsReady.size ()\(>0\) )
\{
    element \(=\) elementsReady.get()
    elementsReady.remove(element)
    newEvaluations.add(element, CalculateEvaluation(element, currentStrategy))
    for each link:ElementLink in element.linksSrc
    \{
        destination \(=\) link. dest
        destination.linkReady \(=\) destination.linkReady +1
        if (destination.linkReady \(==\) destination.totalSourceLink)
        \{
            // all source elements have known evaluation values
            elementsWaiting.remove(destination)
            elementsReady.add(destination)
        \}
    \}
\}
    return newEvaluations
```

Figure II. 1 - Example: Forward propagation algorithm
The forward propagation algorithm invokes the calculateEvaluation algorithm (Figure II.2), which first checks whether the element is initialized by the strategy, and if necessary computes a value from decomposition links (CalculateDecompositions), then considers contribution links (CalculateContributions) and then dependencies (CalculateDependencies). The result of CalculateDecompositions is an input for CalculateContributions, and the result of CalculateContributions is an input for CalculateDependencies. The result of CalculateDependencies is the final evaluation value. The EvaluationValue type here is a placeholder for the type of evaluation (QualitativeLabel for qualitative evaluations, and Integer for quantitative evaluations).

The content of the three sub-algorithms invoked here depends on the general type of evaluation and will be detailed for each approach.

```
Algorithm CalculateEvaluation
Inputs element:GRLContainableElement, currentStrategy:EvaluationStrategy
Output satisfactionValue:EvaluationValue
    decompValue:EvaluationValue // intermediate result
    contribValue:EvaluationValue // intermediate result
if not(element in currentStrategy.evaluations.intElement) // is the element not initialized?
    \{
    // calculate based on decompositions, contributions, and dependencies
    decompValue \(=\) CalculateDecompositions(element)
    contribValue \(=\) CalculateContributions(element, decompValue)
    satisfactionValue \(=\) CalculateDependencies(element, contribValue)
\}
return satisfactionValue
```

Figure II. 2 - Example: Calculate evaluation algorithm

## II. 2 Example of quantitative evaluation algorithm

This quantitative GRL algorithm uses Integer values for the evaluation, and hence uses the quantitativeContribution attribute of Contribution, the importanceQuantitative attribute of GRLContainableElements and the new quantitativeVal attribute of containable elements initialized from the selected EvaluationStrategy.

## II.2.1 Calculating quantitative evaluations for decomposition links

This corresponds to the CalculateDecompositions(element) step in Figure II.2. The result depends on the type of decomposition (AND, IOR or XOR).

The satisfaction level of a containable element with an AND-type decomposition link is the minimum value of the quantitative evaluation values of its source elements. For an IOR-type decomposition link, the satisfaction level is the maximum value of the quantitative evaluation of its source elements. For an XOR-type decomposition link, the maximum is also used, but a warning is generated if more than one source element have a quantitative evaluation value different from 0 .
Figure II. 3 provides an example of each decomposition type based on a strategy where two sources out of three are initialized (*). The difference between (b) and (c) here is that evaluating (c) will generate a warning as two sources have values different from 0 .


Figure II. 3 - Example: Quantitative evaluation of decomposition links

## II.2.2 Calculating quantitative evaluations for contribution links

This corresponds to the CalculateContributions(element, decompValue) step in Figure II.2. The total quantitative contribution is the sum of the products of the quantitative evaluation of each source element by its quantitative contribution level to the element. This value is added to decompValue up to a predefined tolerance if there is no fully satisfied or denied contribution. Correlations are treated the same way as contributions.

```
Algorithm CalculateContributions
Inputs element:GRLContainableElement, decompValue:Integer
Output contribValue:Integer
    tolerance:Integer // predefined tolerance, between 0 and 49
    oneCont:Integer // one weighted contribution
    totalCont:Integer \(=0 \quad / /\) weighted sum of the contribution links
    hasSatisfy:Boolean // a weighted contribution of 100 is present
    hasDeny:Boolean // a weighted contribution of -100 is present
    hasSatisfy \(=(\) decompValue \(==100)\)
    hasDeny \(=(\) decompValue \(==-100)\)
// compute the weighted sum of contributions
for each link:Contribution in element.linksDest
\{
    oneCont \(=\) link.src.quantitativeVal \(\times\) link.quantitativeContribution
    totalCont \(=\) totalCont + oneCont
    if \((\) oneCont \(==100)\) hasSatisfy \(=\) true
    if (oneCont \(==-100\) ) hasDeny \(=\) true
\}
    totalCont \(=\) totalCont \(/ 100\)
    contribValue \(=\) totalCont + decompValue
    // contribution value cannot be outside [ \(-100 . .100\) ]
    if (|contribValue \(\mid>100\) )
    contribValue \(=100 \times(\) contribValue/|contribValue \()\)
// take tolerance into account if a weighted contribution of 100 or -100 is not present
if ((contribValue \(\geq 100\) - tolerance) and not(hasSatisfy))
    if (totalCont \(>0\) ) // positive contribution
        contribValue \(=\boldsymbol{\operatorname { m a x }}(\) decompValue, \(100-\) tolerance \() \quad / /\) case A
        // else there is nothing to do, contribValue remains unchanged.
else if ((contribValue \(\leq-100+\) tolerance) and not(hasDeny))
    if (totalCont \(<0\) ) // negative contribution
        contribValue \(=\boldsymbol{\operatorname { m i n }}(\) decompValue, \(-100+\) tolerance \() \quad / /\) case B
        // else there is nothing to do, contribValue remains unchanged.
return contribValue
```

Figure II. 4 - Example: Quantitative CalculateContributions algorithm

The algorithm in Figure II. 4 ensures that the satisfaction level of each containable element will not go above 100 or below -100 . In addition, the algorithm takes tolerance into account to ensure that the evaluation value of a containable element can be 100 (respectively -100 ) only if a) at least one
of the containable elements that contribute to the element has a weighted contribution of 100 (respectively -100 ) or b) decompValue is 100 (respectively -100 ). If this is not the case, then the evaluation value may be adjusted as specified in Figure II. 4 and illustrated for positive values in Table 19 (negative values are handled analogously).
NOTE - The quantitative propagation algorithm resolves conflicts.

