

Recommendation

## **ITU-T P.1211 (10/2023)**

SERIES P: Telephone transmission quality, telephone installations, local line networks

Models and tools for quality assessment of streamed media

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**Derivation procedure for contribution values to quality degradation of adaptive audiovisual streaming services**



ITU-T P-SERIES RECOMMENDATIONS

**Telephone transmission quality, telephone installations, local line networks**

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# Recommendation ITU-T P.1211

## Derivation procedure for contribution values to quality degradation of adaptive audiovisual streaming services

### Summary

Recommendation ITU-T P.1211 describes a derivation procedure for contribution values that provide information about a relationship between quality-influencing factors (e.g., media quality levels and stalling) and the final media session quality score of adaptive audiovisual streaming services. More precisely, contribution values show how much each quality-influencing factor affects (i.e., decreases) the final media session quality score provided by a quality estimation model (e.g., that of ITU-T P.1203 or ITU-T P.1204) using Shapley theory, which is a key concept in cooperative game theory for distributing profits in a game among participants. This derivation procedure can be used to monitor the streaming service since operators can ascertain which quality-influencing factors decrease the final media session quality score.

### History \*

| Edition | Recommendation | Approval   | Study Group | Unique ID          |
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| 1.0     | ITU-T P.1211   | 2023-10-29 | 12          | 11.1002/1000/15695 |

### Keywords

Adaptive streaming, audiovisual, audiovisual quality estimation, contribution value, mean opinion score (MOS), monitoring, multimedia, QoE, Shapley theory.

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## Recommendation ITU-T P.1211

### Derivation procedure for contribution values to quality degradation of adaptive audiovisual streaming services

#### 1 Scope

This Recommendation describes a derivation procedure for contribution values that provides information about a relationship between a quality-influencing factor (i.e., media quality levels and stalling) and media session quality of adaptive audiovisual streaming services.

In streaming services, the quality experienced by the end user can be assessed by using quality estimation models such as:

- A metadata-based model, which uses audio and video bitrates, framerate, resolution ITU-T P.1203.1 mode 0, [ITU-T P.1203.2], [ITU-T P.1203.3];
- A bitstream-based model that uses meta-data and any information from the video bitstream ITU-T P.1203.1 mode 3, [ITU-T P.1204.3], [ITU-T P.1203.2], [ITU-T P.1203.3];
- A pixel-based model that uses information derived from reference video [ITU-T P.1204.4], [ITU-T P.1203.2], [ITU-T P.1203.3];
- A hybrid metadata-based and pixel-based model [ITU-T P.1204.5], [ITU-T P.1203.2], [ITU-T P.1203.3].

These models estimate a mean opinion score (MOS) on a five-point absolute category rating scale [ITU-T P.910] as a global multi-media MOS score [ITU-T P.911] by taking into account quality-influencing factors such as media quality level (i.e., set of audio-coding or video degradations due to coding, spatial re-scaling or variations in video frame rates) and stalling (i.e., delivery degradations due to initial loading delay and rebuffering at the client) [ITU-T P.1203]. In this Recommendation, the output of these quality estimation models (i.e., global multi-media MOS score) is referred to as the final media session quality score as specified in [ITU-T P.1203].

The derivation procedure described provides contribution values that show how much each media quality level and stalling affects (i.e., decreases) the final media session quality score provided by quality estimation models such as [ITU-T P.1203] as previously described for each media session. This contribution value is calculated as the degree of quality degradation due to each media quality level and stalling by comparing with the maximum final media session quality score (i.e., the highest audiovisual quality and no stalling during the media session) based on Shapley theory, which is a key solution concept in cooperative game theory for distributing profits in a game among participants [b-Shapley]. Here, for example, different media quality levels selected or stalling occurrences during the media session result in different contribution values of each media quality level and stalling. Also, if the final media session quality score of a certain media session is different because of the use of a different quality estimation model, the contribution values of each media quality level and stalling are also different.

The derivation procedure described is agnostic to the specified quality estimation models such as the ITU-T P.1203 type of model. This means that, although a metadata-based model (i.e., ITU-T P.1203 mode 0), bitstream-based model (i.e., ITU-T P.1203 mode 3), pixel-based model (i.e., [ITU-T P.1204.4]) and hybrid model (i.e., [ITU-T P.1204.5]), and their respective appendices for long term integration, generally exist to estimate the final media session quality score, this Recommendation can be used with all types of quality estimation model. As examples, the procedures when ITU-T P.1203 mode 0 (metadata-based model), ITU-T P.1203 mode 3 (bitstream-based model) and [ITU-T P.1204.4] (pixel-based model) are used as quality estimation model are described in Appendices I, II and III, respectively.

This Recommendation primarily applies to the analysis of the quality aspect of progressive download or adaptive audiovisual streaming so that operators can ascertain degradation factors by deploying the derivation procedure described in end-point locations, mid-network monitoring points or a streaming server.

## 2 References

The following ITU-T Recommendations and other references contain provisions which, through reference in this text, constitute provisions of this Recommendation. At the time of publication, the editions indicated were valid. All Recommendations and other references are subject to revision; users of this Recommendation are therefore encouraged to investigate the possibility of applying the most recent edition of the Recommendations and other references listed below. A list of the currently valid ITU-T Recommendations is regularly published. The reference to a document within this Recommendation does not give it, as a stand-alone document, the status of a Recommendation.

- [ITU-T P.910] Recommendation ITU-T P.910 (2023), *Subjective video quality assessment methods for multimedia applications.*
- [ITU-T P.911] Recommendation ITU-T P.911 (1998), *Subjective audiovisual quality assessment methods for multimedia applications.*
- [ITU-T P.1203] Recommendation ITU-T P.1203 (2017), *Parametric bitstream-based quality assessment of progressive download and adaptive audiovisual streaming services over reliable transport.*
- [ITU-T P.1203.1] Recommendation ITU-T P.1203.1 (2019), *Parametric bitstream-based quality assessment of progressive download and adaptive audiovisual streaming services over reliable transport – Video quality estimation module.*
- [ITU-T P.1203.2] Recommendation ITU-T P.1203.2 (2017), *Parametric bitstream-based quality assessment of progressive download and adaptive audiovisual streaming services over reliable transport – Audio quality estimation module.*
- [ITU-T P.1203.3] Recommendation ITU-T P.1203.3 (2019), *Parametric bitstream-based quality assessment of progressive download and adaptive audiovisual streaming services over reliable transport – Quality integration module.*
- [ITU-T P.1204] Recommendation ITU-T P.1204 (2020), *Video quality assessment of streaming services over reliable transport for resolutions up to 4K.*
- [ITU-T P.1204.3] Recommendation ITU-T P.1204.3 (2020), *Video quality assessment of streaming services over reliable transport for resolutions up to 4K with access to full bitstream information.*
- [ITU-T P.1204.4] Recommendation ITU-T P.1204.4 (2022), *Video quality assessment of streaming services over reliable transport for resolutions up to 4K with access to full and reduced reference pixel information.*
- [ITU-T P.1204.5] Recommendation ITU-T P.1204.5 (2023), *Video quality assessment of streaming services over reliable transport for resolutions up to 4K with access to transport and received pixel information.*

## 3 Definitions

### 3.1 Terms defined elsewhere

This Recommendation uses the following terms defined elsewhere:

**3.1.1 adaptation set** [ITU-T P.1203]: A set of distinct media quality levels to be used for HTTP adaptive streaming, between which the player can perform media adaptation.

