

TELECOMMUNICATION STANDARDIZATION SECTOR OF ITU



SERIES T: TERMINALS FOR TELEMATIC SERVICES Still-image compression – JPEG 2000

# Information technology – JPEG 2000 image coding system: Conformance testing

Recommendation ITU-T T.803

1-0-1



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# INTERNATIONAL STANDARD ISO/IEC 15444-4 RECOMMENDATION ITU-T T.803

# Information technology – JPEG 2000 image coding system: Conformance testing

#### Summary

Rec. ITU-T T.800 | ISO/IEC 15444-1 describes an image compression system that allows great flexibility, not only for the compression of images but also for access into the codestream. The codestream provides a number of mechanisms for locating and extracting portions of the compressed image data for the purpose of retransmission, storage, display, or editing. This access allows storage and retrieval of compressed image data appropriate for a given application without decoding.

This Recommendation | International Standard provides the framework, concepts, and methodology for testing and the criteria to be achieved to claim compliance to Rec. ITU-T T.800 | ISO/IEC 15444-1 or Rec. ITU-T T.814 | ISO/IEC 15444-15. The objective of standardization in this field is to promote interoperability between JPEG 2000 encoders and decoders and to test these systems for compliance to this Recommendation | International Standard. Compliance testing is the testing of a candidate product for the existence of specific characteristics required by a standard. It involves testing the capabilities of an implementation against both the compliance requirements in the relevant standard and the statement of the implementation's capability.

The purpose of this Recommendation | International Standard is to define a common test methodology, to provide a framework for specifying abstract test suites (ATSs), and to define the procedures to be followed during compliance testing.

Any organization contemplating the use of test methods defined in this Recommendation | International Standard should carefully consider the constraints on their applicability. Compliance testing does not include robustness testing, acceptance testing, and performance testing.

NOTE – This Recommendation | International Standard is in its second edition from the International Telecommunication Union (ITU) and in its third edition from the International Organization for Standardization /International Electrotechnical Commission (ISO/IEC).

This second (ITU) | third edition (ISO/IEC) cancels and replaces the previous edition, which has been technically revised.

The main changes compared to the previous edition are as follows:

- addition of the criteria to be achieved to claim compliance with Rec. ITU-T 814 | ISO/IEC 15444-15.

This Recommendation | International Standard contains a normative electronic attachment with the codestreams used in the application of the procedures described herein that is available from ITU at <a href="https://www.itu.int/net/itu-t/sigdb/speimage/ImageForm-s.aspx?val=10100803">https://www.itu.int/net/itu-t/sigdb/speimage/ImageForm-s.aspx?val=10100803</a> or from ISO at <a href="https://standards.iso.org/iso-iec/15444/-4/ed-3/en">https://standards.iso.org/iso-iec/15444/-4/ed-3/en</a>.

#### Source

This document was prepared by Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 29, *Coding of audio, picture, multimedia and hypermedia information*, in collaboration with ITU-T Study Group 16. An identical text is published as ISO/IEC 15444-4:2021.

#### History

Edition	Recommendation	Approval	Study Group	Unique ID <sup>*</sup>
1.0	ITU-T T.803	2002-11-29	16	11.1002/1000/6179
1.1	ITU-T T.803 (2002) Cor. 1	2009-03-16	16	11.1002/1000/9720
2.0	ITU-T T.803 (V2)	2021-06-13	16	11.1002/1000/14667

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<sup>\*</sup> To access the Recommendation, type the URL http://handle.itu.int/ in the address field of your web browser, followed by the Recommendation's unique ID. For example, <u>http://handle.itu.int/11.1002/1000/11830-en</u>.

#### FOREWORD

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The World Telecommunication Standardization Assembly (WTSA), which meets every four years, establishes the topics for study by the ITU-T study groups which, in turn, produce Recommendations on these topics.

The approval of ITU-T Recommendations is covered by the procedure laid down in WTSA Resolution 1.

In some areas of information technology which fall within ITU-T's purview, the necessary standards are prepared on a collaborative basis with ISO and IEC.

#### NOTE

In this Recommendation, the expression "Administration" is used for conciseness to indicate both a telecommunication administration and a recognized operating agency.

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# INTERNATIONAL STANDARD ITU-T RECOMMENDATION

# Information technology – JPEG 2000 image coding system: Conformance testing

# 1 Scope

This Recommendation | International Standard specifies the framework, concepts, methodology for testing, and criteria to be achieved to claim compliance to Rec. ITU-T T.800 | ISO/IEC 15444-1 or Rec. ITU-T T.814 | ISO/IEC 15444-15. It provides a framework for specifying abstract test suites (ATSs) and for defining the procedures to be followed during compliance testing.

This Recommendation | International Standard:

- specifies compliance testing procedures for encoding and decoding using Rec. ITU-T T.800 | ISO/IEC 15444-1 and Rec. ITU-T T.814 | ISO/IEC 15444-15;
- specifies codestreams, decoded images, and error metrics to be used with the testing procedures;
- specifies ATSs;
- provides guidance for creating an encoder compliance test

This Recommendation | International Standard does not include the following tests:

Acceptance testing: the process of determining whether an implementation satisfies acceptance criteria and enables the user to determine whether or not to accept the implementation. This includes the planning and execution of several kinds of tests (e.g., functionality, quality, and speed performance testing) that demonstrate that the implementation satisfies the user requirements.

Performance testing: measures the performance characteristics of an implementation under test (IUT) such as its throughput and responsiveness, under various conditions.

Robustness testing: the process of determining how well an implementation processes data which contains errors.

# 2 References

The following Recommendations and International Standards contain provisions which, through reference in this text, constitute provisions of this Recommendation | International Standard. At the time of publication, the editions indicated were valid. All Recommendations and Standards are subject to revision, and parties to agreements based on this Recommendation | International Standard are encouraged to investigate the possibility of applying the most recent edition of the Recommendations and Standards listed below. Members of IEC and ISO maintain registers of currently valid International Standards. The Telecommunication Standardization Bureau of the ITU maintains a list of currently valid ITU-T Recommendations.

- Recommendation ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Information technology JPEG 2000 image coding system: Core coding system.
- Recommendation ITU-T T.814 (2019) | ISO/IEC 15444-15:2019, Information technology JPEG 2000 image coding system: High-throughput JPEG 2000.

# **3** Definitions

For the purposes of this Recommendation | International Standard, the terms and definitions given in Rec. ITU-T T.800 | ISO/IEC 15444-1, Rec. ITU-T T.814 | ISO/IEC 15444-15 and the following apply.

**3.1 abstract test suite (ATS)**: Generic compliance testing concepts and procedures for a given requirement.

**3.2** arithmetic coder: An entropy coder that converts variable length strings to variable length codes (encoding) and vice versa (decoding).

- **3.3 big endian**: An order of bytes with the most significant byte first.
- **3.4 bit**: A contraction of the term "binary digit"; a unit of information represented by a 0 or a 1.
- **3.5 bit-depth**: The number of bits required to represent an original component of an image.

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## ISO/IEC 15444-4:2021 (E)

**3.6 bit-plane**: A two-dimensional array of bits. In this Recommendation | International Standard, a bit-plane refers to all the bits of the same magnitude in all coefficients or samples. This could refer to a bit-plane in a component, tile- component, code-block, region of interest, or other.

**3.7 bitstream**: The actual sequence of bits resulting from the coding of a sequence of symbols. It does not include the markers or marker segments in the main and tile-part headers or the end of codestream marker. It does include any packet headers and in stream markers and marker segments not found within the main or tile-part headers.

**3.8 box**: A portion of the file format defined by a length and unique box type. Boxes of some types may contain other boxes.

**3.9 byte**: Eight bits.

**3.10** Cclass: Defines a level of performance for a decoder. Also provides guidance for encoders to produce codestreams that are easily decodable by compliant decoders.

**3.11** code-block: A rectangular grouping of coefficients from the same sub-band of a tile-component.

**3.12** coder: An embodiment of either an encoding or decoding process.

**3.13** codestream: A collection of one or more bitstreams and the main header, tile-part headers, and the end of codestream required for their decoding and expansion into image data. This is the image data in a compressed form with all of the signalling needed to decode. This does not include the file format.

**3.14 coding pass**: A procedure accessing coefficients in a code-block where the context and bit are determined. Typically, there are three different coding passes for each bit-plane, each coefficient will be represented in exactly one of the three passes. For an encoder a coding pass examines coefficients and augments a bitstream. For a decoder a coding pass reads a bitstream and updates coefficients.

**3.15** coefficient: The values that are the result of a transformation.

**3.16 component**: A two-dimensional array of samples. An image typically consists of several components (e.g., red, green, and blue).

**3.17 compressed image data**: Part or all of a codestream. Can also refer to a collection of bitstreams in part or all of a codestream.

**3.18 compliance**: Fulfilment of the specified requirements, as defined in this Recommendation | International Standard, for a given Profile and Cclass.

**3.19** compliance test procedure: The process of assessing compliance.

**3.20** context: Function of coefficients previously decoded and used to condition the decoding of the present coefficient.

**3.21** decoder: An embodiment of a decoding process, and optionally a colour transformation process.

**3.22** decoding process: A process that takes as its input all or part of a codestream and outputs all or part of a reconstructed image.

**3.23** decomposition level: A collection of wavelet sub-bands where each coefficient has the same spatial impact or span with respect to the source component samples. These include all sub-bands of the same two-dimensional sub-band decomposition. For the last decomposition level, the LL sub-band is also included.

**3.24 discrete wavelet transformation (DWT)**: A transformation that iteratively transforms one signal into two or more filtered and decimated signals corresponding to different frequency bands. This transformation operates on spatially discrete samples.

**3.25** encoder: An embodiment of an encoding process, and optionally a colour transformation process.

**3.26** encoding process: A process that takes as its input all or part of a source image data and outputs a codestream.

**3.27** executable test suite (ETS): Set of executable test cases that support the abstract test cases.

**3.28 file format**: A codestream and additional support data and information not explicitly required for the decoding of the codestream. Examples of such support data include text fields providing titling, security and historical information, data to support placement of multiple codestreams within a given data file, and data to support exchange between platforms or conversion to other file formats.

**3.29** fully decode: Applying Rec. ITU-T T.800 | ISO/IEC 15444-1 to produce an image from a codestream where all coded data in the codestream has been used to produce the image.

**3.30** guard bits: Additional most significant bits that have been added to sample data.

**3.31** header: Either a part of the codestream that contains only markers and marker segments (main header and tile part header) or the signalling part of a packet (packet header).

**3.32** image: The set of all components.

**3.33** image data: The component samples making up an image. Image data can refer to either the source image data or the reconstructed image data.

**3.34 implementation**: A realization of a specification.

**3.35** implementation compliance statement (ICS): Statement of specification options and the extent to which they have been implemented by an implementation under test.

**3.36** implementation under test (IUT): An implementation that is being evaluated for compliance.

**3.37 irreversible**: A transformation, progression, system, quantization, or other process that, due to systemic or quantization error, prevents lossless recovery.

**3.38** JP2 file: The name of a file in the file format described in this Recommendation | International Standard. Structurally, a JP2 file is a contiguous sequence of boxes.

**3.39** JPEG: Joint Photographic Experts Group – The joint ISO/ITU committee responsible for developing standards for continuous-tone still picture coding. It also refers to the standards produced by this committee: Rec. ITU-T T.81 | ISO/IEC 10918-1, Rec. ITU-T T.83 | ISO/IEC 10918-2, Rec. ITU-T T.84 | ISO/IEC 10918-3 and Rec. ITU-T T.87 | ISO/IEC 14495-1.

**3.40 LL sub-band**: The sub-band obtained by forward horizontal low-pass filtering and vertical low-pass filtering. This sub-band contributes to reconstruction with inverse vertical low-pass filtering and horizontal low-pass filtering.

**3.41** layer: A collection of compressed image data from coding passes of one, or more, code-blocks of a tile-component. Layers have an order for encoding and decoding that has to be preserved.

**3.42 lossless**: A descriptive term for the effect of the overall encoding and decoding processes in which the output of the decoding process is identical to the input to the encoding process. Distortion-free restoration can be assured. All of the coding processes or steps used for encoding and decoding are reversible.

**3.43 lossy**: A descriptive term for the effect of the overall encoding and decoding processes in which the output of the decoding process is not identical to the input to the encoding process. There is distortion (measured mathematically). At least one of the coding processes or steps used for encoding and decoding is irreversible.

**3.44** main header: A group of markers and marker segments at the beginning of the codestream that describe the image parameters and coding parameters that can apply to every tile and tile-component.

**3.45** marker: A two-byte code in which the first byte is hexadecimal FF (0xFF) and the second byte is a value between 1 (0x01) and hexadecimal FE (0xFE).

**3.46** marker segment: A marker and associated (not empty) set of parameters.

**3.47 packet**: A part of the codestream comprising a packet header and the compressed image data from one layer of one precinct of one resolution level of one tile-component.

**3.48** packet header: Portion of the packet that contains signalling necessary for decoding that packet.

**3.49** parser: Reads and identifies components of the codestream down to the code-block level.

**3.50** partial decoding: Producing an image from a subset of an entire codestream.

**3.51** precinct: A rectangular region of a transformed tile-component, within each resolution level, used for limiting the size of packets.

**3.52** precision: Number of bits allocated to a particular sample, coefficient, or other binary numerical representation.

**3.53 progression**: The order of a codestream where the decoding of each successive bit contributes to a "better" reconstruction of the image. What metrics make the reconstruction "better" is a function of the application. Some examples of progression are increasing resolution or improved sample fidelity.

**3.54** profile: A subset of technology, from Rec. ITU-T T.800 | ISO/IEC 15444-1, that meets the needs of a given application with limits on parameters within a selected technology. This is a codestream limitation.

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**3.55 quantization**: A method of reducing the precision of the individual coefficients to reduce the number of bits used to represent them. This is equivalent to division while compressing and multiplying while decompressing. Quantization can be achieved by an explicit operation with a given quantization value (scalar quantization) or by dropping (truncating) coding passes from the codestream.

**3.56** reconstructed image: An image that is the output of a decoder.

**3.57** reference grid: A regular rectangular array of points used to define other rectangular arrays of data. The reference grid is used to determine the number of samples in tile-components for example.

**3.58** region of interest (ROI): A collection of coefficients that are considered of particular relevance by some user-defined measure.

**3.59** reversible: A transformation, progression, system, or other process that does not suffer systemic or quantization error and therefore allows for lossless signal recovery.

**3.60** reversible filter: A particular filter pair used in the wavelet transformation which allows lossless compression.

**3.61** sample: One element in the two-dimensional array that comprises a component.

**3.62** selective arithmetic coding bypass: A coding style where some of the code-block passes are not coded by the arithmetic coder. Instead, the bits to be coded are appended directly to the bitstream without coding.

**3.63** shift: Multiplication or division of a number by powers of two. Division of an integer via shift implies truncation toward minus infinity of the non-integer portion.

**3.64** sign bit: A bit that indicates whether a number is positive (value 0) or negative (value 1).

**3.65** sign-magnitude notation: A binary representation of an integer where the distance from the origin is expressed with a positive number and the direction from the origin (positive or negative) is expressed with a separate single sign bit.

