

TELECOMMUNICATION STANDARDIZATION SECTOR OF ITU



SERIES L: ENVIRONMENT AND ICTS, CLIMATE CHANGE, E-WASTE, ENERGY EFFICIENCY; CONSTRUCTION, INSTALLATION AND PROTECTION OF CABLES AND OTHER ELEMENTS OF OUTSIDE PLANT

ITU-T L.1410 – Case study: A hybrid approachbased comparative analysis of the environmental impact of a baseline data centre and an energy-efficient data centre

ITU-T L-series Recommendations - Supplement 13



ENVIRONMENT AND ICTS, CLIMATE CHANGE, E-WASTE, ENERGY EFFICIENCY; CONSTRUCTION, INSTALLATION AND PROTECTION OF CABLES AND OTHER ELEMENTS OF OUTSIDE PLANT

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Supplement 13 to ITU-T L-series Recommendations

ITU-T L.1410 – Case study: A hybrid approach-based comparative analysis of the environmental impact of a baseline data centre and an energy-efficient data centre

Summary

Supplement 13 to the ITU-T L-series Recommendations comprises a case study the goal of which is to clarify the greenhouse gas (GHG) emission reduction effect of some new energy saving technologies in a data centre.

The purpose of the study is to assess the production and operation of introducing a more energyefficient data centre and to compare its impact to a previous set-up. This case study is intended to be open to the public.

In this case study, a hybrid approach was applied. The hybrid approach used in this study includes input-output analysis (IOA) for production and end-of life and process-sum analysis for usage.

History

| Edition | Recommendation | Approval | Study Group | Unique ID* |
|---------|-------------------|------------|-------------|--------------------|
| 1.0 | ITU-T L Suppl. 13 | 2015-10-23 | 5 | 11.1002/1000/12689 |

Keywords

Comparative analysis, energy consumption, environment, data centre, greenhouse gas emissions, hybrid approach, information and communication technologies, input-output analysis, IOA, life cycle assessment, LCA

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Introduction

This Supplement has been developed to complement [ITU-T L.1410] for the practical assessment of the production and operation of introducing a more energy-efficient data centre and to compare its impact to a previous set-up. This case study is intended to be open to the public.

In this case study, a hybrid approach was applied. The hybrid approach used in this study includes input-output analysis (IOA) for production and end-of life and process-sum analysis for usage.

Economic input-output (IO) tables developed by Dr. Leontief (b. 1906 in Russia, d. 1999) are widely recognized for their usefulness and importance in the analysis of economic and industrial structures. IO tables systematically present and clarify all the economic activities being performed in a single country, showing how goods and services produced by a certain industry in a given year are distributed among the industry itself, other industries, households, etc., and present the results in a matrix (row and column) format.

For Japan, IO tables have been compiled every five years since 1955 in a joint program involving governmental organizations. The structure of the IO tables is shown in Figure 1-1.

| | Demand sector (buyer) | | Inter | mediate | demand | | | Fi | al de | mand | | | | |
|----------------------|---|--------------------------------------|----------|---|--------|-------|---|-------------|-------------------------|--------------------|---------|---------|-----------------|---------------------|
| Sum | bly sector (seller) | Agriculture, forestry and fishery | 7 Mining | Manufacturing ω | | Total | Consumption expenditure outside households | Expenditure | Fixed capital formation | Increase in stocks | Exports | t Total | O (Less) Import | Domestic production |
| Intermediate input | 1 Agriculture, forestry and fishery 2 Mining 3 Manufacturing | | | naterials and (input) | | | tion of s | ales | sector | of pro | ducts | | | |
| od Gross value added | Total D Consumption expenditure outside households Compensation of employees Operating surplus Depreciation of fixed capital Indirect taxes (Less) Current subsidies Total E eestic production D+E | | | Cost structure for raw materials and gross value added (input) | | | | | | | | | | |

Figure 1-1 – Structure of an IO table

For environmentally extended IOA, conventional economic IO tables are accompanied by another set of tables, called "Tables of values and quantities" that describe the input to each sector in the form of quantities of key materials, including energy products such as petrochemical products and electricity. Fuel consumption data in particular is used for estimating air emissions by multiplying them by emission coefficients. IO tables provide comprehensive input and output data for the situation of the targeted country that could be used without modification or with minimal processing.

In this case study, environmentally extended IOA was performed based on embodied energy and emission intensity data for Japan using input-output tables (3EID) from the National Institute for Environmental Studies (NIES) in Japan. 3EID contains the embodied environmental burden intensities on a sector level which were calculated using the Japanese IO tables. The embodied environmental burden intensities of 3EID represent energy consumption and GHG emissions generated directly and indirectly by the related production activity of a sector. The tables define economic relationships among sectors using a matrix representation based on annual transactions among sectors. These embodied intensities were calculated using an environmental IOA with Japanese IO tables, which consist of about 400 commodity sectors. These tables are among the most prominent IO tables worldwide and have a high sector resolution.

In a life cycle assessment (LCA) the process-sum analysis method requires detailed input and output data for individual processes, while IO tables include all processes or industry sectors relevant to economic activities, indicating that the required environmental data have been prepared beforehand and is readily available.

The data from IO tables is a useful data source, when satisfactory inventory data acquired by the process-sum method has not yet been prepared and published. If well-founded they are comprehensive and include all cradle-to-gate processes. An advantage is that all data is available and easily accessible. The principal drawback is that price levels depend not only on the resource use but also on other parameters which means that price level changes could occur without changes in resource use. However, for domestic data the effect of this is expected to be limited. The environmental loads are estimated by multiplying their price with the corresponding embodied intensities obtained from input-output analysis. The IOA makes comparison possible for material substitutions or design changes to a product, which fits in with the intended purpose of LCAs. The IOA approach may be easily combined with a process-sum analysis for major contributions. Combining detailed data for the most significant items obtained via the process-sum method and extended data obtained as necessary from IOA will make inventory analysis much easier.

In the Japanese environmentally extended IO tables, data are available at different levels such as for specific materials, specific parts, specific products and specific services, etc. This study was based on data for specific products.

In general IOA data is considered to be comprehensive. As an example, the IOA data of the server cover the domestic part of the activities cradle-to-gate including raw material acquisition and processing and all production activities also including the assembly of the server, transports and all industrial processes. However, the distribution of the server is not included in the IOA data.

ITU-T L.1410 – Case study: A hybrid approach-based comparative analysis of the environmental impact of a baseline data centre and an energy-efficient data centre

1 Scope

1.1 Purpose and goal of the study

The goal of this case study is to clarify the greenhouse gas (GHG) emission reduction effect of some new energy saving technologies in a data centre.

The purpose of this case study is to assess the production and operation of introducing a more energy-efficient data centre and to compare its impact to a previous set-up. This case study is intended to be open to the public.

In this case study, a hybrid approach was applied. The hybrid approach used in this study includes input-output analysis (IOA) for production and end-of life and process-sum analysis for usage.

To achieve the goal and purpose mentioned above, a comparative analysis was performed for the full life cycles of a data centre with energy-saving cooling and power supply solutions and of a data centre with conventional solutions. The energy saving solutions used are listed in Table 1-1.

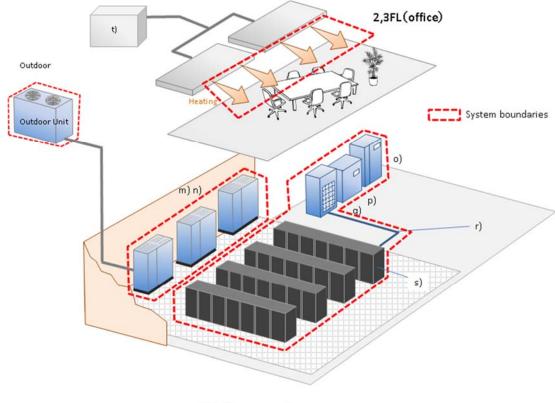
| Category | Energy-saving technique | Technical summary | | | | | | |
|----------------------|---|---|--|--|--|--|--|--|
| Air- conditioning | High-efficiency air cooled packaged air-conditioner | A packaged air-conditioner which achieves a high cooling efficiency by enhancing the performance of the inverter. | | | | | | |
| | Free cooling-type packaged air-conditioner | An air-conditioner which introduces cold outdoor air using a heat exchanger. | | | | | | |
| | Rack-type air-conditioner | An air-conditioner which locally and efficiently cools a housing rack group generating a large amount of heat. | | | | | | |
| | Direct-use of geothermal energy | A technique that cools using cold water provided by a heat collecting pipe in the ground. | | | | | | |
| | Airflow design | A technique which avoids a mixing the air intake (cold air) and the exhaust (hot air) of the server to improve the cooling efficiency of the data centre. | | | | | | |
| Power supply | HVDC (Note) | A power feeding system which provides DC380V to ICT equipment and realizes higher efficiency and reliability. | | | | | | |
| Exhaust heat | Use of exhaust server heat | A technique to improve energy efficiency by reusing the exhaust heat of servers for heating. | | | | | | |
| | NOTE – Compliant with [ITU-T L.1200], Direct current power feeding interface up to 400 V at the input to telecommunication and ICT equipment. | | | | | | | |

Table 1-1 – Introduced energy-saving technique

1.2 ICT product systems to compare

The ICT product systems studied in the comparative analysis are as follows.

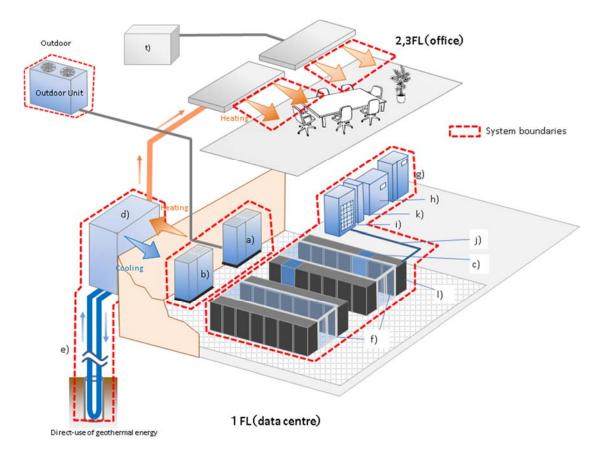
- **Target ICT product system**: An NTTFACILITIES [b-1] data centre that introduces some new energy-saving technologies for air conditioning, power supply and reuse of exhaust heat in offices. The data centre houses 74 servers offering thin client service. The floor space of the data centre is 139 m².
- **Reference ICT product** system: A data centre with conventional facilities for air conditioning and power supply. Capacity and floor space of the data centre is similar to that of target ICT product system.



Figures 1-2 to 1-4 give an overview of the two systems.

1 FL(data centre)

Figure 1-2 – Reference ICT product system





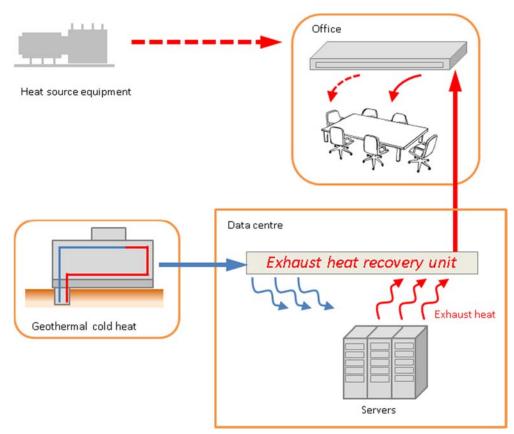


Figure 1-4 – Server room cooling by direct-use of geothermal energy and office room heating by server exhaust heat (Target ICT product system)

The building blocks of each system are shown in Table 1-2.

