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SERIES Q: SWITCHING AND SIGNALLING

Specifications of Signalling System No. 7 – Transaction  
Capabilities Application Part (TCAP)

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**FUNCTIONAL DESCRIPTION OF TRANSACTION  
CAPABILITIES**

Reedition of CCITT Recommendation Q.771 published  
in the Blue Book, Fascicle VI.9 (1988)

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## NOTES

- 1 CCITT Recommendation Q.771 was published in Fascicle VI.9 of the *Blue Book*. This file is an extract from the *Blue Book*. While the presentation and layout of the text might be slightly different from the *Blue Book* version, the contents of the file are identical to the *Blue Book* version and copyright conditions remain unchanged (see below).
- 2 In this Recommendation, the expression “Administration” is used for conciseness to indicate both a telecommunication administration and a recognized operating agency.

## **FUNCTIONAL DESCRIPTION OF TRANSACTION CAPABILITIES**

### **1 Introduction**

#### *1.1 General*

Transaction Capabilities (TC) provide functions and protocols to a large variety of applications distributed over exchanges and specialized centres in telecommunication networks.

The support of TC by terminal equipments is for further study.

The term “Transaction Capabilities” refers to Application layer services and protocols, called Transaction Capabilities Application Part, or TCAP, plus any supporting Presentation, Session and Transport layers services and protocols, called the Intermediate Service Part, or ISP.

To date, only Signalling System No. 7 MTP plus SCCP have been considered as network layer service providers. However, any standard OSI Network Layer might be used in place of the MTP plus SCCP, provided that the requirements of the applications supported by TC (e.g. service and performance requirements) can be met. This area requires further study.

Figure 1/Q.771 shows the general structure of TC. It shows that the Transaction Capabilities Application Part (TCAP) forms a part of layer 7 of the OSI Reference Model. The remainder of layer 7 is referred to as a TC-user. The Intermediate Service Part (ISP) covers layers 4 to 6.

Figure 2/Q.771 illustrates the situation of TC in the No. 7 Signalling System.

#### *1.2 Contents of the Recommendations Series Q.771-Q.775*

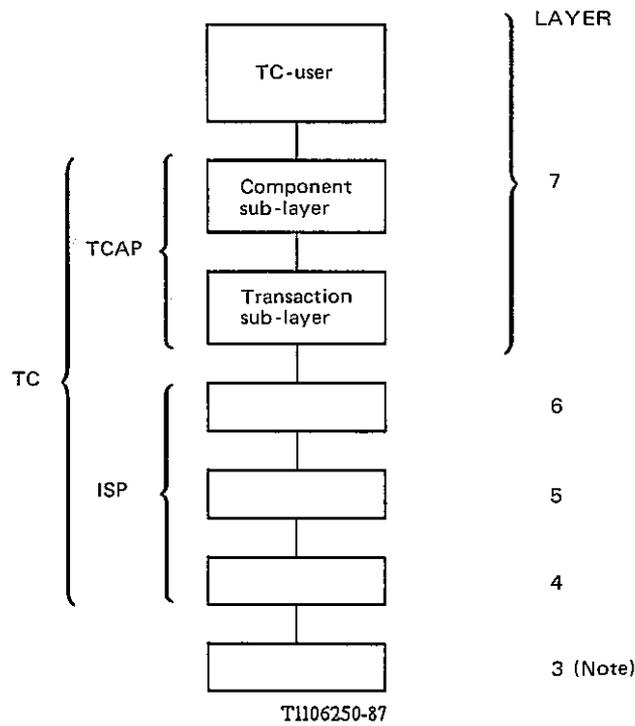
Recommendation Q.771 contains a general description of the services provided by the Transaction Capabilities, and the service expected from the SCCP.

Recommendation Q.772 defines the Transaction Capabilities Information Elements, and their functions.

Recommendation Q.773 defines the formats and encoding used for the Transaction Capabilities Messages. Annex A specifies the protocol data units using the ASN.1 formal notation (Recommendations X.208/X.209).

Recommendation Q.774 specifies the Transaction Capabilities procedures. Annex A to this Recommendation contains SDL diagrams for TC.

Recommendation Q.775 contains guidelines and examples on how to define applications and their use of TC.



*Note* – TC will be supported by No. 7 Network. Portability of TC will also permit support by other networks providing standard network services, connection oriented (X.213) or connectionless.

FIGURE 1/Q.771

**Structure of TC**

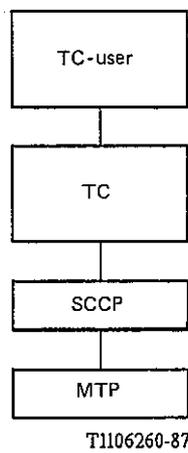


FIGURE 2/Q.771

**Situation of TC in the No. 7 Signalling System**

The present Recommendation contains both introductory information (chapters 1 and 2), and a detailed description (chapters 3 and 4), using primitives, of the services provided by TC. The reader interested in the first aspect only may read the first two chapters only; chapters 3 and on contain more detailed information.

### 1.3 *Objectives*

#### 1.3.1 *Definition of Transaction Capabilities*

The overall objective of Transaction Capabilities is to provide the means for the transfer of information between nodes, and to provide generic services to applications, while being independent of any of these.

#### 1.3.2 *Scope of Transaction Capabilities*

Transaction Capabilities in a Signalling System No. 7 network should be considered for use between:

- 1) exchanges
- 2) an exchange and a network service centre (e.g. data base, specialized facility unit, OA&M Centre).
- 3) network service centres.

The following applications have been recognized as TC-users:

- mobile service application (e.g. location of roamers)
- registration, activation and invocation of supplementary services involving specialized facility units (e.g. freephone service credit card service)
- non circuit control-related exchange of signalling information (e.g. closed user group, look-ahead procedure)
- operation and maintenance applications (e.g. query/response, bulk data transfer).

This list is not exhaustive.

These applications can be classified into two broad categories:

- real-time sensitive, with small amounts of data to be transferred
- less real-time sensitive, with possibly large amounts of data to be transferred.

A more precise definition of the boundary between these two categories requires further study. A given application is not compelled to belong to only one of these categories.

TC services offered to applications in the first category are based on a connectionless network service. They are introduced in § 2.3, and further described in chapter 3 of this Recommendation.

TC services offered to applications in the second category are based on a connection-oriented network service. They are introduced in § 2.4, and further described in chapter 4 of this Recommendation.

The mechanism for selecting a category is for further study.

## **2 Overview**

### 2.1 *Terminology*

The following terms are used throughout the Q.77x Series of Recommendations and are defined in the Signalling System No. 7 glossary: class of operation; component correlation; component portion; dialogue; information element; Intermediate Service Part; linked operation; operation; reply; result; tag; transaction; Transaction Capabilities; Transaction Capabilities Application Part; transaction portion.