**3.66** source image: An image used as input to an encoder.

**3.67 sub-band**: A group of transform coefficients resulting from the same sequence of low-pass and high-pass filtering operations, both vertically and horizontally.

**3.68 testing**: The process of evaluating compliance.

**3.69** tile: A rectangular array of points on the reference grid, registered with an offset from the reference grid origin and defined by a width and height.

**3.70 tile-component**: All the samples of a given component in a tile.

**3.71 tile-part**: A portion of the codestream with compressed image data for some, or all, of a tile. The tile-part may include one or more packets that make up the coded tile.

**3.72 tile-part header**: A group of markers and marker segments at the beginning of each tile-part in the codestream that describe the tile-part coding parameters.

**3.73 transformation**: A mathematical mapping from one signal space to another.

**3.74 transform coefficient**: A value that is the result of a transformation.

# 4 Abbreviations and symbols

#### 4.1 Abbreviations

For the purposes of this Recommendation | International Standard, the abbreviations given in Rec. ITU-T T.800 | ISO/IEC 15444-1 and the following apply.

ATS	Abstract Test Suite
BSET	subset of the ETS consisting of HTJ2K test codestreams that differ only by $B_{MAGB}$ value
ETS	Executable Test Suite
HT	High Throughput
HTJ2K	High Throughput JPEG 2000
ICC	International Colour Consortium

ICS	Implementation Compliance Statement
ICT	Irreversible Component Transform
IDWT	Inverse Discrete Wavelet Transformation
IEC	International Electrotechnical Commission
ISO	International Organization for Standardization
ITU	International Telecommunication Union
ITU-T	International Telecommunication Union – Telecommunication Standardization Sector
IUT	Implementation Under Test
J2K	JPEG 2000 <sup>1</sup>
JPEG	Joint Photographic Experts Group
MAGB	Magnitude Bound
MSE	Mean Squared Error
RCT	Reversible Component Transform
ROI	Region Of Interest
sRGB	standard Red–Green–Blue
TCS	Test Codestream

# 4.2 Symbols

For the purposes of this Recommendation | International Standard, the following symbols apply.

0x	Denotes a hexadecimal number		
В	Bit-depth precision for reversible 5-3		
$B_{\rm MAGB}$	Magnitude bound parameter for an HTJ2K codestream		
С	Component guaranteed to be decoded		
CAP	Capabilities		
COC	Coding style Component		
COD	Coding style Default		
COM	Comment		
CPF	Corresponding Profile		
CRG	Component Registration		
EPH	End of Packet Header		
EOC	End of Codestream		
Н	image Height guarantee		
L	Layer guarantee		
$L_{ m body}$	code data buffering guarantee		
М	decoded bit-plane guarantee		
$M_{ m MAGB}$	Magnitude bound decoding guarantee		
$N_{ m cb}$	code-block parsing guarantee		
$N_{ m comp}$	component parsing guarantee		
Р	irreversible 9-7 Precision guarantee		
PLM	Packet Length, Main header marker		
PLT	Packet Length, Tile-part header marker		
POC	Progression Order Change marker		
PPM	Packed Packet headers, Main header marker		

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<sup>&</sup>lt;sup>1</sup> As defined in Rec. ITU-T T.800 | ISO/IEC 15444-1.

PPT	Packed Packet headers, Tile-part header marker		
PRF	Profile marker		
QCC	Quantization Component marker		
QCD	Quantization Default marker		
RGN	Region of interest marker		
SIZ	image and tile Size marker		
SOC	Start Of Codestream marker		
SOP	Start Of Packet marker		
SOD	Start Of Data marker		
SOT	Start Of Tile-part marker		
$T_L$	Transform level guarantee		
TLM	Tile-part Lengths marker		
W	image Width guarantee		

# 5 Conventions

The compliance files including test codestreams, JP2 files, JPH files, reference decoded images, and descriptive files are supplied in the form of a compressed file. File locations given in this Recommendation | International Standard are expressed relative to the top level of the directory tree. A Unix style file structure and delimiters are assumed.

This Recommendation | International Standard contains instructions for the use of these files. No support can be provided by ISO | ITU-T beyond that offered in this Recommendation | International Standard.

# 6 General description

Perhaps the most distinctive feature of JPEG 2000 is its emphasis on and support for scalability. An existing codestream may be accessed at a reduced resolution, at a reduced quality (higher compression), at a reduced number of components, and even over a reduced spatial region. Moreover, this Recommendation | International Standard supports a rich family of information progression sequences whereby the information may be reordered without introducing additional distortion. This enables a single compressed codestream to serve the needs of a diverse range of applications.

This Recommendation | International Standard also covers compliance for implementations of Rec. ITU-T T.814 | ISO/IEC 15444-15. To avoid confusion, the terms JPEG 2000 (J2K) and high throughput JPEG 2000 (HTJ2K) are used in this Recommendation | International Standard, where necessary, to differentiate between JPEG 2000 codestreams that conform to Rec. ITU-T T.800 | ISO/IEC 15444-1 and those that conform to Rec. ITU-T T.814 | ISO/IEC 15444-15, respectively. J2K codestreams can be reversibly transcoded to HTJ2K and vice-versa, without any loss in information. This property allows compliance for HTJ2K and J2K implementations to be treated in a very similar manner. In fact, all of the HTJ2K test codestreams and JPH files are provided zipped with this Specification at <a href="https://www.itu.int/net/itu-t/sigdb/speimage/ImageForm-s.aspx?val=10100803">https://www.itu.int/net/itu-t/sigdb/speimage/ImageForm-s.aspx?val=10100803</a> or at <a href="https://standards.iso.org/iso-iec/15444/-4/ed-3/en">https://standards.iso.org/iso-iec/15444/-4/ed-3/en</a> have been obtained by reversibly transcoding corresponding J2K test codestreams and JP2 files available at the same location. The decoded output from an HTJ2K decoder is expected to conform to the same guidelines as the decoded output from a J2K decoder, processing the corresponding J2K codestream or JP2 file.

From the perspective of compliance, the main distinction between HTJ2K and J2K is that an HTJ2K codestream does not generally possess the same quality scalability attributes as the corresponding J2K codestream. An HTJ2K decoder cannot choose to stop the decoding of a code-block bit-stream at an arbitrary bit-plane, providing a fine grain trade-off between implementation complexity and reconstructed image quality. Considering this difference between HTJ2K and J2K, this Recommendation | International Standard provides multiple transcodings of J2K test codestreams, allowing implementations to be tested at multiple quality operating points.

JPEG 2000 encoders may employ only a fraction of the features supported by Rec. ITU-T T.800 | ISO/IEC 15444-1. Likewise, some decoders will not support all the features supported by this Recommendation | International Standard. It is impossible to provide test cases for all possible combinations of tools that an encoder or decoder may choose to implement. This Recommendation | International Standard provides abstract test procedures for JPEG 2000 encoders and decoders. A developer may designate the features that have been implemented and determine a set of test cases that applies to those features. For the greatest level of interoperability, there are explicit decoder test procedures. These tests are run for a particular Profile (defined in Rec. ITU-T T.800 | ISO/IEC 15444-1) and a particular compliance class defined herein. Passing the explicit tests allows a decoder to be labelled "Profile-x Cclass-y Compliant".

Even with the explicit decoder tests, it is expected that some decoders may not decode all of the information that was originally incorporated into the codestream by an encoder. This is the only way to truly exploit the scalability of Rec ITU-T T.800 | ISO/IEC 15444-1. It is desirable to allow decoders to ignore information that is not of interest to their target application. While this flexibility is one of the strengths of JPEG 2000, it also renders inappropriate some of the conventional compliance testing methodologies that have been applied to non-scalable or less scalable compression standards.

Many approaches to compliance could be taken. At one extreme, decoder implementations might be allowed to decode any portion of the codestream that is of interest to them. At the other extreme, they might be required to correctly decode the entire codestream. The first approach offers content providers and consumers no guarantee concerning the quality of the resulting imagery. The other approach is also inappropriate because it offers the implementer no guarantee concerning the resources that may be required for decoding, and in many cases the codestream may contain information that is of no interest to the application.

This Recommendation | International Standard describes compliance for JPEG 2000 decoders in terms of a system of guarantees. These guarantees serve to discourage encoders from producing codestreams that will be exceedingly difficult or impossible for a decoder to process, to encourage decoders to provide quality images from any reasonable codestream, and to encourage use of the flexibility and scalability of JPEG 2000 codestreams.

*Profiles* define a subset of technology, from Rec. ITU-T T.800 | ISO/IEC 15444-1, that meets the needs of a given application with limits on parameters within a selected technology. Profiles limit bitstreams. Decoders define capabilities for all bitstreams within a profile. Encoders achieve quality guarantees for particular decoders by encoding bitstreams which meet a particular profile definition. *Compliance classes* (Cclass) define guarantees of a given level of image quality for a decoder and guidance for encoders to produce codestreams that are easily decodable by compliant decoders.

Essentially, if a JPEG 2000 encoder produces a codestream with certain properties, then a decoder of a certain Cclass will be capable of producing an image with some defined level of quality. The compliance class of a decoder is based solely on passing certain tests. The tests in this Recommendation | International Standard are designed to require a compliant decoder to be capable of decoding all codestreams with a set of defined properties.

# 6.1 **Profiles, derived sets and compliance classes**

Two profiles are defined in Rec. ITU-T T.800 | ISO/IEC 15444-1, labelled Profile-0 and Profile-1. The two profiles describe bitstream constraints for a Rec. ITU-T T.800 | ISO/IEC 15444-1 encoder. Profile-0 is a subset of Profile-1. Hence, any implementation capable of decoding Profile-1 test streams shall be capable of passing the compliance tests for Profile-0 of the same Cclass.

For HTJ2K codestreams, no profiles are defined in Rec. ITU-T T.814 | ISO/IEC 15444-15. All of the HTJ2K test codestreams and JPH files are provided zipped with this specification at <u>https://www.itu.int/net/itu-t/sigdb/speimage/ImageForm-s.aspx?val=10100803</u> or at <u>https://standards.iso.org/iso-iec/15444/-4/ed-3/en</u> include a CPF marker segment, that indicates the profile of the J2K codestream from which they were transcoded.

Rec. ITU-T T.814 | ISO/IEC 15444-15 defines constrained codestream sets that partition the space of all HTJ2K codestreams as a function of the capabilities, complexity or throughput of an HTJ2K decoder. One important example is the HTONLY set, which consists of HTJ2K codestreams that use only HT code-blocks. Codestreams that do not belong to the HTONLY set may use the J2K block coding algorithm for some or all of their code-blocks. In particular, the MIXED set of HTJ2K codestreams involve tile-components that use a mixture of HT and J2K code-blocks.

This International Recommendation | Standard provides test procedures and codestreams for HTJ2K decoders that are derived from the same compliance points as those for J2K decoders, by means of a transcoding step. The derived HTJ2K test codestreams are classified into the four derived sets defined in Table-1. Each of the two DS0 sets is a subset of the corresponding DS1 set, being derived from J2K profiles 0 and 1, respectively. Also, the HT derived sets are each subsets of the corresponding HM set.

NOTE – There are many other ways to construct and classify test codestreams for HTJ2K decoders that can be useful for specific applications. The principles embodied by this Recommendation | International Standard can be readily extended to the testing of HTJ2K decoders whose capabilities are classified in a different manner to that set out in Table 1.

Annex A defines three compliance classes (Cclass) for J2K codestreams, and three derived compliance classes for HTJ2K codestreams. These Cclasses define levels of image quality guarantees for decoders and guidance for encoders to produce codestreams that are easily decodable by compliant decoders. Cclass guarantees increase with the increasing Cclass numbers.

Derived set	Definition		
DS0_HT	HTJ2K codestreams with a CPF marker segment that identifies Profile-0 as the compatible profile, that belong to the HTONLY set of HTJ2K codestreams		
DS1_HT	HTJ2K codestreams with a CPF marker segment that identifies Profile-1 as the compatible profile, that belong to the HTONLY set of HTJ2K codestreams		
DS0_HM	HTJ2K codestreams with a CPF marker segment that identifies Profile-0 as the compatible profile, that are not HTONLY		
DS1_HM	HTJ2K codestreams with a CPF marker segment that identifies Profile-1 as the compatible profile, that are not HTONLY		

Table 1 – HTJ2K derived sets employed in this Recommendation | International Standard

# 6.2 Decoders

Compliant implementations of the decoder are not required to decode each codestream in its entirety, but are required to guarantee performance up to one Cclass for some profile. These guarantees are directly connected with the resources required by a decoder. They may be interpreted as a contract by the implementation to recover, decode and transform a well-defined minimal subset of the information contained in any codestream. This contract is described in a manner that scales with the Cclass. The contract may be exploited by content providers to optimize recovered image quality over a family of decoders according to their known Cclasses.

For a given profile, decoder guarantees are expressed in terms of several parameters including decoded image dimensions, H (height) and W (width), and a number of components, C, for the Cclass. The parameters are not dependent on the codestream that is actually being decoded. Annex A defines the parameters and the classes for which compliance claims may be made and tested. Annex E allows a decoder to define guarantees that are greater than that of the defined Cclasses.

A significant characteristic of an HTJ2K decoder is the largest value  $M_{MAGB}$  of the magnitude bound (MAGB) parameter  $B_{MAGB}$  that it supports, as defined in Rec. ITU-T T.814 | ISO/IEC 15444-15. Every HTJ2K codestream advertises a value for this parameter that lies in the range 8 to 74, encoded within its capabilities (CAP) marker segment. For HTJ2K decoders, the  $M_{MAGB}$  value is one of the guarantees that forms part of the implementation's contract. The decoder is not required to exhibit any particular defined behaviour when presented with a codestream for which  $B_{MAGB}$  exceeds the decoder's  $M_{MAGB}$  value. However, for HTJ2K codestreams whose  $B_{MAGB}$  value is less than or equal to  $M_{MAGB}$ , the decoder is expected to exhibit decoded image quality that conforms to the other aspects of the Cclass to which conformance is advertised.

# 6.3 Encoders and codestreams

Rec. ITU-T T.800 | ISO/IEC 15444-1 describes two restricted profiles (Profile-0 and Profile-1) that provide guarantees concerning the parameter ranges and information placement in a codestream. Since codestream limitations may also adversely affect scalability and interoperability, the smallest possible number of limitations are imposed by these profiles. Annex F allows an encoder to define guarantees of codestreams produced by the encoder.

Encoders may also be required to conform to certain guarantees in particular application areas of interest that are outside the scope of this Recommendation | International Standard. As an example, a medical image application may require the encoder to guarantee lossless performance up to a given image size.

# 6.4 Implementation compliance statement

Evaluation of compliance for a particular implementation may require a statement of the options that have been implemented. This will allow the implementation to be tested for compliance against only the relevant requirements.

Such a statement is called an implementation compliance statement (ICS). For J2K implementations, this statement shall contain only options within the framework of requirements specified in Rec. ITU-T T.800 | ISO/IEC 15444-1. For HTJ2K implementations, the ICS for decoders shall also include the  $M_{MAGB}$  limit on supported  $B_{MAGB}$  values. An HTJ2K decoder may provide separate  $M_{MAGB}$  guarantees for codestreams that use irreversible spatial transformations and those that use only reversible spatial transformations, as identified by the HTIRV flag (bit-5) of Ccap<sup>15</sup> in the CAP marker segment, as specified in Rec. ITU-T T.814 | ISO/IEC 15444-15.