| C | atagam | | Target ICT |] produc | t system | | Reference I | CT produ | ict system |
|--------------------------|---|------------------------------|--|----------|---|------------------------|---|----------|--|
| C | ategory | | Items | Unit | Spec. | | Items | Unit | Spec. |
| | High- efficiency air cooled | | a) Outdoor air cooling-type air- cooled package air conditioner for data centres [b-2][b-3] | | Rated cooling capacity: 45 kW COP: 4.8 | m) Air- conditioner | | 2 | Rated cooling capacity: |
| Air condi- tioning | packaged air- conditioner for data centres Outdoor air | air cool air-con | -efficiency ed packaged ditioner for ntres [b-4] | 1 | Rated cooling capacity: 45 kW COP: 4.4 | con | unioner | | 40 kW COP: 2.6 |
| | cooling-type local air- conditioner | c) Rack air-cone [b-5] | -type local ditioner | 2 | Rated cooling capacity: 28.3 kW COP: 5.2 | n) A con | Air- ditioner | 1 | Rated cooling capacity: 56 kW COP: 2.6 |
| | Direct-use of geothermal | d) Exha recover | ust heat y unit*1 | 1 | capacity: 17.4 kW | - | | - | - |
| | energy | e) Heat pipe | collecting | 1 | | - | | - | - |
| | Airflow design | f) Cold capping | | 2 | | | _ | - | - |
| | | HVDC system | rectifier | | DC380V | tibl | nterrup- e power ply (UPS) | | AC200V |
| | | | g) Rectifier unit | 1 | | | o) Conver- ter/inver- ter unit | 1 | |
| Power su | Power supply | | h) Battery cell | 91 | Li-ion batteries battery capacity: 33.7 kWh | | p) Battery cell | 168 | VRLA batteries battery capacity: 168 kWh |
| | | | C power tion frame | 1 | DC380V | | AC power ribution ne | 1 | AC200V |
| | | | r tion cables | | | | ower ribution les | | |
| | | | 200 mm ² - CED | 4 m | | | 200 mm ² - CET | 4 m | |
| | | | 14 mm ² - CED | 868 m | | | 3core14C VVS | 868 m | |

Table 1-2 – Evaluated system (1) Data centre

Table 1-2 – Evaluated system (1) Data centre

| Catagory | Target ICT | t system | Reference ICT product system | | | |
|---|------------------------------|----------|------------------------------|------------------|----------|---------|
| Category | Items | Unit | Spec. | Items | Unit | Spec. |
| | k) Cable protective board | 1 | | - | - | _ |
| Server*2 | l) DC servers | 74 | | s) AC servers | 74 | |
| *1: Commonly used with March. *2: The difference is onl | 0.7 | C | the exhaust heat of | ICT equipment fr | om Decei | nber to |

Table 1-2 – Evaluated system (2) Office

| Category | | Target ICT | Reference ICT product system | | | | |
|--------------|---------------------|------------------------------------|------------------------------|----------------------|--------------------------------|------|-------|
| | | Items | Unit | Spec. | Items | Unit | Spec. |
| Air | Air- conditioner | t) Heat source equipment | 1 | | t) Heat source equipment | 1 | |
| conditioning | Exhaust heat use | d) Exhaust heat recovery unit*3 | 1 | capacity: 17.4 kw | _ | - | _ |

*3: Commonly used with the direct-use of geothermal energy system in the data centre and operated from December to March.

1.3 Operation model of air conditioning

Table 1-3 shows a use scenario for each air conditioner used in this case study and for the usage in offices of the exhaust heat recovered from the data centres.

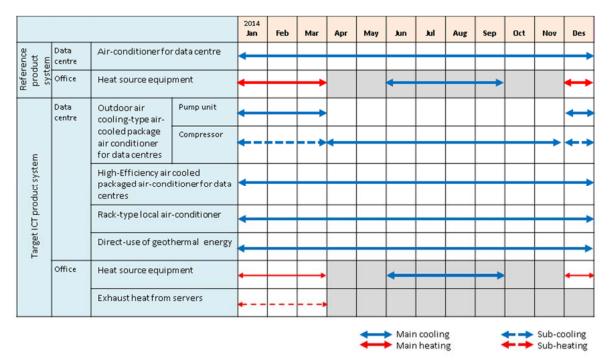


Table 1-3 – Operative schedule

Energy consumption of the cooling equipment is specified by measurements over several months and extrapolation of those as explained in clause 6.1.

1.4 Functional unit

The functional unit was defined as one-year operation of servers, air conditioning equipment and power supply equipment of the data centre and the office air conditioning.

For a user profile, according to Table 1-3.

1.5 System boundaries

1.5.1 General principles

In order to achieve the goal and purpose of this case study, comparative analysis was carried out according to the following principles:

- In principle only life cycle stages that differ between the two systems were calculated. This means that the overall footprint would be only partially calculated. However some major elements such as the servers were included in the study although they were not relevant for the comparative assessment.
- For the data centre, servers, air conditioning and the power supply were taken into account, whereas the network to which the data centre belonged and the services that it supported were excluded as they were considered to be the same in both systems. For the office, only the use stage of the air conditioning system was taken into account because all other items were considered to be the same in both systems.
- GHG emissions were evaluated using the economic input-output approach for the domestic part of the raw material acquisition and production. Due to restrictions in the IOA data used, non-domestic raw material and production activities were excluded. Furthermore, the results for raw materials acquisition and production could not be separated
- Both the data centre cooling system and the office heating system were considered since the target ICT product system includes heat recovery in offices as well as in the data centre cooling.

1.5.2 Mapping of "eight items to consider" on life cycle stages used in the comparative assessment, and the data list

The items considered and the life cycle stages evaluated in this case study are shown below.

The equipment letters (a, b, c, etc.) used in the reference and in the target parts of Table 1-4 and in the reference and target parts of Table 1-5 correspond to those used in Table 1-2.

Table 1-4 – Mapping of checklist items on life cycle stages in the comparative assessment: data centre part

| | Category | | Raw material | | |
|------------------------|--|--------------------|----------------------------------|--------------|--------------------------|
| | Sub-category | Equipment | acquisition and production | Use | End-of-life treatment |
| | ICT hardware | | | \checkmark | \checkmark |
| | ICT software | | * | * | * |
| Consumat | oles and other support | ive products | * | * | * |
| Site | Air conditioning | m), n) | \checkmark | \checkmark | \checkmark |
| | D 1 | o), p), q) | | \checkmark | \checkmark |
| infrastructure | Power supply | r) | | | \checkmark |
| | Buildings | m), n), o), p), q) | | \checkmark | \checkmark |
| Trar | nsport (movement of | goods) | * | * | * |
| Tra | avel (movement of pe | ople) | * | * | * |
| | Storage of goods | | * | * | * |
| Working environment | Operation and maintenance activities | m), n) | V | \checkmark | V |

(1) Reference ICT product system

Table 1-4 – Mapping of checklist items on life cycle stages in the comparative assessment: data centre part

| | Category | | Raw material | | |
|------------------------|--|-----------------------------------|----------------------------------|--------------|--------------------------|
| | Sub-category | Equipment | acquisition and production | Use | End-of-life treatment |
| | ICT hardware (s | server) | \checkmark | | \checkmark |
| | ICT softwa | re | * | * | * |
| Consuma | ables and other suj | oportive products | * | * | * |
| | Air | a), c), d) | \checkmark | \checkmark | \checkmark |
| | conditioning | e), f) | \checkmark | ** | \checkmark |
| Site | Power supply | g), h), i), k) | \checkmark | | \checkmark |
| infrastructure | | j) | \checkmark | | \checkmark |
| | Buildings | a), b), c), d), g), h), i), k) | \checkmark | | \checkmark |
| Tra | ansport (movemen | t of goods) | * | * | * |
| Т | ravel (movement | of people) | * | * | * |
| | Storage of go | ods | * | * | * |
| Working environment | Operation and maintenance activities | a), b), c) | \checkmark | \checkmark | \checkmark |
| | uilding blocks that consumption exist | t are not considered in th s. | he comparative ass | sessment. | |

(2) Target ICT product system

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Table 1-5 – Mapping of checklist items on life cycle stages in the comparative assessment: office

| | Cotogowy | | ICT product system | | | | |
|---------------------|---------------------|--------------------|-------------------------------|--------------|-------------|--|--|
| | Category | | Raw material | | End-of-life | | |
| | Sub-category | Equipment | acquisition and production | Use | treatment | | |
| | ICT hardware | | * | * | * | | |
| | ICT software | | * | * | * | | |
| Consumabl | es and other suppo | ortive products | * | * | * | | |
| Site infrastructure | Air conditioning | t) | * | \checkmark | * | | |
| Trans | sport (movement o | of goods) | * | * | * | | |
| Trav | vel (movement of | people) | * | * | * | | |
| | Storage of good | S | * | * | * | | |
| | Working environn | nent | * | * | * | | |
| * Common b | uilding blocks that | are not considered | l in the comparative as | ssessment. | | | |

(1) Reference ICT product system

Table 1-5 – Mapping of checklist items on life cycle stages in the comparative assessment: office

| | | (2) Target ICT p | roduct system | | | | |
|----------------|----------------------|-------------------|----------------------------------|--------------|--------------------------|--|--|
| | Catagory | | ICT product system | | | | |
| | Category | | Raw material | | | | |
| | Sub-category | Equipment | acquisition and production | Use | End-of-life treatment | | |
| | ICT hardware | | * | * | * | | |
| | ICT software | | * | * | * | | |
| Consumable | es and other suppor | tive products | * | * | * | | |
| Site | Air | d) | \checkmark | \checkmark | \checkmark | | |
| infrastructure | conditioning | t) | * | \checkmark | * | | |
| Trans | port (movement of | goods) | * | * | * | | |
| Trav | el (movement of pe | cople) | * | * | * | | |
| | Storage of goods | | * | * | * | | |
| V | Working environme | nt | * | * | * | | |
| * Common buil | ding blocks that are | not considered in | the comparative asse | essment. | | | |

(2) Target ICT product system

1

1.5.3 Cut-off

The study made the following cut-offs:

- The Japanese input-output (IO) data are based on domestic activities only. For this reason all non-domestic activities related to the raw materials acquisition and production were cut-off. However this cut-off is only partial as import and export are considered in the IO data to some extent. Due to lack of data the impact from this cut-off could not be estimated.
- Servers were included in the results although they do not impact the comparative analysis. However, final distribution of servers was cut-off. This did not impact on the results of the comparison.
- Similarly the final distribution of the power supply (including batteries) and the air conditioners and the exhaust heat recovery unit were cut-off. This did not impact the result of the comparison for power supply as it affects both the assessed systems equally. However, for the air conditioners, the batteries as well as for the exhaust heat recovery unit, the heat collecting pipe and the cold aisle-capping of a number of goods there were differences between the assessed systems but the impact of these differences on the results is considered limited.

1.5.4 Operating lifetime

This study assumes that each item of equipment was used during the statutory useful life defined in Table 1-6 in accordance with the user profile set up in Table 1-3.

| Target ICT product system | | Reference ICT product system | |
|---|----------------------------|--------------------------------|----------------------------|
| Equipment | Useful life time (year) | Equipment | Useful life time (year) |
| a) Outdoor air cooling-type air-cooled package air conditioner for data centres | 13 | m) Air-conditioner | 13 |
| b) High-efficiency air cooled packaged air-conditioner for data centres | 13 | - | - |
| c) Rack-type local air- conditioner | 13 | n) Air-conditioner | 15 |
| d) Exhaust heat recovery unit | 13 | - | - |
| e) Heat collecting pipe | 13 | - | - |
| f) Cold aisle-capping | 15 | - | - |
| g) Rectifier unit | 15 | o) Converter/inverter unit | 15 |
| h) Battery cell(Li-ion) | 6 | p) Battery cell | 6 |
| i) HVDC power distribution frame | 15 | q) AC power distribution frame | 15 |
| j) Power distribution cables | 30 | r) Power distribution cables | 30 |
| k) Cable protective board | 15 | - | - |
| l) DC servers | 5 | s) AC servers | 5 |

 Table 1-6 – Statutory useful life time used in this case study [b-7]

2 References

| [ITU-T L.1410] | Recommendation ITU-T L.1410 (2014), Methodology for environmental life cycle assessments of information and communication technology goods, networks and services. |
|----------------|--|
| [ITU-T L.1200] | Recommendation ITU-T L.1200 (2012), <i>Direct current power feeding interface</i> up to 400 V at the input to telecommunication and ICT equipment. |
| [ISO 14040] | ISO 14040:2006, Environmental management – Life cycle assessment – Principles and framework. |
| [ISO 14044] | ISO 14044:2006, Environmental management – Life cycle assessment – Requirements and guidelines. |

3 Definitions

None.

4 Abbreviations and acronyms

This Supplement uses the following abbreviations and acronyms:

- 3EID Embodied Energy and Emission Intensity Data
- COP Coefficient of Performance
- EoLT End-of-Life Treatment
- GHG Greenhouse Gas
- HVDC High-Voltage Direct Current
- ICT Information and Communication Technology
- IDEA Inventory Database for Lifecycle Analysis
- IO Input-Output
- IOA Input-Output Analysis
- LCA Life Cycle Assessment
- PDF Power Distribution Frame
- RMA Raw Material Acquisition
- RMA&P Raw Material Acquisition and Production

5 Conventions

None.

6 Life cycle inventory

6.1 Data collection

The collected data items for evaluating the greenhouse gas (GHG) emissions of the target ICT product system are shown in Table 6-1 and Table 6-2 for the data centre and the office, respectively.