### 2.2 *Structure of TC*

#### 2.2.1 *Architectural concepts*

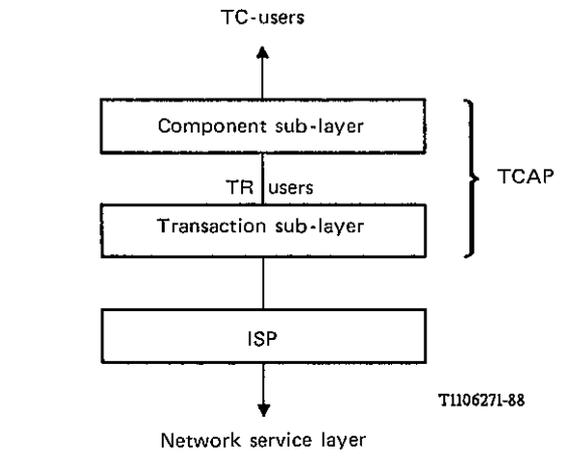
The OSI protocol reference model (Recommendation X.200) is used to model TC.

From an end-user point of view, Transaction Capabilities for initially planned services lie within the Network layer of the OSI model. Provision of network layer services to end-users requires communication between TC-users at various network nodes; these intra-network communications may in turn be modelled using the 7-layer reference model of OSI.

TCAP is structured in two sub-layers:

- the component sub-layer, which deals with individual actions or data, called components
- the transaction sub-layer, which deals with the exchange of messages containing components between two TC-users.

This is illustrated by Figure 3/Q.771.



**FIGURE 3/Q.771**  
**Structure of TC**

### 2.2.2 Addressing issues

When TC uses the Signalling System No. 7 network service, the addressing options supported by the SCCP are used.

When other network layer service providers are used, the addressing options supported by these providers will be used; further study on this area is required.

### 2.2.3 Management aspects

For further study.

### 2.2.4 Alignment of TCAP with X.219 and X.229 (ROSE)

The Component sub-layer of TCAP is in partial alignment with the capabilities of the Remote Operation Service Element (ROSE). The current status of TCAP and ROSE alignment is on the basis of protocol alignment, namely the X.229 protocol is contained within the TCAP component protocol. In addition, the Component sub-layer includes some extensions to ROSE. Service alignment on the primitive interface to TC/ROSE users is for further study.

The X.219 Remote Operation Service provides five classes of operations. Class 1 is synchronous, reporting both success and failure. Classes 2 to 5 are asynchronous and correspond to the TCAP operation classes 1 to 4. TCAP has not adopted ROSE class 1 (synchronous), because the full-duplex mode of operation is used in TCAP. TC-users may use the TCAP operation class 1 in a synchronous mode if appropriate. Further details are given in Recommendation Q.775.

## 2.3 TC Based on a Connectionless Network Service

### 2.3.1 Architecture

This chapter defines a class of TC services based on a connectionless network service, in this case, no functionality is provided by the ISP, and TCAP interfaces directly with the SCCP, as represented on Figure 4/Q.771.

The class of TC services is selected by the TC-user on the basis of a Quality of Service parameter.

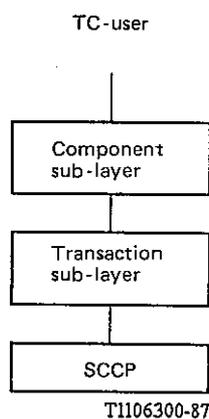


FIGURE 4/Q.771

**Structure of TC based on a connectionless network service**

2.3.2 *Service Provided by the Component Sub-layer*

2.3.2.1 *Component*

A component consists of a request to perform an **operation**, or a **reply**.

An operation is an action to be performed by the remote end. It may have associated parameters. An invocation of an operation is identified by a component ID; this allows several invocations of the same or different operations to be active simultaneously.

One or more replies may be sent to an operation.

The ability for TC-users to exchange components which are neither operation invocations, nor replies, is for further study.

Components are passed individually between a TC-user and the Component sub-layer. The originating TC-user may send several components to the Component sub-layer before these are transmitted (in a single message) to the remote end. Whenever several components are received in a single message, each one is delivered individually to the destination TC-user.

Components in a message are delivered to the remote TC-user in the same order as they are provided at the originating interface. The importance of the order is by prior agreement between the TC-users involved.

2.3.2.2 *Dialogue*

Successive components exchanged between two TC-users in order to perform an application constitute a dialogue. The Component sub-layer provides dialogue facilities, allowing several dialogues to run concurrently between two given TC-users.

Two kinds of facilities are provided: unstructured and structured.

2.3.2.2.1 *Unstructured dialogue*

TC-users send components that do not expect replies without forming an explicit association between themselves. This is referred to as the unstructured dialogue case. The implicit association always exists between the communicating TC-users. When one TC-user sends a unidirectional message to its peer, this indicates use of the unstructured dialogue facility. A TC-user may have any number of operations active at any given time, the maximum number is dependent on the unique invoke IDs available to it at any time.

When a TC-user is a receiver of a unidirectional message, if a protocol error is to be reported, it is also returned in a unidirectional message.

2.3.2.2.2 *Structured dialogue*

Alternatively, TC-users indicate the beginning, or the formation of an association, the continuation, and the end of a dialogue; this is referred to as a structured dialogue. Using a structured dialogue allows two TC-users to run several dialogues concurrently, each being identified by a particular dialogue ID. Each dialogue ID has a separate invoke ID name space, thus allowing duplication of invoke IDs in different dialogues. In sequence delivery of messages may be provided by means of application protocols, or by use of the appropriate class of service.

When using the structured dialogue service, the TC-user has to indicate one of the following three possibilities when sending a component to its peer entity:

- i) a dialogue begins;
- ii) a dialogue continues: full-duplex exchange of components is possible;
- iii) a dialogue ends: the sending side will not send more components, nor will it accept any more components from the remote end.

### 2.3.2.3 *Component Correlation*

The Component sub-layer provides the following facilities:

- a) association of operations and replies

The value of the invoke ID, which identifies an operation invocation without ambiguity, is returned in a reply to that invocation.

Four classes of operations are considered:

- class 1: both success and failure are reported
- class 2: only failure is reported
- class 3: only success is reported
- class 4: neither success, nor failure is reported.

The replies to an operation consist of one or more components. Where necessary, the TC-user provides segmentation of a successful result. In addition, any number of linked operations may be sent prior to the last component of the reply.

Any kind of component, except a reject component, may be rejected. Rejection of a result causes termination of the corresponding operation; rejection of a linked operation does not affect the linked-to operation.

A TC-user may cancel an operation which it has previously invoked. No reply for this invocation will be accepted afterwards.

The last component may be:

- a return result indicating success
- a return error indicating operation failure
- a reject indicating a syntax error.

- b) abnormal situations handling

The Component sub-layer covers a number of abnormal situations in relation with a component:

- component reject: when the Component sub-layer receives a malformed component, or a component which violates the rules of exchange of operations and replies, it informs the TC-user(s)
- operation expiry: when the Component sub-layer detects that a class 1, 2 or 3 operation has not received a final reply after some amount of time (which depends on the operation), it releases the corresponding invoke ID and informs the TC-user. Note that this situation is abnormal only in the case of a class 1 operation. Application of this to class 4 operations is a local matter.

### 2.3.2.4 *Error handling*

When the Component sub-layer is informed of a situation which prevents it from providing the service expected by the TC-users, it will notify the TC-user, and may terminate the pending operations.

A TC-user may also decide to abort a dialogue, which puts an end to any pending operation.