Examples of ICSs can be found in Annex E for decoders and Annex F for encoders.

# 6.5 Abstract test suites

The ATSs define general tests for sub-systems of Rec. ITU-T T.800 | ISO/IEC 15444-1. Each ATS includes the following parts and are defined in Annex C.

- a) Test purpose: What the test requirement is.
- b) Test Method: The procedures to be followed for the given ATS.
- c) Reference: The portion of the ISO document that is being tested by the given ATS.

# 6.6 Encoder compliance testing procedure

The informative procedures for testing encoders are defined in Annex D and are complemented by the information that is gathered from a completed ICS (Annex F). These procedures are informative since Rec. ITU-T T.800 | ISO/IEC 15444-1 and Rec. ITU-T T.814 | ISO/IEC 15444-15 are both codestream and decoder compliance standards.

# 6.7 Decoder compliance testing procedure

The procedures for testing decoders are defined in Annex B and use the executable test suites (ETSs) that are defined in Annex C. These procedures and ETSs will allow an IUT to evaluate compliance to each profile and Cclass.

# 7 Copyright

These compliance files were originally developed by the parties indicated in the file **COPYRIGHT.** In particular, the original developers of these files and their respective companies, the editors and their companies, and International Organization for Standardization/International Electrotechnical Commission (ISO/IEC) have disclaimed liability for any proposed use of these files or modifications thereof.

# 8 Compliance files availability and updates

The compliance test images released with this Recommendation | International Standard are the latest tested versions available at the date at which the text was approved.

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# Annex A

# **Decoder compliance classes**

(This annex does not form an integral part of this Recommendation | International Standard.)

This annex describes the compliance classes for J2K and HTJ2K codestreams. The classes and parameters are described to provide assistance in designing a compliant decoder. Actual compliance is determined by the testing procedures in Annex B and the codestreams, reference images, and tolerances in Annex C. The definitions of compliance classes in this annex are useful for the design of an encoder. The parameters may correspond to particular parts of an implementation.

#### A.1 Compliance class parameter definitions

Because of resource limitations, implementations of JPEG 2000 sometimes will not be able to decode a codestream in its entirety. This subclause defines various parameters for which a specific implementation might be limited. A set of values for every parameter defines a compliance class. Thus an implementation of a particular Cclass shall guarantee resources as defined in all the parameters.

#### A.1.1 Profile: codestream guarantees

Profiles provide limits on the codestream syntax parameters. Two profiles are defined in Rec. ITU-T T.800 | ISO/IEC 15444-1, labelled Profile-0 and Profile-1. Other profiles exist, including one that allows unrestricted use of capabilities in Rec. ITU-T T.800 | ISO/IEC 15444-1. Testing arbitrary codestreams requires almost unlimited computational resources. Thus, all defined tests for J2K decoders pertain to a specific profile and will only use codestreams compliant with that profile. The defined tests for HTJ2K decoders are based on the derived sets defined in Table 1 that are obtained only from J2K codestreams conforming to Profile-0 and Profile-1.

### A.1.2 *H*, *W*, *C*: Image size guarantees

Decoders may be limited in the size of the output image that they are capable of producing, due to physical display characteristics or memory limitations. H, W, and C are respectively the largest height, width, and number of components that are required to be decoded for a decoder in the compliance class. Codestreams containing more samples than the H, W, and C for a Cclass shall still be decoded. Compliance for these codestreams is based on the ability to decode at the largest resolution smaller than or equal to that specified by the decoder's Cclass. This largest resolution size is determined by the component in the codestream with the smallest sampling factor relative to the reference grid. For images with different subsampling on different components, the smallest subsampling values are used to determine the region that is decoded, and the corresponding region of each component shall be decoded.

For Cclass 0, a decoder shall be able to decode the largest resolution level that is no larger than 128 samples in either image dimension and that requires no more than three levels of inverse discrete wavelet transformation (IDWT) processing. For Cclass 1, a decoder shall be able to decode the largest resolution level that is no larger than 2048 samples in either image dimension and that requires no more than seven levels of IDWT processing.

Formulae A-1 and A-2 express these restrictions for a single tile image. The minimization with respect to  $XR_{SIZ}$  over all components selects the component with the smallest sampling relative to the reference grid. The maximum  $r \leq T_L$  that satisfies both conditions is the number of levels that shall be decoded.  $T_L$  is defined in A.1.9.  $X_{SIZ}$ ,  $Y_{SIZ}$ ,  $XO_{SIZ}$ , and  $YO_{SIZ}$  come from the image and tile size (SIZ) marker segment defined in Rec. ITU-T T.800 | ISO/IEC 15444-1.  $N_L$  is the number of wavelet transform levels used in the image as specified in the coding style default (COD) or coding style component (COC) marker segments. (If a non-negative *r* does not exist to satisfy both conditions, then no decoder obligation exists.)

$$\left[\frac{X_{\text{SIZ}}}{\frac{\min}{\forall i} (XR_{\text{SIZ}}(i)) \cdot 2^{N_L - r}}\right] - \left[\frac{XO_{\text{SIZ}}}{\frac{\min}{\forall i} (XR_{\text{SIZ}}(i)) \cdot 2^{N_L - r}}\right] \le W$$
(A-1)

$$\left[\frac{Y_{\text{SIZ}}}{\frac{\min}{\forall i} (YR_{\text{SIZ}}(i)) \cdot 2^{N_{L}-r}}\right] - \left[\frac{YO_{\text{SIZ}}}{\frac{\min}{\forall i} (YR_{\text{SIZ}}(i)) \cdot 2^{N_{L}-r}}\right] \le H$$
(A-2)

For images with multiple tiles, a tile with top left and bottom right coordinates  $(tx_0, ty_0)$ ,  $(tx_1, ty_1)$  on the reference grid, and number of decompositions levels  $N_L$ , is decodable at resolution  $r \le T_L$  subject to the restrictions in Formulae A-3 and A-4.

#### ISO/IEC 15444-4:2021 (E)

$$\left| \frac{tx_1}{\frac{\min}{\forall i} (XR_{\text{SIZ}}(i)) \cdot 2^{N_L - r}} \right| - \left| \frac{tx_0}{\frac{\min}{\forall i} (XR_{\text{SIZ}}(i)) \cdot 2^{N_L - r}} \right| \le W$$
(A-3)

$$\left[\frac{ty_1}{\frac{\min}{\forall i} (YR_{\text{SIZ}}(i)) \cdot 2^{N_L - r}}\right] - \left[\frac{ty_0}{\frac{\min}{\forall i} (YR_{\text{SIZ}}(i)) \cdot 2^{N_L - r}}\right] \le H$$
(A-4)

See Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex B for an exact definition of  $tx_0$ ,  $ty_0$ ,  $tx_1$ , and  $ty_1$  including limitations to SIZ marker parameters. If a non-negative *r* does not exist to satisfy both conditions for any tile, then no decoder obligation exists. Otherwise, one or more tiles shall be decoded, where the total decoded width need not exceed *W* and the total decoded height need not exceed *H*. There is no obligation to decode partial tile areas.

NOTE – For the purposes of compliance testing, all possible combinations of these parameters will NOT be provided as test reference images. It may be necessary for the IUT to provide the ability to set the decode resolution and decoded tile position. However, some post processing will be permitted as described in Annex B.

A decoder claiming compliance at some Cclass with image dimensions  $H \times W$  and number of components *C* shall also be capable of decoding any image with width less than or equal to *W*, height less than or equal to *H*, and number of components less than or equal to *C*. For each Cclass, the minimum values for *H*, *W*, and *C* are specified in Table A.1.

#### A.1.3 *N*<sub>cb</sub>: Code-block parsing guarantee

Decoders need not decode compressed bits that cannot be recovered from the codestream due to excessive parser memory being required. An upper bound for the parser state memory required to reach a point x in the codestream may be determined from the total number of code-blocks for which state information has to be kept, the total number of precincts for which a packet has been encountered, and the total number of components of the codestream.

At position x in the codestream,  $N_{cb}(x)$  is defined as the total number of code-blocks in every precinct where the first header byte of at least one received packet for the precinct lies outside the range 0x80 to 0x8F.

Decoders are permitted to stop parsing the codestream at the point, x, once  $N_{cb}(x) > N_{cb}$ , where  $N_{cb}$  is defined for each compliance class. Decoders are permitted to stop parsing the codestream once packet headers with more than  $N_{cb}$  code-blocks have been encountered. Code-blocks in packets prior to the packet with the  $N_{cb}$ th code-block shall be decoded up to the limits of other parameters in the compliance class.

NOTE – Packets headers with the first bit set to 0 are defined as empty. The above definition adds all the code-blocks associated with such precincts to  $N_{cb}$  for these empty packets because a decoder requires more memory for these packets than for packets starting in the listed range.

#### A.1.4 *N*<sub>comp</sub>: Component parsing guarantee

Decoders could be required to buffer information about each component for many thousands of components just to parse a codestream. To limit the required memory, decoders are permitted to stop parsing the codestream at a point, x, once the following condition is reached:

$$C_{\max}(x) > N_{\text{comp}}$$

where  $C_{\max}(x)$  is defined as the largest component index for which a packet has been encountered up to point x regardless of the emptiness or the relevance of the packet.

Code-blocks in packets prior to the above stop condition shall be decoded up to the limits of other parameters in the compliance class.

#### A.1.5 *L*<sub>body</sub>: Coded data buffering guarantee

The parser state memory described in A.1.3 is required to parse packets regardless of whether their code-blocks are relevant to the dimensions and number of components for which compliance is being claimed. For those code-blocks that are relevant, the implementation is required to store the recovered packet bytes. These are the code bytes that are processed by the block decoder (Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annexes C and D).

After a given number of decoded codestream bytes, x, the quantity  $L_{body}(x)$  is defined as the total number of packet bytes that have been encountered so far in packets whose precincts are relevant to the dimensions and components for which compliance is being claimed. Although some implementations may be able to decode some of these packet bytes incrementally,  $L_{body}$  represents an upper bound on the number of packet bytes that have to be stored by the decoder prior to decoding. If the number of relevant packet bytes exceeds  $L_{body}$ , then the IUT is allowed to stop reading the codestream and to decode the code-blocks obtained up to the limits of other parameters in the compliance class.

#### ISO/IEC 15444-4:2021 (E)

#### A.1.6 *M*: Decoded bit-plane guarantee

The decoder shall decode all of the packet bytes recovered by the parser in accordance with the requirements described above. This obligation is limited to the most significant M bit-planes of each code-block. Specifically, the block decoder shall correctly decode the first  $3(M - P_b) - 2$  coding passes, if available, of any relevant code-block, b, where  $P_b$  is the number of 0-valued most significant bit-planes signalled in the relevant packet header as described in Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex B. The decoder is free to decode any number of additional coding passes for any code- block. Codestreams with large values for the number of guard bits will have a larger number of 0-valued most significant bit-planes, and thus a decoder of any given Cclass will decode fewer useful bit-planes. Likewise, codestreams with large values for the shift in the region of interest (RGN) marker segment may have fewer bit-planes decoded.

## A.1.7 *P*: 9-7I precision guarantee

Codestreams that make use of the irreversible 9-7 discrete wavelet transform will require dequantization, the 9-7 inverse discrete wavelet transform, and potentially the inverse irreversible component transform (ICT). The precision values for the wavelet transform are chosen to allow high quality imagery at various bit-depths, e.g., 8, 12, or 16 bits per sample. However, for Cclass 0, the accuracy of the 9-7I filter required is set such that it is possible to be compliant by decoding and inverse quantizing and performing a 5-3I (irreversible 5-3) inverse wavelet transform. This allows lower cost decoders to be used for the lowest compliance class only. For higher compliance classes, using the 5-3 filter in place of the 9-7 filter will not be sufficient to pass the compliance tests.

Using the 5-3 inverse wavelet transform to decode imagery compressed with the 9-7 wavelet introduces signal dependent noise. For example, errors are highest around edges in the imagery. Because induced errors are signal dependent, there is no "precision" specified for the implementation of the wavelet transform for Cclass 0. Instead, the bounds on accuracy of the 9-7 transform have been set for each Cclass 0 reference image to allow an implementation to use the 5-3I inverse wavelet filter. Using the 5-3I inverse wavelet transform instead of a 9-7I filter does not relieve a decoder of the requirement to perform inverse quantization.

For compliance classes other than Cclass 0, the precision guarantee in Table A.1 refers to the implementation's minimum word size that will achieve the target mean squared error (MSE) values for the test streams.

To facilitate end-to-end testing for compliance, dequantization may be performed using mid-point rounding. That is, the value of *r* in Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Formula G-6 can be r = 1/2. Implementations under test may provide the option of using different values for the reconstruction parameter, *r*; however, if the value r = 1/2 is supported and employed for compliance testing this will typically increase the ease of passing.

#### A.1.8 *B*: 5-3R precision guarantee

A decoder is expected to implement the reversible 5-3R IDWT exactly, for component bit-depths of *B* bits/sample or less, as specified in the SIZ marker segment (see Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex A). If a codestream employs the reversible component transform (RCT) and the IUT claims compliance at three or more components, it shall be able to perform both the 5-3R IDWT and the inverse RCT exactly for bit-depths of *B* bits/sample or less.

# A.1.9 *T<sub>L</sub>*: Transform level guarantee

For each Cclass, a decoder is expected to be able to synthesize a minimum number of levels of the IDWT,  $T_L$ . For codestreams that contain more than  $T_L$  decomposition levels, the decoded image from a compliant decoder in a given Cclass may include only the top resolution levels.

#### A.1.10 *L*: Layer guarantee

For each Cclass, a decoder is expected to decode a minimum number of layers, L, in a codestream. For codestreams that contain more than L layers, the decoded image from a compliant decode in a given Cclass may include only the top L layers. This relieves compliant decoders from the burden of decoding inefficient codestreams with an excessive number of layers.

#### A.1.11 Progressions

For all Cclasses, a decoder is expected to decode all possible progressions as specified in the COD marker segment. If a progression order change (POC) marker segment is used in a codestream, a Cclass 0 decoder shall decode packets associated with the first progression order specified in the POC marker segment for that tile. Additional packets in the tile may be skipped. For all other Cclasses, packets may be skipped only due to other limitations (e.g.,  $N_{cb}$  and  $L_{body}$ ) and there is no explicit limitation on the number of POCs that may occur.

## A.1.12 Tile-parts

Codestreams may contain multiple tile-parts for each tile. Profile-0 codestreams require all initial tile-parts to appear in spatial order in the codestream before other tile parts. Cclass 0 decoders may ignore tile-parts beyond the first even if  $N_{cb}$  or  $L_{body}$  has not been reached. For all other Cclasses, tile-parts may be skipped only due to other limitations (e.g.,  $N_{cb}$  and  $L_{body}$ ) and there is no explicit limitation on the number of tile-parts that may occur.

# A.1.13 Precincts

Tiles may contain several precincts. Cclass 0 decoders need only decode the first precinct in each sub-band of each tile. Note that Profile-0 codestreams are designed to have only one precinct in each sub-band up to the resolution level decoded by a Cclass 0 decoder. Other profiles do not have this guarantee. Other Cclass decoders shall decode all relevant precincts.