**3.1.2 mean opinion score (MOS)** [b-ITU-T P.10]: The mean of opinion scores.

**3.1.3 media quality level** [ITU-T P.1203]: A particular encoding setting applied to a video or audio stream.

**3.1.4 segment** [ITU-T P.1203]: An audiovisual file belonging to one particular media quality level.

**3.1.5 sequence** [ITU-T P.1203]: An audiovisual stream composed of multiple non-overlapping segments.

## **3.2 Terms defined in this Recommendation**

This Recommendation defines the following terms:

**3.2.1 maximum media session quality score:** The final media session quality score for a certain sequence in which only the highest media quality level is selected, and no stalling occurs.

**3.2.2 modified sequence:** A sequence in which specified selected media quality levels during a media session are replaced by the highest media quality level in an adaptation set or the number of stalling occurrences is replaced by zero.

**3.2.3 stalling:** Stalling is caused by initial loading delay and rebuffering events at the client side, which could be a result of video data arriving late. Usually, stalling events are indicated to the viewer, e.g., in the form of a spinning wheel, and result in stalling of the media playout.

## **4 Abbreviations and acronyms**

This Recommendation uses the following abbreviations and acronyms:

|      |                                |
|------|--------------------------------|
| AAC  | Advanced Audio Coding          |
| AC3  | Audio Compression 3            |
| FQ   | Final Quality                  |
| HE   | High Efficiency                |
| HRC  | Hypothetical Reference Circuit |
| HTTP | Hyper Text Transfer Protocol   |
| LC   | Low Complexity                 |
| MO   | Mobile                         |
| MOS  | Mean Opinion Score             |
| PC   | Personal Computer              |
| PVS  | Processed Video Sequence       |
| TA   | Tablet                         |
| TV   | Television                     |
| VP9  | Video Payload type 9           |

## **5 Conventions**

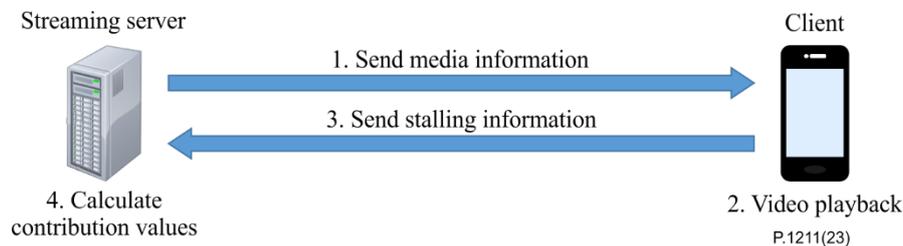
None.

## 6 Field of application

This Recommendation analyses the quality aspect of progressive download streaming or adaptive bitrate streaming. Limitations regarding the transport protocol, video length or encoding protocol and other attributes related to streaming services are the same as those of the quality estimation model used since the procedure described requires the final media session quality score from it.

The procedure described is used on a server providing streaming service, as shown in Figure 1. After finishing a video playback on a client device, stalling information is sent to the streaming server. The contribution values are then calculated by a derivation procedure described in this Recommendation using a quality estimation model and selected quality level during media sessions that are on the streaming server.

The contribution values are generally calculated on the streaming server so that operators can ascertain degradation factors. This is because the contribution calculation needs bitstream or pixel information of the highest media quality level at each segment, which is stored on a streaming server and not on a client device, if the bitstream-based (i.e., ITU-T P.1203 mode 3) or pixel-based (i.e., [ITU-T P.1204.4]) quality estimation model is used. However, if the metadata-based quality estimation model (i.e., ITU-T P.1203 mode 0) is used, the contribution values can be calculated on the client device since the metadata-based quality estimation model can be executed there and the metadata of the highest media quality level is also found on that site.

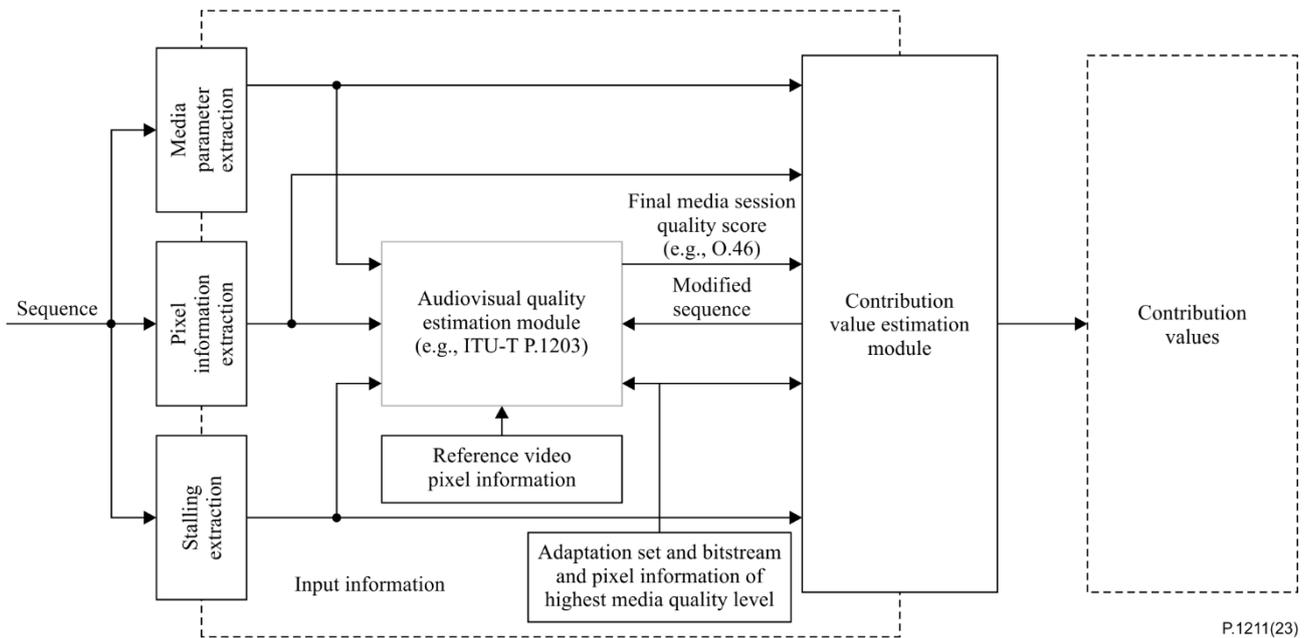


**Figure 1 – Sequence diagram**

## 7 Building blocks

The building blocks are depicted in Figure 2. This Recommendation uses the selected quality level sequence and stalling information extracted from the sequence and final media session quality score output from a quality estimation module. In addition to that, if the bitstream-based or pixel-based quality estimation model is used, bitstream information or pixel information is needed as shown in Figure 2. The details are described in clause 7.1.