### 2.3.3 *Service provided by the Transaction Sub-layer*

The Transaction sub-layer provides the capability for the exchange of components between TR-users. The transaction sub-layer also provides the capability to send transaction messages between peer TR-layer entities by means of the services provided by the lower layer network services. The only foreseen TS-user for the moment is the component sub-layer. Two types of service are provided:

### 2.3.3.1 *Unstructured dialogue*

There is no explicit initiation, or termination associated with an unstructured dialogue. The only facility provided to the TC-user is the capability to send one, or several components that do not expect replies (invocation of class 4 operations) grouped in a unidirectional message to the remote TR-user.

At the originating side, the TC-user indicates the components to be sent in a unidirectional message by means of primitives of the request type containing a unique dialogue ID. When the TC-user issues a TC-UNI request primitive with the same dialogue ID, all the components with the same dialogue ID are sent as user data to the transaction sub-layer by means of the TR-UNI primitive by the component sub-layer. At the transaction sub-layer message level, the unidirectional message does not contain any transaction ID thereby providing no association between messages of this type. The dialogue ID is used to send a group of components in a UNI message to a particular destination address.

### 2.3.3.2 *Structured dialogue*

The structured dialogue facility allows a TC-user to start a dialogue, exchange components within this dialogue, terminate it, or abort it.

Each TR-user identifies a transaction by a separate transaction ID. The following facilities are provided:

- transaction begin: the beginning of a transaction between two TR-users causes a transaction ID to be allocated to this transaction, and permits sending TR-user information to the destination TR-user. In response to transaction begin, the destination TR-user may continue the transaction, or end it.
- transaction continuation: allows full-duplex exchange of messages between TR-users inside a transaction.
- transaction end: release the associated transaction ID, and puts an end to the exchange of messages inside this transaction. Either of the TR-users may decide to end a transaction. There are three ways for the TR-user to terminate a transaction:
  - 1) prearranged end: a convention exists between the TR-users; each of them may decide to terminate the transaction without having to inform the peer TR-user, which will take a similar decision on its own
  - 2) basic end: it informs the peer TR-user, possibly sending TR-user information to it.
  - 3) transaction abort: causes the abandonment of any message of the transaction for which transmission or delivery is pending, and ends the transaction. The reason for aborting the transaction is indicated to the remote TR-user.
- if, for some reason, no response of any kind is received to transaction begin, the Transaction sub-layer will eventually abort this transaction and inform the TR-user. This is a local option.
- transaction abort by TCAP: whenever one of a list of abnormal situations is detected, the Transaction sub-layer decides to abort the corresponding transaction and informs the TR-users.
- exception reporting: the Transaction sub-layer may report to TR-users abnormal situations of which it is notified by the underlying layer.

When the TR-user is the Component sub-layer:

- a) there is a one-to-one mapping between a dialogue and a transaction,
- b) a message may contain zero, one or more components, within the limits of the message size supported by the underlying layer.

## 2.4 *TC Based on a connection-oriented network service*

For further study.

## **3 Service provided by TC based on a connectionless network service**

### 3.1 *Component Sub-layer*

#### 3.1.1 *Overview of Component Sub-layer primitives*

Tables 1/Q.771 and 2/Q.771 give an overview of the primitives to/from the TC-users, and contain references to the sections of this Recommendation where these primitives are described in detail.

Table 1/Q.771 shows the TC-primitives relating to dialogue handling. The purpose of these primitives is to request or indicate facilities of the underlying (sub)-layer, in relation with message transmission or dialogue handling. When the Transaction Sub-layer is used to support the dialogue, these primitives map onto TR-primitives with the same generic name, as there is a one to one relationship between a dialogue and a transaction.

TABLE 1/Q.771  
**Primitives for dialogue handling**

Name	Type	Section
TC-UNI	Request Indication	3.1.2.2.1
TC-BEGIN	Request Indication	3.1.2.2.2.1
TC-CONTINUE	Request Indication	3.1.2.2.2.2
TC-END	Request Indication	3.1.2.2.2.3
TC-U-ABORT	Request Indication	3.1.2.2.2.3
TC-P-ABORT	Indication	3.1.4.2

- TC-UNI: requests/indicates an unstructured dialogue.
- TC-BEGIN: begins a dialogue.
- TC-CONTINUE: continues a dialogue.
- TC-END: ends a dialogue.

Each of the previous primitives causes any component(s) previously passed on the interface for the referenced dialogue to be delivered to the remote end (except TC-END with prearranged end).

- TC-U-ABORT: allows a TC-user to terminate a dialogue abruptly, without transmitting any pending components.
- TC-P-ABORT: informs the TC-user that the dialogue has been terminated by the service provider (i.e. TC Transaction sub-layer) in reaction to a transaction abort by the Transaction sub-layer. Any pending components are not transmitted.

Table 2/Q.771 shows the TC-primitives for component handling. The main purpose of these primitives is to handle operations and replies; these primitives do not as such require facilities from the underlying (sub)-layer.

TABLE 2/Q.771

**Primitives for component handling**

Name	Type	Section
TC-INVOKE	Request Indication	3.1.3.2
TC-RESULT-L	Request Indication	3.1.3.3
TC-RESULT-NL	Request Indication	3.1.3.3
TC-U-ERROR	Request Indication	3.1.3.4
TC-L-CANCEL	Indication	3.1.3.6
TC-U-CANCEL	Request	3.1.3.6
TC-L-REJECT	Indication	3.1.4.1
TC-R-REJECT	Indication	3.1.4.1
TC-U-REJECT	Request Indication	3.1.3.5

- TC-INVOKE: invocation of an operation, which may be linked to another operation invocation
- TC-RESULT-L: only result or last part of the segmented result of a successfully executed operation
- TC-RESULT-NL: non-final part of the segmented result of a successfully executed operation
- TC-U-ERROR: reply to a previously invoked operation, indicating that the operation execution failed
- TC-L-CANCEL: informs the TC-user locally that an operation invocation is terminated due to a timeout condition
- TC-U-CANCEL: causes local termination of an operation invocation, as a consequence of a TC-user decision
- TC-L-REJECT: (local reject) informs the local TC-user that a Component sub-layer detected invalid component was received
- TC-R-REJECT: (remote reject) indicates that TCAP detected an invalid component
- TC-U-REJECT: rejection of a component by the TC-user, indicating a malformation which prevents the operation from being executed, or the reply from being understood

The various primitives associated with component and dialogue handling are described with their parameters. The following notation is used:

- (M) indicates a mandatory parameter
- (O) indicates an optional parameter

FS indicates that further study is required

A blank indicates that the parameter is not applicable

(=) indicates that the parameter must have the same value in a request primitive and in the corresponding indication primitive.

This notation applies throughout this Recommendation.

### 3.1.2 *Dialogue Handling*

Dialogue handling provides facilities for the exchange of components within a dialogue.

#### 3.1.2.1 *Definition of Parameters*

This section defines the parameters used with the primitives associated with dialogue handling.

Address parameters: two address parameters are used: the “Destination Address” and the “Originating Address” parameters. These parameters identify respectively the destination and originating TC-user.

“Components Present”: indicates whether any components will be received; when no component is being transmitted, it indicates that the list is empty, other wise it indicates a sequence (see § 3.1.3.8) of components which are associated with the dialogue handling primitive. The “Components Present” parameter is used in primitives of the indication type only.

“Dialogue ID”: this parameter also appears in the component handling primitives, and is used to associate components with a dialogue. The same dialogue ID must be used within the same dialogue, or a unidirectional primitive. In a unidirectional primitive the same dialogue ID assures all components with the identical dialogue ID are blocked together in the same unidirectional message destined for the same destination address. For structured dialogues, the dialogue ID is used to identify all the components belonging to the same dialogue from the beginning of the dialogue to its end. The dialogue ID maps onto the IDs exchanged in the messages between a pair of nodes.