#### A.1.14 *M*<sub>MAGB</sub>: Magnitude bound guarantee

For HTJ2K decoders, compliance classes are further qualified by a maximum supported value for the  $B_{MAGB}$  parameter of the codestream.

# A.2 Compliance class definitions

Table A.1 defines three compliance classes for J2K decoders, in terms of the parameters in A.1.2 to A.1.13. Table A.2 defines three derived compliance classes for HTJ2K decoders, in terms of the parameters in A.1.2 to A.1.14.

Parameter	Cclass 0	Cclass 1	Cclass 2
$W \times H$ (size)	128 × 128	2048 × 2048	16384 × 16384
C (component)	1	4	256
N <sub>cb</sub>	(HW/1024 + 32)C = 48	(HW/256 + 128)C = 66048	(HW/256 + 128)C = 268468224
Ncomp	64	256	16 384
Lbody	8192 bytes	2 <sup>23</sup> bytes	2 <sup>30</sup> bytes
М	11	15	30
Р	Low enough to allow 5-3I decoding of 9-7I data	16-bit fixed point implementation	32-bit single precision floats
В	8	12	16
$T_L$	3	7	12
L	15	255	65535
Progressions	All "basic" progressions in COD, only need decode first progression per tile	Limited only by number of levels, layers and components	Limited only by number of levels, layers and components
Tile Parts	Decode only first tile-part per tile	Decode all tile-parts up to $N_{cb}$ or $L_{body}$ limits	Decode all tile-parts up to $N_{cb}$ or $L_{body}$ limits
Precincts	Decode 1st precinct per sub-band	Decode all precincts up to $N_{cb}$ or $L_{body}$ limits	Decode all precincts up to $N_{cb}$ or $L_{body}$ limits

#### Table A.1 – Definitions of compliance classes (Cclass) for J2K decoders

Table A.2 – Definitions of derived compliance classes (	(Cclass) for HTJ2K decoders
---	-----------------------------

Parameter	Cclass 0h	Cclass 1h	Cclass 2h
$W \times H, C, N_{cb}, \dots$ precincts	As specified for Cclass 0 in Table A.1	As specified for Cclass 1 in Table A.1	As specified for Cclass 2 in Table A.1
M <sub>MAGB</sub>	≥ 11	≥ 15	≥ 30

# A.3 Lossless encoding and decoding

The minimum compliance point, Cclass 0, guarantees sufficient resources to ensure truly lossless decoding to a bitdepth of at least 8 bits per sample. However, this does not mean that lossless performance will be achieved, even if the codestream contains a lossless representation of the image. A compliant Cclass 0 decoder may fail to reproduce a perfectly reconstructed 8-bit version of a losslessly compressed image if the codestream contains a large amount of irrelevant information (e.g., extra image components or resolutions that are not targeted by the particular decoder implementation under consideration). Extra irrelevant information may cause a compliant decoder to stop reading the codestream due to  $L_{body}$  or  $N_{cb}$  limits being reached before all relevant information has been recovered.

Again, lossless decompression may not be achieved, even if the codestream contains a lossless representation of 8-bit imagery, if the compressor employed an unnecessarily large number of guard bits or unnecessarily large sub-band dynamic range parameters,  $\varepsilon_b$  (Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex E), for some sub-bands, or if region of interest (ROI) information (Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex H) was included in the codestream. The compressor is at liberty to make such choices and their potential impact on decoders at any Cclass should be considered.

In the HTJ2K case, compliance point Cclass 0h guarantees sufficient resources for truly lossless decoding to a bit-depth of 8 bits per sample. Again, however, this does not mean that lossless performance will be achieved, even if the codestream contains a lossless representation of the image and has MAGB parameter  $B_{MAGB} \le 11$ , for the same reasons outlined above. Encoders can losslessly compress 8-bit imagery without requiring a larger MAGB parameter than 11, but if the RCT is employed, the MAGB parameter generally needs to be increased to 12 to ensure a lossless representation.

# Annex B

# **Decoder compliance testing procedures**

(This annex forms an integral part of this Recommendation | International Standard)

# B.1 General

This annex defines the procedure to follow to determine if a decoder is compliant with a particular compliance class (Cclass) for a particular profile. The Cclass is a level of quality achieved by a decoder. A decoder of a higher Cclass is required to achieve a higher quality image for the same codestream. A decoder compliant with a certain Cclass may have capabilities beyond those required for compliance to that Cclass, but not sufficient for compliance with the next highest Cclass. In such a case, it may be necessary to alter the outputs of the decoder in order to test its compliance with the lower Cclass. This annex defines procedures for such alterations.

# **B.2** Decoder test procedure

The procedure defined herein will determine if a decoder is compliant at a chosen profile and Cclass.





The following steps for testing the set of codestreams are shown in Figure B.1:

- a) each relevant codestream is decoded using the decoder under test;
- b) the decoded outputs are format converted if necessary;
- c) the difference between the decoded outputs and reference images are measured; and
- d) the measurements are compared with the limits for the particular image and compliance class.

These steps are defined in more detail below. Failure to meet the tolerance limits for a single image results in the decoder failing to be compliant at the profile and Cclass being tested.

The relevant codestreams for testing a J2K decoder consist of each J2K test codestream in the ETS that belongs to the profile against which the IUT claims compliance. For example, to test a J2K decoder against Profile-0, each TCS with the prefix "p0" is used in the compliance testing procedure.

The relevant codestreams for testing an HTJ2K decoder consist of the highest precision compatible TCS from each subset of the ETS consisting of HTJ2K test codestreams that differ only by  $B_{MAGB}$  value (BSET) in the ETS that belongs to the derived set against which the IUT claims compliance. A BSET is a collection of test codestreams that differ by  $B_{MAGB}$  value, but otherwise represent the same content, with the same coding parameters. The highest precision compatible test codestream from a BSET is the one with the largest  $B_{MAGB}$  value that is no larger than the  $M_{MAGB}$  limit claimed by the IUT. For example, if a BSET contains codestreams with suffix "\_b11" and "\_b13", the first one is used for testing an IUT whose claimed  $M_{MAGB}$  limit is smaller than 13, while the second is used for testing an IUT whose claimed  $M_{MAGB}$  limit is 13 or more. Each BSET in the ITU-T T.803 codestreams archive<sup>Error! Bookmark not d efined.</sup> or ISO/IEC 15444-4 codestreams archive<sup>Error! Bookmark not defined</sup> includes one test codestream with  $B_{MAGB}$  no larger than 11, and the minimum  $M_{MAGB}$  value for the lowest defined Cclass is 11, so all BSETs can be used in testing an HTJ2K decoder for compliance with each defined Cclass.

#### **B.2.1** Files for testing

A particular ETS defines the codestreams, output images, and error tolerances. This is done in Annex C for four fundamental compliance points (two Cclasses and two profiles), which are split into eight derived compliance points for HTJ2K decoders (two Cclasses and four derived sets).

#### **B.2.2** Decoder settings

Decoders may have mechanisms for supporting various decompression settings. These may be set in the most advantageous way to achieve compliance. For example, a decoder with a "fast mode" and an "accurate mode" may be set to the "accurate mode" for compliance. These settings should be noted in any statement of compliance. Settings that allow the output resolution or spatial region of the reference decoded images to be matched may be changed for each decoded image. The same user controlled settings for accuracy or quantization reconstruction factor of wavelet coefficients shall be used for all test codestreams.

For each reference decoded image it is useful to know the output resolution and spatial extent; this is provided with the ETS. All reference decoded images begin at the upper left corner of the TCS image area and include decoded tiles up to the width and height declared in these tables. The reduction in resolution from the full TCS resolution is provided in these tables, as well. For Cclass 0, when several reference decoded images are provided for a single test codestream, the decoder output need only be compared with one of the reference image choices.

#### **B.2.3** Output file format conversion

The reference decoded images are provided in a specific format defined below. In order to compare decoded images from the decoder under test with these images, several conversions may be necessary. These conversions are done as post-processing steps outside of the decoder solely for determining compliance. There is no requirement for a compliant decoder to perform these processes as part of its normal operation. These conversions shall not introduce a quality change (either loss or gain) except as required by the specific conversions described in the following subclauses.

#### **B.2.3.1** Order-dependent conversions

The following post-processing conversions, if required, shall be performed in the indicated order and prior to the orderindependent conversions.

#### B.2.3.1.1 Scaling

Decoders may produce a high resolution image even when testing for a low Cclass. For example, a full  $256 \times 256$  component from Profile-0 test codestream 3 might be produced by a decoder attempting to pass Cclass 0. The reference image for Cclass 0 is only  $128 \times 128$ . Thus, the decoded image shall be scaled to  $128 \times 128$ . Components should be scaled by applying the forward wavelet transform (5-3 or 9-7 according to which was used to decode the component) and keeping only the required low pass sub-band. It is preferable but not required for decoders to be able to decode any

desired resolution. The reference images were created by decoding the number of wavelet decomposition levels specified in the tables for each test.

#### **B.2.3.1.2** Multiple component transform

For Cclass 0 only, a decoder can decode a single component. For multiple component images this will be the first codestream component, which may correspond to the luminance of the multi-component image. A decoder that decodes 3 components from the image and performs the inverse colour transform shall use post-processing to extract a single-component image to be compared with the reference image. This post-processing consists of a forward colour transform and extraction of the first component of the output. If the components were transformed with the 9-7 filter, the forward ICT from Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Formula G-9 shall be used. If the components were transformed with the 5-3 reversible filter, the forward RCT from Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Formula G-3 shall be used.

#### **B.2.3.1.3** Conversion to integer

A decoder producing floating point output samples shall have the samples converted to the nearest integer.

#### B.2.3.1.4 Clipping

Because of quantization and potential differences in the forward and inverse wavelet transforms, decoded component samples may be outside their nominal range. They shall be clipped as follows for unsigned components:

$$x_{\text{clipped}} = \begin{cases} 0, & x_{\text{unclipped}} < 0\\ x_{\text{unclipped}}, & 0 \le x_{\text{unclipped}} < 2^{D}\\ 2^{D} - 1, & 2^{D} \le x_{\text{unclipped}} \end{cases}$$
(B-1)

where D is the bit-depth of the component. For signed components, clipping is defined as:

$$x_{\text{clipped}} = \begin{cases} -2^{D-1}, & x_{\text{unclipped}} < -2^{D-1} \\ x_{\text{unclipped}}, & -2^{D-1} \le x_{\text{unclipped}} < 2^{D-1} \\ 2^{D-1} - 1, & 2^{D-1} \le x_{\text{unclipped}} \end{cases}$$
(B-2)

where D is the bit-depth of the component.

#### **B.2.3.1.5** Bit-depth scaling

The decoded images shall be scaled to the smaller of the image component bit-depth and the compliance class bit-depth. For example, if a decoder decodes a 12-bit component into the most significant bits of a 16-bit word, the component shall be scaled to 8 bits per sample for a Cclass 0 decoder test. If the decoder produces an 8-bit component for a codestream with a 4-bit component, the component shall be scaled to 4-bits per sample. The scaling shall be implemented by simple arithmetic shifts. This can be expressed as:

$$x_{\text{scaled}} = \left[\frac{x_{\text{unscaled}}}{2^{d-r}}\right]$$
(B-3)

where d is the nominal bit-depth of the decoded component and r is the bit-depth of the reference image.

#### **B.2.3.1.6** Subsampling

All reference components contain the number of samples expected from Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Formula B-2, restricted to *H* and *W* for the Cclass. For testing purposes, it is preferable for a decoder to not upsample the image (e.g., by replication by  $XR_{SIZ}^{c}$  or  $YR_{SIZ}^{c}$  to create a component the size of the reference grid). If such upsampling cannot be turned off, a post-processing step to subsample the upsampled image shall be used to return the component to the appropriate size.

#### B.2.3.1.7 Cropping

Decoders may have the capability to decode the entire image when presented with a test codestream, and in some cases this may yield an image that is larger than the H and W requirements for the Cclass being tested. In such cases, the decoded image shall be cropped for testing purposes, leaving the upper left corner to be the size of the appropriate

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reference image for the Cclass being tested. Cropping is accomplished by discarding all samples outside of the dimensions of the reference component.

NOTE – For a decoder of a given Cclass, there may be several possible ways to produce an output image that satisfies the H and W requirements for that Cclass. The possibilities will depend upon the specific capabilities of the decoder, the size of the image and its tiles, and the number of decomposition levels present in each tile. The reference images for each codestream are produced in one of two ways when possible. First, the decoder processes the first image tile to its maximum resolution consistent with the size constraint for the given Cclass. If possible, additional tiles are included at the same resolution to bring the final output image size as near as possible to the Cclass H and W size limits. (Note that this implies the tiles are produced at full resolution.) Second, the decoder processes all tiles of the image at a common resolution consistent with the Cclass H and W size limits. This latter strategy produces something similar to a thumbnail or overview image, typically at a resolution reduced from that of the original image.

#### **B.2.3.2** Order-independent conversions

The following post-processing conversions, if required, shall be performed after any order-dependent conversions. These conversions may be applied in any convenient order.

#### **B.2.3.2.1** Component de-interleaving

Decoded images in interleaved format (e.g., one red sample followed by one green sample followed by one blue sample) shall be converted to one file per component.

#### B.2.3.2.2 Endianness

All reference images are stored in big endian (i.e., with most significant byte first). If the decoded image is produced in little endian format then the bytes shall be swapped for comparison purposes.

#### **B.2.3.2.3** Sign extend to byte boundary

All reference images are stored with either 8 or 16 bits per sample. For example, 4- and 12-bit component samples shall be padded in the most significant bit positions with an additional 4 bits. These bits shall be "1" for negative samples in signed images and "0" for all other samples. The sign extension shall properly account for the endianness of the samples.

#### **B.2.4** Compare decoded and formatted components with reference components

Once the decoded image has been properly formatted it is compared with the reference image. The reference components for each Cclass are listed in the tables defining the ETS. The decoded components shall be compared separately for all components for which there is a reference decoded image. For each component, the MSE shall be determined as follows:

$$MSE = \frac{1}{N} \sum_{i=1}^{N} (x_i - \hat{x}_i)^2$$
(B-4)

where *N* is the number of samples in the component (reference width times reference height),  $x_i$  is a sample from the reference image, and  $\hat{x}_i$  is the corresponding sample from the decoded and formatted image.

The Peak error, *p*, shall be determined as follows:

$$p = \mathbf{M}_{i=1}^{N} \mathbf{X}_{i} - \hat{\mathbf{x}}_{i}$$
(B-5)

#### **B.2.5** Compare error metrics with specification

Each ETS defines a peak error and MSE error for each test codestream and reference decoded image. These are defined in Annex C.

For the Cclass0 tests, only the first codestream image component is decoded. Any ICT or RCT indicated in the codestream is not performed. When multiple reference files are provided, the decoder output shall be tested against at least one of these references.

Other Cclasses require decoding multiple components. Separate reference images are provided for each component which has to be decoded, with the suffix C, which indicates the component number. The IUT shall make comparisons for all the component reference files listed in tables defining the ETS.