Table 19 - Example: Calculating contribution values with different tolerance values

| Case in Figure II. 4 | hasSatisfy | decompValue | totalCont | tolerance limit | contribValue |
| :---: | :---: | :---: | :---: | :---: | :---: |
| A | false | 95 | 3 | $100-10=90$ | $\begin{gathered} \text { max }(\text { decompValue, } \\ 90)=95 \end{gathered}$ |
| hasSatisfy | true |  |  |  | $95+3=98$ |
| below tolerance limit | false |  |  | $100-1=99$ | $95+3=98$ |
| B | false | 95 | -3 | $100-10=90$ | $95-3=92$ |
| hasSatisfy | true |  |  |  | $95-3=92$ |
| below tolerance limit | false |  |  | $100-4=96$ | $95-3=92$ |
| A | false | 85 | 13 | $100-10=90$ | $\begin{gathered} \hline \max (\text { decompValue, } \\ 90)=90 \end{gathered}$ |
| hasSatisfy | true |  |  |  | $85+13=98$ |
| below tolerance limit | false |  |  | $100-1=99$ | $85+13=98$ |

Figure II. 5 provides two examples with three contributions each (the initial decompValue is 0 ). Strategies initialize two elements. In (a), $((-50 \times 50)+(80 \times 100)+(0 \times-50)) / 100=55$. In (b), where the tolerance has been set to $10,((30 \times 90)+(80 \times 90)+(0 \times-50)) / 100=99$. However, as there is no fully satisfied weighted contribution and decompValue is not 100 , then $100-$ tolerance $=$ 90 is output.


Figure II. 5 - Example: Quantitative evaluation of contribution links

## II.2.3 Calculating quantitative evaluations for dependency links

This corresponds to the CalculateDependencies(element, contribValue) step in Figure II.2. In this algorithm, the source element of the dependency links cannot have an evaluation value higher than those of the containable elements it depends on (i.e., the target elements of the dependency links). This algorithm hence simply returns the minimum between contribValue and the evaluation values of the target elements.

A simple example is shown in Figure II.6, with a strategy that initializes the two tasks. Consequently, the qualitative values of other elements are initially set to 0 . Internet Connection becomes -75 since this value is less than 0 . Low Costs, on the other hand, will keep its value of 0 because it is less than 50 . The Increase Visibility softgoal gets the value $\min (0, \min (-75,0))=-75$.


Figure II. 6 - Example: Quantitative evaluation of dependency links

## II.2.4 Calculating quantitative evaluations for actors and the overall GRL model

This is the third and last step discussed in clause II.1.2. In order to compute the quantitative evaluation value of an actor and the overall GRL model, it is necessary to first identify the quantitative satisfaction value and quantitative importance value of each containable element bound to the actor. Only elements with an importance greater than 0 are counted (assume their number to be $n$ and their references to be elem $_{i}$ with $i=1 . . n$ ). This algorithm then computes the quantitative evaluation value of the actor as follows:

$$
\begin{aligned}
& \text { actor.quantitativeVal }=\left(\sum_{i=1}^{n} \text { elem }_{i} . \text { quantitativeVal } \times \text { elem }_{i} . \text { importanceQuantitative }\right) \text { ) }^{\sum_{i=1}^{n} \text { elem }_{i} . \text {.importanceQuantitative }}
\end{aligned}
$$

For example, Figure II. 7 shows an actor with four softgoals, three of which with non-zero importance. The quantitative value of the actor's satisfaction becomes:

$$
((100 \times 100)+(100 \times 29)+(-75 \times 60)) /(100+29+60)=44
$$



Figure II. 7 - Example: Quantitative evaluation of actors

Second, the quantitative evaluation value of the overall GRL model is calculated the same way as the quantitative evaluation value of actors except that the quantitative evaluation values and quantitative importance values of actors are used instead of containable elements. Assume that the number of actors with importance values greater than 0 are $n$ and their references to be actor $r_{i}$ with $i=1 . . n$ ). This algorithm then computes the quantitative evaluation value of the overall GRL model $m$ as follows:

$$
\begin{gathered}
\text { m.quantitativeVal }=\left(\sum_{i=1}^{n} \text { actor }_{i} . \text { quantitativeVal } \times \text { actor }_{i} \text {.importanceQuantitative }\right) / \\
\sum_{i=1}^{n} \text { actor }_{i} \text {.importanceQuantitative }
\end{gathered}
$$

## II. 3 Example of qualitative evaluation algorithm

This qualitative GRL algorithm uses QualitativeLabel values for the evaluation, and hence uses the qualitative contribution attribute of Contribution, the importance attribute of GRLContainableElements and the new attribute qualitativeVal of containable elements initialized from the selected EvaluationStrategy. The qualitative contributions (see clause 7.4.3) are Make, SomePositive, Help, Unknown, Hurt, SomeNegative and Break. The qualitative evaluation labels (see clause 7.5.4) are Satisfied, WeaklySatisfied, None, WeaklyDenied, Denied, Conflict and Unknown. The qualitative importance values (see clause 7.1.4) are High, Medium, Low and None. Since these values are discrete, the propagation algorithm considers them individually. To this end, lookup tables and partial orderings are often used to define necessary functions explicitly.

## II.3.1 Calculating qualitative evaluations for decomposition links

This corresponds to the CalculateDecompositions(element) step in Figure II.2. The result depends on the type of decomposition (AND, IOR or XOR).
The satisfaction level of a containable element with an AND-type decomposition link is the minimum value of the qualitative evaluation values of its source elements, where qualitative values are ordered from minimum to maximum as follows:

Denied $<($ Conflict $=$ Unknown $)<$ WeaklyDenied $<$ None $<$ WeaklySatisfied $<$ Satisfied
However, Conflict results are substituted with Unknown as conflicts are not propagated. This simplifies the discovery of root causes (the first conflict) during the analysis of complex models. Figure II. 8 provides four examples of qualitative AND-type decomposition that illustrate this propagation.


Figure II. 8 - Example: Qualitative evaluation of AND-type decomposition links

For an IOR-type decomposition, the satisfaction level is the maximum value of the qualitative evaluation of its source elements, where qualitative values are ordered from minimum to maximum as follows:

Denied $<$ WeaklyDenied $<$ None $<$ WeaklySatisfied $<($ Conflict $=$ Unknown $)<$ Satisfied
Again, Conflict results are substituted with Unknown as conflicts are not propagated. Figure II. 9 provides four examples of qualitative IOR-type decomposition that illustrate this propagation.


Figure II. 9 - Example: Qualitative evaluation of IOR-type decomposition links
For an XOR-type decomposition link, the maximum is propagated in the same way as for an IOR-type decomposition, but a warning is generated if more than one source element have a quantitative evaluation value different from None.