During the calculation of contribution values, since the derivation procedure requires its final media session quality score, the modified sequence is sent to the quality estimation module. Here, in a modified sequence, specified selected media quality levels during media session are replaced by the highest media quality level in an adaptation set or the number of stalling occurrences is replaced by zero. The details of the modified sequence are explained in clause 8. The derivation procedure described also needs adaptation set information, which is prepared at the streaming server.



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**Figure 2 – Building blocks**

## 7.1 Input data

This Recommendation uses the adaptation set information at a streaming server [ITU-T P.1203], which consists of multiple media quality levels (i.e., multiple levels of tuple of audio bitrate, video bitrate, video resolution, and video frame rate), a sequence that is a history of selected media quality levels during a media session, stalling information tuple (i.e., start time and duration of stalling [ITU-T P.1203.3]) during a media session, and the final media session quality score. In addition, if the bitstream-based quality estimation model is used, bitstream information of the selected quality levels during the media session is extracted from the sequence and the bitstream information of the highest media quality level at each segment, is needed as shown in Figure 2. When a pixel-based quality estimation model is used, video pixel information of the selected quality levels is extracted from the sequence and pixel information of the highest media quality level, and video reference pixel information obtained as input, are needed. Appendices II and III describe how to use the information.

Let  $N = \{r_1, \dots, r_R, s\}$  be a set of an adaptation set (i.e., prepared media quality levels on a streaming server) and stalling information, where  $r_1$  is the lowest media quality level,  $r_R$  is the highest media quality level,  $R$  is the number of media quality levels, and  $s$  represents all stalling events. Here, media quality level is the tuple of audio bitrate, video bitrate, video resolution, and video frame rate. Let  $x_j \in N$  be the  $j$ th element in  $N$ ,  $j = 1, \dots, R + 1$ .  $H$  denotes the sequence, which consists of selected media quality levels  $r_i$  and all stalling events  $s$  during video playback. The notation used in this Recommendation is listed in Table 1.

Note that, since the quality estimation model is used during the contribution value calculation, the input data in this Recommendation is specified by the input data of the quality estimation model. As examples, the specific input data is described if the ITU-T P.1203 mode 0, ITU-T P.1203 mode 3 or ITU-T P.1204 is used in Appendices I, II and III.

**Table 1 – Notation used in this Recommendation**

| Symbol                       | Description  |
|------------------------------|--|
| $N = \{r_1, \dots, r_R, s\}$ | A set of adaptation set and stalling information. $r_1$ is the lowest media quality level, $r_R$ is the highest media quality level, $R$ is the number of media quality levels, and $s$ represents all stalling events. Here, media quality level is the tuple of audio bitrate, video bitrate, video resolution, and video frame rate |
| $x_j$                        | $j$ th element in $N, j = 1, \dots, R + 1$ .   |
| $Z$                          | All of subsets of $N$ without $x_j$ .  |
| $z_i$                        | One of the elements of $Z. z_i \in Z, i = 1, \dots,  Z $   |
| $H$                          | A sequence, that consists of selected media quality level $r_i$ and all stalling events $s$ during video playback.   |
| $H'$ (or $H''$ )             | A modified sequence of an input sequence $H$ and $z_i$ , in which a part of the selected media quality level during a media session is replaced with the highest media quality level in an adaptation set.   |
| $f$                          | A function that takes a given $H$ and subset $z_i$ (or $z_i \cup x_j$ ) as input and outputs a modified sequence $H'$ (or $H''$ ).   |
| $\nu$                        | A quality estimation model such as that of [ITU-T P.1203] or [ITU-T P.1204], which estimates the quality of an input sequence, $H$ .   |
| $c_j$                        | Contribution value of $x_j$ .  |

## 8 Derivation procedure

The derivation procedure for contribution values consists of three steps, which are summarized in Table 2.

**Table 2 – Calculation steps of contribution values**

| Step | Description  |
|------|--|
| 1    | Pick up one element $x_j$ in $N$ and iterate steps 2 and 3 for over all elements $x_j$ in $N, j = 1, \dots, R + 1$ . |
| 2    | Determine the set $Z$ consisting of all subsets of $N$ without $x_j$ .   |
| 3    | Calculate the contribution value, $c_j$ , of $x_j$ by adapting the Shapley value calculation given in Equation 1.    |

The first step is to pick up one element  $x_j$  and iterate steps 2 and 3 for over all elements  $x_j$  in  $N$ . Here, the order of picking up element in  $N$  does not affect the calculation results. For simplicity, the case  $x_j$  is explained in the following. However, the procedure described is executed over all elements in  $N$  to calculate all contribution values,  $c_j, j = 1, \dots, R, R + 1$ . The second step is to determine the composition of all subsets  $Z$  of  $N$  without  $x_j$ . Here,  $Z$  is all possible patterns of subsets induced from  $N$  without  $x_j$ , and the cardinality of  $Z$  is  $2^{|N|-1}$ . The third step is to calculate the contribution value,  $c_j$ , of  $x_j$  by adapting the Shapley value calculation.

The details of the third step, in which the contribution value,  $c_j$ , of  $x_j$  is calculated, are as follows. Let  $f$  be a function that takes a given sequence  $H$  and subset  $z_i \in Z, i = 1, \dots, |Z|$  (or  $z_i \cup x_j$ ) as input and outputs a modified sequence,  $H'$  (or  $H''$ ). Let  $\nu$  be a quality estimation model such as that of [ITU-T P.1203] or [ITU-T P.1204], which estimates the quality of an input sequence,  $H$ . From here,

although the contribution value calculation algorithm is explained only for a given subset,  $z_i$ , it is also applicable to other subsets,  $(z_i \cup x_j)$ . The modification procedure is described in the following paragraphs. The contribution value,  $c_j$ , of  $x_j$  is calculated by adopting the Shapley value calculation algorithm as follows.

$$c_j(v, f, H) = \sum_{i=1}^{|Z|} \frac{|z_i|!(|N|-|z_i|-1)!}{|N|!} \left( v(f(z_i, H)) - v(f(z_i \cup x_j, H)) \right) \quad (1)$$

where  $|Z|$  and  $|N|$  denote the cardinality of  $Z$  and  $N$ , respectively, and the sum extends over all subsets,  $z_i \in Z$ , which are the subsets of  $N$  that do not include  $x_j$ .