#### **B.2.6** Reference components file format

This subclause describes the file format, called PGX, of the reference images used for comparison with the output of the decoder under test. The decoder under test is not required to produce this particular file format. Any necessary conversion, as specified in B.2.3, may be applied. The reference decoded files are stored with one file per component. There is also a header line that describes the size of the components.

NOTE – In some operating systems the PGX test files provided may be split into a header only file and a raw data file with no header using the commands 'head -1 file.pgx >header.txt' and 'tail +1 file.pgx >file.raw'.

#### **B.2.6.1** Header format

The header used by the reference images consists of a single text line of the form:

PG <byte order> [+|-] <bit-depth> <width> <height> <newline>

Each of the fields is separated by one space character, 0x20.

<byte order> literal "ML" (0x4D, 0x4C)

NOTE – Some PGX writers will use the literal "LM" to indicate least significant byte first. This is not used by the reference images with this Recommendation | International Standard.

[+|-]<br/>bit-depth> If this field begins with an ASCII digit or a '+' character, the image data is unsigned; if the field begins with a '-' character the data is signed. The ASCII value indicates the bit-depth. There may be a space (0x20) between the sign ('+' or '-') and the bit-depth.

<width> the number of samples horizontally for the image. There are no offsets or subsampling with this format.

<height> the number of samples vertically for the image. There are no offsets or subsampling with this format.

<newline> may consist of the two bytes 0x0d, 0x0a, or the single character 0x0a, the character 0x0a shall be the last before the beginning of the raw data and will always be present.

Example - PG ML +8 128 128

#### **B.2.6.2** Data format

The binary data appears immediately after the 0x0a byte in the header. The data in the raw file is stored most significant byte first using 1, 2, or 4 bytes per sample. One byte is used for component depths of 1 to 8 bits, two bytes are used for component depths of 9 to 16 bits, and four bytes are used for depths of 17 to 32 bits. Signed data is stored in 2's complement, with sign extension throughout the 1, 2, or 4 bytes (e.g., -1 is stored as 0xFFFF for a 12-bit signed image).

# Annex C

# **Compliance tests**

(This annex forms an integral part of this Recommendation | International Standard.)

This annex specifies the ATSs and ETSs that will be used in the compliance test procedures from Annex B.

#### C.1 Abstract test suite (informative)

The ATS defines the general tests for components of Rec. ITU-T T.800 | ISO/IEC 15444-1 and Rec. ITU-T T.814 | ISO/IEC 15444-15. The lists in this subclause are used to define the decoder ETS and could be used to develop additional encoder or decoder ETSs.

#### C.1.1 Syntax and compressed data order

- a) Test purpose: To test the ability of an implementation to encode and decode codestreams with optional markers, marker values, and markers in different locations in the codestream.
- b) Test method: Using lossless codestreams, encode or decode several different codestreams with variations of markers, marker values, and marker locations. Parameters and existence of markers may be limited by the profile being tested. Specific test items include:
  - location of markers in codestream: optional markers in different positions of the codestream;
  - priority of markers: markers in the codestream that override previous markers;
  - proper use of the pointer markers: tile-part length (TLM), packet length, tile-part header (PLT), packet length, main header (PLM) correct;
  - image offsets: *XO*<sub>SIZ</sub> and *YO*<sub>SIZ</sub> with several values including odd, even, large, and small;
  - tile dimensions: several size tiles including odd, even, very small tiles;
  - tile offsets: *XTO*<sub>SIZ</sub> and *YTO*<sub>SIZ</sub> with several values including odd and even;
  - component subsampling: XR<sub>SIZ</sub> and YR<sub>SIZ</sub> with several values including odd and even;
  - code-blocks dimensions: all values;
  - progression orders: five progression orders, POCs;
  - packet headers: include start of packet (SOP) and end of packet header (EPH), number of layers, different locations of packet headers (main header, tile-part header, codestream), bit stuffing;
  - precincts: having several values of precinct sizes (including different for each sub-band and a
    precinct that is smaller than the code-block sizes), precinct and tile boundaries equivalent at low
    resolution, different at high resolution;
  - precincts containing no data, resolution levels containing no valid coefficient, precincts that have no coefficients in several/all bands;
  - packet-non-empty bit of the packet header;
  - sub-bands: with no precincts;
  - tile-parts: placing the tile-parts in different locations in the codestream, POC in second tile-part.
- c) Reference: Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annexes A and B.

#### C.1.2 Arithmetic entropy encoding

- a) Test purpose: To evaluate the correct operation of the implementation of the arithmetic encoder within the IUT.
- b) Test method: Losslessly compressed images using different combinations of options. Can change within a single image by changing the options in each tile. Encode, or decode several different codestreams with different arithmetic entropy coding parameters. Specific test options include:
  - arithmetic coder bypass;
  - context reset on coding pass boundaries;
  - termination on each coding pass;
  - vertically causal context;
  - predictable termination;

- segmentation symbols;
- combinations of options.
- c) Reference: Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex C.

#### C.1.3 Coefficient bit modelling

- a) Test purpose: To test the correct operation of the coefficient bit modelling of the arithmetic encoding of the IUT.
- b) Test method: Encode, or decode several different codestreams with all possible neighbouring location contexts. Test all contexts occurring in all sub-band types and with and without vertically causal contexts.
- c) Reference: Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex D.

# C.1.4 Quantization

- a) Test purpose: To test the accuracy of the quantization implementation of the IUT.
- b) Test method: Encode or decode several different codestreams with zero levels of decomposition in the wavelet transform so that the quantization is the only parameter being tested. The accuracy is tested with MSE and max error. Specific items to test include:
  - exponent and mantissa;
  - guard bits;
  - dequantization offset value;
  - derived and explicit quantization.
- c) Reference: Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex F.

## C.1.5 Discrete wavelet transform

- a) Test purpose: To test the accuracy of the implementation of the two discrete wavelet transforms.
- b) Test method: Encode or decode several different codestreams with the two filters, varying the tile size, and number of levels, and test the accuracy of each of the filters. The accuracy of the 9-7 filter shall be defined by MSE and max error while the 5-3 filter shall have no difference. Specific items to test include:
  - precision of 9-7;
  - reversibility of 5-3;
  - number of decomposition levels, including 0;
  - different offset conditions and tile sizes, very small sub-bands down to  $1 \times 1$ , empty sub-bands, one column sub-bands, one-row sub-bands;
  - saturation conditions.
- c) Reference: Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex F.

## C.1.6 DC level shift and multiple component transform

- a) Test purpose: To test the ability of the implementation to achieve the DC level shift and the accuracy of the two multiple component transforms.
- b) Test method: Encode or decode several different codestreams with IUT using different combinations of options:
  - component depth;
  - component samples that are signed and unsigned;
  - precision of ICT;
  - correctness of RCT.
- c) Reference: Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex G.

#### C.1.7 Region of interest

- a) Test purpose: To test the correct implementation of ROI in the IUT.
- b) Test method: Encode or decode several different images with regions of different size, number, shift value, different in each component:
  - different ROI in each component;

- RGN marker in main and tile-part header, test for proper treatment of priorities;
- shift value;
- partial decoding of an image with ROI.
- c) Reference: Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex H.

# C.1.8 JP2 file format

- a) Test purpose: To test the ability of the implementation to accurately represent the JPEG 2000 compressed data within the JP2 file format.
- b) Test method: Encode or decode several different codestreams with different parameters of the file format.
- c) Reference: Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex I.

# C.1.9 High throughput cleanup pass coding

- a) Test purpose: To evaluate the correct operation of the implementation of the high throughput (HT) Cleanup pass coder within the IUT.
- b) Test method: Losslessly compressed images of various dimensions, with different values of the vertically causal context mode flag (bit-3 of SPcod/SPcoc) . Can change within a single image by changing the options in each tile.
- c) Reference: Rec. ITU-T T.814 (2019) | ISO/IEC 15444-15:2019, Clause 6.

# C.1.10 HT refinement pass coding

- a) Test purpose: To evaluate the correct operation of the implementation of the HT block coder within the IUT, including Cleanup, SigProp and MagRef coding passes.
- b) Test method: Transcode reversibly transformed but lossy compressed J2K codestreams to HTJ2K codestreams, so as to preserve the truncation boundaries of the original block bit-streams. Verify that the decoded J2K and HTJ2K codestreams produce identical images.
- c) Reference: Rec. ITU-T T.814 (2019) | ISO/IEC 15444-15:2019, Clause 6 and subclause 7.8.

# C.1.11 Placeholder passes

- a) Test purpose: To evaluate the correct formation of packet headers within the IUT, where multiple quality layer boundaries are to be recorded in a codestream that uses the HT block coding algorithm without multiple HT Sets.
- b) Test method: Transcode reversibly transformed J2K codestreams with multiple quality layers to HTJ2K codestreams with corresponding quality layers and packets for each precinct, so as to preserve the original coded values exactly using at most one HT Set for each code-block. Verify that the decoded J2K and HTJ2K codestreams produce identical images. Verify that the HTJ2K codestream can be transcoded back to a non-HTJ2K codestream and that truncating this codestream and the original J2K codestream to a reduced number of quality layers results in the same image upon decoding.

#### C.1.12 Mixing of HT and J2K code-blocks within HTJ2K codestreams

- a) Test purpose: To evaluate the correct construction of JPEG 2000 packets in the case where both HT and J2K code-blocks may be present in a packet bits 6 and 7 of SPcod/SPcoc are both set to 1 in this case.
- b) Test method: Selectively transcode some code-blocks of a losslessly compressed J2K codestream to HT code-blocks, leaving the other code-blocks as J2K code-blocks, and regenerate JPEG 2000 packets to correctly signal and encapsulate the transcoded content. Decode the resulting J2K and HTJ2K codestreams and verify that they are identical.

# C.1.13 JPH File format

- a) Test purpose: To test the ability of the implementation to accurately represent the JPEG 2000 compressed data within the JPH file format.
- b) Test method: Encode or decode several different HTJ2K codestreams with different parameters of the file format. Alternatively, transcode JP2 files with their embedded J2K codestreams to JPH files with embedded HTJ2K codestreams.
- c) Reference: Rec. ITU-T T.814 (2019) | ISO/IEC 15444-15:2019, Annex E.

# C.2 Executable test suite

The ETSs are the embodiment of the ATS. Commonly several ATSs are embodied in one ETS. This subclause defines four ETSs for J2K decoders, namely Cclass 0 and Cclass 1 decoders for Profile-0 and Profile-1 codestreams. There are 16 test codestreams defined for Profile 0 and 7 test codestreams defined for Profile-1 (Profile-1 compliance requires both Profile-0 and Profile-1 test codestreams). The tolerance values are defined as a function of Cclass for each image and may be more difficult to achieve as Cclass increases for each image.

Each ETS consists of codestreams, reference decoded images, and tolerance values for MSE and peak error. In addition, some information is provided about the test codestreams, which may aid correction of a non-compliant decoder. This information consists of a table listing many of the markers in the codestream, the offset into the codestream where those markers occur and the value of the parameters of those markers.

ETSs for testing HTJ2K decoders are derived from the J2K ETSs. The tolerance values for each HTJ2K Cclass are identical to those for the J2K Cclass from which it was derived. The transcoding of each of the 16 J2K test codestreams results in a single HTJ2K BSET, belonging to one of the derived sets listed in Table 1. The HTJ2K test codestreams in each BSET have different  $B_{MAGB}$  parameter values. One test codestream in each BSET has  $B_{MAGB} \leq 11$ .

#### C.2.1 Class 0 Profile-0

#### C.2.1.1 Codestreams

The J2K test codestreams for this ETS are in the directory **codestreams\_profile0**. There are 16 codestreams, with names of the form **p0 ##.j2k**, where **##** is the codestream number.

The derived HTJ2K BSETs for this ETS are in the directory htj2k\_bsets\_profile0. There are 16 BSETs, with names of the form p0\_##\_bset, where ## is the J2K codestream number. Each BSET is a directory, containing codestreams with names of the form ds0\_ht\_##\_b\$.j2k or ds0\_hm\_##\_b\$.j2k, where ## is the J2K codestream number and \$ is the B<sub>MAGB</sub> value. Names of the first form are used for HTJ2K codestreams that are HTONLY, in which case the codestream belongs to derived set DS0-HT; otherwise, the second form of the name is used and the codestream belongs to derived set DS0-HM.

#### C.2.1.2 Reference decoded images

The reference decoded images for this ETS are in the directory **reference\_class0\_profile0**. The filenames are of the form **c0p0\_##[rN].pgx**, where **##** is the codestream number, and N is the number of inverse wavelet transforms that have been skipped in the decoding of the codestream. A 0 indicates a full resolution image (typically this is cropped to the upper left corner). A 1 indicates an image with resolution reduced by 2<sup>1</sup> in each dimension.

The same reference decoded images are used when testing both HTJ2K and J2K decoders.

#### C.2.1.3 Tolerances

The maximum allowable MSE and peak errors are listed in Table C.1, along with the size of the reference decoded images.

TCS	Reference file	Resolution reduction	Signed	Depth	Width	Height	Peak	MSE
p0_01.j2k	c0p0_01.pgx	0	+	8	128	128	0	0
p0_02.j2k	c0p0_02.pgx	0	+	8	64	126	0	0
n0 02 i2h	c0p0_03r0.pgx	0	-	4	128	128	0	0
р0_03.ј2к	c0p0_03r1.pgx	1	-	4	128	128	0	0
p0_04.j2k	c0p0_04.pgx	3	+	8	80	60	33	55.8
p0_05.j2k	c0p0_05.pgx	3	+	8	128	128	54	68
p0_06.j2k	c0p0_06.pgx	3	+	8	65	17	109	743
p0_07.j2k	c0p0_07.pgx	0	-	8	128	128	10	0.34
p0_08.j2k	c0p0_08.pgx	5	-	8	17	96	7	6.72
p0_09.j2k	c0p0_09.pgx	2	+	8	5	10	4	1.47
p0_10.j2k	c0p0_10.pgx	0	+	8	64	64	10	2.84
p0_11.j2k	c0p0_11.pgx	0	+	8	128	1	0	0
p0_12.j2k	c0p0_12.pgx	0	+	8	3	5	0	0

Table C.1 – Class 0 Profile-0 reference images and allowable errors

TCS	Reference file	Resolution reduction	Signed	Depth	Width	Height	Peak	MSE
p0_13.j2k	c0p0_13.pgx	0	+	8	1	1	0	0
p0_14.j2k	c0p0_14.pgx	2	+	8	13	13	0	0
n0 15 ;2h	c0p0_15r0.pgx	0	_	4	128	128	0	0
p0_15.j2k	c0p0_15r1.pgx	1	-	4	128	128	0	0
p0_16.j2k	c0p0_16.pgx	0	+	8	128	128	0	0

Table C.1 – Class 0 Profile-0 reference images and allowable errors

The values in Table C.1 are used when testing both HTJ2K and J2K decoders. However, when testing an HTJ2K decoder, the allowable MSE and peak errors may be increased, depending on the  $M_{MAGB}$  limit claimed by the IUT, in accordance with the values provided in Table C.1bis.