Table 6-1 – Data items acquired in this case study: Target ICT product system(1) Data centre

| Necessary information | | Defense de la talitaria | |
|-----------------------|---------------------------|--|--|
| Category | Sub-category | Items | Primary data items |
| ICT hardware | Servers | Body | Price, weight, power consumption (see Note 1), unit number, installation area |
| naruware | | Power supply unit | Price, weight, unit number |
| | | Outdoor air cooling-type air- cooled package air conditioner for data centre | Price, weight, power consumption (see Note 2), unit number, installation area |
| | Air- conditioner | High-efficiency air cooled packaged air-conditioner for data centre | Price, weight, power consumption (see Note 2), unit number, installation area |
| | | Local air-conditioner | Price, weight, power consumption (see Note 2), unit number, installation area |
| | | Exhaust heat recovery unit | Price, weight, power consumption (see Note 2), unit number |
| Site | | Heat collecting pipe | Price, weight, material, length |
| infrastructure | | Cold aisle-capping | Price, weight, unit number |
| | | HVDC rectifier | Price, weight, power consumption (see Note 3), unit number, installation area |
| | | Lithium ion storage batteries | Price, weight, unit number, installation area |
| | Power supply | HVDC power distribution frame (PDF) | Price, weight, power consumption (see Note 4), unit number, installation area |
| | | Power distribution cables | Price, weight, diameter, length |
| | | Cable protective board | Price, weight, power consumption (see Note 4), unit number, installation area |
| Working | Operation and | Air-conditioner for data centre | Man-day of operation and maintenance, lifetime of the compressor, unit number |
| environment | maintenance activities | Power supply | Man-day reduction ratio (See Note 3), unit number, number of cells |

NOTE 1 – The total consumption of the ICT equipment in the data centre was measured and it was assumed that the power consumption of the server is 40% of this power consumption based on Japanese average conditions. <u>http://www.env.go.jp/air/tech/model/heat_aeh-wg2-20_02/ref01.pdf</u>

NOTE 2 – August 2014 - December 2014: measured

January 2014 - July 2014: estimated based on the measured value for August-December modified according to the capacity profile of coefficient of performance (COP) vs outdoor temperature. http://www.jma.go.jp/jma/menu/report.html

NOTE 3 – Heating

NOTE 4 – Estimated based on the efficiency of the power-supply unit and total power supplied to the data centre.

Table 6-2 – Data items acquired in this case study: Target ICT product system(2) Office

| Necessary information | | | Data itang | |
|---|------------------|---|---|--|
| Category | Sub-category | Sub-category Items Data items | | |
| | Air-conditioner | Heat source equipment | Power consumption (see Note 1) | |
| Site infrastructure | Exhaust heat use | Exhaust heat recovery unit | Price, weight, power consumption (see Note 2), unit number, installation area | |
| NOTE 1 – Calculated according to the Japanese average COP (=2.6) according to experts' estimation for | | | | |

NOTE 1 – Calculated according to the Japanese average COP (=2.6) according to experts' estimation for the present air conditioner by the following equation:

P[kW] = power consumption of ICT equipment/COP of the air conditioner for data centre = 22.0 kW/2.6 NOTE 2 – August 2014 - December 2014: measured

January 2014 - July 2014: estimated based on the measured value for August-December modified according to the capacity profile of COP vs outdoor temperature. http://www.jma.go.jp/jma/menu/report.html

The collected data items for evaluating the GHG emissions of the reference ICT product system are shown in Table 6-3 and Table 6-4 for the data centre and the office, respectively.

| Table 6-3 – Data items acquired in this case study: Reference ICT product system |
|--|
| (1) Data centre |

| Necessary information | | | Data items |
|--|---------------------------|-----------------------------------|---|
| Category | Sub-category | Items | Data items |
| ICT hardware | Servers | Body | Price, weight, power consumption (see Note 1), unit number, installation area |
| | | Power supply unit | Price, weight, unit number |
| | Air- conditioner | Air-conditioner for data centre | Price, weight, power consumption (see Note 2), unit number, installation area, rated cooling capacity, COP |
| Site infrastructure Power supply | | Converter/inverter unit | Price, weight, power consumption (see Note 3) unit number, installation area |
| | Power supply | Lead Storage batteries | Price, weight, power consumption (see Note 3), capacity, unit number, installation area |
| | | AC power distribution frame (PDF) | Price, weight, power consumption (see Note 3), unit number, installation area |
| | | Power distribution cables | Price, weight, diameter, length |
| Working | Operation and | Air-conditioner for data centre | Man-day/maintenance, lifetime of the compressor, unit number |
| environment | maintenance activities | Power supply | Man-day/maintenance, unit number, number of cells |

Table 6-3 – Data items acquired in this case study: Reference ICT product system(1) Data centre

| Necessary information | | Data itama | |
|--|---|------------|------------|
| Category | Sub-category | Items | Data items |
| NOTE 1 – Assu | NOTE 1 – Assumed to be the same value as for the target ICT product system. | | |
| NOTE 2 – Calculated according to the Japanese average COP (= 2.6) according to experts' estimation for the present air conditioner by the following equation: | | | |
| P[kW] = power consumption of ICT equipment/COP of the air conditioner for data centre = 22.0 kW/2.6 | | | |
| NOTE 3 – Estimated from the power consumption of ICT equipment and the efficiency of the power- | | | |
| supply unit. | | | |

Table 6-4 – The primary data items acquired in this case study: Reference ICT product system (2) Office

| Necessary information | | Drimony data acquisition itoms | |
|------------------------|-----------------------------|--------------------------------|--------------------------------|
| Category | Category Sub-category Items | | Primary data acquisition items |
| Site infrastructure | Air-conditioner | Heat source equipment | Power consumption |

6.2 Data calculation

The data calculation was conducted based on the conditions required by [ISO 14040], [ISO 14044] and [ITU-T L.1410].

[ITU-T L.1410] requires the calculation of seven types of GHGs, but only CO₂ emissions were calculated in this study, mainly because of the difficulty in acquiring adequate high quality data.

The calculation equations of GHG emissions (CO_2 emissions only in this study) and the collected data are shown in Table 6-5.

| Category | How to calculate the CO ₂ emissions | Collected data and sources |
|--------------|--|--|
| ICT hardware | Server | |
| | <raw acquisition="" and<br="" material="">production> Prices of the hardware[yen](*1) × emission factor [kg-CO2/yen] (*2) ÷ life time[year](*3)</raw> | *1: Prices of the hardware (source: statistics of Japan Electronics and information Technology Industries Association, 2009) *2: Emissions factor of hardware for raw material acquisition and production and end-of-life treatment (source: Japanese Input-Output Table, 2005) *3: Statutory useful life in Japan (source: national tax agency) |
| | <use> Power consumption[kW](*1) ×annual operating time of the hardware[hour/year](*2) × emission factor [kg-CO2/kWh](*3)</use> | *1: Measurements of electric power consumption *2: 8,765H/year(= 24H*365days) *3: Emissions factor of electric power (source: FEPC, 2013) |

Table 6-5 – How to calculate the GHG emissions from collected data

| Category | How to calculate the CO ₂ emissions | Collected data and sources |
|----------------|---|---|
| | <end-of-life treatment=""> Weight of the hardware[kg](*1)× emission factor [kg-CO2/kg](*2) ÷ life time[year](*3)</end-of-life> | *1: Weight of the hardware (source: catalogue) *2: emission factor of waste disposal treatment (source: Japanese Input-Output Table, 2005) *3: Statutory useful life in Japan (source: national tax agency) |
| Site | Air conditioning, Power supply. | |
| infrastructure | <raw acquisition="" and<br="" material="">production> Prices of the hardware[yen] (*1) × emission factor [kg-CO2/yen] (*2) ÷ life time[year](*3)</raw> | *1(Air conditioning): Prices of the hardware (source: hearing from the vendor) *1(Power supply): Prices of the hardware (source: The Japan Machinery Federation, 2004) *2: Emissions factor of hardware for raw material acquisition and production and end-of-life treatment (source: Japanese Input-Output Table, 2005) *3: Statutory useful life in Japan (source: national tax agency) |
| | <use> Power consumption[kW](*1) × annual operating time of the hardware[hour/year] (*2) × emission factor [kg-CO2/kWh](*3)</use> | *1: Measurements of electric power consumption *2: 8,765H/year(=24H*365days) *3: Emissions factor of electric power (source: FEPC, 2013) |
| | <end-of-life treatment=""> Weight of the hardware[kg](*1) × emission factor [kg-CO2/kg] (*2) ÷ life time[year](*3)</end-of-life> | *1: Weight of the hardware (source: hearing from the vendor) *2: emission factor of waste disposal treatment (source: Japanese Input-Output Table, 2005) *3: Statutory useful life in Japan (source: national tax agency) |
| | Cold Aisle-capping | |
| | <raw acquisition="" and<br="" material="">production> Prices of the hardware[yen](*1) × emission factor [kg-CO2/yen](*2) ÷ life time[year](*3)</raw> | *1: Prices of the hardware (source: hearing from the vendor) *2: Emissions factor of hardware for raw material acquisition and production and end-of-life treatment (source: Japanese Input-Output Table, 2005) *3: Statutory useful life in Japan (source: national tax agency) |
| | <end-of-life treatment=""> Weight of the hardware[kg](*1) × emission factor [kg-CO2/kg](*2) ÷ life time[year](*3)</end-of-life> | *1: Weight of the hardware (source: hearing from the vendor) *2: emission factor of waste disposal treatment (source: Japanese Input-Output Table, 2005) *3: Statutory useful life in Japan (source: national tax agency) |

Table 6-5 – How to calculate the GHG emissions from collected data

| Category | How to calculate the CO ₂ emissions | Collected data and sources |
|----------|---|---|
| | Battery | |
| | <raw acquisition="" and="" material="" production=""></raw> | *1: Prices of the hardware (source: statistics of Economic Research Association, 2015) |
| | Prices of the hardware[yen](*1) × emission factor [kg-CO2/yen](*2) ÷ life time[year](*3) | *2: Emissions factor of hardware for raw material acquisition and production and end-of-life treatment (source: Japanese Input-Output Table, 2005) *3: Statutory useful life in Japan (source: national tax agency) |
| | <use></use> | *1: Measurements of power loss |
| | Annual power loss[kWh/year](*1) × emission factor[kg-CO2/kWh](*2) | *2: Emissions factor of electric power (source: FEPC, 2013) |
| | <end-of-life treatment=""> Weight of the hardware[kg](*1) ×</end-of-life> | *1: Weight of the hardware (source: catalogue) |
| | emission factor [kg-CO2/kg](*2) ÷ life time[year](*3) | *2: emission factor of waste disposal treatment (source: Japanese Input-Output Table, 2005) |
| | | *3: Statutory useful life in Japan (source: national tax agency) |
| | Power cable | |
| | <raw acquisition="" and="" material="" production=""></raw> | *1: Prices of the hardware (source: statistics of Economic Research Association, 2015) |
| | Prices of the hardware[yen](*1) × emission factor [kg-CO2/yen](*2) ÷ life time[year](*3) | *2: Emissions factor of hardware for raw material acquisition and production (source: Japanese Input-Output Table, 2005) |
| | | *3: Statutory useful life in Japan (source: national tax agency) |
| | <end-of-life treatment=""> Weight of the hardware[kg] (*1) ×</end-of-life> | *1: Weight of the hardware (source: hearing from the vendor) |
| | emission factor [kg-CO2/kg](*2) ÷ life time[year](*3) | *2: emission factor of waste disposal treatment (source: Japanese Input-Output Table, 2005) |
| | | *3: Statutory useful life in Japan (source: national tax agency) |
| | Buildings | |
| | <raw acquisition="" and<br="" material="">production> Site infrastructure installation area[m2](*1) × annual emission factor allocated considering expected lifetime[kg-CO2/m2/year](*2)</raw> | *1: Site infrastructure installation area (source: hearing from NTT FACILITIES, the operator of the data centre) *2: emission factor of the office building for each life cycle stage (source: 3rd seminar of Life Cycle Assessment Society of Japan, |
| | <use> Site infrastructure installation area[m2](*1) × annual emission factor [kg-CO2/m2/year](*2)</use> | 2004 (in Japanese) http://lca-forum.org/seminar/pdf/69.pdf |
| | <pre><end-of-life treatment=""> Site infrastructure installation</end-of-life></pre> | |