The function  $f$  maps a subset  $z_i$  and a sequence of quality levels  $H = (h_t)$  to a new sequence of quality levels  $H' = (h'_t)$  by replacing all occurrences of quality levels in  $z_i$  by the highest quality level  $r_R$ ,

$$h'_t = \begin{cases} r_R & \text{if } h_t \in z_i \\ h_t & \text{otherwise} \end{cases} \quad (2)$$

For the stalling, if  $s$  is in  $z_i$ ,  $f$  generates  $H'$  in which the stalling events are removed, while if  $s$  is not in  $z_i$ ,  $f$  generates  $H'$  in which stalling information is the same as the original  $H$ .

For the case,  $z_i \cup x_j$ ,  $f$  outputs  $H''$  similarly to modifying  $H$  to  $H'$ . By generating sequences  $H'$  and  $H''$  on the basis of a given subset  $z_i$  and calculating the quality decrease over all possible subsets using Equation (1), the contribution value,  $c_j$ , of  $x_j$  is calculated.

## Appendix I

### Example of the deviation procedure with ITU-T P.1203 mode 0 (metadata-based model) as a quality estimation model

(This appendix does not form an integral part of this Recommendation.)

This appendix describes the example of the deviation procedure when ITU-T P.1203 mode 0 is used as a quality estimation model. Especially, prepared processed video sequences (PVSs), input data specification, and contribution values are described.

#### Prepared PVSs

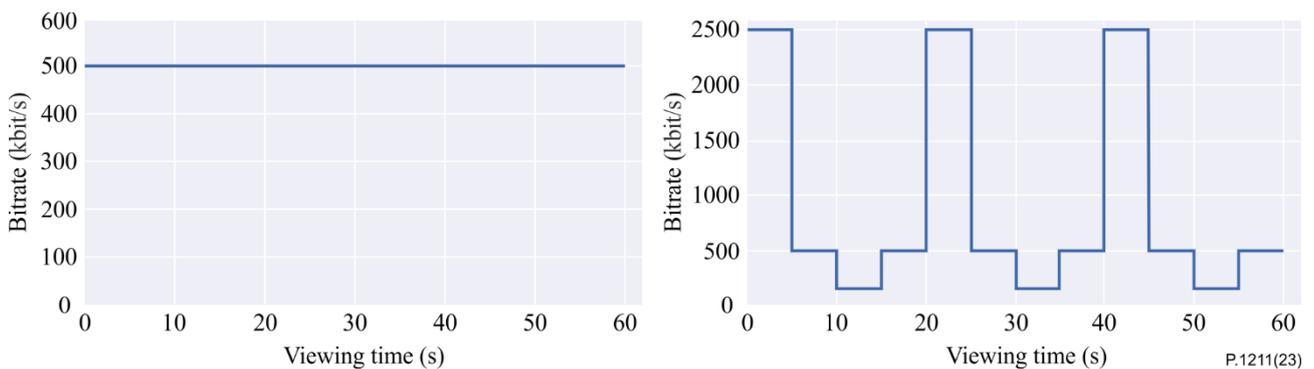
In this example, four PVSs listed under this heading are used to show the derivation procedure and the contribution values for various kinds of hypothetical reference circuit (HRC). These PVSs are generated on the basis of the HRC of an ITU-T P.1203 open dataset [b-Robitza] by using a prepared source video, which is 1 min long. The characteristics of these PVSs follow and the HRCs used are shown in Figures I.1 and I.2. Table I.1 shows the media quality level of the HRC.

PVS01: HRC80 in TR04 [b-Robitza] in which only one media quality level is selected during a media session with no stalling.

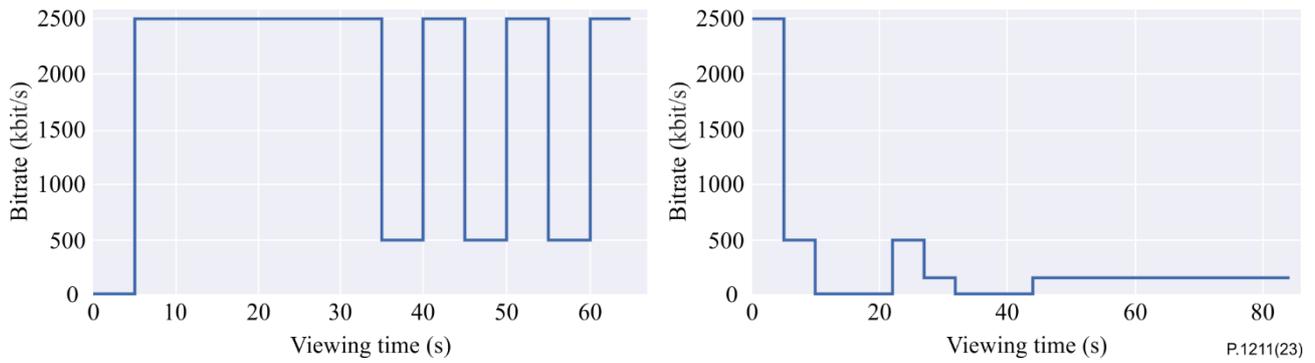
PVS02: HRC03 TR04 [b-Robitza] in which multiple media quality levels are selected during a media session with no stalling.

PVS03: HRC85 in TR04 [b-Robitza] in which multiple media quality levels are selected and a stalling occurs during a media session.

PVS04: HRC02 in TR04 [b-Robitza] in which multiple media quality levels are selected and stalling occurs multiple times during a media session.



**Figure I.1 – HRC80 and HRC03 in TR04 in ITU-T P.1203 open dataset [b-Robitza]**



**Figure I.2 – HRC85 and HRC02 in TR04 in ITU-T P.1203 open dataset [b-Robitza]**

**Table I.1 – Media quality level information of PVSs**

NOTE – Quality levels QL1, QL3, and QL5 are not defined in this dataset [b-Robitza].

| Quality level | Video bitrate (kbit/s) | Resolution (pixel) |
|---------------|------------------------|--------------------|
| QL7           | 10 000                 | 1 080              |
| QL6           | 2 500                  | 1 080              |
| QL4           | 500                    | 480                |
| QL2           | 150                    | 240                |

### Input data specifications

The input data of this Recommendation is the adaptation set information, a sequence that is a history of selected media quality levels during a media session, stalling information during a media session and the final media session quality score. The input data are specified in Table I.2, where the listed input data is based on ITU-T P.1203.1 mode 0, [ITU-T P.1203.2] and ITU-T P.1203.3].