Table C.1bis -	- Additional all	owable error	s for HTJ2K	TCSs bel	onging to	derived set 0

TOG	D	Reference		Component 0		Component 1		Component 2		Component 3	
105	BMAGB	Depth	Peak	MSE	Peak	MSE	Peak	MSE	Peak	MSE	
ds0_ht_01_b11.j2k	11	8	0	0							
ds0_ht_02_b11.j2k	11	8	1	0.001							
ds0_ht_02_b12.j2k	12	8	0	0							
ds0_ht_03_b11.j2k	11	4	17	0.15							
ds0_ht_03_b14.j2k	14	4	0	0							
ds0_ht_04_b11.j2k	11	8	2	0.1	2	0.1	2	0.1			
ds0_ht_04_b12.j2k	12	8	0	0	0	0	0	0			
ds0_ht_05_b11.j2k	11	8	0	0	1	0.001	1	0.001	2	0.001	
ds0_ht_05_b12.j2k	12	8	0	0	0	0	0	0	0	0	
ds0_ht_06_b11.j2k	11	12	2500	75000	0	0	0	0	200	2000	
ds0_ht_06_b15.j2k	15	12	0	0	0	0	0	0	12	10.0	
ds0_ht_06_b18.j2k	18	12	0	0	0	0	0	0	0	0	
ds0_hm_06_b11.j2k	11	12	0	0	0	0	0	0	200	2000	
ds0_hm_06_b18.j2k	18	12	0	0	0	0	0	0	0	0	
ds0_ht_07_b11.j2k	11	12	40	25.0	40	25.0	50	25.0			
ds0_ht_07_b15.j2k	15	12	2	0.075	2	0.05	2	0.075			
ds0_ht_07_b16.j2k	16	12	0	0	0	0	0	0			
ds0_ht_08_b11.j2k	11	12	40	45.0	40	30.0	40	45.0			
ds0_ht_08_b15.j2k	15	12	0	0	1	0.001	0	0			
ds0_ht_08_b16.j2k	16	12	0	0	0	0	0	0			
ds0_ht_09_b11.j2k	11	8	0	0							
ds0_ht_10_b11.j2k	11	8	0	0	0	0	0	0			
ds0_ht_11_b10.j2k	10	8	0	0							
ds0_ht_12_b11.j2k	11	8	0	0							
ds0_ht_13_b11.j2k	11	8	0	0	0	0	0	0	0	0	
ds0_ht_14_b11.j2k	11	8	0	0	0	0	0	0			
ds0_ht_15_b11.j2k	11	4	17	0.15							
ds0_ht_15_b14.j2k	14	4	0	0							
ds0_hm_15_b8.j2k	8	4	0	0							
ds0_ht_16_b11.j2k	11	8	0	0							
NOTE – When applied additional MSE values	to the toler are scaled l	cances in Table by $4^{D-D_{ref}}$ , wh	C.1, the a ere $D$ is th	dditional p e bit-depth	eak errors in Table C	are scaled $C.1$ and $D_{re}$	by $2^{D-D_{ref}}$	and round	led up, wh th in this t	ile the able.	

# C.2.1.4 J2K test codestream descriptions (informative)

Table C.2 describes the features being tested by the Profile-0 codestreams, while Table C.2bis describes the features being tested by the derived set 0 HTJ2K codestreams. This information is provided solely to help correction of non-compliant decoders when accuracy is not guaranteed.

Codestream	Tests
1	5-3 wavelet, 64 × 64 code-blocks, MQ-coder, context model
2	Component subsampling, multiple layers, termination every coding pass, predictable termination, segmentation symbols, COD, quantization default (QCD), EPH, SOP, and 0xFF30 marker segments, $32 \times 32$ code-blocks
3	Multiple tiles, signed data, 4-bit/component data, quantization component (QCC), POC, component registration (CRG), TLM, and RGN marker segments
4	Multiple components, termination every coding pass, 9-7 wavelet, precinct sizes in COD, irreversible component transform, scalar expound quantization
5	Different subsampling for different components, different wavelet filters and parameters for different components
6	12-bit component samples, RGN in main and tile
7	Large number of tiles
8	Large image
9	9-7 wavelet transform overflow
10	Image source is pseudo-random, subsampling by 4, 0 guard bits, reversible colour transform, tile-parts
11	1 sample high image, 0 decomposition level test, segmentation symbols
12	Special wavelet transform cases
13	Large number of components
14	5-3 wavelet transform saturation
15	RGN, POC, Signed, QCC, comment (COM)
16	Empty packet header bit

Table $C.2$ – Items tested by Profile-0 codestrea
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# Table C.2bis – Items tested by derived set 0 HTJ2K codestreams

Codestream BSET	Tests
1	5-3 wavelet, $64 \times 64$ code-blocks
2	Component subsampling, COD, QCD, EPH, SOP, $32 \times 32$ code-blocks, multiple layers with placeholder passes
3	Multiple tiles, signed data, 4-bit/component data, QCC, POC, CRG, TLM, and RGN marker segments, multiple layers with placeholder passes. HTJ2K features: HETEROGENEOUS and RGN
4	Multiple components, 9-7 wavelet, precinct sizes in COD, irreversible component transform, scalar expound quantization, multiple layers with placeholder passes
5	Different subsampling for different components, different wavelet filters and parameters for different components, multiple layers with placeholder passes
6	12-bit component samples, RGN in main and tile headers, multiple layers with placeholder passes. HTJ2K features: HETEROGENEOUS, RGN and MIXED
7	Large number of tiles, POC, multiple layers with placeholder passes. HTJ2K features: HETEROGENEOUS
8	Large image, multiple layers with placeholder passes.
9	9-7 wavelet transform overflow
10	Image source is pseudo-random, subsampling by 4, 0 guard bits, reversible colour transform, tile-parts, multiple layers with placeholder passes
11	1 sample high image, 0 decomposition level test
12	Special wavelet transform cases
13	Large number of components. HTJ2K features: RGN
14	5-3 wavelet transform saturation
15	RGN, POC, Signed, QCC, COM, multiple layers with placeholder passes. HTJ2K features: HETEROGENEOUS, RGN and MIXED

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The directory **descriptions\_profile0** contains information about every J2K test codestream. The information is of the type shown in Table C.3. Similarly, the directory **descriptions\_htj2k\_profile0** contains information about every HTJ2K test codestream.

Offset	Item	Value
0	SOC (start of codestream)	
2	SIZ (Image and tile size)	
	Required Capabilities	JPEG 2000 Profile-0
	Reference Grid Size	$128 \times 128$
	Image Offset	$0 \times 0$
	Reference Tile Size	$128 \times 128$
	Reference Tile Offset	$0 \times 0$
	Components	1
	Component #0 Depth	8
	Component #0 Signed	no
	Component #0 Sample Separation	$1 \times 1$
45	QCD (Quantization default)	
	Quantization Type	None
	Guard Bits	2
	Exponent #0	8
	Exponent #1	9
	Exponent #2	9
	Exponent #3	10
	Exponent #4	9
	Exponent #5	9
	Exponent #6	10
	Exponent #7	9
	Exponent #8	9
	Exponent #9	10
60	COD (Coding style default)	
	Default precincts of $2^{15} \times 2^{15}$	Yes
	SOP marker segments	No
	EPH marker segments	No
	All Flags	0000000
	Progression Order	Resolution level-layer-component-position
	Layers	1
	Multiple Component Transformation	None
	Decomposition Levels	3
	Code-block size	$64 \times 64$
	Selective Arithmetic Coding Bypass	No
	Reset Context Probabilities	No
	Termination on Each Coding Pass	No
	Vertically Causal Context	No
	Predictable Termination	No
	Segmentation Symbols	No
	Wavelet Transformation	5-3 reversible
74	SOT (start of tile-part)	
	Tile	0
	Length	7314
	Index	0
	Tile-Parts	1
7388	EOC (End of codestream)	

Table C.3 – Profile-0 codestream 0 contents

## C.2.2 Class 0 Profile-1

#### C.2.2.1 Codestreams

The J2K test codestreams for this ETS are in the directory **codestreams\_profile1**. The codestream names have the form **p1\_##.j2k**, where ## is the codestream number. In addition to passing the test for all these codestreams, a decoder shall also pass the Class 0 Profile-0 test to pass the Class 0 Profile-1 test.

The derived HTJ2K BSETs for this ETS are in the directory htj2k\_bsets\_profile1. The BSETs in this directory have names of the form p1\_##\_bset, where ## is the J2K codestream number. Each BSET is a directory, containing codestreams with names of the form ds1\_ht\_##\_b\$.j2k or ds1\_hm\_##\_b\$.j2k, where ## is the J2K codestream number and \$ is the B<sub>MAGB</sub> value. Names of the first form are used for HTJ2K codestreams that are HTONLY, in which case the codestream belongs to derived set DS1-HT; otherwise, the second form of the name is used and the codestream belongs to derived set DS1-HM.

# C.2.2.2 Reference decoded images

The reference decoded images for this ETS are in the directory **reference\_class0\_profile1**. The filenames are of the form **c0p1\_##[rN].pgx**, where ## is the codestream number, N is the number of inverse wavelet transforms that have been skipped in the decoding of the codestream.

The same reference decoded images are used when testing both HTJ2K and J2K decoders.

# C.2.2.3 Tolerances

The maximum allowable MSE and peak errors are listed in Table C.4, along with the size of the reference decoded images. These values are used when testing both HTJ2K and J2K decoders. However, when testing an HTJ2K decoder, the allowable MSE and peak errors may be increased, depending on the  $M_{MAGB}$  limit claimed by the IUT, in accordance with the values provided in Table C.4bis.

TCS	Reference file	Resolution reduction	Depth	Width	Height	Peak	MSE
p1_01.j2k	c0p1_01.pgx	0	8	61	99	0	0
p1_02.j2k	c0p1_02.pgx	3	8	80	60	35	74.0
p1_03.j2k	c0p1_03.pgx	3	8	128	128	28	18.8
m1 04 ;21	c0p1_04r0.pgx	0	8	128	128	2	0.550
p1_04.j2k	c0p1_04r3.pgx	3	8	128	128	128	2042
p1_05.j2k	c0p1_05.pgx	4	8	32	32	128	16384
p1_06.j2k	c0p1_06.pgx	1	8	6	6	128	16384
p1_07.j2k	c0p1_07.pgx	0	8	2	12	0	0

Table C.4 – Class 0 Profile-1 reference images and allowable errors

# Table C.4bis – Additional allowable errors for HTJ2K TCSs belonging to derived set 1

TCS	n	Reference	Comp	onent 0	Compo	onent 1	Compo	onent 2	Comp	onent 3
	BMAGB	depth	Peak	MSE	Peak	MSE	Peak	MSE	Peak	MSE
ds1_ht_01_b11.j2k	11	8	1	0.001						
ds1_ht_01_b12.j2k	12	8	0	0						
ds1_ht_02_b11.j2k	11	8	2	0.1	2	0.1	2	0.1		
ds1_ht_02_b12.j2k	12	8	0	0	0	0	0	0		
ds1_ht_03_b11.j2k	11	8	0	0	1	0.03	2	0.05	2	0.001
ds1_ht_03_b12.j2k	12	8	0	0	0	0	0	0	0	0
ds1_ht_04_b9.j2k	9	8	0	0						
ds1_ht_05_b11.j2k	11	8	0	0	0	0	0	0		
ds1_ht_06_b11.j2k	11	8	0	0	0	0	0	0		
ds1_ht_07_b11.j2k	11	8	0	0	0	0				
NOTE – When applied to th	e tolerances	in Table C 6, the a	dditional p	eak errors a	re scaled by	2D-Dref at	nd rounded	un while f	he addition	al MSE

NOTE – When applied to the tolerances in Table C.6, the additional peak errors are scaled by  $2^{D-D_{ref}}$  and rounded up, while the additional MSE values are scaled by  $4^{D-D_{ref}}$ , where *D* is the bit-depth in Table C.6 and  $D_{ref}$  is the reference depth in this table.

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#### C.2.2.4 Additional information

Table C.5 describes the key features being tested by the Profile-1 codestreams, while Table C.5bis describes the features being tested by the derived set 0 HTJ2K codestreams. This information is provided solely to help correction of non-compliant decoders when accuracy is not guaranteed.

Codestream #	Tests			
1	Image offsets, tile offsets			
2	Reset context probabilities, vertically causal contexts, precinct sizes, packed packet headers, tile-part header (PPT) marker segment			
3	Packed packet headers, main header (PPM) marker segment			
4	QCD marker segment in tile header			
5	Odd-sized tile, non-square code-block size, multiple PPM marker segments			
6	Small tile size			
7	Small precincts, packet inclusion			

$1$ abit $0$ . $0$ $1$ $0$ $1$ $0$ $1$ $1$ $1$ $0$ $1$ $1$ $1$ $0$ $1$ $1$ $1$ $0$ $1$ $\mathbf$	Table C.5 –	Items	tested	bv	<b>Profile-1</b>	codestream
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#### Table C.5bis – Items tested by derived set 1 HTJ2K codestreams

Codestream BSET	Tests			
1	Image offsets, tile offsets, multiple layers with placeholder passes			
2	Reset context probabilities, vertically causal contexts, precinct sizes, PPT marker segment, multiple layers with placeholder passes			
3	PPM marker segment, multiple layers with placeholder passes			
4	QCD marker segment in tile header. HTJ2K features: HETEROGENEOUS			
5	Odd-sized tile, non-square code-block size, multiple PPM marker segments, multiple layers with placeholder passes			
6	Small tile size			
7	Small precincts			

# C.2.3 Class 1 Profile-0

#### C.2.3.1 Codestreams

The J2K test codestreams for this ETS are in the directory **codestreams\_profile0**. There are 16 codestreams, with names of the form **p0\_##.j2k**, where ## is the codestream number. These are the same test codestreams as for Cclass 0 Profile-0, but they shall be decoded to higher quality.

The derived HTJ2K BSETs for this ETS are in the directory htj2k\_bsets\_profile0. There are 16 BSETs, with names of the form p0\_##\_bset, where ## is the J2K codestream number. Each BSET is a directory, containing codestreams with names of the form ds0\_ht\_##\_b\$.j2k or ds0\_hm\_##\_b\$.j2k, where ## is the J2K codestream number and \$ is the B<sub>MAGB</sub> value. Names of the first form are used for HTJ2K codestreams that are HTONLY, in which case the codestream belongs to derived set DS0-HT; otherwise, the second form of the name is used and the codestream belongs to derived set DS0-HM.

#### C.2.3.2 Reference decoded images

The reference decoded images for this ETS are in the directory **reference\_class1\_profile0**. The filenames are of the form **c0p0\_##[rN]-C.pgx**, where ## is the codestream number, and N is the number of inverse wavelet transforms that have been skipped in the decoding of the codestream, and C is the component number. A decoder shall produce all components listed, it may produce more.

The same reference decoded images are used when testing both HTJ2K and J2K decoders.

#### C.2.3.3 Tolerances

The maximum allowable MSE and peak errors are listed in Table C.6, along with the size of the reference decoded images.