Table 6-5 – How to calculate the GHG emissions from collected data

| Category | How to calculate the CO ₂ emissions | Collected data and sources |
|------------------------|--|---|
| | area[m2](*1) × annual emission factor allocated considering expected lifetime[kg-CO2/m2/year](*2) | |
| Working environment | Maintenance and operation of air conditioning equipment. | |
| | <raw acquisition="" and<br="" material="">production and end-of-life treatment>,<use>,<end-of-life treatment> Annual number of work steps[person×day/year](*1) × working area per office worker[m2/person](*2) × daily emission factor [kg-CO2/day/m2](*3)</end-of-life </use></raw> | *1: Number of work steps (source: hearing from NTT FACILITIES, the operator of the data centre) *2: The working area per office worker (source: Japan Building Owners and Managers Association, 2013) *3: Emission factor of the office building (source: Architectural Institute of Japan, 2011) |

Table 6-5 – How to calculate the GHG emissions from collected data

LCA-DB and statistics used in this case study are shown in Table 6-6.

| | | Source | Reference |
|---------------------------|---|--|---|
| Emissions factor | Electric power | FEPCO: The Federation of Electric Power Companies of Japan CO ₂ emissions intensity user end electricity averaged over 12 electric power companies, including distribution loss in and the electricity supply chain for year 2013 | http://www.fepc.or.jp/environment/warm ing/environment/pdf/2014.pdf |
| | Hardware for raw material acquisition and production and end-of- life treatment | 3EID: Embodied Energy and Emission Intensity Data for Japan using input-output tables | http://www.cger.nies.go.jp/publications/ report/d031/eng/index_e.htm |
| Price of ICT equipment | Server, storage | JEITA: Japan Electronics and Information Technology Industries Association | http://home.jeita.or.jp/is/statistics/server /h21/kakaku1.html http://www.jeita.or.jp/japanese/stat/elec tronic/2008/product/pro_12.pdf (in Japanese) |
| Battery, power cable | | Economic Research Association | https://www.e- sekisan.jp/ER/topmenu.jsf (in Japanese, toll information) |

Table 6-6 – LCA-DB and statistics used in this case study

| | | Source | Reference |
|--|--------------------|---|---|
| Power consumption/ efficiency | Server, storage | Green ICT committee, DPPE: Holistic Framework for Data Centre Energy Efficiency | http://home.jeita.or.jp/greenit- pc/topics/release/pdf/dppe_e_2012082 4.pdf |
| | UPS | JEITA: Japan Electronics and Information Technology Industries Association | http://www.jema-net.or.jp/jema/data/06- ups02.pdf (in Japanese) |
| Operation and maintenance activities | Power supply | Ministry of Land, Infrastructure, Transport and Tourism Japan | http://www.mlit.go.jp/common/0010316 66.pdf (in Japanese) |

Table 6-6 – LCA-DB and statistics used in this case study

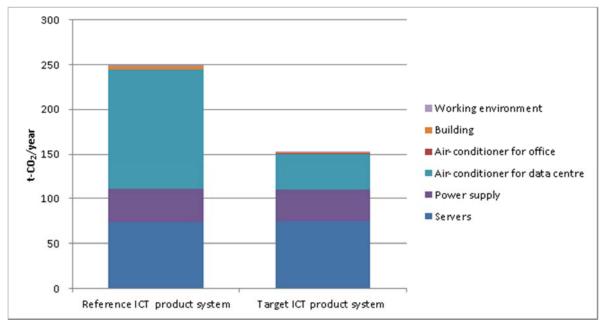
7 Results and conclusion of the evaluation

Clause 7 presents the overall results of the assessment. Detailed results are available in Appendix I.

7.1 Results

The assessment results showing the different parts of the systems are shown in the figures and tables below.

Figure 7-1 and Table 7-1 show the assessment results by category.



NOTE – This LCA result cannot be compared to the result of another LCA unless all assumptions and modelling choices are equal.

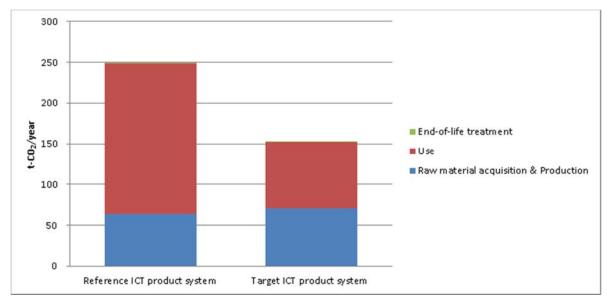
Figure 7-1 – Assessment results by category

| | ICT hardware | | | | | |
|------------------------------------|-------------------------|---------------------------|-------------------------|-----------------------------------|-------------------------|-------------------------|
| | Servers | ers Power conditioner con | | Air- conditioner for office | Building | Working environment |
| | t-CO ₂ /year | t-CO ₂ /year | t-CO ₂ /year | t-CO ₂ /year | t-CO ₂ /year | t-CO ₂ /year |
| Reference ICT product system | 74.0 | 36.5 | 133.7 | 0.4 | 4.1 | 0.1 |
| Target ICT product system | 74.7 | 35.5 | 40.3 | 0.4 | 1.6 | 0.1 |

Table 7-1 – Assessment results by category

| | Total | Reduction amount | Reduction rate |
|---------------------------------|-------------------------|-------------------------|----------------|
| | t-CO ₂ /year | t-CO ₂ /year | % |
| Reference ICT product system | 248.9 | - | |
| Target ICT product system | 152.5 | 96.3 | 39% |

Figure 7-2 and Table 7-2 show the results for the different life cycle stages of the reference and the target ICT product systems:



NOTE – This LCA result cannot be compared to the result of another LCA unless all assumptions and modelling choices are equal

| Figure 7-2 – Assessment results by li | ife cycle | analysis |
|---------------------------------------|-----------|----------|
|---------------------------------------|-----------|----------|

| | Raw material acquisition and production | Use | End-of-life treatment | Total | Reduction amount | Reduction rate |
|------------------------------------|--|-------------------------|--------------------------|-------------------------|-------------------------|-------------------|
| | t-CO ₂ /year | t-CO ₂ /year | t-CO ₂ /year | t-CO ₂ /year | t-CO ₂ /year | % |
| Reference ICT product system | 63.4 | 184.7 | 0.7 | 248.9 | - | |
| Target ICT product system | 70.6 | 81.5 | 0.4 | 152.5 | 96.3 | 39% |

Table 7-2 – Assessment results by life cycle analysis

7.2 Sensitivity analysis

Sensitivity analysis was conducted to analyse the impact of differences of COP and the price of batteries on LCA results because these data were based on general statistics in contrast to other data which were based on the existing data centre.

7.2.1 COP of air conditioner

In the comparative analysis of air-conditioners, 2.6 was used as the COP value of the reference ICT product system. As the result changes greatly according to the COP value of the reference ICT product system, the COP range of conventional air conditioners was also tested as follows and a sensitivity analysis was carried out.

Min COP = 1.5

Max COP = 3.4

The results of sensitivity analysis of COP are shown in Figure 7-3 while with the results based on the COP of 2.6 of the reference ICT product system are shown in Table 7-3. As can be seen in Table 7-3, the CO_2 reduction induced by the applied energy saving technique of the air-conditioner

in the target ICT system was around 190, 90 and 60 t-CO₂/year when the COP of the reference ICT product system was assumed as 1.5, 2.6 and 3.4 respectively. This result suggests that the assumed COP of air conditioners in the reference ICT product system makes a significant difference in the CO₂ emission reduction by using energy-saving technology in the target ICT product system especially when the assumed COP was low.

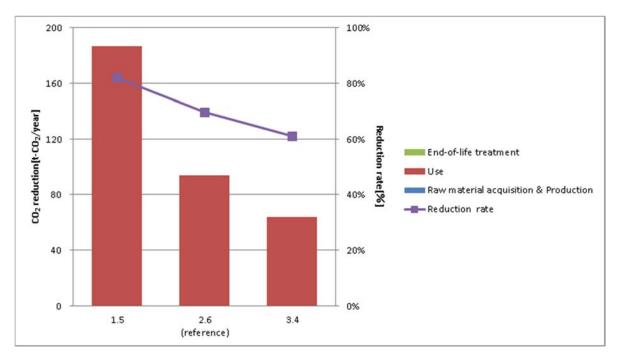


Figure 7-3 – Results of sensitivity analysis of COP

| | Air conditioner | | | | | Overal | l result |
|---|---|-------------------------|--------------------------|-------------------------|-------------------|-------------------------|-------------------|
| COP in reference ICT product system | Raw material acquisition and production | Use | End-of-life treatment | Total | Reduction rate | Total | Reduction rate |
| | t-CO ₂ /year | t-CO ₂ /year | t-CO ₂ /year | t-CO ₂ /year | | t-CO ₂ /year | |
| 1.5 | -0.5 | 186.8 | 0.0 | 186.3 | 82% | 189.3 | 55% |
| 2.6 (reference) | -0.5 | 93.9 | 0.0 | 93.4 | 70% | 96.3 | 39% |
| 3.4 | -0.5 | 64.1 | 0.0 | 63.6 | 61% | 66.5 | 30% |

7.2.2 Raw material acquisition and production of Li-ion battery

In general, the input-output (IO) approach means that the results are sensitive to price levels. This is a general effect and the impact of that was tested for batteries as described below. Similar sensitivity analysis could have been performed also for other IO based data points.

More specifically, in the comparative analysis of the power supply systems, the CO₂ emissions in the raw material acquisition and production stage of Li-ion batteries and lead storage batteries were evaluated by the economic input-output approach. Therefore CO₂ emissions in the raw material acquisition and production stage depend on those prices. According to the latest statistical data of battery production representing the time period 2009-2013 [b-9], the price of Li-ion batteries decreases.

We estimated the future price of Li-ion batteries assuming a linear decrease using the data of capacity and price of battery production during 2009-2013 from the latest statistics on the price and carried out sensitivity analysis. The price per capacity of Li-ion battery and lead battery was estimated to be 85% and 104%, 63% and 104% and 41% and 106% in 2015, 2020 and 2025 compared to 2013 respectively.

The results are shown in Figure 7-4 and in Table 7-4. The assessment result of CO₂ emission reduction was estimated to be larger in both 2020 and 2025 than that in 2015 applying input-output assessment (IOA). Considering the overall results of his study, the CO₂ emission reduction rate was estimated to be 39%, 42% and 44% in 2015, 2020 and 2025 respectively, to be compared with the main result of 39% representing 2013 data (see clause 7.1).

This result suggests that the price change in batteries does not have significant impact on the results of this case study.

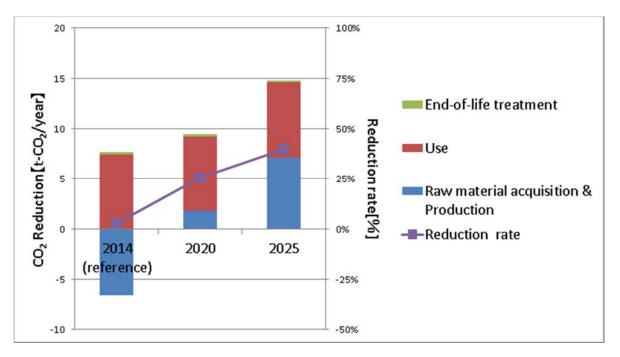


Figure 7-4 – Results of sensitivity analysis of raw material acquisition and production of Li-ion battery

| | | Over | Overall result | | | | |
|---------------------|---|-----------------------------|--------------------------|-----------------------------|-------------------|-----------------------------|-------------------|
| Year | Raw material acquisition and production | Use | End-of-life treatment | Total | Reduction rate | Total | Reduction rate |
| | t-CO ₂ / year | t-CO ₂ / year | t-CO ₂ / year | t-CO ₂ / year | | t-CO ₂ / year | |
| 2014 (reference) | -6.6 | 7.5 | 0.2 | 1.0 | 3% | 96.3 | 39% |
| 2020 | 1.8 | 7.5 | 0.2 | 9.4 | 26% | 104.7 | 42% |
| 2025 | 7.1 | 7.5 | 0.2 | 14.8 | 40% | 110.1 | 44% |

Table 7-4 – Results of sensitivity analysis of raw material acquisition and production of Li-ion battery

7.3 **Potential second order effects**

The potential second order effects are a possible reduction of the installation area of the air conditioner and power supply equipment and a possible reduction of the office area (working environment) for maintenance workers. Potential second-order effects are shown in Table 7-5.

| | Field test and results (t-CO ₂ /year) | | | | | |
|----------------------------------|--|---|--|--|--|--|
| Potential | (1) Reference ICT product system | (2) Target ICT product system | Difference (1)-(2) | | | |
| Travel (movement of people) | _ | _ | _ | | | |
| Transport (movement of goods) | _ | _ | _ | | | |
| Site infrastructure | Space for air conditioning equipment, power supply equipment 4.1 | Space for air conditioning equipment, power supply equipment 1.6 | Space for air conditioning equipment, power supply equipment 2.5 | | | |
| Storage of goods | _ | _ | _ | | | |
| Working environment | Office space for maintenance workers 0.12 | Office space for maintenance workers 0.09 | Office space for maintenance workers 0.03 | | | |

Table 7-5 – Potential second-order effects

7.4 Conclusion

The power saving technologies evaluated in this case study were found to be very effective for reducing CO_2 emissions of the assessed data centre especially for use stage of air-conditioners. The results seem promising and future studies could investigate if these results could be generalized.