**Table I.2 – Input specifications**

| Description   | Values   | Frequency         |
|---|--|-------------------|
| The resolution of the image displayed to the user       | Number of pixels ( $W \times H$ ) in displayed video | Per media chunk   |
| The device type on which the media is played            | "PC", "TV", "MO", "TA"                               | Per media chunk   |
| Device display size                                     | Display size (diagonal in inches)                    | Per media chunk   |
| Relative viewing distance in multiple of display height | Relative viewing distance                            | Per media chunk   |
| Target Audio bitrate                                    | Bitrate in kilobits per second                       | Per media segment |
| Segment duration  | Duration in seconds                                  | Per media segment |
| Audio codec   | One of: AAC-LC, AAC-HEv1, AAC-HEv2, AC3              | Per media segment |
| Audio sampling frequency                                | In hertz   | Per media segment |
| Number of audio channels                                | 2  | Per media segment |

**Table I.2 – Input specifications**

| Description   | Values   | Frequency          |
|---|--|--------------------|
| Video target bitrate  | Bitrate in kilobits per second   | Per media chunk    |
| Video frame rate  | Frame rate in frames per second.   | Per media chunk    |
| Segment duration  | Duration in seconds  | Per media chunk    |
| Video encoding resolution   | Number of pixels ( $W \times H$ ) in transmitted video   | Per media chunk    |
| Video codec and profile   | ITU-T H.264-high   | Per media chunk    |
| Stalling or initial loading event start   | The start time of the stalling event in seconds relative to the start of the original video clip, expressed in media time (not wall clock time)<br>NOTE – This is 0 for initial loading delay. | Per stalling event |
| Event duration  | The duration of the stalling event in seconds  | Per stalling event |
| Final media session quality score   | Scores provided per session and on a quality scale of 1 to 5   | Per session        |
| Legend – AAC: advanced audio coding; AC3: audio compression 3; HE: high efficiency; LC: low complexity; MO: mobile; MPEG: Moving Picture Experts Group; PC: personal computer; TA: tablet; TV: television; VP9: video payload type 9. |  |                    |

**Example of calculation steps**

An example calculation step is described. Assume a sequence and adaptation set as follows.

$$H = [QL4, QL6, QL2, QL2, QL7], N = \{QL7, QL6, QL4, QL2, s\},$$

where,  $H$  has no stalling. The first step of the calculation is to select  $x_j$  from  $N$  and determine all of subset  $Z$ . Assume that the selected  $x_j$  in the iteration of step 1 is  $QL2$ ,

$$x_j = QL2,$$

and  $Z$  will be determined, according to Table 2, as all the patterns of subsets of  $N$  without  $x_j$ , which is

$$\begin{aligned} Z = \{z_1 = \{QL4\}, z_2 = \{QL6\}, z_3 = \{QL7\}, z_4 = \{s\}, z_5 = \{QL4, QL6\}, z_6 = \{QL4, QL7\}, z_7 \\ = \{QL4, s\}, z_8 = \{QL6, QL7\}, z_9 = \{QL6, s\}, z_{10} = \{QL7, s\}, z_{11} \\ = \{QL4, QL6, QL7\}, z_{12} = \{QL4, QL6, s\}, z_{13} = \{QL4, QL7, s\}, z_{14} \\ = \{QL6, QL7, s\}, z_{15} = \{QL4, QL6, QL7, s\}, z_{16} = \{\}\}. \end{aligned}$$

Then,  $z_i$  is selected from  $Z$  to modify the sequence  $H$ . For instance, if  $z_1$  is selected,

$$z_1, \{z_1 \cup x_j\} = \{QL2, QL4\}.$$

Then, by using Equation (2), the modified sequence is generated. In this case, since  $QL2$  and  $QL4$  are in subsets  $z_1$  and  $\{z_1 \cup x_j\}$ ,  $H'$  and  $H''$  become as follows.

$$H' = [\mathbf{QL7}, QL6, QL2, QL2, QL7], v(H') = 2.880$$

$$H'' = [\mathbf{QL7}, QL6, \mathbf{QL7}, \mathbf{QL7}, QL7], v(H'') = 4.885$$

The bold segments are replaced with the highest media quality level in  $N$  and the final media session quality score of both sequence  $H'$  and  $H''$  is calculated using ITU-T P.1203 mode 0. Then, the part of the contribution values is calculated as follows.

$$\frac{|z_1|! (|N| - |z_1| - 1)!}{|N|!} (v(f(z_1, H)) - v(f(z_1 \cup x_j, H))) = \frac{1! 3!}{5!} (2.880 - 4.885) = -0.100.$$

Note that, the outputs of  $v$  is rounded to the fourth decimal place.

This process is executed over all elements in  $Z$  as follows. From here onwards, the term

$$\frac{|z_i|! (|N| - |z_i| - 1)!}{|N|!} (v(f(z_i, H)) - v(f(z_i \cup x_j, H)))$$

is denoted  $E$ .

– For  $z_2$

$$\triangleright z_2, \{z_2 \cup x_j\} = \{QL2, QL6\}.$$

$$\triangleright H' = [QL4, \mathbf{QL7}, QL2, QL2, QL7], v(H') = 2.822,$$

$$\triangleright H'' = [QL4, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, QL7], v(H'') = 4.425$$

$$\triangleright E = \frac{1! 3!}{5!} (2.822 - 4.425) = -0.080$$

– For  $z_3$

$$\triangleright z_3, \{z_3 \cup x_j\} = \{QL2, QL7\}.$$

$$\triangleright H' = [QL4, QL6, QL2, QL2, QL7], v(H') = 2.822,$$

$$\triangleright H'' = [QL4, QL6, \mathbf{QL7}, \mathbf{QL7}, QL7], v(H'') = 4.423$$

$$\triangleright E = \frac{1! 3!}{5!} (2.822 - 4.423) = -0.080$$

– For  $z_4$

$$\triangleright z_4, \{z_4 \cup x_j\} = \{QL2, s\}.$$

$$\triangleright H' = [QL4, QL6, QL2, QL2, QL7], v(H') = 2.822,$$

$$\triangleright H'' = [QL4, QL6, \mathbf{QL7}, \mathbf{QL7}, QL7], v(H'') = 4.423$$

$$\triangleright E = \frac{1! 3!}{5!} (2.822 - 4.423) = -0.080$$

– For  $z_5$

$$\triangleright z_5, \{z_5 \cup x_j\} = \{QL2, QL4, QL6\}.$$

$$\triangleright H' = [\mathbf{QL7}, \mathbf{QL7}, QL2, QL2, QL7], v(H') = 2.880,$$

$$\triangleright H'' = [\mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, QL7], v(H'') = 4.896$$

$$\triangleright E = \frac{2! 2!}{5!} (2.880 - 4.896) = -0.067$$

– For  $z_6$

$$\triangleright z_6, \{z_6 \cup x_j\} = \{QL2, QL4, QL7\}.$$

$$\triangleright H' = [\mathbf{QL7}, QL6, QL2, QL2, QL7], v(H') = 2.880,$$

$$\triangleright H'' = [\mathbf{QL7}, QL6, \mathbf{QL7}, \mathbf{QL7}, QL7], v(H'') = 4.885$$