“P-ABORT”: contains information indicating the cause for which TCAP decides to abort a dialogue.

“Parameters”: contains the parameter(s) to be sent to the remote TC-user in association with an operation invocation, a reply, or a dialogue abort. This information is not analysed by TCAP.

“Quality of Service”: the TC-user indicates the acceptable quality of service. The default value of this parameter corresponds to the underlying service defined in § 3.4. Other Quality of service is for further study.

“Termination”: indicates which scenario is chosen by the TC-user for the end of the dialogue (prearranged or basic).

“User Abort Information”: the TC-user may include information related to a TC-user-initiated abort.

#### 3.1.2.2 *Dialogue facilities*

The dialogue facilities allow a TC-user to exchange components with a peer TC-user to perform a distributed application. The unidirectional message facility may be used to send class 4 operation invocations and reports of protocol errors in these invocations from either TC-user using an unstructured dialogue. The structured dialogue facilities provide the capability to explicitly initiate a transaction, exchange components within the dialogue, terminate it, or abort it.

##### 3.1.2.2.1 *Unstructured dialogue*

There is no initiation or termination associated with an unstructured dialogue; the only facility provided is the request for transmission of one, or several components invoking class 4 operations or reporting protocol errors in these invocations, grouped in a message to the remote TC-user.

Components to be transmitted have been previously passed to the component sub-layer by means of component handling primitives of the “request” type.

The use of the unstructured dialogue facility is indicated by issuing a TC-UNI primitive, as described in Table 3/Q.771.

At the originating side, a TC-UNI request primitive is issued to request transmission to the remote TC-user of all the components which have been passed to the component sub-layer with the same dialogue ID.

At the receiving side, the destination TC-user is informed that one or more component(s) have been received by means of a TC-UNI indication primitive. The parameters in this primitive apply to all the components being received; these components will actually be delivered by means of component handling primitives of the indication type.

TABLE 3/Q.771  
**TC-UNI Primitives**

Parameter	Primitive: TC-UNI	
	Request	Indication
Quality of service	FS	
Destination address	M	M <sup>a)</sup>
Originating address	M <sup>a)</sup>	M (=)
Dialogue ID	M <sup>b)</sup>	
Components present	M	M (=)

- a) This parameter may be implicitly associated with the access point at which the primitive is issued.  
b) This parameter has only local significance.

### 3.1.2.2.2 Structured dialogue

The structured dialogue facility allows a TC-user to start a dialogue, exchange components within this dialogue, terminate it, or abort it. It provides for Transaction IDs in the transaction messages that provide a unique association among the related transaction messages.

#### 3.1.2.2.2.1 Beginning of a dialogue

A TC-user begins a new dialogue by issuing a TC-BEGIN request primitive. The purpose of this primitive is:

- to indicate to the Component sub-layer that a new dialogue starts, identified by the Dialogue ID parameter of the primitive;
- to request transmission of any component(s) previously passed to the Component sub-layer by means of component handling primitives of the “request” type with the same Dialogue ID.

A TC-BEGIN request primitive may be issued prior to passing any component to the Component sub-layer.

At the receiving side, the destination TC-user is informed that a new dialogue starts by means of a TC-BEGIN indication primitive. The presence of component(s) is indicated by the Components Present.

Table 4/Q.771 describes the TC-BEGIN primitives.

TABLE 4/Q.771  
**TC-BEGIN Primitives**

Parameter	Primitive: TC-BEGIN	
	Request	Indication
Quality of service	FS	FS
Destination address	M	M <sup>a)</sup>
Originating address	M <sup>a)</sup>	M (=)
Dialogue ID	M	M
Components present		M

a) This parameter may be implicitly associated with the access point at which the primitive is issued.

3.1.2.2.2.2 *Dialogue continuation*

A TC-user indicates that it wants to continue a dialogue by issuing a TC-CONTINUE request primitive. This primitive requests transmission of any component(s) that have been passed to the Component sub-layer for this dialogue, since the last TC-BEGIN or TC-CONTINUE request primitive was issued for this dialogue.

At the receiving side, the TC-CONTINUE indication primitive indicates:

- that the dialogue may continue
- that components are being delivered (if the Components Present parameter does not indicate “empty”).

Table 5/Q.771 describes the TC-CONTINUE primitives.

TABLE 5/Q.771  
**TC-CONTINUE Primitives**

Parameter	Primitive: TC-CONTINUE	
	Request	Indication
Dialogue ID	M	M
Components present		M

3.1.2.2.2.3 *End of a dialogue*

Three scenarios are provided to TC-users to end a dialogue:

- prearranged end
- basic end
- abort by the TC-user.

Dialogue ending uses the TC-END request and indication primitives described in Table 6/Q.771. The TC-END request primitive indicates which scenario is being used for the dialogue.

TABLE 6/Q.771  
**TC-END Primitives**

Parameter	Primitive: TC-END	
	Request	Indication
Dialogue ID	M	M
Components present		M
Termination	M	

a) prearranged end

In this scenario, TC-users have decided by prior arrangement when to end the dialogue: the effect of the TC-END primitive is purely local; no TC-END indication is used.

No component can be sent or received for the dialogue once the TC-END request primitive has been issued.

b) basic end

In this scenario, the ending causes transmission of any pending components at the side which initiates it. Note, however, that any components for which transmission would be pending in the reverse direction will not be delivered.

The basic scenario uses the TC-END primitives for two purposes:

- delivery of any component(s) that has been passed to the Transaction sub-layer, and for which transmission is pending
- indication that no more components will be exchanged for this dialogue in either direction.

c) abort of a dialogue by a TC-user

The TC-user has the ability to request immediate ending of a dialogue without taking into account any pending operation invocation (abort). When doing so, the TC-user may provide end to end information indicating the cause of the abort and diagnostic information; this information is transported by TCAP without analysis.

The TC-U-ABORT request and indication primitives are used to indicate abort by the TC-user; Table 7/Q.771 describes these primitives.

TABLE 7/Q.771

**TC-U-ABORT Primitives**

Parameter	Primitive: TC-U-ABORT	
	Request	Indication
Dialogue ID	M	M
User abort information	O	O (=)

3.1.3 *Component Handling*3.1.3.1 *Definition of Parameters*

This section defines the parameters used with the primitives associated with component handling.

“Class”: see § 2.3.2.3.

“Dialogue ID”: relates components to a specific dialogue.

“Invoke ID”: identifies an operation invocation.

“Linked ID”: links an operation invocation to a previous operation invocation.

“Error”: contains information provided by the TC-user when an operation returns failure. This information is not analysed by TCAP.

“Last Component”: is used in primitives of the “indication” type only, to designate the last component of a message. Note that indication of the last part of the result of an operation is via the name of the primitive.

“Operation”: identifies the action to be executed by a TC-user on request of another TC-user.

“Parameters”: contains any parameters accompanying an operation, or provided in reply to an operation.

“Problem Code”: identifies the cause for rejecting a component.

“Timeout”: indicates the maximum lifetime of a component ID. It is used to handle cases where operations do not receive any expected reply.