Due to restrictions in the IOA data used, non-domestic raw material and production activities were excluded and the effect of this could not be analysed due to lack of data.

This case study shows that IOA is an interesting approach for environmental assessment of ICT product systems.

For compliance towards [ITU-T L.1410] refer to the compliance table in Appendix II of this Supplement.

8 Critical review

An internal critical review was conducted and a discussion was carried out in the Telecommunication Technology Committee (TTC) in Japan to ensure the objectivity of the assessment.

Appendix I

Reporting according to the tables in Annex L of [ITU-T L.1410]

Table L.1 as presented in Annex L of [ITU-T L.1410] shows a cover page completed here to report the results of the case study assessment described in this Supplement.

| REPORTING | | | |
|--|-----|----|--|
| | Yes | No | Description/references to page |
| General information | | | |
| Company name and contact information | Yes | | Nippon Telegraph and Telephone Corporation, Japan <u>hara.minako@lab.ntt.co.jp</u> |
| Project name | Yes | | A hybrid approach based comparative analysis of the environmental impact of a baseline data centre and an energy-efficient data centre |
| Product system | Yes | | |
| Product system related information | Yes | | 1.2 ICT product systems to compare |
| Product system function | Yes | | 1.3 ICT product systems to compare |
| Product system description | Yes | | |
| Product picture (optional) | | No | |
| Date of completion of assessment (DD/MM/YYYY) | Yes | | 10/09/2015 |
| Compliant with ITU-T L.1410 most recent version | Yes | | ITU-T L.1410 (2014) http://handle.itu.int/11.1002/1000/12207-en |
| LCA tool used | Yes | | Inventory database for lifecycle analysis (IDEA) : Table 2-8 http://www.ghgprotocol.org/third-party-databases/IDEA Embodied energy and emission intensity data (3EID) for Japan Using Input-Output Tables: Table 2-8 http://www.cger.nies.go.jp/publications/report/d031/eng /index_e.htm |
| External review (yes/no) | Yes | | Telecommunication Technology Committee |
| Reviewers | Yes | | (TTC) in Japan |
| Goal definition | | | |
| Reason for carrying out the study | Yes | | 1.1 Purpose and goal of the study |
| Target audience(s) | Yes | | Open to the public |
| Comparative assessment | Yes | | 1.3 ICT product systems to compare |
| Scope definition | | | |
| Functional unit | | | 1.5 Functional unit |
| Reference flow | | | 1.3 ICT product systems to compare |
| System boundaries | | | 1.6 System boundaries |
| Environmental impact categories | | | GHG emissions only |

Table L.1 of ITU-T L.1410 – Cover page

| Table L.1 of ITU-T L.1410 - | Cover page |
|-----------------------------|------------|
|-----------------------------|------------|

| REPORTING | | | | |
|--|-----|----|---|--|
| | Yes | No | Description/references to page | |
| List of optional and recommended stages considered | | | Not applicable | |
| Cut-off criteria | Yes | | 1.6.3 Cut off | |
| Resource used and emission profile | | | | |
| Secondary data sources | Yes | | 2.2 Data calculation Table 2-8 | |
| Data collection procedure | Yes | | 2.1 Data collection | |
| Technical process flow diagram | | No | These are judged unnecessary from the | |
| Unit process description | | No | purpose and goal of this case study. | |
| Calculation procedure | Yes | | 1.2 The input-output analysis approach2.2 Data calculation | |
| Allocation procedure including the handling of multi functionality | | | Not applicable | |
| Data quality | | | 2.1 Data collection GHG emissions in use stage were evaluated with the following power consumption in a year. August 2014 – December 2014: measured January 2014 – July 2014: estimated with capacity profile (Characteristic curve of outside temperature and COP), etc. | |
| Data gap | | | Not applicable within the studied system boundary | |
| Environmental impact assessment | | | | |
| Assessment results | Yes | | 3.1 Results | |
| Normalization (optional) | | | Not applicable | |
| Weighting (optional) | | | Not applicable | |
| Interpretation | | | | |
| Uncertainty aspects including results from sensitivity analyses | Yes | | 3.2 Sensitivity analysis | |
| Conclusion including identification of hot spots | Yes | | 3 Results and conclusion of the evaluation | |

Table L.2 shows the reporting format as presented in [ITU-T L.1410] for included life cycle stages, activities and generic processes. Table L.2 to Table L.9 have been completed from the viewpoint of the hybrid approach employed in this case study.

| Tag | Life cycle stage/ Process | Unit process | Included (Yes/No) | Electricity mix (specific/ country/ world average) | Support activities included (Yes/No) | Transport activities included (Yes/No) G1 | Other generic activities included (Yes/No) G2-7 | Motiva- tion/ Comment |
|------|--------------------------------------|---|--|---|---|---|---|-----------------------------|
| А | Goods Raw Mate | erial Acquisition | | | | | | |
| A1 | Raw material extraction | | Yes (IOA b | based) | | | | |
| A2 | Raw material processing | | | | | | | |
| В | Production | | | | | | | |
| B1 | ICT goods production | | Yes (IOA b | based) | | | | |
| B1.1 | | Parts produc- tion (for further details refer to Annex E) | | | | | | |
| B1.2 | | Assembly | | | | | | |
| B1.3 | | ICT manufac- turer support activities | | | | | | |
| B2 | Support goods production | | No, because this is common item which will not impact the comparative analysis | | | | | |
| B2.1 | | Support goods manufacturing | No, because this is common item which will not impact the comparative analysis | | | | | arative |
| B3 | ICT-specific site construction | | Yes (secon- dary process- sum data) | CO2 emissions intensity user end electricity averaged 12 electric power companies including distribution loss in FY 2013 | Yes | Partially yes (Min transports included but not mainte- nance transports) | | |
| С | Use | | | | | | | |
| C1 | ICT goods use | | Yes | CO2 emissions intensity user end electricity averaged 12 electric power companies, including distribution loss in FY 2013 | Yes | No, because this is common item which does not impact the compara- tive analysis | No, because this is common item which does not impact the compara- tive analysis | |
| C2 | Support goods | | No because | e this is comm | n item which | h does not im | nact the com | parative |

Table L.2 of ITU-T L.1410 – Reporting format for included life cycle stages, activities and generic processes

Table L.2 of ITU-T L.1410 – Reporting format for included life cycle stages, activities and generic processes

| Tag | Life cycle stage/ Process | Unit process | Included (Yes/No) | Electricity mix (specific/ country/ world average) | Support activities included (Yes/No) | Transport activities included (Yes/No) G1 | Other generic activities included (Yes/No) G2-7 | Motiva- tion/ Comment |
|------|--|---|------------------------|---|---|---|--|-----------------------------|
| | use | | analysis | | | | | |
| C3 | Operator support activities | | Yes | Not used | No | No | No | |
| C4 | Service provider support activities | | No, becaus analysis | e this is comm | on item whic | ch does not imj | pact the comp | parative |
| D | Goods end-of-lif | è treatment | | | | | | |
| D1 | Preparation of ICT goods for reuse | | No, becaus analysis | e this is comm | on item whic | ch does not im | pact the comp | parative |
| D2 | ICT-specific EoLT | | | | | | | |
| D2.1 | | Storage/ Disassembly/D ismantling/ Shredding | IOA based | | | | | |
| D2.2 | | Recycling | | | | | | |
| D3 | Other EoLT | | IOA based | | | | | |

Table L.3 shows the reporting format completed for generic processes for LCAs of ICT goods.

| Generic process | Generic process categories included | Unit processes included (for each generic process category) | Important issues |
|------------------------------------|--|---|------------------|
| G1. Transport and travel | IOA based | | |
| G2. Electricity | Japan electricity mix | Including distribution losses and fuel supply chain as well as direct emissions | |
| G3. Fuels | IOA based | | |
| G4. Other energy | Not applicable | | |
| G5. Raw material acquisition | IOA based | | |
| G6. End-of-life treatment | IOA based | | |
| G7. Raw material recycling | IOA based | | |

Table L.4 shows the reporting format completed for transport/travel.

| Mode | CO2e emission factor (see Note 4) | Raw material acquisition transport | | tran exclud | tion stage sport ing final sport | (see] (produc | transport Note 1) tion to use age) | | stage sport | EoLT ti | cansport | Total tr | ansport | | travel Note 6) |
|--|---|---|---|-------------------------------------|---|-------------------------------------|---|-------------------------------------|--------------------------------------|-------------------------------------|--------------------------------------|--|-------------------------------------|----------------------------|-------------------------------------|
| | | Transport work (see Note 2) {tonne× km} | GWP100 {kg CO ₂ e} (see Note 7) | Transport work {tonne× km} | GWP100 {kg CO ₂ e} | Transport work {tonne× km} | GWP100 {kg CO ₂ e} | Transport work {tonne× km} | GWP 100 {kg CO ₂ e} | Transport work {tonne× km} | GWP 100 {kg CO ₂ e} | Transport distance (see Note 3) {km} | GWP100 {kg CO ₂ e} | Travel distance {km} | GWP100 {kg CO ₂ e} |
| NOTE 1 NOTE 2 | The final t Average in | ransport of I n terms of di | for final dist ICT goods fr stance, trans | om assemb port mode, | ly to operate load factor, | or, includin chargeable | g pre- and po e mass, etc. | | | | | t. | | | |
| NOTE 3 – Average in terms of distance, transport mode, load factor, chargeable mass, etc. NOTE 4 – This includes direct fuel consumption and also fuel supply chain. NOTE 5 – Specify used transport mode. NOTE 6 – Includes all kinds of travel throughout life cycles, e.g., commuting, business travel and maintenance travel when applicable. Specify travels taken into account. | | | | | | | | | | | | | | | |
| NOTE 7 | - Other imp | act categorie | es to be adde | d as applica | able. | | | | | | | - • | | | |

Table L.4 of ITU-T L.1410 – Reporting format for transport/travel

Table L.5 shows the reporting format completed for raw materials.

| | Total input (g, kg, tonne) | Content in product (see Note 1) (%) | Recycled raw material used (see Note 2) (%) | Recycling of total input (see Note 3) (%) | Reference | | | | |
|---|----------------------------------|---|---|--|------------|--|--|--|--|
| Iron/Steel alloys | Included (IOA b | ased) | | | | | | | |
| Aluminium alloys | NOTE – Non-do | NOTE – Non-domestic part is quite significant | | | | | | | |
| Copper alloys | | | | | | | | | |
| Silver | | | | | | | | | |
| Gold | | | | | | | | | |
| input minus the rela NOTE 2 – The amo | ated production wa | w material used in th | ne production proc | | | | | | |
| | * | the related production | | | | | | | |
| NOTE 3 – Total rec total content in fina | | t materials, i.e., recyc EOL. | cling of manufactu | iring waste and re | cycling of | | | | |

Table L.5 of ITU-T L.1410 – Reporting format for raw materials

Table L.6 shows the reporting format completed for parts production.

Table L.6 of ITU-T L.1410 – Reporting format for parts production

| | Part categories included (see Note 1) | Part unit processes included (see Note 1) | Handling of special issues (see Note 2) |
|--|---|---|---|
| B1.1.1 Batteries | Included (IOA based | l) | |
| B1.1.2 Cables | | | |
| B1.1.3 Electro-mechanics | | | |
| B1.1.4 Integrated circuits (ICs) | | | |
| B1.1.5 Mechanics / materials | | | |
| B1.1.6 Displays | | | |
| B1.1.7 Printed circuit boards (PCBs) | | | |
| B1.1.8 Other PBA components | | | |
| B1.1.9 Packaging materials | | | |
| B1.1.10 Black box modules | | | |
| NOTE 1 – Annex E of [ITU- included when applicable. NOTE 2 – Include description databases. | | | art unit processes which shall be gory, e.g., from commercial |

Table L.7 shows the reporting format completed for use stage energy consumption.

| | Energy consumption {kWh/year} | Source {long term average/ standardized measurement/ modelled} | Motivation/comment |
|---------------------------------|-------------------------------------|---|--|
| Reference ICT product system | 324,068.7 | Standardized measurement. (Partially estimated with the capacity profile.) The details are shown in the footnotes in Table 2-3. | The emission factor for the used electricity mix is 0.57 kgCO ₂ e/kWh. It is based on the report of the Federation of Electric Power Companies of |
| Target ICT product system | 143,060.8 | Modelled with average COP etc. The details are shown in the footnotes in Tables 2-1 and 2-2. | Japan. http://www.fepc.or.jp/english/index.html |

 Table L.7 of ITU-T L.1410 – Reporting format for use stage energy consumption

Table L.8 shows the reporting format completed for the end-of-life treatment (EoLT) stage.