$$\triangleright E = \frac{2! 2!}{5!} (2.880 - 4.885) = -0.067$$

– For  $z_7$

$$\triangleright z_7, \{z_7 \cup x_j\} = \{QL2, QL4, s\}.$$

- $H' = [\mathbf{QL7}, \mathbf{QL6}, \mathbf{QL2}, \mathbf{QL2}, \mathbf{QL7}], v(H') = 2.880,$
- $H'' = [\mathbf{QL7}, \mathbf{QL6}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}], v(H'') = 4.885$
- $E = \frac{2!2!}{5!}(2.880 - 4.885) = -0.067$
- For  $z_8$
- $z_8, \{z_8 \cup x_j\} = \{\mathbf{QL2}, \mathbf{QL6}, \mathbf{QL7}\}.$
- $H' = [\mathbf{QL4}, \mathbf{QL7}, \mathbf{QL2}, \mathbf{QL2}, \mathbf{QL7}], v(H') = 2.822,$
- $H'' = [\mathbf{QL4}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}], v(H'') = 4.425$
- $E = \frac{2!2!}{5!}(2.822 - 4.425) = -0.053$
- For  $z_9$
- $z_9, \{z_9 \cup x_j\} = \{\mathbf{QL2}, \mathbf{QL6}, s\}.$
- $H' = [\mathbf{QL4}, \mathbf{QL7}, \mathbf{QL2}, \mathbf{QL2}, \mathbf{QL7}], v(H') = 2.822,$
- $H'' = [\mathbf{QL4}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}], v(H'') = 4.425$
- $E = \frac{2!2!}{5!}(2.822 - 4.425) = -0.053$
- For  $z_{10}$
- $z_{10}, \{z_{10} \cup x_j\} = \{\mathbf{QL2}, \mathbf{QL7}, s\}.$
- $H' = [\mathbf{QL4}, \mathbf{QL6}, \mathbf{QL2}, \mathbf{QL2}, \mathbf{QL7}], v(H') = 2.822,$
- $H'' = [\mathbf{QL4}, \mathbf{QL6}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}], v(H'') = 4.423$
- $E = \frac{2!2!}{5!}(2.822 - 4.423) = -0.053$
- For  $z_{11}$
- $z_{11}, \{z_{11} \cup x_j\} = \{\mathbf{QL2}, \mathbf{QL4}, \mathbf{QL6}, \mathbf{QL7}\}.$
- $H' = [\mathbf{QL7}, \mathbf{QL7}, \mathbf{QL2}, \mathbf{QL2}, \mathbf{QL7}], v(H') = 2.880,$
- $H'' = [\mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}], v(H'') = 4.896$
- $E = \frac{3!1!}{5!}(2.880 - 4.896) = -0.100$
- For  $z_{12}$
- $z_{12}, \{z_{12} \cup x_j\} = \{\mathbf{QL2}, \mathbf{QL4}, \mathbf{QL6}, s\}.$
- $H' = [\mathbf{QL7}, \mathbf{QL7}, \mathbf{QL2}, \mathbf{QL2}, \mathbf{QL7}], v(H') = 2.880,$
- $H'' = [\mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}], v(H'') = 4.896$
- $E = \frac{3!1!}{5!}(2.880 - 4.896) = -0.100$
- For  $z_{13}$
- $z_{13}, \{z_{13} \cup x_j\} = \{\mathbf{QL2}, \mathbf{QL4}, \mathbf{QL7}, s\}.$
- $H' = [\mathbf{QL7}, \mathbf{QL6}, \mathbf{QL2}, \mathbf{QL2}, \mathbf{QL7}], v(H') = 2.880,$
- $H'' = [\mathbf{QL7}, \mathbf{QL6}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}], v(H'') = 4.885$
- $E = \frac{3!1!}{5!}(2.880 - 4.885) = -0.100$
- For  $z_{14}$
- $z_{14}, \{z_{14} \cup x_j\} = \{\mathbf{QL2}, \mathbf{QL6}, \mathbf{QL7}, s\}.$
- $H' = [\mathbf{QL4}, \mathbf{QL7}, \mathbf{QL2}, \mathbf{QL2}, \mathbf{QL7}], v(H') = 2.822$
- $H'' = [\mathbf{QL4}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}, \mathbf{QL7}], v(H'') = 4.425$
- $E = \frac{3!1!}{5!}(2.822 - 4.425) = -0.080$
- For  $z_{15}$

- $z_{15}, \{z_{15} \cup x_j\} = \{QL2, QL4, QL6, QL7, s\}$ .
- $H' = [QL7, QL7, QL2, QL2, QL7], v(H') = 2.880,$
- $H'' = [QL7, QL7, QL7, QL7, QL7], v(H'') = 4.896$
- $E = \frac{4!0!}{5!} (2.880 - 4.896) = -0.403$

– For  $z_{16}$

- $z_{16}, \{z_{16} \cup x_j\} = \{QL2\}$ .
- $H' = [QL4, QL6, QL2, QL2, QL7], v(H') = 2.822,$
- $H'' = [QL4, QL6, QL7, QL7, QL7], v(H'') = 4.423$
- $E = \frac{0!4!}{5!} (2.822 - 4.423) = -0.320$

Finally, the contribution value  $c_j$  of  $x_j$  (i.e., QL2) can be obtained by summing  $E$  from  $z_1$  to  $z_{16}$ . In this example case,  $c_j$  is  $-1.807$ . To calculate contribution values for QL4, QL6, QL7, and stalling, the preceding process is repeated.

Note that as described in clause 6, since all information to estimate the quality of modified sequence  $H'$  and  $H''$  is from the metadata-based model, the contribution calculation can be executed on the client device.

### Calculation results

Table I.3 shows the calculated contribution values. In Table I.3, final quality (FQ) means the final media session quality score of corresponding PVS calculated by ITU-T P.1203 mode 0. QL7, QL6, QL4, QL2, and stalling columns mean the contribution value of each media quality level. The column total means the total value of contribution value.

In PVS01, since QL7, QL6 and QL2 are not selected and do not induce any quality degradation, the contribution value equals 0. Also, since stalling does not occur or induce any quality degradation, the contribution value equals 0. On the other hand, since QL4 was selected during a media session, it has  $-1.375$  points. This means that QL4 reduces the final media session quality score by approximately 1.375 compared with the maximum media session quality score. In PVS02, QL6, QL4 and QL2 were selected. Thus, each media quality level reduced the FQ, and QL2 reduced the quality the most. In PVS03, since stalling occurred, the contribution value of the stalling was  $-0.375$ . In PVS04, since several quality levels were selected, each quality level reduces the quality. Specifically, since stalling occurred twice and media quality level QL2 was selected many times in this PVS, the stalling and QL2 reduced the final media session quality score. These contribution values of each PVS also indicate that if the PVS differs, the contribution values of each PVS also differ since the selected media quality level or stalling occurrence differs between PVSs.