3.1.3.2 *Operation Invocation*

An operation invocation is requested to the Component sub-layer by means of a TC-INVOKE request primitive. When this invocation is linked to a previous operation, the Linked ID parameter is used.

A corresponding TC-INVOKE indication primitive is used to indicate operation activation to the destination TC-user.

Table 8/Q.771 shows the primitives associated with operation invocation.

TABLE 8/Q.771

**Operation invocation primitives**

Parameter	Primitive: TC-INVOKE	
	Request	Indication
Dialogue ID	M	M <sup>a)</sup>
Class	M	
Invoke ID	M	M (=)
Linked ID	O	O (=)
Operation	M	M (=)
Parameters	O	O (=)
Last component		M
Timeout	M	

a) Mandatory except for invocation of class 4 operation received in a unidirectional message.

### 3.1.3.3 *Report of success*

Success is reported to indicate that an operation (of class 1 or 3) has been executed by the remote TC-user. The operation is identified in the Invoke ID parameter. Several replies may be used to report success. The following primitives are used:

- TC-RESULT-L indicates the only or last segment of a result
- TC-RESULT-NL indicates a segment of a result (with more segments to follow)

There is no limitation on the number of segments.

The TC-RESULT-L and TC-RESULT-NL primitives are described in Table 9/Q.771. A primitive of the “request” type is used to pass a result from the TC-user to the Component sub-layer; a primitive of the “indication” type is used to deliver this result to the TC-user.

TABLE 9/Q.771

**Report of success primitives**

Parameter	Primitive	
	TC-RESULT-L TC-RESULT-NL Request	TC-RESULT-L TC-RESULT-NL Indication
Dialogue ID	M	M
Invoke ID	M	M (=)
Parameters	O	O (=)
Last component		M

3.1.3.4 *Report of failure*

A TC-user receiving a (class 1 or 2) operation which it cannot execute, though it “understands” it, will issue a TC-U-ERROR request primitive, indicating the reason of the failure (Error parameter). The corresponding operation is identified by the Invoke ID parameter.

The TC-user which invoked this operation is informed by a TC-U-ERROR indication primitive.

Table 10/Q.771 describes the TC-U-ERROR primitives.

TABLE 10/Q.771

**Report of failure primitives**

Parameter	Primitive: TC-U-ERROR	
	Request	Indication
Dialogue ID	M	M
Invoke ID	M	M (=)
Error	M	M (=)
Parameters	O	O (=)
Last component		M

*Note* – Report of failure is a final reply.

### 3.1.3.5 *Reject by the TC-User*

A TC-user may reject any component (except a reject component) generated by its peer entity, which it considers incorrect. The cause for the rejection is indicated in the Problem Code parameter; separate parameters are available for the rejection of individual component types.

Any rejection of an invocation or a result terminates the operation. When a linked operation is rejected, the linked-to operation is not affected.

A TC-user rejects a component by means of the TC-U-REJECT request primitive, and is informed of rejection by the remote TC-user by means of the TC-U-REJECT indication primitive. These primitives are described by Table 11/Q.771.

TABLEAU 11/Q.771  
User rejection primitives

Parameter	Primitive: TC-U-REJECT	
	Request	Indication
Dialogue ID	M	M <sup>a)</sup>
Invoke ID	M	M (=)
Problem code	M	M (=)
Last component		M

a) Mandatory except for rejection of invocation of class 4 operation received in a unidirectional message.

### 3.1.3.6 *Cancel of an Operation*

The cancel facility terminates the corresponding operation invocation. It can be requested either by the TC-user, or by the Component sub-layer. In both cases, it has only local effect: no notification is sent to the remote end.

The Component sub-layer uses the cancel facility to inform the TC-user that the timer associated with a class 1, 2 or 3 operation has expired; the TC-L-CANCEL indication primitive is used for this purpose. The timer is run for all classes, but the reporting for class 4 operations is a local matter.

The TC-user uses the TC-U-CANCEL request primitive to inform the local Component sub-layer of a cancel decision. No component is sent.

Table 12/Q.771 describes the TC-CANCEL primitives.

TABLE 12/Q.771  
**TC-CANCEL Primitives**

Parameter	Primitive	
	TC-L-CANCEL indication	TC-U-CANCEL request
Dialogue ID	M	M
Invoke ID	M	M

### 3.1.3.7 *Grouping of Components inside a Message*

A sequence of components is obtained by passing one or several components with a given Dialogue ID to the Component Sub-layer between two successive requests for transmission (TC-BEGIN, TC-CONTINUE or TC-END request primitives), or before the first one (TC-BEGIN request), using the same Dialogue ID, or the only request for transmission (i.e. TC-UNI).

At the originating side, a list of components is delimited by TC-UNI, TC-BEGIN, TC-CONTINUE or TC-END request primitives.

At the destination side, a sequence of components starts with a primitive indicating transmission; its end is indicated by the “Last Component” parameter of the primitives which deliver components to a TC-user. The “Components Present” parameter in the transmission primitive indicates whether the sequence is empty, or not.

*Note* – Components grouped inside a message are delivered to the remote end in the same order as they are provided by the TC-user at the originating end.

### 3.1.4 *Abnormal situations*

#### 3.1.4.1 *Reject of a Component by the Component sub-layer*

When detecting that a received component is invalid, the Component sub-layer notifies the local TC-user by means of the TC-L-REJECT indication primitive. This primitive indicates the cause of the reject (Problem Code parameter) with sufficient information to make the retention of the failed component superfluous: whenever possible the Component Type and Component ID are indicated; otherwise a “general problem” cause is indicated. This information is passed to the TC-user, and also retained in the Component sub-layer which uses it to form a reject component.

Any type of component can be rejected. When the component to be rejected is itself identified as a reject component, rejection is purely local; when the rejected component is identified as an invoke or a result, the whole corresponding operation is considered as terminated; when it is a linked operation, this linked operation is terminated, but the linked-to operation is not affected.

When informed of a Component sub-layer reject, the local TC-user may decide to continue the exchange of components. If so, the remote TC-user is informed through the reject component sent when the local TC-user issues the next dialogue handling primitive.

If the Component sub-layer generated reject combined with accumulated components from the TC-user exceeds the message length limitations, then the TC-user, being aware of the reject component, must initiate two dialogue handling primitives. The Component sub-layer, also being aware of the length problem, will send all the components, except the reject, with the first primitive. The reject will be sent with the next dialogue handling primitive together with any further components provided by the TC-user.

Table 13/Q.771 describes the primitives used in relation with TCAP component rejection.

TABLE 13/Q.771

**Component sub-layer rejection primitive**

Parameter	Primitive	
	TC-L-REJECT indication	TC-R-REJECT indication
Dialogue ID	M	M <sup>a)</sup>
Invoke ID	O	O
Problem code	M	M
Last component		M

a) Mandatory except for rejection of invocation of a class 4 operation received in a unidirectional message.

3.1.4.2 *Dialogue abort*

Due to an abnormal situation, an underlying (sub-)layer may decide to abort the association between users; the dialogue has then to be aborted. All associated operations are terminated, and the TC-users are notified by means of TC-P-ABORT indication primitives. The P-abort parameter contains the cause for which it was decided to abort the dialogue.

The Component sub-layer does not decide on dialogue abort.

Table 14/Q.771 describes the TC-P-ABORT primitive.