## II.3.2 Calculating qualitative evaluations for contribution links

This corresponds to the CalculateContributions(element, decompValue) step in Figure II.2. Correlations are treated the same way as contributions. However, unlike the quantitative evaluation of contribution links, there is no notion of tolerance here. The algorithm is presented in Figure II. 10.

```
Algorithm CalculateContributions
Inputs element:GRLContainableElement, decompValue:QualitativeLabel
Output contribValue:QualitativeLabel
oneCont:QualitativeLabel // one weighted contribution
ns:Integer \(=0\)
nws:Integer \(=0\)
nwd:Integer \(=0\)
nd:Integer \(=0\)
nu:Integer \(=0\)
weightSD:QualitativeLabel
weightWSWD:QualitativeLabel
```

// one weighted contribution
// number of Satisfied weighted contributions
// number of WeaklySatisfied weighted contributions
// number of WeaklyDenied weighted contributions
// number of Denied weighted contributions
// number of Unknown weighted contributions
// partial weighted contribution from ns and nd
// partial weighted contribution from nws and nwd

```
// adjust the weighted contribution counters according to decompValue
    AdjustContributionCounters(decompValue, ns, nws, nwd, nd, nu)
// compute the numbers of weighted contributions for each kind
for each link:Contribution in element.linksDest
{
    oneCont = WeightedContribution(link.src.qualitativeVal, link.contribution)
    AdjustContributionCounters(oneCont, ns, nws, nwd, nd, nu)
}
    // check for the presence of unknown weighted contributions
    if (nu > 0)
        contribValue = Unknown
    else
    {
        weightSD = CompareSatisfiedAndDenied (ns, nd)
        weightWSWD = CompareWSandWD (nws, nwd)
        contribValue = CombineContributions (weightSD, weightWSWD)
    }
return contribValue
```

Figure II. 10 - Example: Qualitative CalculateContributions algorithm

The AdjustContributionCounters algorithm (Figure II.11) is first invoked by CalculateContributions to increment the weighted contribution counter that corresponds to decompValue. It is then invoked in the for loop to increment the counters for each individual weighted contribution computed from contribution links.

```
Algorithm AdjustContributionCounters
Inputs qualValue:QualitativeLabel
Modifies ns, nws, nwd, nd, nu:Integer
    case qualValue of
    Satisfied: ns++
    WeaklySatisfied: nws++
    WeaklyDenied: nwd++
    Denied: nd++
    Unknown: nu++
```

Figure II. 11 - Example: AdjustContributionCounters algorithm

The CalculateContributions algorithm also uses a WeightedContribution function that computes one qualitative weighted contribution according to the lookup table in Table 20, where the rows specify the possible qualitative evaluation values of the source and where the columns specify the possible qualitative contribution types of the element's incoming contribution link. Note that previously found conflicts are not propagated by this function, which propagates Unknown instead.

Table 20 - WeightedContribution function for the computation of one weighted contribution

|  | Make | Help | SomePositive | Unknown | SomeNegative | Hurt | Break |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Denied | Denied | WeaklyDenied | WeaklyDenied | None | WeaklySatisfied | WeaklySatisfied | Satisfied |
| Weakly <br> Denied | WeaklyDenied | WeaklyDenied | WeaklyDenied | None | WeaklySatisfied | WeaklySatisfied | WeaklySatisfied |
| Weakly <br> Satisfied | WeaklySatisfied | WeaklySatisfied | WeaklySatisfied | None | WeaklyDenied | WeaklyDenied | WeaklyDenied |
| Satisfied | Satisfied | WeaklySatisfied | WeaklySatisfied | None | WeaklyDenied | WeaklyDenied | Denied |
| Conflict | Unknown | Unknown | Unknown | Unknown | Unknown | Unknown | Unknown |
| Unknown | Unknown | Unknown | Unknown | Unknown | Unknown | Unknown | Unknown |
| None | None | None | None | None | None | None | None |

If there is at least one Unknown weighted contribution detected, then the result is Unknown. Otherwise, three functions will be used in sequence to compute the result.

The CompareSatisfiedAndDenied function determines if there are Satisfied values without Denied values, or the opposite. If none of these values are present, then None is returned. However, if there is at least one of each, then Conflict is returned. Formally:

$$
\begin{aligned}
& \text { CompareSatisfiedAndDenied }(\mathrm{ns}, \mathrm{nd}) \quad=\text { Conflict, if }(\mathrm{ns}>0 \text { and } \mathrm{nd}>0) \\
&=\text { Satisfied, if }(\mathrm{ns}>0 \text { and } \mathrm{nd}=0) \\
&=\text { Denied, if }(\mathrm{nd}>0 \text { and } \mathrm{ns}=0) \\
&=\text { None, if }(\mathrm{ns}=0 \text { and } \mathrm{nd}=0)
\end{aligned}
$$

The CompareWSandWD function determines if there are more WeaklySatisfied values than WeaklyDenied values, or the opposite. If their numbers are equal, then these contributions cancel each other out and None is returned. Formally:

$$
\begin{aligned}
& \text { CompareWSandWD }(\mathrm{ws}, \mathrm{wd}) \quad=\text { WeaklySatisfied, if }(\mathrm{nws}>\mathrm{nwd}) \\
& =\text { WeaklyDenied, if }(\mathrm{nwd}>\mathrm{nws}) \\
& =\text { None, if }(\mathrm{nwd}=\mathrm{nws})
\end{aligned}
$$

The final result is computed with the CombineContributions function, which combines the previously computed values according to Table 21. In this table, the rows specify the possible qualitative values representing the global influence of weak contributions (i.e., weightWSWD), whereas the columns specify the possible qualitative values representing the global influence of Satisfied and Denied contributions (i.e., weightSD).

Table 21 - CombineContributions function for the computation of the final contribution

|  | Denied | Satisfied | Conflict | None |
| ---: | :---: | :---: | :---: | :---: |
| Weakly Denied | Denied | Weakly Satisfied | Conflict | Weakly Denied |
| Weakly Satisfied | Weakly Denied | Satisfied | Conflict | Weakly Satisfied |
| None | Denied | Satisfied | Conflict | None |

Figure II. 12 provides two examples with three contributions each (the initial decompValue is None). Strategies initialize two elements in each example. In (a), (WeaklyDenied $\times$ SomePositive) $=$ WeaklyDenied, $($ WeaklySatisfied $\times$ Make $)=$ WeaklySatisfied, and $($ None $\times$ SomeNegative $)=$ None. The comparison of Satisfied and Denied results in a 0:0 tie and therefore None. The comparison of WeaklySatisfied and WeaklyDenied results in a 1:1 tie and therefore None. Finally, the combined contribution of None and None results in None. In (b), (WeaklySatisfied $\times$ SomePositive) $=$ WeaklySatisfied, (WeaklySatisfied $\times$ Make $)=$ WeaklySatisfied, and $($ None $\times$ SomeNegative $)=$ None. The comparison of Satisfied and Denied results in a 0:0 tie and therefore None. The comparison of

WeaklySatisfied and WeaklyDenied results in a 2:0 win and therefore WeaklySatisfied. Finally, the combined contribution of None and WeaklySatisfied results in WeaklySatisfied.