TCS	Reference filename	Resolution reduction	Signed	Depth	Width	Height	Peak	MSE
p0_01.j2k	c1p0_01-0.pgx	0	+	8	128	128	0	0
p0_02.j2k	c1p0_02-0.pgx	0	+	8	64	126	0	0
p0_03.j2k	c1p0_03-0.pgx	0	-	4	256	256	0	0
p0_04.j2k	c1p0_04-0.pgx	0	+	8	640	480	5	0.776
	c1p0_04-1.pgx	0	+	8	640	480	4	0.626
	c1p0_04-2.pgx	0	+	8	640	480	6	1.070
p0_05.j2k	c1p0_05-0.pgx	0	+	8	1024	1024	2	0.302
	c1p0_05-1.pgx	0	+	8	1024	1024	2	0.307
	c1p0_05-2.pgx	0	+	8	512	512	2	0.269
	c1p0_05-3.pgx	0	+	8	512	512	0	0
p0_06.j2k	c1p0_06-0.pgx	0	+	12	513	129	635	11287
	c1p0_06-1.pgx	0	+	12	257	129	403	6124
	c1p0_06-2.pgx	0	+	12	513	65	378	3968
	c1p0_06-3.pgx	0	+	12	257	65	0	0
p0_07.j2k	c1p0_07-0.pgx	0	-	12	2048	2048	0	0
	c1p0_07-1.pgx	0	-	12	2048	2048	0	0
	c1p0_07-2.pgx	0	-	12	2048	2048	0	0
p0_08.j2k	c1p0_08-0.pgx	1	-	12	257	1536	0	0
	c1p0_08-1.pgx	1	-	12	257	1536	0	0
	c1p0_08-2.pgx	1	-	12	257	1536	0	0
p0_09.j2k	c1p0_09-0.pgx	0	+	8	17	37	0	0
p0_10.j2k	c1p0_10-0.pgx	0	+	8	64	64	0	0
	c1p0_10-1.pgx	0	+	8	64	64	0	0
	c1p0_10-2.pgx	0	+	8	64	64	0	0
p0_11.j2k	c1p0_11-0.pgx	0	+	8	128	1	0	0
p0_12.j2k	c1p0_12-0.pgx	0	+	8	3	5	0	0
p0_13.j2k	c1p0_13-0.pgx	0	+	8	1	1	0	0
	c1p0_13-1.pgx	0	+	8	1	1	0	0
	c1p0_13-2.pgx	0	+	8	1	1	0	0
	c1p0_13-3.pgx	0	+	8	1	1	0	0
p0_14.j2k	c1p0_14-0.pgx	0	+	8	49	149	0	0
	c1p0_14-1.pgx	0	+	8	49	49	0	0
	c1p0_14-2.pgx	0	+	8	49	49	0	0
p0_15.j2k	c1p0_15-0.pgx	0	_	4	256	256	0	0
p0_16.j2k	c1p0_16-0.pgx	0	+	8	128	128	0	0

Table C.6 - Class 1 Profile-0 reference files and maximum error

These values are used when testing both HTJ2K and J2K decoders. However, when testing an HTJ2K decoder, the allowable MSE and peak errors may be increased, depending on the  $M_{MAGB}$  limit claimed by the IUT, in accordance with the values provided in Table C.2.

#### C.2.4 Class 1 Profile-1

#### C.2.4.1 Codestreams

The J2K test codestreams for this ETS are in the directory **codestreams\_profile1**. The codestream names have the form **p1\_##.j2k**, where ## is the codestream number. In addition to passing the test for all these codestreams, a decoder shall also pass the Class 1 Profile-0 test to pass the Class 1 Profile-1 test.

The derived HTJ2K BSETs for this ETS are in the directory htj2k\_bsets\_profile1. The BSETs in this directory have names of the form p1\_##\_bset, where ## is the J2K codestream number. Each BSET is a directory, containing codestreams with names of the form ds1\_ht\_##\_b\$.j2k or ds1\_hm\_##\_b\$.j2k, where ## is the J2K codestream number and \$ is the B<sub>MAGB</sub> value. Names of the first form are used for HTJ2K codestreams that are HTONLY, in which case the codestream belongs to derived set DS1-HT; otherwise, the second form of the name is used and the codestream belongs to derived set DS1-HM.

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#### C.2.4.2 Reference decoded images

The reference decoded images for this ETS are in the directory **reference\_class1\_profile1**. The filenames are of the form **clp1\_##[rN]-C.pgx**, where ## is the codestream number, and N is the number of inverse wavelet transforms that have been skipped in the decoding of the codestream, and C is the component number. A decoder shall produce all components listed.

The same reference decoded images are used when testing both HTJ2K and J2K decoders.

#### C.2.4.3 Tolerances

The maximum allowable MSE and peak errors are listed in Table C.7, along with the size of the reference decoded images. These values are used when testing both HTJ2K and J2K decoders. However, when testing an HTJ2K decoder, the allowable MSE and peak errors may be increased, depending on the  $M_{MAGB}$  limit claimed by the IUT, in accordance with the values provided in Table C.7.

TCS	Reference filename	Resolution reduction	Depth	Width	Height	Peak	MSE
p1_01.j2k	c1p1_01-0.pgx	0	8	61	99	0	0
p1_02.j2k	c1p1_02-0.pgx	0	8	640	480	5	0.765
	c1p1_02-1.pgx	0	8	640	480	4	0.616
	c1p1_02-2.pgx	0	8	640	480	6	1.051
p1_03.j2k	c1p1_03-0.pgx	0	8	1024	1024	2	0.300
	c1p1_03-1.pgx	0	8	1024	1024	2	0.210
	c1p1_03-2.pgx	0	8	512	512	1	0.200
	c1p1_03-3.pgx	0	8	512	512	0	0
p1_04.j2k	c1p1_04-0.pgx	0	12	1024	1024	624	3080
p1_05.j2k	c1p1_05-0.pgx	0	8	512	512	40	8.458
	c1p1_05-1.pgx	0	8	512	512	40	9.716
	c1p1_05-2.pgx	0	8	512	512	40	10.154
p1_06.j2k	c1p1_06-0.pgx	0	8	12	12	2	0.600
	c1p1_06-1.pgx	0	8	12	12	2	0.600
	c1p1_06-2.pgx	0	8	12	12	2	0.600
p1_07.j2k	c1p1_07-0.pgx	0	8	2	12	0	0
	c1p1_07-1.pgx	0	8	8	12	0	0

Table C.7 - Class 1 Profile-1 reference images and allowable error

# Annex D

# **Encoder compliance test procedure**

(This annex does not form an integral part of this Recommendation | International Standard.)

This annex defines testing compliance of an encoder. A J2K encoder may produce any subset of Rec. ITU-T T.800 | ISO/IEC 15444-1, but whatever it does produce shall be a conforming JPEG 2000 codestream. Similarly, an HTJ2K encoder may produce any codestream that conforms to Rec. ITU-T T.814 | ISO/IEC 15444-15.

# D.1 General

It is not a requirement to implement or support all possible encoding modes or capabilities of JPEG 2000. However, many modes and capabilities are desirable for many applications. The ATSs, as described in Annex C, are used to support the concepts in this subclause. It is impossible to define tests for every encoder variation. Therefore, it is left to the user to define the tests that are appropriate for a given implementation. This subclause gives guidance based on the abstract test procedures, the compliance statement, and the procedures below.

# **D.2** Reference decoder

The reference decoder is used for the evaluation of compliance of an encoder. The reference decoder is defined in Rec. ITU-T T.804 | ISO/IEC 15444-5. The reference decoder has been developed by the ISO/IEC JTC 1/SC 29/WG 1 committee for the purpose of guidance for implementers and data providers. The reference decoder should be able to decode all encoder developed codestreams that fall within reasonable limits of encoder applications.

# **D.3** Compliance requirement and acceptance

It is not a requirement for an encoder to produce any specific codestream. However, any codestream that is produced shall be a legal JPEG 2000 codestream. A reasonable way that this can be verified is by decoding the codestream with the reference decoder.

This test procedure is adequate for many but not all possible encoder implementations. The reference decoder is limited in some parameters (such as the number of components and component bit-depth) and may not support all possible legal codestreams. On the other hand, the reference decoder may be sufficiently resilient to ignore codestream syntax errors in some cases.

#### **D.4** Encoding compliance test procedure

Figure D.1 shows the flow for the encoder compliance test. The steps for this compliance test are as follows.

- a) Select a test image that represents the type of imagery that the encoder is designed to compress. The reference decoded images provided for decoder compliance tests are acceptable but not required.
- b) Encode with the encoder under test.
- c) Send the codestream to the reference decoder.
- d) An encoder is found to be compliant if the reference decoder can fully decode the image.
- e) Repeat steps a) to d) for all parameters for which the encoder is designed. These parameters (tile size, number of decomposition levels, bitrates, etc.) should be varied to the extent to which the encoder will be used.
- f) Repeat steps a) to e) for several test images, sampling the breadth of imagery types (small image size, large image size, odd image sizes, number of components, components depths, component sampling) the encoder is designed to compress.

If the codestream output by the encoder is embedded within other file formats, it is the responsibility of the tester to strip away any preceding and trailing file information before sending the encoded codestream to the reference decoder.



#### I1: BEGIN

- I2: Test image
- I3: Compressed codestream
- I4: Reconstructed image
- S1: Select test image
- S2: Encode image (encoder under compliance test)
- S3: Modify compression parameters
- S4: Expand image (reference decoder)
- S5: Encoder passed this compliance test

- D1: Tested all images?
- D2: Tested all compression parameters?
- D3: Did decoder parse codestream without errors?
- D4: Is reconstructed image acceptable?
- R1: Encoder failed compliance
- R2: Encoder passes compliance test procedure

# Figure D.1 – Encoder compliance test block diagram

It is up to the implementer to establish requirements for accepting a reconstructed image. For example, a lossless encoder would be expected to produce a reconstructed image identical to the test image. Requirements for a lossy encoder might include obtaining peak error or MSE values in a specified range or similar evaluation.

# Annex E

# **Decoder implementation compliance statement**

(This annex does not form an integral part of this Recommendation | International Standard.)

# E.1 General

This annex allows the decoder IUT to describe its capabilities or image quality guarantees. Each system will claim a compliance point (profiles, Cclasses, file format) that will be used to define the compliance test procedures. Once it has defined a profile and Cclass, the IUT will be evaluated with all ETS corresponding up to and including the profile and Cclass being tested. Market applications may demand that a given system comply not only to a profile and Cclass, but also to an increase in one or more parameters (i.e., image size, number of components, bit-depth). Subclause E.3 describes the ability of systems to extend beyond the defined Cclasses for each profile.

# E.2 Decoder implementation compliance statement

The decoder IUT should select the Cclass to which it supports the given profile. The decoder IUT should also select if it supports the relevant JPEG 2000 file format, which is optional. If an IUT claims compliance to a given Cclass, it is required to meet all expectations of that Cclass as defined in Annex A and the ETSs defined in Annex C. Table E.1 shows the ICS for Cclasses, J2K profiles, HTJ2K derived sets and JP2/JPH file formats. A decoder which claims to be compliant with a given J2K profile shall support all J2K profiles that are subsets of the selected profile at the chosen Cclass. For example, if a system claims to be compliant with J2K Profile-1 Cclass 1, then the system shall be tested for J2K Profile-0 Cclass 1 and J2K Profile-1 Cclass 1. Similarly, if a system claims to be compliant with an HTJ2K derived set, then it shall also support all subsets of that derived set. For example, if a system claims to be compliant with DS1-HM Cclass 1h then the system shall be tested for compliance to DS1-HT Cclass 1, similarly, there is no need to show compliance at Cclass 0 when claiming Cclass 1; similarly, there is no need to show compliance at Cclass 0 when Claiming Cclass 1; similarly, there is no need to show compliance at Cclass 0 when ETSs are defined for later Cclasses they may require compliance with Cclass 1 or Cclass 1h. At the time of publication of this Recommendation | International Standard, there is no ETS for Cclass 2 or Cclass 2h. The file format can be supported at any given profile and Cclass. Table E.1 allows an IUT to quickly define level of compliance by marking each empty table entry with either supported or not supported.

Cclass	J2K Profile-0	J2K Profile-1	HTJ2K DS0-HT	HTJ2K DS0-HM	HTJ2K DS1-HT	HTJ2K DS1-HM
Cclass 0 / Cclass 0h						
Cclass 1 / Cclass 1h						
Cclass 2 / Cclass 2h						
File Format			Sı	ıpport		
JP2 file						
JPH file						

Table E.1 – ICS for defined Cclasses, profiles and derived sets

# E.3 Extended support

This Recommendation | International Standard defines parameters for compliance testing for two profiles, each with two derived sets, and three Cclasses, each with a corresponding HTJ2K derived Cclass. It is expected that an IUT will extend beyond the minimal parameters defined by these profiles and Cclasses. Tables E.2 to E.7 allow the IUT to claim capabilities beyond the parameters defined for the Cclasses in Table A.1 and Table A.2. The most common extensions beyond the defined Cclasses are expected to include the ability to decompress a greater image size, a larger number of components, or a higher component depth. The ability to decode a greater image size or larger number of components is directly related to the  $N_{cb}$  and  $L_{body}$  parameters. Therefore, the  $N_{cb}$  and  $L_{body}$  shall be extended to match the claimed image size and components. For example, a system may want to support more components (e.g., three components) than are required for Profile-1 Cclass 0 but does not wish to extend their system to the next Cclass. In this case, the IUT would indicate in Table E.2. The increase to three components will change the values in Table E.2 to the following: Components = 3,  $N_{cb} = 144$ , and  $L_{body} = 24576$  bytes. All other values would be the same for this implementation compliance statement. It is expected that test codestreams, not defined in this Recommendation | International Standard, may be required to test these capabilities.

Parameter	Cclass 0 minimum	IUT extensions to Cclass 0
Size $(W \times H)$	128 × 128	
Components	1	
Ncb	(HW/1024 + 32)C = 48	(HW/1024 + 32)C =
Ncomp	64	
$L_{ m body}$	$1/2(HWC) = 8\ 192$ bytes	1/2( <i>HWC</i> ) =
М	11	
Р	Low enough to allow 5-3I decoding of 9-7I data	
В	8	
$T_L$	3	
L	15	
Progressions	All "basic" progressions in COD, only need decode first progression per tile	
Tile Parts	Decode only first tile-part per tile	
Precincts	Decode first precinct per sub-band	

# Table E.2 – Extended capabilities for Cclass 0

# Table E.3 – Extended capabilities for Cclass 1

Parameter	Cclass 1 minimum	IUT extensions to Cclass 1
Size $(W \times H)$	2048 × 2048	
Components	4	
Ncb	(HW/256 + 128)C = 66048	(HW/256 + 128)C =
N <sub>comp</sub>	256	
$L_{ m body}$	$HWC/2 = 2^{23}$ bytes	<i>HWC</i> /2 =
М	15	
Р	16-bit fixed point implementation	
В	12	
$T_L$	6	
L	255	
Progressions	Limited only by number of levels, layers and components	
Tile Parts	Decode all tile-parts up to $N_{\rm cb}$ or $L_{\rm body}$ limits	
Precincts	Decode all precincts up to $N_{\rm cb}$ or $L_{\rm body}$ limits	

Parameter	Cclass 2 minimum	IUT extensions to Cclass 2
Size $(W \times H)$	16384 × 16384	
Components	256	
N <sub>cb</sub>	(HW/256 + 128)C = 268468224	(HW/256 + 128)C =
Ncomp	16 384	
Lbody	$HWC/64 = 2^{30}$	<i>HWC</i> /64 =
М	30	
Р	32-bit floating point implementation	
В	16	
$T_L$	12	
L	65 535	

Parameter	Cclass 2 minimum	IUT extensions to Cclass 2
Progressions	Limited only by number of levels, layers and components	
Tile Parts	Decode all tile-parts up to $N_{\rm cb}$ or $L_{\rm body}$ limits	
Precincts	Decode all precincts up to $N_{cb}$ or $L_{body}$ limits	

# Table E.4 – Extended capabilities for Cclass 2

# Table E.5 – Extended capabilities for derived Cclass 0h

Parameter	Cclass 0 minimum	IUT extensions to Cclass 0
Size $(W \times H)$ , Components,, Precincts	As in Table E.2	As in Table E.2
$M_{ m MAGB}$	11	

# Table E.6 – Extended capabilities for derived Cclass 1h

Parameter	Cclass 0 minimum	IUT extensions to Cclass 0	
Size $(W \times H)$ , Components,, Precincts	As in Table E.3	As in Table E.3	
$M_{ m MAGB}$	15		

# Table E.7 – Extended capabilities for derived Cclass 2h

Parameter	Cclass 0 minimum	IUT extensions to Cclass 0
Size $(W \times H)$ , Components,, Precincts	As in Table E.2	As in Table E.4
M <sub>MAGB</sub>	30	

# Annex F

# **Encoder implementation compliance statement**

(This annex does not form an integral part of this Recommendation | International Standard.)