TABLE 14/Q.771

**Primitive for TCAP Abort**

Parameter	Primitive
	TC-P-ABORT indication
Dialogue ID	M
P-abort	M

3.1.5 *Component states and state transition diagrams*

For a given component ID, component correlation takes place only at the side which originates the operation; for this ID, component states and state transition diagrams are defined at this side only. The other side just reflects the value of the component ID in an Invoke or a Linked ID.

The following states are defined:

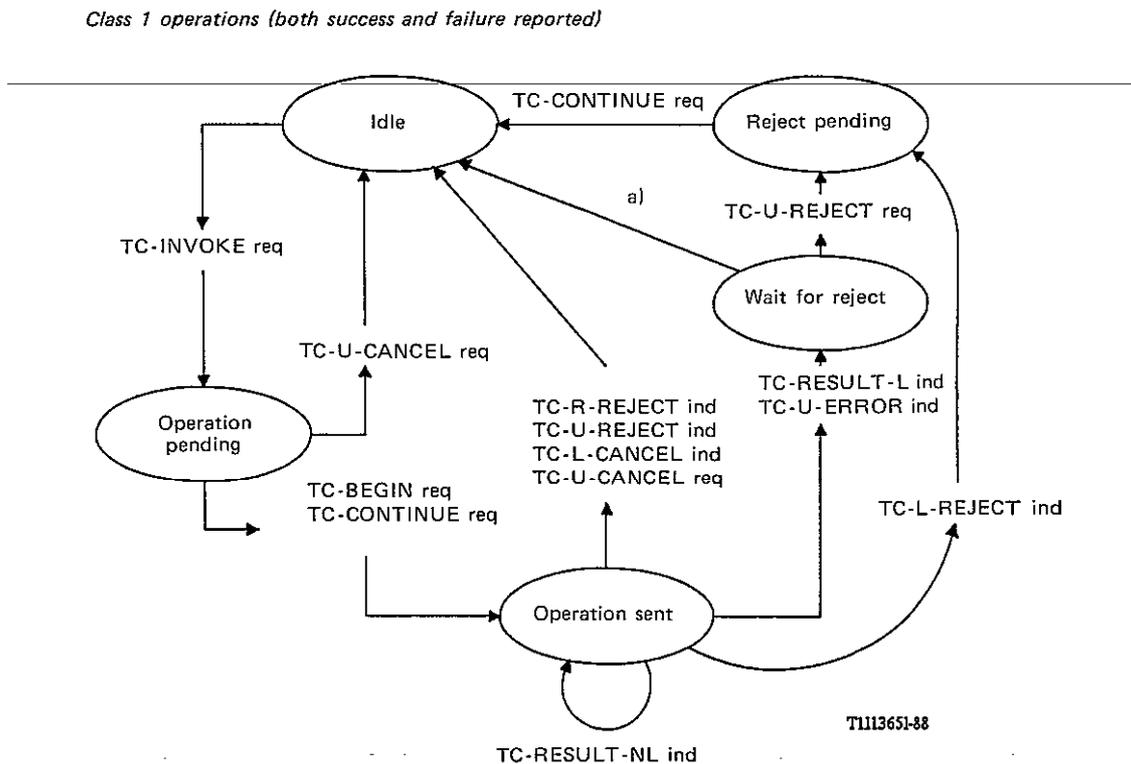
- Idle: no activity associated with the component ID
- Operation Pending: an operation has been passed to the Component sub-layer, but no request for transmission has been issued

- Operation Sent: an operation has been transmitted to the remote end, but no result has been received
- Wait for Reject: the result has been received; TCAP is waiting for its possible rejection by the TC-user
- Reject pending: reject of the result has been requested by the TC-user, but no request for transmission has been issued.

State transition diagrams are defined for the four classes of operations.

*Note 1* – Each of these diagrams corresponds to one component ID: the one indicated in the Invoke ID parameter; linked operations do not alter the state machine of the linked-to operation.

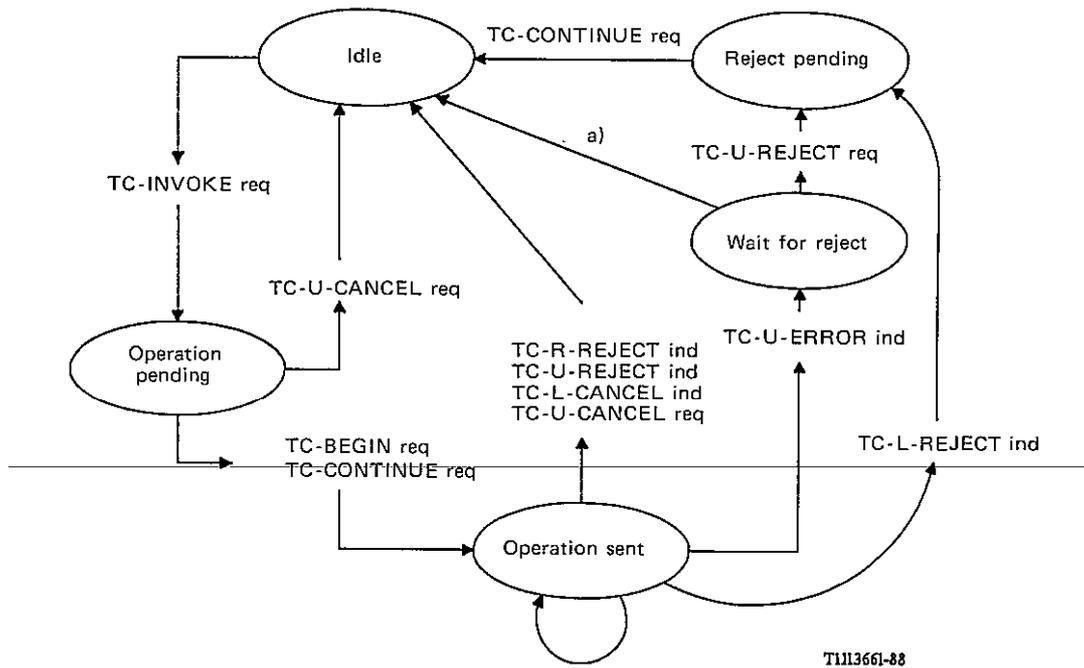
*Note 2* – TC-END request or indication primitives, TC-U-ABORT request or indication primitives, or the TC-P-ABORT indication primitive cause return to the “Idle” state of any component ID associated with the dialogue. Corresponding transitions are not represented on the diagrams.



a) This transition is based on an implementation-dependent mechanism. The TC-user is not formed in this case.