**Table I.3 – Calculated results of contribution values for PVS01, PVS02, PVS03, and PVS04**

|       | FQ    | QL7 | QL6    | QL4    | QL2    | Stalling | Total  |
|-------|-------|-----|--------|--------|--------|----------|--------|
| PVS01 | 3.531 | 0   | 0      | -1.357 | 0      | 0        | -1.357 |
| PVS02 | 2.749 | 0   | -0.008 | -0.974 | -1.157 | 0        | -2.139 |
| PVS03 | 3.881 | 0   | -0.036 | -0.595 | 0      | -0.375   | -1.007 |
| PVS04 | 1.599 | 0   | -0.003 | -0.203 | -2.320 | -0.763   | -3.288 |

## Appendix II

### Example of the deviation procedure with ITU-T P.1203 mode 3 (bitstream-based model) as a quality estimation model

(This appendix does not form an integral part of this Recommendation.)

This appendix describes an example of the deviation procedure when ITU-T P.1203 mode 3 is used as a quality estimation model. Especially, PVSs used, input data specification and contribution values are described.

#### Prepared PVSs

In this example, the same PVSs as those of Appendix I are used. Thus, detailed information about the PVSs is omitted.

#### Input data specifications

The input data of this Recommendation is the adaptation set information, a sequence that is a history of selected media quality level during a media session, stalling information during a media session, and the final media session quality score. In addition to that, since ITU-T P.1203 mode 3 is a bitstream-based model, the bitstream information at each segment is used. The specifications of input data are in Table II.1, where the listed input data is based on ITU-T P.1203 mode 3, [ITU-T P.1203.2], [ITU-T P.1203.3].

**Table II.1 – Input specifications**

| Description   | Values   | Frequency         |
|---|--|-------------------|
| The resolution of the image displayed to the user       | Number of pixels ( $W \times H$ ) in displayed video | Per media chunk   |
| The device type on which the media is played            | "PC", "TV", "MO", "TA"                               | Per media chunk   |
| Device display size                                     | Display size (diagonal in inches)                    | Per media chunk   |
| Relative viewing distance in multiple of display height | Relative viewing distance                            | Per media chunk   |
| Target audio bitrate                                    | Bitrate in kilobits per second                       | Per media segment |
| Segment duration  | Duration in seconds                                  | Per media segment |
| Audio frame number                                      | Integer, starting with 1                             | Per media segment |
| Audio frame size  | Size of the frame in bytes                           | Per audio frame   |
| Audio frame duration                                    | Duration in seconds                                  | Per audio frame   |
| Audio codec   | One of: AAC-LC, AAC-HEv1, AAC-HEv2, AC3              | Per media segment |
| Audio sampling frequency                                | In hertz   | Per media segment |
| Number of audio channels                                | 2  | Per media segment |
| Audio bitstream   | Encoded audio bytes for the frame                    | Per audio frame   |
| Video bitrate   | Bitrate in kilobits per second                       | Per media chunk   |

**Table II.1 – Input specifications**

| Description                             | Values   | Frequency          |
|---|--|--------------------|
| Video frame rate                        | Frame rate in frames per second  | Per media chunk    |
| Segment duration                        | Duration in seconds  | Per media chunk    |
| Video encoding resolution               | Number of pixels ( $W \times H$ ) in transmitted video   | Per media chunk    |
| Video codec and profile                 | ITU-T H.264-high   | Per media chunk    |
| Video frame number                      | Integer, starting at 1, denoting the frame sequence number in encoding order   | Per video frame    |
| Video frame duration                    | Duration of the frame in seconds   | Per video frame    |
| Video frame size                        | The size of the encoded video frame in bytes   | Per video frame    |
| Type of each picture                    | "I"/"P"/"B"  | Per video frame    |
| Video bitstream                         | Encoded video bytes for the frame  | Per video frame    |
| Stalling or initial loading event start | The start time of the stalling event in seconds relative to the start of the original video clip, expressed in media time (not wall clock time)<br>NOTE – This is 0 for initial loading delay. | Per stalling event |
| Event duration                          | The duration of the stalling event in seconds  | Per stalling event |
| Final media session quality score       | Scores provided per session and on a quality scale of 1 to 5   | Per session        |

### Example of calculation steps

Most parts of the calculation step are the same as in Appendix I. Since the bitstream information is needed in ITU-T P.1203 mode 3, generation of the modified sequence is slightly different. Assume that the same  $N, x_j, S$  as in Appendix I and a sequence  $H$  as follows.

$$H = [(QL4, b_{1,ql4}), (QL6, b_{2,ql6}), (QL2, b_{3,ql2}), (QL2, b_{4,ql2}), (QL7, b_{5,ql7})],$$

where  $b_{j,qlk}$  represents a tuple of video frame size, type of each picture and quantization parameter values of media quality level  $k$  at segment  $j$  that are parsed from video bitstream. Then, the modified sequences become as follows.

$$H' = [(QL7, \mathbf{b}_{1,ql7}), (QL6, b_{2,ql6}), (QL2, b_{3,ql2}), (QL2, b_{4,ql2}), (QL7, b_{5,ql7})],$$

$$H'' = [(QL7, \mathbf{b}_{1,ql7}), (QL6, b_{2,ql6}), (QL7, \mathbf{b}_{3,ql7}), (QL7, \mathbf{b}_{4,ql7}), (QL7, b_{5,ql7})].$$

Here,  $b_{j,ql7}$  is obtained as an input. Different from the metadata-based model, ITU-T P.1203 mode 0 in Appendix I, the media quality level and bitstream information at bold segments are replaced with the highest media quality level and bitstream information of the highest media quality level at those segments. Here, since the bitstream information of the highest quality level at each segment is placed at the streaming server, the contribution calculation is executed at the streaming server. The other steps are the same as those in Appendix I.

### Calculation results

The calculated contribution values are listed in Table II.2, in which FQ means the final media session quality score of the corresponding PVS calculated by ITU-T P.1203 mode 3. QL7, QL6, QL4, QL2, and stalling columns mean the contribution value of each media quality level. The column total means the total value of the contribution value.

Most calculated contribution values tend to be the same as those of ITU-T P.1203 mode 0 in Appendix I. However, since the quality estimation model and final quality media session quality score differ, the contribution values also differ from those of ITU-T P.1203 mode 0.

In PVS01, since QL7, QL6, and QL2 are not selected and do not induce any quality degradation, the contribution value equals 0. Also, since stalling does not occur or induce any quality degradation, the contribution value equals 0. On the other hand, since QL4 was selected during the media session, it was  $-2.197$  points. In PVS02, QL6, QL4, and QL2 were selected. Thus, each media quality level reduced the FQ. In PVS03, since a stalling occurred, the contribution value of the stalling was  $-0.337$ . In PVS04, since several quality levels were selected, each quality level reduces the quality. Specifically, since stalling occurred twice and media quality level QL2 was selected many times in this PVS, the stalling and QL2 reduced the final media session quality score.