Table L.8 of ITU-T L.1410 – Reporting format for EoLT stage

| | Process categories included | Process unit processes included | Handling of special issues | | | | |
|---|--------------------------------|------------------------------------|----------------------------|--|--|--|--|
| D1. Preparation for reuse of ICT goods | Not included | | | | | | |
| D2. ICT-specific EoLT | IOA hazad | | | | | | |
| D3. Other EoLT | IOA based | | | | | | |
| NOTE – Annex F of [ITU-T L.1410] gives a list of process categories and unit processes which shall be included when applicable. | | | | | | | |

Table L.9 shows the reporting format completed for LCI results.

| | | TOTAL | Raw materials acquisition and production | Use | EoLT |
|---------------------------------------|---------------------------------|-------------------|--|---|-----------|
| Primary energy use (see Note 1) | Reference ICT product system | Not separative | | | IOA based |
| | Target ICT product system | Not separative | IOA based | Not considered because the item is common and does not impact the comparative analysis | IOA based |
| Total electricity | Reference ICT product system | Not separative | IOA based | 324,068.7 {kWh/year} | IOA based |
| use (see Note 2) | Target ICT product system | Not separative | IOA based | 143,060.8 {kWh/year} | IOA based |

Table L.9 of ITU-T L.1410 – Reporting format for LCI results

Table L.9 of ITU-T L.1410 – Reporting format for LCI results

| | TOTAL | Raw materials acquisition and production | Use | EoLT | | | | |
|--|---------------------|--|-----------------------|------|--|--|--|--|
| NOTE 1 – The comparative analysis was performed by hybrid LCA method with 3EID (Embodied Energy and Emission Intensity Data for Japan Using Input-Output Tables)*1. Therefore the impact of primary energy use in raw material acquisition and production (RMA&P) and EoLT stages is included in the results, but they cannot be separated. | | | | | | | | |
| The primary energy in use stag | ge is only electric | citv and shown in T | otal electricity use. | | | | | |

NOTE 2 – The electricity in use stage was measured. The impact of electricity use in RMA&P and EoLT stages is included in the results, but they cannot be separated.

*1 http://www.cger.nies.go.jp/publications/report/d031/eng/page/what_is_3eid.htm

Table L.10 of ITU-T L.1410 (Impact category indicators) is not applicable because only CO₂ emission is considered in this case study.

Tables L.11 (Reporting format for network description), L.12 (Reporting format for network energy consumption) and L.13 (Reporting format for service hardware allocation) of ITU-T L.1410 are not applicable because the network is outside the boundary in this case study.

Appendix II

Compliance towards [ITU-T L.1410]

Table XII.1 as presented in Appendix XII of [ITU-T L.1410] has been completed from the viewpoint of the hybrid approach employed in this case study.

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|---|-----------|------------------|---|
| Introduction | Deviation(s) from the requirements shall be clearly motivated and reported. | YES | | |
| 5.2 | Full compliance to this Recommendation can be claimed if all mandatory requirements are fulfilled. | | NO | The hybrid approach allows for partial compliance only |
| 5.3 | A third-party review is also needed if the comparison result is to be externally communicated. | YES | | |
| 5.3 | In case of comparative assessment between ICT goods LCAs the operating lifetime shall be set to equal | | | Lifetime of each equipment is set according to the "Statutory useful life time in Japan" |
| 6.1 | The requirements of this Recommendation shall apply as well as those of [ISO 14040] and [ISO 14044]. | YES | | |
| 6.1.1 | The following four high-level life cycle stages (RMA, P, U, EOLT) shall apply to ICT goods, networks and services and shall be assessed as applicable in LCAs based on this Recommendation in accordance with the goal and scope. | YES | | |
| 6.1.1 | Table 2 in clause 6.2.3.1 defines the detailed life cycle stages which further defines the system boundary and which are to be considered when assessing the life cycle impact of ICT goods, networks and services. In particular, it is important to cover all processes whose relevance is marked as mandatory in that table. | YES | | Tables 1-4 and 1-5 |
| 6.1.1 | The data collected shall be structured in such a way that the GHG emissions and energy consumption/environmental impact arising from the transport processes could be reported transparently as far as possible. | | NO | Not possible when using IOA data |
| 6.1 | Transport and energy supplies shall be included in all life cycle stages. | YES | | |
| 6.1 | At the time of publication, to collect appropriate data related to raw materials transport and to separate data related to raw material acquisition stage and production stage is considered challenging due to LCA tool limitations, lack of data, limitations in data granularity and the nature of ICT supply chains. Deviation(s) from this requirement shall be clearly motivated and reported. | | YES | IOA based |
| 6.1 | Instances of transport of goods between production and use stages shall be taken into account. | YES | | IOA based |
| 6.1.2 | The ICT goods, networks and services product system to be | YES | | |

Table XII.1 of ITU-T L.1410 – Summary of the requirements of [ITU-T L.1410]