# F.1 General

The only requirement for encoder compliance is to produce compliant codestreams; any other requirements using quality criteria (such as PSNR or MSE) are not part of this Recommendation | International Standard. There are an unlimited number of possible compliant codestreams. Defining tests for all possible compliant codestream variations is not possible. The compliance testing of an encoder IUT is supported by the ICS described in this annex, which helps define the compliance testing procedures and parameters in Annex D.

Marker	Marker value	Image header	Tile header	Bitstream	IUT usage
SOC	0xFF4F	Required			
CAP	0xFF50	HTJ2K Required			
Profile (PRF)	0xFF56				
CPF	0xFF59	HTJ2K Only			
SOT	0xFF90		Required		
Start of data (SOD)	0xFF93		Required		
EOC	0xFFD9			Required	
SIZ	0xFF51	Required			
COD	0xFF52	Required			
COC	0xFF53				
RGN	0xFF5E				
QCD	0xFF5C	Required			
QCC	0xFF5D				
POC	0xFF5F				
TLM	0xFF55				
PLM	0xFF57				
PLT	0xFF58				
PPM	0xFF60				
PPT	0xFF61				
SOP	0xFF91				
EPH	0xFF92				
CRG	0xFF63				
СОМ	0xFF64				

Table F.1 – Encoder implementation marker usage

# **F.2** Encoder description

Table F.1 describes required and optional markers that are used by an encoder. Each of the markers is defined as required or optional in each section of an encoded codestream (image header, tile header, bitstream). In Table F.1, white blocks labelled "required" are defined as required markers in that section of the encoded bitstream. The grey cells are optional and the black cells are not allowed. It is possible that an encoder may not support all optional markers, but it shall adhere to all required markers and marker segments. Table F.1 allows the tester to identify what markers are possible and the IUT usage of each marker. For example, an IUT may use the COC marker, but only allow it to be used twice in a given codestream, while CPF is optional in an HTJ2K codestream. Table F.1 allows the tester to identify which markers are possible and the IUT usage of each marker. For example, an IUT may use the COC marker, but only allow it to be used in an HTJ2K codestream, while CPF is optional in an HTJ2K codestream. Table F.1 allows the tester to identify which markers are possible and the IUT usage of each marker. For example, an IUT may use the COC marker, but only allow it to be used in an HTJ2K codestream, while CPF is optional in an HTJ2K codestream. Table F.1 allows the tester to identify which markers are possible and the IUT usage of each marker. For example, an IUT may use the COC marker, but only allow it to be used twice in a given codestream.

It may be possible that an encoder is fully compliant, but only allows user control over a selected set of encoder parameters. In this case, the IUT may only want to test those cases. For example, an encoder may support both tiles and precincts, but may force the user to use tiles of a given size because of consideration for the Cclass of the decoder system. Each of these markers and marker segments define parameters and capabilities of the encoder IUT.

Compliance testing parameters and ranges may be defined by the ICS. For example, an ICS may define  $X_{SIZ}$  and  $Y_{SIZ}$  to be limited to only power of two square images that are between the size of  $256 \times 256$  and  $2048 \times 2048$ . Therefore, in the compliance testing, all square power of two image sizes within the bounds (e.g.,  $256 \times 256$ ,  $512 \times 512$ ,  $1024 \times 1024$ , and  $2048 \times 2048$ ) should be tested. Values outside these should not be tested since the encoder does not claim to be compliant outside these values. Two marker segments play a role that is specific to HTJ2K codestreams: CAP is required in an HTJ2K codestream, while CPF is optional in an HTJ2K codestream. Table F.1 allows the tester to identify which markers are possible and the IUT usage of each marker. For example, an IUT may use the COC marker, but only allow it to be used twice in a given codestream.

# Annex G

# JP2 and JPH file format reader compliance testing procedures

(This annex does not form an integral part of this Recommendation | International Standard.)

# G.1 General

Every compliant JP2 file format reader shall be able to fully decode or partially decode the codestream contained within the file within the terms as defined in Annexes B and C. In addition, the reader shall also be able to properly interpret the colourspace of the decoded image data as specified within the file format. Minimal compliance for the interpretation of colourspace is defined as the possible upsampling of the decoded image data such that all decoded components are at the same resolution, and the transformation of the decoded image data to the standard red–green–blue (sRGB) colourspace for display on a typical computer monitor. While it is well understood that many applications will not convert images directly to the sRGB colourspace, the use of sRGB as a comparison point provides a simple and accurate way to compare output of an application under test with reference output.

While this compliance test is optional with respect to all implementations of the JPEG 2000 standard (as implementation of the JP2 file format is optional for compliant decoders), it is required and normative for all applications that claim compliance with the JP2 file format as defined in Rec. ITU-T T.800 (2019) | ISO/IEC 15444-1:2019, Annex I.

This normative compliance test includes the required test files. The compliance test is separated into two parts: first, decoding of the codestream contained within the JP2 file; and second, the interpretation of the colourspace of the decoded image data.

A compliant JPH file format reader shall be able to extract the embedded HTJ2K codestream and pass the associated compliance tests for decoding the codestream, as defined in Annexes B and C. It shall also be able to parse all defined boxes in the file that form part of the JPH file format specification, as detailed in in Rec. ITU-T T.814 (2019) | ISO/IEC 15444-15:2019, Annex E, ignoring any additional boxes in the file that are not understood by the reader. A compliant JPH file format reader is not required to interpret colourspace information or perform colourspace conversions, although this may be a requirement for specific applications.

# G.2 JP2 file compliance requirement and acceptance

A compliant file format reader shall first pass the compliance tests for decoding as defined in Annexes B and C. It shall also be able to properly interpret the colourspace of each test file. Unlike the compliance tests defined in Annexes B and C, this test does not differentiate between full and partial decode of the image. Any JP2 file format reader that can properly extract the codestream from a JP2 file and decode the codestream to sufficiently pass the tests in Annexes B and C passes the first part of the file format compliance test.

For the purposes of this test, all test images shall be fully decoded in order to minimize the number of reference images that have to be tested against. It is assumed that if a file format reader passes the tests in Annexes B and C, then it is capable of properly decoding any codestream contained within a JP2 file.

# G.3 Reading a JP2 file compliance test procedure

Figure G.1 shows the flow of the lossless decoder compliance test. A decoder is found to be compliant if the resulting test data, for the tests specified for a particular process, match, within the defined tolerances, to the reference test image.

- a) Each test file is decoded using the decoder under test.
- b) If the decoded components are not all at the same resolution, all components should be upsampled to the same resolution. While in general applications are not required to upsample the decoded data, and the particular method of interpolation is outside of the scope of this Recommendation | International Standard, this test requires the interpolation of the data in order to bring all images for comparison to a single state.
- c) Convert the decoded image data from the source colourspace (as indicated in the Colour Specification boxes within the JP2 file) to the sRGB colourspace. For readers that do not display images (i.e., printers), it is acceptable to convert the images to the desired output colourspace of the device. In this case, the reference output images shall also be converted to the same output colourspace for comparison.
- d) Compare the reconstructed image (converted image) to the reference sRGB output for that test file by computing the maximum difference between the two images.
- e) The peak error is compared with the bound for the test image.

Failure to meet the tolerance limits for a single image results in the decoder failing to be compliant for the file format test.



# Figure G.1 – JP2 file format reader compliance test block diagram

# G.4 JP2 file format test codestreams and images

# G.4.1 Test files

The test files for this ETS are in the directory **testfiles\_jp2**. The codestream names have the form **file#.jp2**, where # is the codestream number.

## G.4.2 Reference decoded images

The reference decoded images for this ETS are in the directory **reference\_jp2**. The filenames are of the form **jp2\_#.tif**, where # is the file number. A decoder shall produce all components listed. All codestreams within the files are decoded at full resolution.

#### G.4.3 Tolerances

The maximum allowable peak errors are listed in Table G.1, along with the size of the reference decoded images.

Test file	Reference filename	Components	Depth	Width	Height	Peak
file1.jp2	jp2_1.tif	3	8	768	512	4
file2.jp2	jp2_2.tif	3	8	480	640	4
file3.jp2	jp2_3.tif	3	8	480	640	4
file4.jp2	jp2_4.tif	1	8	768	512	4
file5.jp2	jp2_5.tif	3	8	768	512	4
file6.jp2	jp2_6.tif	1	12	768	512	4
file7.jp2	jp2_7.tif	3	16	480	640	4
file8.jp2	jp2_8.tif	1	8	700	400	4
file9.jp2	jp2_9.tif	1	8	768	512	4

Table G.1 – JP2 reference images and allowable error

#### G.4.4 Additional information regarding the JP2 test files

file1.jp2 and other test files contain the value 0x00000001 in the Compatibility List of the File Type box. This value does not correspond to any specified decoder or profile and is reserved for other ITU-T | ISO uses. Its inclusion in the test files is to ensure that decoders can decode files that contain items from the Compatibility List that are unknown to the decoder.

This information is provided solely to help correction of non-compliant decoders and is not guaranteed. All the test files conform to Profile-0. In addition:

- file1.jp2: Three 8-bit components in the sRGB colourspace. The components are in the standard order and are transformed using the RCT. This file also includes XML metadata. If a reader fails on this file, the likely cause is a problem with parsing of the boxes.
- file2.jp2: Three 8-bit components in the sRGB-YCC colourspace. All components are at the full resolution, but are stored in reverse order in the codestream. The JP2 file contains a Channel Definition box that correctly associates each physical component with the correct colour in the sRGB-YCC definition. If a reader fails on this file, the likely causes are an incorrect interpretation of the Channel Definition box or an error in the conversion from sRGB-YCC to sRGB.
- file3.jp2: Three 8-bit components in the sRGB-YCC colourspace, with the Cb and Cr components being subsampled 2x in both the horizontal and vertical directions. The components are stored in the standard order. If a reader fails on this file, the likely causes are an error in either resampling or in conversion from sRGB-YCC to sRGB.
- **file4.jp2**: One 8-bit component in the sRGB-grey colourspace. If a reader fails on this file, the likely cause is an error in parsing of the boxes.
- file5.jp2: Three 8-bit components in the ROMM-RGB colourspace, encapsulated in a JP2 compatible JPX file. The components have been transformed using the RCT. The colourspace is specified using both a Restricted International Colour Consortium (ICC) profile and using the JPX-defined enumerated code for the ROMM-RGB colourspace. If a decoder fails on this file, the likely cause is an incorrect implementation of the Restricted ICC Three-Component transformation.
- **file6.jp2**: One 12-bit component in the sRGB-grey colourspace. If a reader fails on this file, the likely cause is an error in the extraction of 8 bits from the 12-bit codestream.
- file7.jp2: Three 16-bit components in the e-sRGB colourspace, encapsulated in a JP2 compatible JPX file. The components have been transformed using the RCT. The colourspace is specified using both a Restricted ICC profile and using the JPX-defined enumerated code for the e-sRGB colourspace. If a reader fails on this file, the likely causes are an error in conversion from the 16-bit data to 8-bit, or an error in implementation of Restricted ICC support.
- file8.jp2: One 8-bit component in a gamma 1.8 space. The colourspace is specified using a Restricted ICC profile. If a reader fails on this file, the likely cause is the implementation of the Monochrome Input profile as part of Restricted ICC.

- file9.jp2: One 8-bit component, which is used as input to a 256-entry palette that maps the single component to three 8-bit components. The depaletized components are in the sRGB colourspace. If a reader fails on this test, the likely cause is the application of the palette to the single component.

## G.5 JPH file format test codestreams and images

#### G.5.1 Test files

The test files for this ETS are in the directory **testfiles\_jph**. The codestream names have the form **file#\_b\$.jph**, where # is the codestream number and '\$' is the  $B_{MAGB}$  value of the embedded HTJ2K codestream, and the '+++' suffix identifies specific features of the wrapping. As with the test codestreams in Annex C, HTJ2K encodings with multiple values of the  $B_{MAGB}$  parameter may be provided to facilitate the testing of implementations that comply with Cclass 0h, but not Cclass 1h. Where lossless representation of the source content requires a  $B_{MAGB}$  value larger than 15, three HTJ2K encodings are provided, including encodings at  $B_{MAGB}$  values of 11 and 15, so as to facilitate the testing also of implementations that comply with Cclass 1h, but not Cclass 1h, but not Cclass 2h.

#### G.5.2 Relationship between the JP2 and JPH test files

The first nine JPH files have been obtained by reversibly transcoding JP2 files, as described in Table G.1. The codestream number # for each of these JPH files corresponds to the codestream number of the J2K codestream embedded in the corresponding JP2 file. The properties of these nine JPH files are identical to those of the corresponding JP2 files, as documented in G.4.4.

Additional JPH files are provided to test specific features of the JPH file format. The reference decoded image data for these files is found within the directory **reference\_jph**, with filenames of the form **jph\_#\_+.tif**, where # is the file number and '+' is the codestream component. The codestreams embedded in these files are also obtained by transcoding losslessly compressed Profile-0 codestreams to HTJ2K codestreams and embedding them within the JPH file format. The specific features of interest in these files are as follows:

– file10.jph: Five 8-bit components, transformed and encoded reversibly without the RCT without any COLR box, using application-defined (CDEF typ=3) colour channel descriptions for the first four components and a global opacity channel (CDEF typ=1, asoc=0) for the fifth component. The 16-bit application-defined asoc values for the first four components are ASCII 2-character codes "GI", "RI", "BI", "GI" and the underlying components are assigned codestream registration offsets that are intended to reflect the locations of raw digital camera sensor samples with a Bayer Colour Filter Array (CFA). Readers may fail on this file if they are unable to process application-defined colour channels or they are expecting to find a COLR box within the JP2 header box.

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