Figure II. 12 - Example: Qualitative evaluation of contribution links

## II.3.3 Calculating qualitative evaluations for dependency links

This corresponds to the CalculateDependencies(element, contribValue) step in Figure II.2. In this algorithm, the source element of the dependency links cannot have an evaluation value higher than those of the containable elements it depends on (i.e., the target elements of the dependency links). This algorithm hence simply returns the minimum value between contribValue and the qualitative evaluation values of the target elements. The qualitative values are ordered from minimum to maximum in the same way as for qualitative AND-type decompositions:
Denied $<($ Conflict $=$ Unknown $)<$ WeaklyDenied $<$ None $<$ WeaklySatisfied $<$ Satisfied
Again, Conflict results are substituted with Unknown as conflicts are not propagated.
Two examples are shown in Figure II.13, with strategies that initialize the two tasks. Consequently, the qualitative values of other elements are initially set to None. Example (a) is similar to the one from Figure II.6. Internet Connection becomes WeaklyDenied since this value is less than None. Low Costs, on the other hand, will keep its value of None because it is less than WeaklySatisfied. The Increase Visibility softgoal gets the value WeaklyDenied because this is the minimum between None and WeaklyDenied. Example (b) illustrates that a Conflict value in a target element propagates to an Unknown value in the source element (e.g., Low Cost), unless there is a Denied value in another target element or in contribValue (in which case the propagated value is Denied, e.g., for Increase Visibility).


Figure II. 13 - Example: Qualitative evaluation of dependency links

## II.3.4 Calculating qualitative evaluations for actors and the overall GRL model

This is the third and last step discussed in clause II.1.2. In order to compute the qualitative evaluation value of an actor and the overall GRL model, the qualitative satisfaction value and qualitative importance value of each containable element bound to the actor are first used.
The CalculateActorEvaluation algorithm is similar to the qualitative CalculateContributions algorithm (Figure II.10) and reuses some of its sub-algorithms. First, the qualitative evaluation value of each containable element bound to the actor is weighted according to the importance of that element to the actor. This WeightedImportance function is defined in Table 22, where the rows specify the possible qualitative importance values of the element and where the columns specify the possible qualitative evaluation values of the element. The AdjustEvaluationCounters function is similar to the AdjustContributionCounters function (see Figure II.11) but also increments the nc counter if a Conflict is provided as a qualitative value input. Then, the qualitative evaluation value of the actor is calculated with the same sub-algorithms used to combine the qualitative weighted evaluation values for contribution links (i.e., CompareSatisfiedAndDenied, CompareWSandWD CombineContributions).

```
Algorithm CalculateActorEvaluation
Inputs actor:Actor
Output actorEvalValue:QualitativeLabel
    oneElemVal:QualitativeLabel // one element value weighted according to its
importance
    ns:Integer = 0 // number of Satisfied weighted values
    nws:Integer = 0 // number of WeaklySatisfied weighted values
    nwd:Integer = 0 // number of WeaklyDenied weighted values
    nd:Integer = 0 // number of Denied weighted values
    nu:Integer = 0 // number of Unknown weighted values
```

$\left.\begin{array}{|ll|}\hline \begin{array}{l}\text { nc:Integer = } \\ \text { weightSD:QualitativeLabel } \\ \text { weightWSWD:QualitativeLabel }\end{array} & \text { // number of Conflict weighted values } \\ \text { // partial weighted values from ns and nd }\end{array}\right]$ partial weighted values from nws and nwd

Figure II. 14 - Example: Qualitative CalculateActorEvaluation algorithm

Table 22 - WeightedImportance function for the computation of one element value

|  | Denied | WeaklyDenied | WeaklySatisfied | Satisfied | Conflict | Unknown | None |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| High | Denied | WeaklyDenied | WeaklySatisfied | Satisfied | Conflict | Unknown | None |
| Medium | WeaklyDenied | WeaklyDenied | WeaklySatisfied | WeaklySatisfied | Conflict | Unknown | None |
| Low | WeaklyDenied | None | None | WeaklySatisfied | Conflict | Unknown | None |
| None | None | None | None | None | None | None | None |

For example, Figure II. 15 shows an actor with four softgoals, three of which with importance other than None. The recalculated qualitative evaluation values are:

- Reliability: WeightedImportance (High, Satisfied) = Satisfied
- Low Cost: WeightedImportance (Low, Satisfied) = WeaklySatisfied
- High Perf: WeightedImportance (Medium, WeaklyDenied) = WeaklyDenied
- Low Weight: WeightedImportance (None, WeaklySatisfied) = None

The comparison of Satisfied and Denied results in a 1:0 win and therefore Satisfied. The comparison of WeaklySatisfied and WeaklyDenied results in a 1:1 tie and therefore None. Finally, the combined contribution of Satisfied and None results in the actor evaluation of Satisfied.


Figure II. 15 - Example: Qualitative evaluation of actors
Second, the qualitative evaluation value of the overall GRL model is calculated the same way as the qualitative evaluation value of actors except that the qualitative evaluation values and qualitative importance values of actors are used instead of containable elements.

## II. 4 Example of hybrid evaluation algorithm

This hybrid GRL algorithm uses Integer values for the evaluation, and hence uses the importanceQuantitative attribute of GRLContainableElements and the new quantitativeVal attribute of containable elements initialized from the selected EvaluationStrategy. However, unlike the quantitative evaluation algorithm seen in clause II.2, the hybrid algorithm uses the qualitative contribution attribute of Contribution. A conversion table defines a mapping from qualitative contributions to integer values representing an equivalent quantitative contribution. Once this conversion is done, the rest of the algorithm is similar to the one in clause II.2.

This is an example where quantitative and quantitative values are mixed. In this example, the discrete scale for contributions has 7 levels instead of 201 levels ([-100..100]) as in the quantitative evaluation algorithm. This may improve the usability of models in domains where the weight of contributions cannot easily be determined with precision.