FIGURE 5/Q.771  
State transition diagram for Class 1 operations

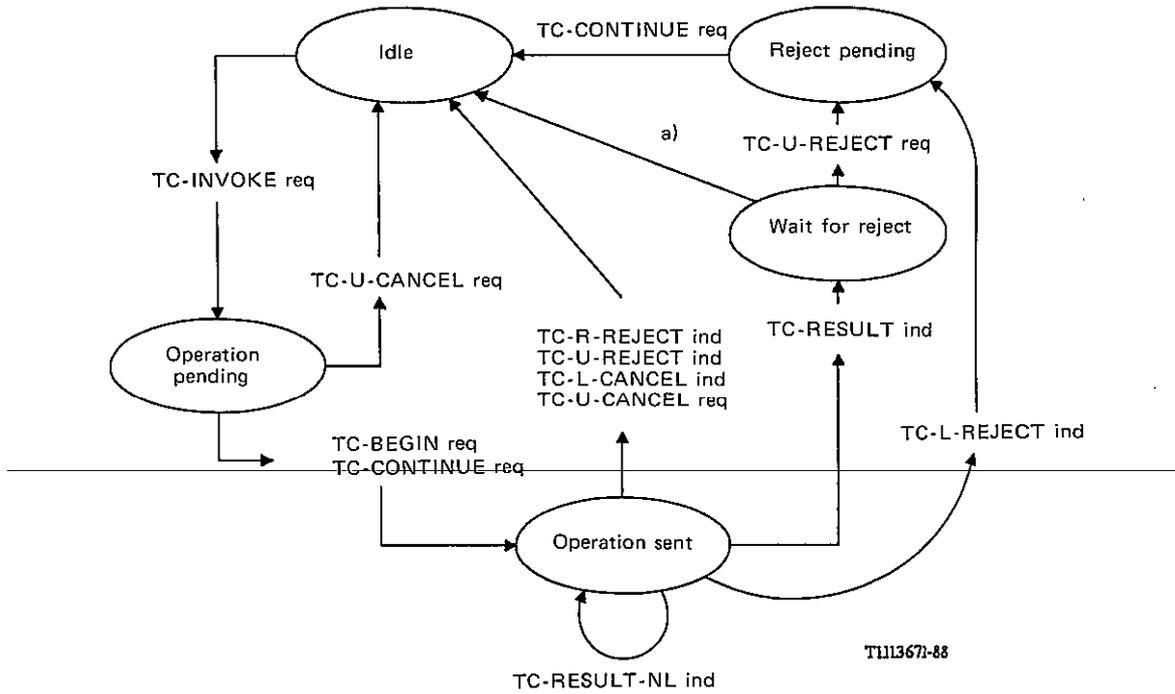
Class 2 operations (only failure reported)



a) This transition is based on an implementation-dependent mechanism. The TC-user is not informed in this case.

FIGURE 6/Q.771  
 State transition diagram for Class 2 operations

Class 3 operations (only success reported)

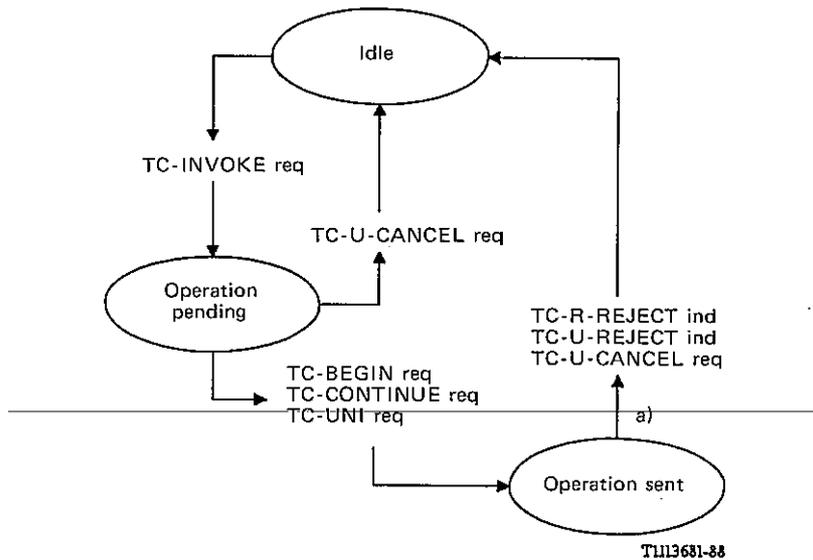


a) This transition is based on an implementation-dependent mechanism. The TC-user is not informed in this case.

FIGURE 7/Q.771

State transition diagram for Class 3 operations

Class 4 operations (neither success nor failure reported)



a) This transition can occur as a result of operation timeout expiry. Notification to the TC-user is a local matter.

FIGURE 8/Q.771

State transition diagram for Class 4 operations

3.1.6 *Mapping of Component sub-layer onto Transaction sub-layer*

When mapping the Component sub-layer onto the Transaction sub-layer, a one to one mapping exists between a dialogue and a transaction explicitly in the case of a structured dialogue, or implicitly in the case of an unstructured dialogue. It follows that there is a one to one relationship between dialogue handling primitives of the Component sub-layer and transaction handling primitives in the Transaction sub-layer; similar generic names have been chosen for the primitives to reflect this. The component handling primitives of the Component sub-layer have no counterpart in the Transaction sub-layer.

The correspondence between the two sub-layers is further described in Recommendation Q.774.

3.2 *Transaction Sub-layer*

3.2.1 *Overview of Transaction Sub-layer primitives*

Table 15/Q.771 gives an overview of the primitives between the TR users and the Transaction sub-layer. A detailed description of these primitives and their parameters is given in the next sections. For each primitive, Table 15/Q.771 indicates the relevant section.

TABLE 15/Q.771  
**Primitives for the transaction sub-layer**

Name	Type	Section
TR-UNI	Request indication	3.2.2
TR-BEGIN	Request indication	3.2.3
TR-CONTINUE	Request indication	3.2.4
TR-END	Request indication	3.2.5
TR-U-ABORT	Request indication	3.2.5.3
TR-P-ABORT	Indication	3.2.6.1

*Definition of the parameters:*

“Quality of Service”: the TR-user indicates the preferred quality of service. This is for further study.

“Destination Address”: identifies the destination TR-user.

“Originating Address”: identifies the originating TR-user.

“P-abort”: indicates the cause of the abort of a transaction by TCAP.

“Reason”: indicates the nature of an abnormal situation.

“Transaction ID”: a transaction is identified by a separate transaction ID at each end.

“Termination”: identifies the termination scenario chosen for the transaction (prearranged or basic).

“User Abort Information”: information related to a TR-user abort.

“User Data”: contains the information to be passed between TR-users.

### 3.2.2 Information Transfer In Unstructured Dialogue

Information may be sent from one TR-user to another TR-user without establishing an explicit association. In this case, the transaction sub-layer considers that there is no relationship among messages transmitted by this means.

The corresponding primitives are the TR-UNI request and indication primitives, described in Table 16/Q.771.

TABLE 16/Q.771  
TR-UNI Primitives

Parameter	Primitive: TR-UNI	
	Request	Indication
Quality of service	FS	–
Destination address	M	M <sup>a)</sup>
Originating address	M <sup>a)</sup>	M (=)
User data	M	M (=)

a) This parameter may be implicitly associated with the access point at which the primitive is issued.

### 3.2.3 Transaction begin

The transaction begin facility starts a transaction between two TR-users. This may be accompanied by the transfer of TR-user information (called user data in the following).

In order to begin a transaction, a TR-user issues the TR-BEGIN request primitive.

At the destination side, the TR-BEGIN indication primitive is used to inform the destination TR-user of the beginning of a transaction, and to deliver any accompanying user data.

Table 17/Q.771 describes the transaction begin primitives.

TABLE 17/Q.771

**Primitives for transaction begin**

Parameter	Primitive: TR-BEGIN	
	Request	Indication
Quality of service	FS	FS
Destination address	M	M <sup>a)</sup>
Originating address	M <sup>a)</sup>	M (=)
Transaction ID	M	M
User data	O	O (=)

a) This parameter may be implicitly associated with the access point at which the primitive is issued.