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|---|-----------|------------------|---|
| | assessed shall be clearly described as well as relevant functions and characteristics. | | | |
| 6.1.2.1 | For the ICT good under study, applicable types of parts, as well as amounts of these, shall be defined. | YES | | IOA based |
| 6.1.2.2 | In the goal and scope phase it shall be outlined which network building blocks are covered. | NA | | Network is out of boundary |
| 6.1.2.2 | For the ICT network under study, applicable types of nodes and infrastructure, as well as amounts of these, shall be defined. | NA | | Network is out of boundary |
| 6.1.2.3 | For the ICT service under study, applicable types of ICT network elements and infrastructure, as well as amounts of these, shall be defined. | NA | | Service is out of boundary |
| 6.1.3.1 | Software shall be considered as well as hardware. | YES | | IOA based – software included to some extent but software is not differing between the systems |
| 6.1.3.1 | For specific software applications, such as music distribution applications, the software is to be seen as an ICT service and shall be assessed according to the requirements outlined for services. | NA | | Service is out of boundary |
| 6.1.3.1 | In these cases the hardware needed to operate the software shall be considered as well. | NA | | Out of boundary because of common item |
| 6.1.3.1 | For users of generic operating systems embedded in products, the life cycle impact of usage of this software may be considered as negligible. However, for the developer of this software the impact of the usage of this software shall be taken into account. | NA | | |
| 6.1.3 | Operating lifetime is critical for the interpretation of the results of LCAs and shall therefore always be reported when presenting LCA results. | YES | | |
| 6.1.3 | Operating lifetime estimates and assumptions shall also be clearly described in the reporting. | YES | | |
| 6.2.1 | During the LCA scoping phase the building blocks of the ICT goods, networks or services shall be identified. | YES | | |
| 6.2.2.1 | The functional unit shall be chosen in accordance with the goal and scope of the LCA. | YES | | |
| 6.2.2.1 | The functional unit requires inclusion of the relevant quantifiable properties and the technical/functional performance of the system. This means that the operating lifetime of all included ICT goods shall be specified. | YES | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|--|-----------|------------------|--|
| 6.2.2.1 | The number of users/subscribers supported by the network and the traffic profile shall be included where applicable. | NA | | Network is out of boundary and these parameters do not differ between the systems. |
| 6.2.2.1 | The functional unit shall be clearly defined and measurable. | YES | | |
| 6.2.2.1 | The reference flow shall reflect the functional unit chosen. | YES | | |
| 6.2.2.2 | The functional unit shall be chosen in the context of goal and scope of the LCA and shall be further clarified by system boundary and cut-off rules. | YES | | |
| 6.2.2.2 | To comply with this Recommendation, the following functional unit shall be applied where applicable. annual ICT goods use (per one year of ICT good use), or total ICT good use per lifetime of ICT good. | YES | | |
| 6.2.2.2 | For relevant LCA results, realistic use scenarios shall be captured. | YES | | |
| 6.2.2.3 | ICT networks can be seen as a system composed of different types of ICT goods. For the purposes of this Recommendation, the following functional unit shall be applied where applicable for ICT networks used during at least one year: • annual network use. | NA | | Network is out of boundary |
| 6.2.2.3 | For relevant LCA results, realistic use scenarios shall be captured. | NA | | |
| 6.2.2.4 | For the purposes of this Recommendation, the following functional unit shall be applied where applicable. annual service use. | NA | | Network is out of boundary |
| 6.2.2.4 | For relevant LCA results, realistic use scenarios shall be captured. | YES | | |
| 6.2.2.4 | Corresponding realistic use scenarios shall be defined. | YES | | |
| 6.2.2.4 | The annual service use shall be defined with respect to the usage scenario to make it possible to define the reference flow. | YES | | |
| 6.2.3.1 | The selection of the system boundary shall be consistent with the goal of the study. | YES | | |
| 6.2.3.1 | Consequently, the system boundaries here define the life cycle stages and the unit processes that shall be taken into account in an LCA of an ICT product system. | YES | | |
| 6.2.3.1 | Table 2 includes further details of the life cycle stages to be included in LCAs of ICT goods, networks and services. The different life cycle stages are further described in clauses 6.2.3.4.2 to 6.2.3.4.5. Mandatory in Table 2 means that the life cycle stage shall be included. | YES | | IOA based |
| 6.2.3.1 | Mandatory life cycle stages or unit processes shall not be cut- off before considered for inclusion by using alternative data. | YES | | IOA based |
| 6.2.3.1 | In Table 2 "Mandatory" means that the life cycle stage, if applicable to the studied product system, shall always be taken into account in an LCA for ICT. | YES | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|---|-----------|------------------|---|
| 6.2.3.3.1 | In order to set the system boundary of ICT goods the life cycle stages listed in clause 6.1.1 shall be detailed. | YES | | IOA based |
| 6.2.3.3.1 | As stated in clause 6.1.3, the environmental impact from both hardware and software shall be considered, if applicable. | YES | | |
| 6.2.3.3.1 | For the ICT good under study, applicable types of parts, as well as amounts of these, shall be defined. | NA | | IOA based |
| 6.2.3.3.2 | Annex H (Table H.1) provides a mandatory set of raw materials (both ICT-specific and generic) which shall be included in the LCA of ICT goods. | NA | | IOA based |
| 6.2.3.3.3 | Annex E lists a mandatory set of parts to be included where applicable to the studied ICT product system, when performing an LCA of ICT goods, as well as mandatory part unit processes which shall be included for each part. | NA | | IOA based |
| 6.2.3.3.3 | As an example, if batteries are part of the studied ICT goods product system they shall be included within the system boundary, and for every battery the Battery Cell manufacturing and Battery module manufacturing shall be included. | YES | | |
| 6.2.3.3.3 | Assembly (B1.2) shall include as minimum PCBA module assembly, final assembly, warehousing, and packaging. | YES | | IOA based |
| 6.2.3.3.3 | In case support goods are part of the studied product system, support goods Production (B2) is mandatory. | NA | | Out of boundary because not relevant to the comparison |
| 6.2.3.3.3 | Support goods (B2.1) which shall be included if applicable to the studied product system are at least air conditioners, cables, and power supply systems. | YES | | |
| 6.2.3.3.3 | As stated in Table 2 Construction of ICT-specific site (B3) is mandatory if the ICT-specific site is included in the studied product system. | NA | | Out of boundary because not relevant to the comparison |
| 6.2.3.3.3 | Site building blocks to be included in B3.1, if they are applicable to the studied product system, are antenna towers, fences and shelters. | NA | | Out of boundary because not relevant to the comparison |
| 6.2.3.3.4 | Raw material acquisition and Production for the additional PCBAs used during the operating lifetime of the ICT goods are mandatory. | YES | | IOA based |
| 6.2.3.3.5 | As shown in Figure 11, Preparation of ICT goods for reuse of ICT goods (D1), ICT-specific EoLT (D2) and Other EoLT (D3) are within the mandatory system boundary for EoLT. | YES | | IOA based |
| 6.2.3.3.5 | Annex F lists a mandatory set of EoLT processes to be included where applicable when performing an LCA of ICT goods which includes the EoLT stage. | YES | | IOA based |
| 6.2.3.3.5 | It is thus recognized that compliance to all requirements in Annex F may not be possible at the time this Recommendation is published. Deviation(s) from the requirements shall be clearly motivated and reported. | YES | | |
| 6.2.3.4 | The network shall be defined in terms of ICT goods, Support goods and ICT infrastructure (e.g., cables duct). | NA | | Network is out of boundary |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|---|-----------|------------------|--|
| 6.2.3.4 | For each included product types, the number of units shall be defined as well as their corresponding lifetimes. | NA | | |
| 6.2.3.4 | For the assessment of networks, operator activities shall always be included. | NA | | Network is out of boundary |
| 6.2.3.5.1 | In addition to the use of ICT goods and networks, an ICT service may also have additional impacts associated with application software development, use of consumables, infrastructure for sales and logistics, associated travel and transport (in addition to those already included for the ICT goods and networks) which shall also be included as appropriate. | NA | | Service is out of boundary |
| 6.2.3.5.1 | The impact of the data centres where the service is operated shall be assessed. | YES | | |
| 6.2.3.5.1 | The data centre shall be studied and assessed in the same way as other ICT goods. | YES | | |
| 6.2.3.5.1 | The system boundary of the ICT services provided by the ICT network shall be established based on either the actual use scenario of the ICT services, if available, or on an estimated use scenario, | NA | | Service is out of boundary |
| 6.2.3.5.2 | Energy consumption, material inputs and environmental releases shall be assessed in accordance with the system boundary. | YES | | |
| 6.2.4 | Cut-offs shall be avoided as far as possible. | YES | | |
| 6.2.4 | [ISO 14044], clause 4.2.3.3 recommendations shall be used as closely as possible. | YES | | |
| 6.2.4 | All cut-off criteria stated by [ISO 14040] and [ISO 14044] are to be considered before cut-off of a certain process – and the process shall be included if significant to at least one criterion. | YES | | Not possible to analyse fully for IOA |
| 6.2.4 | The intention of the present standard is to include all mandatory activities of Table 2. If these activities are not included such cut-offs shall be clearly motivated. | YES | | |
| 6.2.4 | Any cut-off made shall be clearly described and documented. | YES | | |
| 6.2.5.1 | A qualitative description of the data quality and any efforts taken to improve it shall be disclosed while considering the following data quality indicators: Methodological appropriateness and consistency Completeness (total LCA level) Uncertainty Data representativeness Data age (timeliness) Acquisition method Supplier independence Geographical correlation | YES | | To the extent possible for IOA approach |
| | Technological correlation | | | |
| | Cut-off rules (rules of inclusion/exclusion) | | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|---|---------------|------------------|--|
| 6.2.5.1 | In selecting emission factors for use in calculating GHG emissions under this methodology the following guidance shall be followed: | YES | | |
| | Emission factors used should be the most up to date from publicly available sources. | | | |
| 6.2.5.1 | Where emission factors are sourced from non-public sources, or are not the most up-to-date ones, a justification for their use shall be provided. | YES | | |
| 6.2.5.1 | The specific GWP values used shall be those taken from the latest UN IPCC reports. For further guidance see Table XI.1. | NA | | IOA based (CO ₂ only) |
| 6.2.5.2 | In general, data age and technology are especially important in LCAs for ICT goods, networks and services due to the fast technology evolution and the growth in network traffic. e.g., for data traffic, up-to-date figures shall always be used. | NA | | |
| 6.2.5.2 | For support activities (e.g., ICT manufacturer support activities and operator support activities) primary data shall be used for all individual processes under the financial or operational control of the organization undertaking the LCA | NA | | IOA based |
| 6.2.5.2 | and data shall be representative of the processes for which they are collected. | YES | | IOA based |
| 6.3.1.1 | Data shall be collected for each unit process that is included within the system boundary in accordance with Annex B. | YES | | IOA based |
| 6.3.1.1 | Data shall be collected for all mandatory processes outlined in Table 2. | YES | | |
| 6.3.1.1 | When data has been collected from public sources, the source shall be referenced. | YES | | |
| 6.3.1.2 | Data shall be collected at least for the processes marked with mandatory in Table 2, unless these are found negligible in accordance with the cut-off rules. | YES | | IOA based |
| 6.3.1.2.1 | It should be noted that, for many products (especially end-user goods), periods of idling and power off may be significant and are important to consider when modelling the traffic profile/ model the usage profile and shall be included if applicable. | Partiall y | | Idling and power off of servers are considered whereas end- user goods are outside of boundary because of common item |
| 6.3.1.4 | Use time, goods type, data traffic and network access type give important statistical data that needs to be collected in order to quantify the use of ICT systems. | YES | | |
| 6.3.1.2.3 | When calculating the potential environmental impact the LCA practitioner is encouraged to use the most accurate data for the energy mix that is applicable to the ICT goods under assessment. Particularly the use stage shall use the applicable electricity | YES | | |
| | mix to calculate the potential environmental impact from the use stage more exactly. | | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|---|-----------|------------------|---|
| 6.3.2.1 | The general requirements for data calculations in [ISO 14040] and [ISO 14044] shall be applied. | YES | | |
| 6.3.2.1 | All calculation procedures shall be explicitly documented and the assumptions made shall be clearly stated and explained. | YES | | |
| 6.3.2.1 | The same calculation procedures shall be consistently applied throughout the study. | YES | | |
| 6.3.2.1 | A check on data validity shall be conducted during the process of data collection to confirm that the data quality requirements for the intended application have been fulfilled. | YES | | |
| 6.3.2.3 | The evaluation of the environmental load shall consider both a fixed part which is independent of the usage and a variable part which correlates to the usage. | NA | | Outside of boundary because of common item |
| 6.3.3.1 | The same allocation method shall be used for all environmental loads for all products from a common process. | NA | | Outside of boundary because of common item |
| 6.3.3.1 | The study shall identify the processes shared with other product systems and deal with them according to the stepwise procedure presented below. | NA | | Outside of boundary because of common item |
| 6.3.3.2 | Data for generic processes (G1 to G7) shall be allocated as a whole (i.e., for the full life cycle for the generic process) to the associated life cycle stage of the product system. | YES | | |
| 6.3.3.2 | However all Raw material acquisition (G5) shall be allocated to the life cycle stage Raw material acquisition (A). | | | IOA based (RMA and P are combined) |
| 6.3.3.3 | Data for relevant part of the organization/operation shall be allocated to the relevant part of the project/product system life cycle. | NA | | IOA based |
| 6.3.3.3 | If no detailed information on organization/operation is available the allocation shall be based on organizational/economic data. | NA | | IOA based |
| 6.3.3.8 | End-user goods (e.g., PCs, smart phones) which are accessing more than one ICT network (e.g., 3G, WLAN) shall be allocated to these ICT networks based on use time. | NA | | Outside of boundary because of common item |
| 6.3.3.8 | The assumptions regarding use time for access to different ICT networks and off line work shall be described and motivated. | NA | | Outside of boundary because of common item |
| 6.3.3.8 | Impact from shared network resources (e.g., transmission goods, core nodes and data centres) shall be allocated to an access network based on data traffic. | NA | | Network is outside of boundary |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|--|-----------|------------------|---|
| 6.3.3.8 | The assumptions regarding data traffic shall be described and motivated. | NA | | Outside of boundary because of common item |
| 6.3.3.9 | The impact from each ICT network supporting the service should be allocated to the service based on access use time or data traffic. More specifically, the following allocation principle of ICT network data to an ICT service shall be used: Data for end-users goods: to be allocated based on active use time of the ICT service. etc. | NA | | Outside of boundary because of common item |
| 6.3.3.9 | Data traffic is also preferred for e.g., mobile access networks as mobile access networks show a large dependency between data traffic and energy consumption and need a traffic model that takes data traffic into account | NA | | Network is outside of boundary |
| 6.3.3.9 | Data for data centres and service provider activities: The data centre(s) where the ICT service is operated as well as the service provider activities shall be allocated based on number of subscriptions and service users or amount of data/transactions. | YES | | |
| 7 | ISO states that the selection of impact categories shall reflect a comprehensive set of environmental issues related to the product system being studied, taking the goal and scope into consideration. | YES | | |
| 7 | In the LCA it shall be ensured that the inventory elementary flows (see Annex G are correctly linked with appropriate LCIA characterization factors. | NA | | |
| 7 | For climate change, the most recent global warming characterization factors from the Intergovernmental Panel on Climate Change (IPCC) for each GHG shall be used and the timeframe should be 100 years. | NA | | CO ₂ only |
| 7 | The midpoint category Climate change is mandatory. | YES | | |
| 7 | For other impact categories there is no methodological consensus in the LCA community, thus the practitioner shall decide which impact categories to consider and how to calculate them, based on the studied ICT product system and purpose of the LCA study. | NA | | |
| 7 | All impact categories and category indicators included shall be disclosed (Table L.10) and justified. | YES | | |
| 8.2 | The sources of uncertainty and methodological choices made shall be assessed and disclosed. | YES | | |
| 8.3 | The results of the LCI or LCIA phases shall be interpreted according to the goal and scope of the study. | YES | | |
| 8.3 | The interpretation shall include a sensitivity check of the significant inputs, outputs and methodological choices, and defined use scenarios, in order to understand the uncertainty of the results. | YES | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|--|-----------|------------------|--|
| 9.1 | The reporting of ICT product systems shall fulfil the reporting rules as defined by [ISO 14040] and [ISO 14044]. | YES | | |
| 9.1 | In the case of reporting, a public GHG inventory report, the key accounting principles (relevance, accuracy, completeness, consistency, and transparency) shall be met. | YES | | |
| 9.1 | In addition to the reporting obligations outlined by [ISO 14040] and [ISO 14044], the report shall include the following information: contact information studied goods, networks and services product system name and description type of inventory (i.e., final product cradle-to-grave or intermediate product cradle-to-gate inventory) goals of the study. The reporting of results shall include: total GHG emissions reported as amount of CO2e per functional unit for ICT good, network and service that have been assessed percentage for each life cycle stage contributing to the total results electricity (with use stage separated from the other stages) primary energy¹ fuels value and sources of emission factors for CO2 and CO2e, and Global Warming Potential (GWP) metric used in the report other data, justifications and explanations as stated throughout this report. | YES | | |
| 9.1 | In addition the rules outlined in this clause and what is stated in Annex L shall be followed for reporting of studies claiming compliance with this Recommendation. | YES | | |
| 9.1 | The report shall contain a compliance statement saying either that the LCA fully complies with this Recommendation (in case of full compliance) or that the LCA partially complies with this Recommendation with the exceptions transparently listed and justified (partial compliance). | YES | | |
| 9.1 | The extent to which support activities and other optional/recommended activities are excluded for different parts of the life cycle shall be clearly described and for recommendations also motivated in the study report. | NA | | |

¹ Note that primary energy and electricity cannot be summarized because electricity is contributing to the total primary energy.