## II.4.1 Calculating hybrid evaluations for decomposition links

This corresponds to the CalculateDecompositions(element) step in Figure II.2. The algorithm is the same as the quantitative algorithm in clause II.2.1.

## II.4.2 Calculating hybrid evaluations for contribution links

This corresponds to the CalculateContributions(element, decompValue) step in Figure II.2. The algorithm first maps all qualitative contributions to quantitative contributions using Table 23. The content of this table reflects the relative ordering of qualitative contributions, however, the associated quantitative numbers could be defined otherwise (e.g., 67 instead of 75,33 instead of 25 , etc.). Once all values are integers, the algorithm seen for the quantitative evaluation in clause II.2.2 is used.

Table 23 - Quantitative contribution values for qualitative contributions

| Qualitative contribution | Quantitative contribution |
| :---: | :---: |
| Make | 100 |
| SomePositive | 75 |
| Help | 25 |
| Unknown | 0 |
| Hurt | -25 |
| SomeNegative | -75 |


| Qualitative contribution | Quantitative contribution |
| :---: | :---: |
| Break | -100 |

Figure II. 16 provides two examples with three contributions each (the initial decompValue is 0 ). Strategies initialize two elements in each example. The qualitative contributions are mapped to integer values according to Table 23. In (a), $((-40 \times 75)+(80 \times 100)+(0 \times-75)) / 100=50$. In (b), where the tolerance has been set to $10,((80 \times 75)+(70 \times 100)+(0 \times-75)) / 100=130$. However, as there is no fully satisfied weighted contribution, then $100-$ tolerance $=90$ is output.

(a) Contributions

(b) Contributions with a tolerance of 10

Figure II. 16 - Example: Hybrid evaluation of contribution links

## II.4.3 Calculating hybrid evaluations for dependency links

This corresponds to the CalculateDependencies(element, contribValue) step in Figure II.2. The algorithm is the same as the quantitative algorithm in clause II.2.3.

## II.4.4 Calculating hybrid evaluations for actors and the overall GRL model

This is the third and last step discussed in clause II.1.2. The algorithm is the same as the quantitative algorithm in clause II.2.4.

## II. 5 Calculating with exceeding expectations

The exceeds attribute is only propagated if all source elements exceed expectations in an AND context and if at least one source element exceeds expectations in an IOR or XOR context. An AND context is given for AND-type decomposition links, contribution links and dependency links. Furthermore, the calculation of evaluation values for actors and the overall GRL model also operates in an AND context for the purpose of this calculation. An IOR context is given for IORtype decomposition links and finally and XOR context is given for XOR-type decomposition links. In addition, a warning is generated if more than one source element exceeds expectations in an XOR context.

## Appendix III

## Examples of UCM path traversal mechanisms

(This appendix does not form an integral part of this Recommendation.)

## III. 1 Introduction

Implementers of a UCM path traversal mechanism may develop their own traversal algorithm, optimizing or extending various aspects of it according to their needs, as long as the resulting traversal mechanism complies with the requirements stated in clause 11.2.2. This appendix shows the traversal of a UCM scenario with the help of the example UCM model introduced in Figure 61. The chosen scenario, defined in clause 8.5.2, is "TL pin TCS success" (a successful call where the PIN for the TL feature is correctly entered and the call is not on the TCS list). Two different traversal mechanisms, a depth-first approach and a breadth-first approach, are illustrated, but the detailed behaviour of the traversal mechanism for each type of path node is beyond the scope of this appendix.

Each path node in the UCM model keeps track of how often it is visited (visitNumber) during the traversal of the current scenario and when it was visited with the help of a list of sequence numbers (sequenceNumbers). Initially, visitNumber is set to zero for all path nodes, sequenceNumbers is set to empty, and the value of all global variables is set to "undefined". Furthermore, the included and including scenarios are merged into a new, bigger scenario, and the variables are initialized according to the new scenario. The new scenario is the input to the actual traversal mechanism. It makes reference to the UCM model, which is hence provided as input indirectly. Finally, to safe-guard against infinite loops, the maximum number of visits to a path node is limited to maxVisits.

The results of the traversal mechanism are the values of the visitNumber and sequenceNumbers attributes of the path nodes in the UCM model and any warnings or errors that may have been issued by the traversal.

## III. 2 Example of depth-first UCM path traversal mechanism

A detailed description of a depth-first UCM path traversal mechanism is shown in Figure III.1. First, the start points of the scenario are assigned to the list of currently visited path nodes. Second, the traversal mechanism checks the preconditions of the scenario. Then, the traversal mechanism attempts to move to the next path nodes from the first element in the list of currently visited path nodes. The first element is removed from the list of currently visited path nodes.

If it is not possible to move to other path nodes from the first element, the first element is added to the list of blocked path nodes. The traversal mechanism then attempts to move to the next path nodes from the next element in the list of currently visited path nodes, and so on.

If it is possible to move to other path nodes, then these path nodes are added at the beginning of the list of enabled path nodes. The first path node in the list of enabled path nodes is then removed and added at the beginning of the list of currently visited path nodes. That is, the traversal mechanism is moving from the current path node to the next path node (or one of the next path nodes, if more than one are enabled from the current path node). Adding path nodes at the beginning of the list of enabled path nodes and at the beginning of the list of currently visited path nodes ensures a depthfirst approach.

This continues until either an exception is thrown or all enabled and current path nodes are exhausted. At the end of the traversal, the traversal mechanism checks whether the traversal did not get blocked somewhere, whether all postconditions are fulfilled and whether all end points of the scenario were reached.