Figure 9/Q.771 shows the transaction state transitions during transaction begin. The following states are introduced:

- Idle (I): the transaction does not exist
- Init Sent (IS): the transaction just started at the originating side
- Init Received (IR): the transaction just started at the destination side.



FIGURE 9/Q.771

**State transitions for transaction begin****3.2.4 Transaction continuation**

Transaction continuation allows two TR-users to exchange messages in both directions inside a transaction. The TR-CONTINUE primitives are used for this purpose. They are described by Table 18/Q.771.

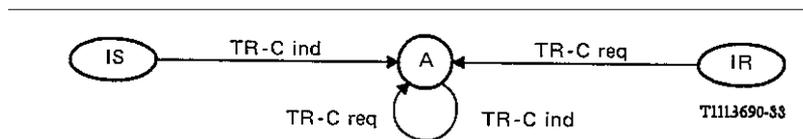
The Transaction sub-layer does not provide segmentation/reassembly or flow control.

State transitions associated with the continuation of a transaction are represented on Figure 10/Q.771, where state A (Active) indicates that the transaction was accepted by the remote end, and the transaction can be used to exchange messages in both directions.

TABLE 18/Q.771

**Transaction Continuation Primitives**

Parameter	Primitive: TR-CONTINUE	
	Request	Indication
Transaction ID	M	M
User Data	O	O (=)



*Note* – TR-C stands for TR-CONTINUE.

FIGURE 10/Q.771

**State transitions for transaction continuation**

3.2.5 *Transaction End*

Three facilities are provided to a TR-user to end a transaction:

- prearranged end
- basic end
- abort.

The first two facilities use the TR-END primitives; the Termination parameter indicates which option is selected. The TR-END primitives are described by Table 19/Q.771.

The last facility uses the TR-U-ABORT primitives described by Table 20/Q.771.

TABLE 19/Q.771  
**TR-END primitives**

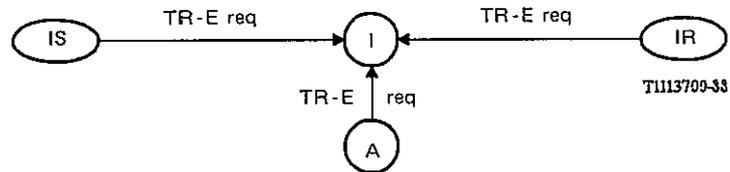
Parameter	Primitive: TR-END	
	Request	Indication
Transaction ID	M	M
Termination	M	
User data	O	O (=)

3.2.5.1 *Prearranged end*

When prearranged end has been selected, the procedure is purely local. Each TR-user may decide to end the transaction at any point in time, regardless of the current transaction state. The TR-END request primitive only is used: the remote TR-user is not informed, and should request transaction end on its own.

The User Data parameter should not be present in this case.

Figure 11/Q.771 shows the transaction state transitions for prearranged end of a transaction. The states are those defined in 3.2.3 and 3.2.4 above.



*Note* – TR-E stands for TR-END.

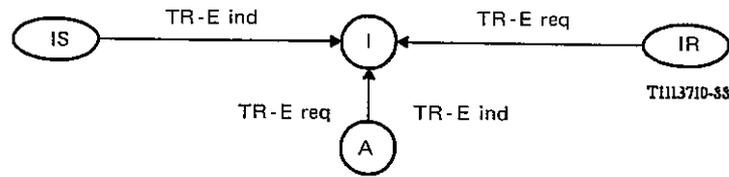
FIGURE 11/Q.771  
**State transitions for the prearranged end of a transaction**

3.2.5.2 *Basic end*

When basic termination has been selected, the TR-user requests the end of the transaction by issuing the TR-END request primitive indicating this option; the primitive may then contain User Data which is sent to the peer entity.

At the destination side, the TR-END indication primitive is used to inform the TR-user of the end of the transaction, and deliver any accompanying User Data.

Figure 12/Q.771 shows the transaction state transitions for the basic end of transaction. The states are those defined in §§ 3.2.3 and 3.2.4 above.



Note – TR-E stands for TR-END.

FIGURE 12/Q.771

State transitions for the basic end of a transaction

### 3.2.5.3 Transaction Abort by the TR-user

A TR-user may request the abort of a transaction at any moment; it uses for this purpose the TR-U-ABORT request primitive, which may optionally contain the cause of the abort, and/or additional end to end information. This information is contained in the User Abort Information parameter: it is transmitted without analysis to the peer entity. Any messages of the transaction for which transmission is pending are discarded.

A TR-user is informed of the decision of its peer entity to abort the transaction by means of the TR-U-ABORT indication primitive.

TR-U-ABORT primitives are described by Table 20/Q.771.

TABLE 20/Q.771

TR-U-ABORT Primitives

Parameter	Primitive: TR-U-ABORT	
	Request	Indication
Transaction ID	M	M
User Abort Information	O	O (=)

### 3.2.6 Abnormal situations

#### 3.2.6.1 Abort by the Transaction Sub-layer

The abort facility may be invoked by the Transaction sub-layer in reaction to abnormal situations. The possible reasons for such a decision are indicated in Recommendation Q.774.

Transaction abort causes the abandonment of any message of the transaction for which transmission is pending.

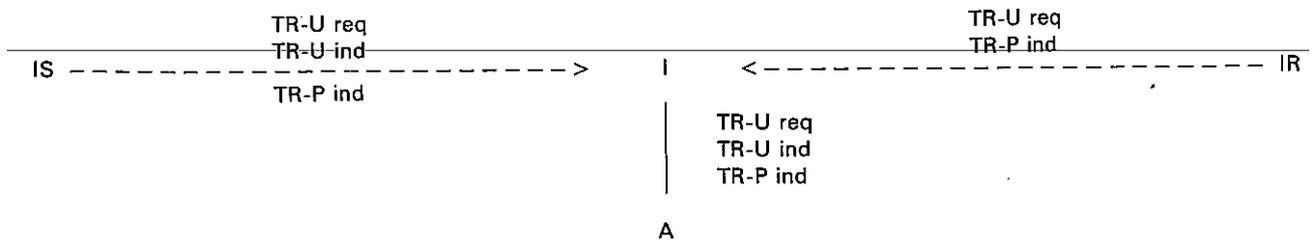
Transaction abort is made by means of the TR-P-ABORT indication primitive described by Table 21/Q.771.

TABLE 21/Q.771

**Transaction sub-layer abort primitive**

Parameter	Primitive
	TR-P-ABORT indication
Transaction ID	M
P-abort	M

Figure 13/Q.771 shows the state transitions for transaction abort. The states are those defined in §§ 3.2.3 and 3.2.4 above.



*Note* – TR-P stands for TR-P-ABORT, TR-U for TR-U-ABORT.

FIGURE 13/Q.771

**State transitions for transaction abort**

**3.3** *Services provided by the ISP*

No additional service is provided by the ISP when the TC-service is based on a connectionless network service.

**3.4** *Services assumed from the connectionless network layer*

In the Signalling System No. 7 environment, the services assumed from the SCCP are those defined in Recommendation Q.711, § 2.2 (SCCP Connectionless Services, class 0 or class 1).

Relations of TC with the SCCP management require further study.

**4** **Service provided by TC based on a connection-oriented network service**

For further study.





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