**Table II.2 – Calculated results of contribution values for PVS01, PVS02, PVS03 and PVS04**

|       | <b>FQ</b> | <b>QL7</b> | <b>QL6</b> | <b>QL4</b> | <b>QL2</b> | <b>Stalling</b> | <b>Total</b> |
|-------|-----------|------------|------------|------------|------------|-----------------|--------------|
| PVS01 | 2.631     | 0          | 0          | -2.197     | 0          | 0               | -2.197       |
| PVS02 | 2.310     | 0          | -0.146     | -1.383     | -0.990     | 0               | -2.518       |
| PVS03 | 3.192     | 0          | -0.200     | -1.099     | 0          | -0.337          | -1.636       |
| PVS04 | 1.569     | 0          | -0.008     | -0.275     | -2.256     | -0.721          | -3.259       |

## Appendix III

### Example of the deviation procedure with [ITU-T P.1204.4] (pixel-based model) as a quality estimation model

(This appendix does not form an integral part of this Recommendation.)

This appendix describes an example of the deviation procedure when [ITU-T P.1204.4] is used as a quality estimation model. Especially, the PVSs used, input data specification and contribution values are described.

#### Prepared PVSs

In this example, the same PVSs as those in Appendix I are used. Thus, detailed information about PVSs is omitted.

#### Input data specifications

The input data of this Recommendation is the adaptation set information, a sequence that is a history of selected media quality level during a media session, stalling information during a media session, and the final media session quality score. In addition to that, since [ITU-T P.1204.4] is a pixel-based model, the video pixel information at each segment is used. The input data are specified in Table III.1, where the listed input data is based on [ITU-T P.1204.4], [ITU-T P.1203.2], [ITU-T P.1203.3].

**Table III.1 – Input specifications**

| Description  | Values   | Frequency          |
|--|--|--------------------|
| The resolution of the image displayed to the user        | Number of pixels ( $W \times H$ ) in displayed video   | Per media chunk    |
| The device type on which the media is played             | "PC", "TV", "MO", "TA"   | Per media chunk    |
| Device display size                                      | Display size (diagonal in inches)  | Per media chunk    |
| Relative viewing distance in multiples of display height | Relative viewing distance  | Per media chunk    |
| Target audio bit-rate                                    | Bitrate in kilobits per second   | Per media segment  |
| Segment duration   | Duration in seconds  | Per media segment  |
| Audio codec  | One of: AAC-LC, AAC-HEv1, AAC-HEv2, AC3  | Per media segment  |
| Audio sampling frequency                                 | In hertz   | Per media segment  |
| Number of audio channels                                 | 2  | Per media segment  |
| Stalling or initial loading event start                  | The start time of the stalling event in seconds relative to the start of the original video clip, expressed in media time (not wall clock time)<br>NOTE – This is 0 for initial loading delay. | Per stalling event |

**Table III.1 – Input specifications**

| Description                       | Values  | Frequency          |
|-----------------------------------|---|--------------------|
| Event duration                    | The duration of the stalling event in seconds   | Per stalling event |
| Degraded video                    | The raw pixels (YUV file including metadata required for parsing; width, height, frame rate and pixel format) of the processed video, i.e., the video decoded and upscaled to display resolution without buffering or stalling. The frame information in degraded video and reference video is synchronized, i.e., no frame misalignments are present                                 | Per media chunk    |
| Reference video information       | The reference-side information extraction module takes as input the reference video and outputs the side information file.<br>The reference model side channel bandwidth limit is 256 kbit/s. Thus, the side information of the reference model for a video sequence $v$ is stored in a file with size at most $256/8 * t_v$ kB, where $t_v$ is the duration of video $v$ in seconds. | Per media chunk    |
| Final media session quality score | Scores provided per session and on a quality scale of 1 to 5  | Per session        |

### Example of calculation steps

Most parts of the calculation step are the same as those in Appendix I. Since the pixel information is needed in [ITU-T P.1204.4], a sequence and modified sequences are slightly different. Assume the same  $N, x_j, S, H$  as in Appendix I. In addition to that in the pixel-based model, the pixel information of that sequence  $H$ ,

$$H = [(QL4, p_{1,ql4}), (QL6, p_{2,ql6}), (QL2, p_{3,ql2}), (QL2, p_{4,ql2}), (QL7, p_{5,ql7})],$$

where  $p_{j,qlk}$  represents the degraded video pixel information of media quality level  $k$  at segment  $j$ . Then, the modified sequences become as follows.

$$H' = [(QL7, p_{1,ql7}), (QL6, p_2), (QL2, p_3), (QL2, p_4), (QL7, p_5)],$$

$$H'' = [(QL7, p_{1,ql7}), (QL6, p_2), (QL7, p_{3,ql7}), (QL7, p_{4,ql7}), (QL7, p_5)].$$

Also, when the final media session quality score of  $H, H'$  and  $H''$  is calculated using [ITU-T P.1204.4], reference video pixel information is used.

Here, since the pixel information of the highest media quality level at each segment is placed at the streaming server, the contribution calculation is executed at the streaming server. The other steps are the same as those in Appendix I.

### Calculation results

Table III.2 shows the calculated contribution values. In Table III.2, FQ means the final media session quality score of the corresponding PVS calculated by [ITU-T P.1204.4]. QL7, QL6, QL4, QL2, and stalling columns mean the contribution value of each media quality level. The column total means the total value of the contribution value.

Most calculated contribution values tend to be the same as those of ITU-T P.1203 mode 0 in Appendix I. However, since the quality estimation model and final quality media session quality score differ, the contribution values also differ from those of ITU-T P.1203 mode 0.

In PVS01, since QL7, QL6, and QL2 are not selected and do not induce any quality degradation, the contribution value equals 0. Also, since stalling does not occur or induce any quality degradation, the

contribution value equals 0. On the other hand, since QL4 was selected during a media session, it was the  $-0.965$  points. In PVS02, QL6, QL4 and QL2 were selected. Thus, each media quality level reduced the FQ. In PVS03, since stalling occurred, its contribution value was  $-0.051$ . In PVS04, since several quality levels were selected, each quality level reduced the quality. Specifically, since stalling occurred twice and media quality level QL2 was selected many times in this PVS, the stalling and QL2 reduced the final media session quality score.

**Table III.2 – Calculated results of contribution values for PVS01, PVS02, PVS03, and PVS04**

|       | <b>FQ</b> | <b>QL7</b> | <b>QL6</b> | <b>QL4</b> | <b>QL2</b> | <b>Stalling</b> | <b>Total</b> |
|-------|-----------|------------|------------|------------|------------|-----------------|--------------|
| PVS01 | 3.442     | 0          | 0          | $-0.965$   | 0          | 0               | $-0.965$     |
| PVS02 | 3.243     | 0          | $-0.064$   | $-0.511$   | $-0.590$   | 0               | $-1.164$     |
| PVS03 | 3.775     | 0          | $-0.225$   | $-0.375$   | 0          | $-0.051$        | $-0.632$     |
| PVS04 | 1.871     | 0          | $-0.002$   | $-0.040$   | $-1.675$   | $-0.818$        | $-2.536$     |

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