```
Algorithm UCMPathTraversalMechanism-DepthFirst
Input scenario:ScenarioDef // the merged scenario
Output warningsAndErrors:List // warnings and errors issued during the traversal
List currentPathNodes = scenario.startPoints // currently visited path nodes
List enabledPathNodes \(=\varnothing\)
List blockedPathNodes \(=\varnothing\)
warningsAndErrors \(=\varnothing\)
List enabledPathNodesFromCurrent \(=\varnothing\)
PathNode current, nextCurrent
Integer sequenceNumber \(=1\)
for each startPoint:PathNode in currentPathNodes
        startPoint.visitNumber++
try
\{
        // if preconditions evaluate to false, stop traversal
        if (!EvaluatePreconditions(scenario))
            throw new TraversalException("warning: preconditions not satisfied")
        while (currentPathNodes.size() \(>0\) )
        \{
            // remove the first path node from the list of currently visited path nodes
            current \(=\) currentPathNodes.removeFirstElement()
            // find the enabled path nodes from the current path node based on
            // the path continuation criteria (PCC)
            enabledPathNodesFromCurrent \(=\) GetEnabledPathNodes(current)
            if (enabledPathNodesFromCurrent.size() \(==0\) )
            \{
                \(/ /\) the current path node is blocked \(\rightarrow\) continue with another current
                // path node in while loop
                blockedPathNodes.addAtEnd(current)
                // add sequence number to an end point of the scenario; to avoid
                // duplicate sequence numbers for end points, this is only done if the
                // number of sequence numbers does not exceed the number of visits
                if ((current is of type EndPoint) and
                (current.visitNumber > current.sequenceNumbers.size()))
                \{
                current.sequenceNumbers.add(sequenceNumber);
                                    sequenceNumber++;
                \}
            \}
            else
            \{
                // add found path nodes to the list of enabled path nodes
                enabledPathNodes.addAtBeginning(enabledPathNodesFromCurrent)
                // add sequence number to path node when the traversal is ready to
                // move on to the next path nodes
```

```
        current.sequenceNumbers.add(sequenceNumber);
        sequenceNumber++;
    }
    // if possible, move the first enabled path node to the current path nodes
        if (enabledPathNodes.size()>0)
        {
            // remove the first element from the list of enabled path nodes
            nextCurrent = enabledPathNodes.removeFirstElement()
            // not shown here but this is the place where any additional behaviour
                // for the nextCurrent path node is executed depending on the type
                // of path node; this may but is not limited to raising further traversal
                // exceptions, removing the same path node as nextCurrent from
                // currentPathNodes or blockedPathNodes in case of synchronization
                // (also adjusts the visitNumber accordingly), and resolving
                // component plug-in bindings
                // add the nextCurrent path node at the beginning of the list
                // of currently visited path nodes, so that the traversal continues
                // in a depth-first way
                currentPathNodes.addAtBeginning(nextCurrent)
                nextCurrent.visitNumber++
                if (nextCurrent.visitNumber > maxVisit)
                    throw new TraversalException("warning: infinite loop")
                    // since moving to a new path node may impact already blocked
                // path nodes, the blocked path nodes are added back to the currently
                // visited path nodes; however, they are added at the end of the list
                // to ensure a depth-first approach
                currentPathNodes.addAllAtEnd(blockedPathNodes)
                blockedPathNodes.clear()
    }
    }
}
catch (TraversalException te)
{
    warningsAndErrors.add(te.getMessage())
}
// verify successful completion of traversal
for each pn:PathNode in (currentPathNodes }\cup\mathrm{ blockedPathNodes)
    if (pn is not of type EndPoint)
                    warningsAndErrors.add("warning: traversal not at an end point")
    else if (!EvaluateCondition(pn.postcondition))
                    warningsAndErrors.add("error: postcondition of end point is false")
for each endPoint:PathNode in scenario.endPoints
    if (endPoint.visitNumber == 0)
                    warningsAndErrors.add("error: end point not reached")
for each postcondition:Condition in scenario.postconditions
        if (!EvaluateCondition(postcondition))
            warningsAndErrors.add("error: postcondition is false")
```

Figure III. 1 - Example: Depth-first UCM path traversal mechanism

Given the depth-first algorithm from Figure III.1, the "TL pin TCS success" scenario from the example in clause 8.5.2 is traversed as follows (variables are only shown if they changed; sequence numbers are shown in parentheses):

- $\quad$ currentPathNodes $=\{$ request, enterPIN $\}$, enabledPathNodes $=\varnothing$, blockedPathNodes $=\varnothing$, and preconditions of the scenario evaluate to true as none are specified.
- Move from request start point (1) to Originating stub: currentPathNodes $=$ \{Originating, enterPIN $\}$.
- Move from Originating stub (2) to start point of the Originating feature plug-in map: currentPathNodes $=\{$ start, enterPIN $\}$.
- Move from start point of the Originating feature plug-in map (3) to OrigFeatures stub: currentPathNodes $=\{$ OrigFeatures, enterPIN $\}$.
- Move from OrigFeatures stub (4) to start point of Teen Line plug-in map because this is the only plug-in map with a precondition evaluating to true (subTL): currentPathNodes = \{start, enterPIN\}.
- Move from start point (5) of Teen Line plug-in map to checkTime responsibility: currentPathNodes $=\{$ checkTime, enterPIN $\}$.
- Move from checkTime responsibility (6) to "TLactive?" OR-fork: currentPathNodes = \{"TLactive?" OR-fork, enterPIN\}.
- $\quad$ Move from "TLactive" OR-fork (7) to getPIN timer because the condition of this branch (TLactive) evaluates to true: currentPathNodes $=\{$ getPIN timer, enterPIN $\}$.
$-\quad$ getPIN timer is blocked: currentPathNodes $=\{$ enterPIN $\}$, blockedPathNodes $=\{$ getPIN timer\}.
$-\quad$ Move from enterPIN start point (8) to getPIN end point: currentPathNodes $=$ \{getPIN end point, getPIN timer $\}$, blockedPathNodes $=\varnothing$ (because all blockedPathNodes are moved to currentPathNodes if a new path node is added to the currentPathNodes).
- $\quad$ Move from getPIN end point (9) to getPIN connect: currentPathNodes $=\{$ getPIN connect, getPIN timer\}.
- Move from getPIN connect (10) to getPIN timer: currentPathNodes $=$ \{getPIN timer $\}$ (because the second timer path node in currentPathNodes was removed as a timer is a synchronization point of two paths).
- Move from getPIN timer (11) to "PINvalid?" OR-fork because the trigger path arrived: currentPathNodes = \{"PINvalid?" OR-fork $\}$.
- Move from "PINvalid?" OR-fork (12) to OR-join because the condition of this branch (PINvalid) evaluates to true: currentPathNodes $=\{$ OR-join $\}$.
- Move from OR-join (13) to success end point: currentPathNodes $=\{$ success $\}$.
- Move from success end point (14) to OUT1 out-path of OrigFeatures stub: currentPathNodes $=\{$ OrigFeatures $\}$.
- Move from OUT1 out-path of OrigFeatures stub (15) to sendRequest responsibility: currentPathNodes $=\{$ sendRequest $\}$.
- Move from sendRequest responsibility (16) to success end point: currentPathNodes $=$ \{success\}.