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|---|-----------|------------------|--|
| 9.1 | For each product system (including ICT goods, networks and services) the following aspects, being of special importance to ICT applications, shall be transparently motivated and described in accordance with the principles defined in this clause: Operating lifetime: All lifetime assumptions shall be stated and motivated. | YES | | |
| 9.1 | Cut-off: Any cut-off made shall be clearly stated and motivated. | YES | | |
| 9.1 | Allocations: Basis for allocations made shall be described, especially for recycling, use of recycled materials, distribution of facility data and support activities. | NA | | |
| 9.1 | Data sources: Data sources (i.e., specific/generic) shall be clearly stated, and deviations from Table 2 shall be motivated. | YES | | |
| 9.1 | For each product system (including ICT goods, networks and services) an additional diagram shall be presented whenever optional activities in Table 2 have been included. | NA | | |
| 9.1 | The emission factors used shall be clearly stated. The source used and the year they represent shall be clearly stated. | YES | | |
| 9.1 | In the case of emission factors for grid electricity the source, year and location (specific, country, global average) shall be clearly stated. | YES | | |
| 9.1 | Where emission factors are sourced from non-public sources, or are not the most up-to-date ones, a justification for their use shall be provided. | NA | | |
| 9.2.1 | For each impact category studied, diagrams corresponding to Figures 14a and 14b shall be reported for the corresponding category indicator result. | YES | | |
| 9.2.1 | Due to the importance of operating lifetime to results, information regarding this shall always be present in the diagram, together with some other basic modelling statements including total result for the indicator, LCA study year operating lifetime, etc. as shown below. | YES | | |
| 9.2.1 | Figure 14b shall be presented whenever optional activities/processes from Table 2 have been included in the studied product system. | YES | | |
| 9.2.1 | For transport, the total result including all transport throughout the life cycle (Table L.4) shall be stated in the immediate proximity of the diagram (Figures 14a and 14b). | NO | | Not possible with the IOA approach |
| 9.2.1 | If used data sets do not report transport separately any missing transport shall be listed and motivated. | YES | | |
| 9.2.1 | Figure 16 shall be accompanied by the disclaimer "This LCA result cannot be compared to the result of another LCA unless all assumptions and modelling choices are equal". | YES | | |
| 9.2.1 | A diagram summarizing distribution of selected environmental impact category indicators between life cycle stages shall be prepared together with absolute figures as shown in the Annex L (Table L.10). | YES | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|--|-----------|------------------|--|
| 9.2.1 | A diagram summarizing distribution of selected environmental impact category indicators between life cycle stages shall be prepared together with absolute figures as shown in the Annex L (Table L.10). | YES | | |
| 9.2.1 | Figure 18 shall be accompanied by the disclaimer "This LCA result cannot be compared to the result of another LCA unless all assumptions and modelling choices are equal". See further explanation in the scope. | NA | | |
| 9.2.2.1 | Any deviation from Table 2 and clause 6.2.3 with respect to mandatory life cycle stages/unit processes shall be clearly stated and motivated. | YES | | |
| 9.2.2.1 | Additionally, inclusion of generic processes for the different life cycle stages shall be clearly stated and reported. | YES | | IOA based |
| 9.2.2.1 | Deviations for Generic processes shall be reported according to Table L.3. | YES | | IOA based |
| 9.2.2.2 | The use of raw materials shall be transparently reported as outlined below. | YES | | IOA based |
| 9.2.2.2 | The most important metals from recycling point of view shall always be included. | YES | | |
| | For an appropriate reporting format refer to Annex L (Table L.5.) | | | |
| 9.2.2.2 | Deviation(s) from the requirements shall be clearly motivated and reported. | YES | | |
| 9.2.2.3.1 | Compliance to Annex E (Table E.1) shall be reported and any deviation shall be described and motivated. For an appropriate reporting format refer to Table L.6. | YES | | |
| 9.2.2.4.1 | Compliance to Annex E (Table E.1) shall be reported and any deviation shall be described and motivated. For an appropriate reporting format refer to Table L.6. | YES | | |
| 9.2.2.4.1 | The model of distribution over time of different usage modes including power off and idle and the rationale for those shall be transparently reported. For an appropriate reporting format refer to Annex L (Table L.7.) | NA | | |
| 9.2.2.4.2 | The rationale for the energy consumption values for the support goods use shall be transparently described and motivated. For an appropriate reporting format refer to Annex L (Table L.7.) | YES | | |
| 9.2.2.5 | If EoLT is included any deviations from Annex F shall be transparently reported and motivated. For an appropriate reporting format refer to Annex L (Table L.3.) | YES | | |
| 9.2.3 | For LCI the following items shall be reported transparently: total use of primary energy and electricity. | YES | | |
| 9.2.3 | Additionally, results for elementary flows according to Annex G (Table G.1) could be transparently reported on an optional basis. If such reporting is not made it is mandatory to describe unexpected results, lack of data, and other findings associated with the elementary flows. | NA | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|---|-----------|------------------|--|
| 9.3.1 | Operating lifetime is important also for networks, but is associated with the lifetime of the different nodes, which shall be reported. | NA | | |
| 9.3.1 | It shall be reported following the format of Annex L (Table L.11) which also describes the studied network. | NA | | |
| 9.3.1 | Figure 18 shall be accompanied by the disclaimer "This LCA result cannot be compared to the result of another LCA unless all assumptions and modelling choices are equal". | NA | | |
| 9.3.1 | Additionally a diagram summarizing distribution of environmental impact category indicators between life cycle stages shall be prepared together with absolute figures as shown in the Annex L (Table L.10). | YES | | |
| 9.3.1 | Figure 19 shall be accompanied by the disclaimer "This LCA result cannot be compared to the result of another LCA unless all assumptions and modelling choices are equal". | NA | | |
| 9.3.1 | Details of network energy consumption shall be reported with a split of different elements of the network. An example of Table for Reporting is provided In Table L.12. | NA | | |
| 9.4.1 | Operating lifetime is important also for services, but it is associated with the lifetime of the different nodes, which shall be reported. | NA | | |
| 9.4.1 | Allocation of network data to the service shall be reported. It should be reported according to Annex L (Table L.13). | NA | | |
| 9.4.1 | Additionally a diagram summarizing distribution of impact category indicators between life cycle stages for the service product system under study shall be presented together with absolute figures as shown in the Table L.10. | YES | | |
| 9.4.1 | Figure 22 shall be accompanied by the disclaimer "This LCA result cannot be compared to the result of another LCA unless all assumptions and modelling choices are equal". | NA | | |
| 10 | Any critical review shall be performed according to the requirements of [ISO 14040] and [ISO 14044] and in this Recommendation. | YES | | |
| 10 | The scope and type of critical review desired shall be defined in accordance with [ISO 14044] clauses 4.2.3.8 and 6. | YES | | |
| 11.1 | Infrastructure, e.g., highways for transportation, is generally assumed to exist independently of introduction of new services and shall be excluded. | NA | | |
| 11.1 | The handling of time perspective and scale shall be disclosed and motivated in the report. | YES | | |
| 11.1 | To be able to quantify the net environmental impact when introducing an ICT based service the environmental impact of both the ICT service itself and of the reference product system need to/shall be assessed from a life cycle perspective. | YES | | |
| 11.1 | To make sure that the comparative assessment gives a relevant result, the full life cycle of both systems shall always be considered | YES | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|---|-----------|------------------|--|
| 11.1 | From an LCA perspective the reference product system and the ICT service based system shall mimic each other as far as possible | YES | | |
| 11.1 | and the practitioner shall model both systems in an unbiased way. | YES | | |
| 11.2 | Goods shall be compared with other goods, | YES | | |
| 11.2 | ICT networks shall be compared between themselves. | NA | | |
| 11.2 | ICT services shall be compared between themselves. | NA | | |
| 11.3.1 | In this comparative LCA study, the scope of the LCA study shall be defined in such a way that the two systems can be compared. | YES | | |
| 11.3.1 | Systems shall be compared using the same functional unit and equivalent methodological considerations, such as performance, system boundary, data quality, allocation procedures and cut-off rules. | YES | | |
| 11.3.1 | Any differences between systems regarding these parameters shall be identified and reported. | YES | | |
| 11.3.2 | Also in this case, the scope of the LCA study shall be defined in such a way that the two systems can be compared. | YES | | |
| 11.3.2 | Both systems shall be assessed using the same functional unit and equivalent methodological considerations, such as performance, system boundary, data quality, allocation procedures and cut-off rules. | YES | | |
| 11.3.2 | Any differences between systems regarding these parameters shall be identified and reported. | YES | | |
| 11.3.3 | The assessment of the ICT based system shall be performed in accordance with Part I. | YES | | |
| 11.3.3 | When making comparisons, it is important to keep in mind that the functional unit used shall be applicable to both the reference product system and the system of ICT goods, networks and services. | YES | | |
| 11.3.3 | For the reference product system applicable requirements in this Recommendation shall be applied, e.g., requirements regarding data quality, cut-off etc. | YES | | |
| 12.2 | All the requirements stipulated in Part I for a system boundary definition shall be applied. | YES | | |
| 12.2.1 | The functional unit shall take into account the general rules outlined in Part I, clause 6.2.2 "Functional unit" and [ISO 14044] clause 4.2.3.2. | YES | | |
| 12.2.1 | Additionally, the functional unit shall be defined so that it is applicable both to the ICT goods, networks and services product system and the reference product system. | YES | | |
| 12.2.1 | The reference flow shall be defined to quantify the functional unit. | YES | | |
| 12.2.1 | In other words, for the functional unit of one meeting, for instance, the reference flow for the systems of ICT goods, networks and services and the reference product system shall be defined. | YES | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|--|-----------|------------------|--|
| 12.2.2 | Two different system boundaries shall be defined which are applicable for the ICT goods, networks and services product system and for the reference product systems respectively. | YES | | |
| 12.2.2 | Considerations shall be made to which electricity is used when assessing the environmental impact of the ICT goods, networks and services product system and the reference product systems. | YES | | |
| 12.3 | The calculation for the inventory analysis shall be performed in accordance with Part I, clause 6.3. | YES | | |
| 12.4 | The calculation for the inventory analysis shall be performed in accordance with Part I, clause 6.3. | YES | | |
| 13 | Any cut-off made during a study shall be clearly stated in the study report, e.g., the exclusion of life cycle processes which are considered insignificant should be justified. | YES | | |
| Annex B | A mandatory list of generic activities (unit processes) that have been found to be of importance for LCA of ICT goods, networks and services can be found in Annex D. | NA | | IOA based |
| Annex B | The following emissions shall be taken into account if applicable to the studied impact category(ies): emissions to air emissions to water emissions to soil. | NA | | |
| Annex B | The following resource objects shall be taken into account if applicable to the studied impact category(ies): material resource use (or material depletion) | NA | | IOA based |
| Annex B | energy resource use (or energy resources depletion). A list of emissions and resource objects that shall be included, if applicable to the studied product system and impact category(ies), can be found in Annex G (Table G.1). | NA | | |
| Annex B | Furthermore, the following inputs shall also be included if applicable to the studied impact category(ies): electricity; other forms of delivered energy (district heating and cooling); fuels (typically indicates the fuels are incinerated on-facility or in a vehicle connected to the facility); primary products (products that are part of the final product in operation); secondary products (products that are not part of the final product in operation) transport, travel, and other services (can be seen as a special non-material secondary product input). | YES | | IOA based |
| Annex B | Finally, the following flows shall also be included if applicable to the studied impact category(ies): water discharge (to municipal sewage or recipient); waste fractions (residual waste fractions or waste fractions that need further treatment, also including material recycling and energy recovery); product output (the main purpose with the unit process or activity). | NA | | |

| Clause in [ITU-T L.1410] | Requirement | Fulfilled | Not fulfilled | Explanation/ Motivation if not compliant |
|--------------------------------|--|-----------|------------------|--|
| Annex C | Any support activities included in the LCA scope shall be clearly reported in terms of organization activities considered. | NO | | |
| Annex C | G7Other material shall be considered | NA | | |
| Annex E | Table E.1 lists the applicable parts and assembly types which shall be taken into account when performing an LCA of ICT goods, if applicable to the ICT good (not ICT network). It also lists the corresponding part and assembly categories and unit processes. | YES | | IOA based |
| Annex G | Table G.1 contains elementary flows which shall be taken into account in LCA analyses for ICT. | YES | | IOA based |
| Annex G | The substance names listed in Table G.1 shall be used in the report. | NA | | |
| Annex G | Deviation(s) from the requirements shall be clearly motivated and reported. | YES | | |
| Annex H | Table H.1 lists the minimum raw materials groups (chemicals, fuels, metals, plastics, packaging materials, and additives) which shall be taken into account in LCAs of ICT goods, if applicable to the studied ICT product system. | YES | | IOA based |
| Annex L | This annex contains tables that shall be used to report the result of the assessment. | YES | | |
| Annex L | Deviation(s) from the requirements shall be clearly motivated and reported. | YES | | |

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- [b-1] NTT Facilities http://www.ntt-f.co.jp/english/
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- [b-3] ASHRAE WINTER CONFERENCE, CH-15-038, Yuji Kohata, Yosuke Udagawa, Keisuke Sekiguchi, Masahide Yanagi, Kiyoshi Saito, Keisuke Ohno, Yasuhiro Naito and Tsuneo Uekusa (2015), *Characteristics of Air-Cooled Package Air Conditioners with Refrigeration Pump for Data Centers*.
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- [b-5] INTELEC, Ari Yoshii, Yosuke Mino, Shisei Waragai and Tsuneo Uekusa (2009), Development of a Rack-type Air-conditioner for Improving Energy Saving in a Data Center.
- [b-6] INTELEC, 10-3, Makoto Takahashi, Tsuneo Uekusa, Manabu Kishita and Hideki Kaneko (2008), *Aisle-capping Method for Airflow Design in Data Centers*.
- [b-7] Statutory useful life in Japan http://law.e-gov.go.jp/htmldata/S40/S40F03401000015.html
- [b-8] Japan Meteorological Agency http://www.jma.go.jp/jma/menu/report.html
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