- Move from success end point (17) to OUT1 out-path of Originating stub: currentPathNodes $=\{$ Originating $\}$.
- Move from OUT1 out-path of Originating stub (18) to Terminating stub: currentPathNodes $=\{$ Terminating $\}$.
- Move from Terminating stub (19) to start point of Terminating Features plug-in map: currentPathNodes $=\{$ start $\}$,
- Move from start point of Terminating Features plug-in map (20) to TermFeatures stub: currentPathNodes $=\{$ TermFeatures $\}$.
- Move from TermFeatures stub (21) to start point of Terminating Call Screening plug-in map because this is the only plug-in map with a precondition evaluating to true (subTCS): currentPathNodes $=\{$ start $\}$.
- Move from start point of Terminating Call Screening plug-in map (22) to checkTCS responsibility: currentPathNodes $=\{$ checkTCS $\}$.
- Move from checkTCS responsibility (23) to "OnTCSList?" OR-fork: currentPathNodes = \{"OnTCSList?" OR-fork\}.
- Move from "OnTCSList?" OR-fork (24) to success end point because the condition of this branch (NotOnTCSList) evaluates to true: currentPathNodes $=\{$ success $\}$.
- Move from success end point (25) to OUT1 out-path of TermFeatures stub: currentPathNodes $=\{$ TermFeatures $\}$.
- Move from OUT1 out-path of TermFeatures stub (26) to "Busy?" OR-fork: currentPathNodes $=\{$ "Busy?" OR-fork $\}$.
- Move from "Busy?" OR-fork (27) to AND-fork because the condition of this branch (NotBusy) evaluates to true: currentPathNodes = \{AND-fork $\}$.
- Move from AND-fork (28) to ringTreatment responsibility, therefore exploring the first branch of the AND-fork in a depth-first way: currentPathNodes $=$ \{ringTreatment $\}$, enabledPathNodes $=\{$ ringingTreatment $\}$.
- Move from ringTreatment responsibility (29) to success end point: currentPathNodes = \{success\}.
- Move from success end point (30) to OUT1 out-path of Terminating stub: currentPathNodes $=\{$ Terminating $\}$.
- Move from OUT1 out-path of Terminating stub (31) to ring end point: currentPathNodes = \{ring\}.
- ring end point (32) is blocked because an end point of the scenario has been reached; therefore, explore the second branch of the AND-fork by moving from AND-fork to ringingTreatment responsibility: currentPathNodes $=$ \{ringingTreatment, ring\}, enabledPathNodes $=\varnothing$ (because the next enabledPathNode is taken from the list and moved to currentPathNodes; this also causes the blockedPathNode ring to be added back to the currentPathNodes).
- Move from ringingTreatment responsibility (33) to reportSuccess end point: currentPathNodes $=$ \{reportSuccess, ring $\}$.
- Move from reportSuccess end point (34) to OUT2 out-path of Terminating stub: currentPathNodes $=\{$ Terminating stub, ring $\}$.
- Move from OUT2 out-path of Terminating stub (35) to forwardSignal responsibility: currentPathNodes $=\{$ forwardSignal, ring $\}$.
- Move from forwardSignal responsibility (36) to ringing end point: currentPathNodes $=$ \{ringing, ring\}.
- ringing end point (37) is blocked because an end point of the scenario has been reached: currentPathNodes $=\{$ ring $\}$, blockedPathNodes $=$ \{ringing $\}$.
- try next path node in currentPathNodes; ring end point is blocked because an end point of the scenario has been reached: currentPathNodes $=\varnothing$, blockedPathNodes $=$ \{ringing, ring \}.
- while loop terminates.
- no warnings and errors are issued because a) only end points remain in currentPathNodes = $\varnothing$ and blockedPathNodes = \{ringing, ring $\},$ b) the end points of the scenario (ringing, ring) were visited, and c) all postconditions are fulfilled.

The flattened UCM model that results from the traversal of the "TL pin TCS success" scenario is shown in Figure III.2. The sequence number assigned by the traversal mechanism is indicated for each path node in parentheses. The gaps in the sequence numbers are explained by the fact that the flattened UCM model does not show all encountered path elements, i.e., path elements that are either a stub, a start point bound to an in-path of a stub by a plug-in binding or an end point bound to an out-path of a stub by a plug-in binding. Furthermore, the flattened UCM model shows resolved component plug-in bindings.


Figure III. 2 - Example: Flattened UCM model of depth-first traversed scenario

## III. 3 Example of breadth-first UCM path traversal mechanism

The difference between the depth-first approach shown in Figure III. 1 and the breadth-first approach shown in Figure III. 3 is that in one step the traversal mechanism attempts to move to the next path nodes not only from the first currently visited path node but from all currently visited path nodes. Therefore, the algorithm contains a sequence of two for loops. The first identifies all enabled path nodes given the set of currently visited path nodes. The second moves the traversal from the current path nodes to the enabled path nodes all at once. Adding path nodes at the end of the list of enabled path nodes and at the end of the list of currently visited path nodes ensures a breadth-first approach. The treatment of the start points of the scenario is also slightly different compared to the depth-first approach as the start points are added only one by one to the list of currently visited path nodes.

```
Algorithm UCMPathTraversalMechanism-BreadthFirst
Input scenario:ScenarioDef // the merged scenario
Output warningsAndErrors:List // warnings and errors issued during the traversal
List currentPathNodes = }\varnothing\quad// currently visited path nodes
List enabledPathNodes = \varnothing // path nodes that can be visited next
List blockedPathNodes = \varnothing // path nodes that cannot continue
warningAndErrors = \varnothing // initially empty
```

```
List enabledPathNodesFromCurrent = \varnothing // temporary variable
PathNode current, nextCurrent // temporary variables
Integer sequenceNumber = 1 // sequence variable keeps track of the
try
{
    // if preconditions evaluate to false, stop traversal
    if (!EvaluatePreconditions(scenario))
            throw new TraversalException("warning: preconditions not satisfied")
    // add the first start point to the list of currently visited path nodes if it exists
current = scenario.getNextStartPoint()
if (current != null)
{
    current.visitNumber++
    currentPathNodes.add(current)
}
while (currentPathNodes.size()>0)
{
    // go through all current path nodes at once to discover enabled path nodes
    for each pn:PathNode in currentPathNodes
    {
    // find the enabled path nodes from the current path node based on
    // the path continuation criteria (PCC)
            enabledPathNodesFromCurrent = GetEnabledPathNodes(pn)
            if (enabledPathNodesFromCurrent.size() == 0)
            {
                // the current path node is blocked }->\mathrm{ continue with another
                    // current path node in for loop
                    blockedPathNodes.addAtEnd(pn)
                    // add sequence number to an end point of the scenario; to
                    // avoid duplicate sequence numbers for end points, this is
                    // only done if the number of sequence numbers does not
                    // exceed the number of visits
                if ((pn is of type EndPoint) and
                (pn.visitNumber > pn.sequenceNumbers.size()))
                {
                                pn.sequenceNumbers.add(sequenceNumber);
                                    sequenceNumber++;
                }
            }
            else
            {
                    // add found path nodes to the list of enabled path nodes
                enabledPathNodes.addAtEnd(enabledPathNodesFromCurrent)
                    // add sequence number to path node when the traversal is
                    // ready to move on to the next path nodes
                    pn.sequenceNumbers.add(sequenceNumber);
                    sequenceNumber++;
            }
    }
    currentPathNodes.clear()
```

```
    // at once move all enabled path nodes to the current path nodes
        for each pn:PathNode in enabledPathNodes
    {
            // not shown here but this is the place where any additional
            // behaviour for the pn path node is executed depending on the type
            // of path node; for more information on what may occur here see
            // the depth-first algorithm
            // add the pn path node at the end of the list of currently visited path
            // nodes, so that the traversal continues in a breadth-first way
            currentPathNodes.addAtEnd(pn)
            pn.visitNumber++
            if (pn.visitNumber > maxVisit)
                                    throw new TraversalException("warning: infinite loop")
        }
        enabledPathNodes.clear();
        if (currentPathNodes.size()>0)
        {
            // since moving to new path nodes may impact already blocked
            // path nodes, the blocked path nodes are added back to the
            // currently visited path nodes; they are added at the end of the list
            // to ensure a breadth-first approach
            currentPathNodes.addAllAtEnd(blockedPathNodes)
            blockedPathNodes.clear()
        }
        else
        {
            // the traversal did not move to a new path node, therefore try the
            // next start point of the scenario
            current = scenario.getNextStartPoint()
            if (current != null)
            {
            current.visitNumber++
            currentPathNodes.add(current)
            }
        }
    }
}
catch (TraversalException te)
{
    warningsAndErrors.add(te.getMessage())
}
// verify successful completion of traversal
for each pn:PathNode in (currentPathNodes }\cup\mathrm{ blockedPathNodes)
    if (pn is not of type EndPoint)
                            warningsAndErrors.add("warning: traversal not at an end point")
    else if (!EvaluateCondition(pn.postcondition))
                            warningsAndErrors.add("error: postcondition of end point is false")
for each endPoint:PathNode in scenario.endPoints
    if (endPoint.visitNumber == 0)
                warningsAndErrors.add("error: end point not reached")
```

```
for each postcondition:Condition in scenario.postconditions ()
    if (!EvaluateCondition(postcondition))
            warningsAndErrors.add("error: postcondition is false")
return warningsAndErrors
```

Figure III. 3 - Example: Breadth-first UCM path traversal mechanism

Given the breadth-first algorithm from Figure III.3, the "TL pin TCS success" scenario from the example in clause 8.5.2 is traversed as follows (only the parts that changed from the depth-first approach are shown; sequence numbers are shown in parentheses):

- Preconditions of the scenario evaluate to true as none are specified, currentPathNodes $=$ \{request $\}$, enabledPathNodes $=\varnothing$, and blockedPathNodes $=\varnothing$.
- $\quad$ The same as the depth-first approach except enterPIN is not on the currentPathNodes list up to where getPIN timer is blocked: currentPathNodes $=\{$ enterPIN $\}$, blockedPathNodes $=$ \{getPIN timer\} (because the next start point of the scenario is added to currentPathNodes).
- $\quad$ The same as the depth-first approach until the AND-fork is reached; move from AND-fork (28) to ringTreatment responsibility and ringingTreatment responsibility, therefore exploring both branches of the AND-fork in a breadth-first way: currentPathNodes $=$ \{ringTreatment, ringingTreatment\}.
- Move from ringTreatment responsibility (29) to success end point and ringingTreatment responsibility (30) to reportSuccess end point: currentPathNodes $=$ \{success, reportSuccess $\}$.
- Move from success end point (31) to OUT1 out-path of Terminating stub and reportSuccess end point (32) to OUT2 out-path of Terminating stub: currentPathNodes $=\{$ Terminating, Terminating $\}$.
- Move from OUT1 out-path of Terminating stub (33) to ring end point and OUT2 out-path of Terminating stub (34) to forwardSignal responsibility: currentPathNodes $=$ \{ring, forwardSignal\}.
- $\quad$ ring end point (35) is blocked because an end point of the scenario has been reached; move from forwardSignal responsibility (36) to ringing end point: currentPathNodes $=$ \{ringing, ring\} (because all blockedPathNodes are moved to currentPathNodes if a new path node is added to the currentPathNodes).
- ringing end point (37) is blocked because an end point of the scenario has been reached; ring is blocked because an end point of the scenario has been reached: currentPathNodes = $\varnothing$, blockedPathNodes $=\{$ ringing, ring $\}$.
- while loop terminates.
- no warnings and errors are issued because a) only end points remain in currentPathNodes = $\varnothing$ and blockedPathNodes = \{ringing, ring $\}$, b) the end points of the scenario (ringing, ring) were visited, and c) all postconditions are fulfilled.
The flattened UCM model that results from the breadth-first traversal of the "TL pin TCS success" scenario is the same as the one for the depth-first traversal, as shown in Figure III.2, except for the sequence numbers of ring and ringingTreatment. The difference can be seen in Figure III. 4 for the path nodes that follow the AND-fork.


Figure III. 4 - Example: Flattened UCM model of breadth-first traversed scenario

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| Please fill in the following details |  |  |
| :---: | :---: | :---: |
| Character of change: | $\square$ error correction simplification modification | clarification extension decommission |
| Short summary of the change request. |  |  |
| Short justification of the change request. |  |  |
| Have you consulted other users? | $\square$ yes | $\square \mathrm{no}$ |
| Is this view shared in your organization? | $\square \text { yes }$ | $\square \mathrm{no}$ |
| How many users do you represent? | 1-5 | -6-10 |
|  | -11-100 | $\square$ over 100 |
| Your name and address |  |  |

Please attach further sheets with details if necessary
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## Bibliography

Many papers and theses related to URN, GRL and UCM are available online at the following location:

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[^0]:    i) Icon only

[^1]:    1 Though the handling of the exception raised by division is not defined in SDL-2010.

