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SERIES J: CABLE NETWORKS AND TRANSMISSION OF TELEVISION, SOUND PROGRAMME AND OTHER MULTIMEDIA SIGNALS

Smart TV operating system

Smart television operating system – Security framework

Recommendation ITU-T J.1204



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Smart television operating system – Security framework

Summary

Recommendation ITU-T J.1204 defines the security framework of a smart (TV) operating system (TVOS) to enable integrated broadcast and broadband (IBB)-capable cable set-top box (STB) and TV to apply to broadcasting services and IP-based interactive services provided by cable television operators and third-party providers. By running a TVOS, the IBB-capable STB and TV will be able to provide subscribers with advanced and personalized services by downloading and installing advanced and personalized apps from cable operators' platforms and third-party platforms, which are interconnected with the related cable operators' platforms.

Recommendation ITU-T J.1204 intends to specify the security framework of a smart TV operating system over integrated broadcast and broadband cable networks, which exploits the popular hardware based trusted execution environment (TEE) technology and has multiple security defence capabilities.

History

Edition	Recommendation	Approval	Study Group	Unique ID*
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Security framework, smart TV operating system, TVOS.

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FOREWORD

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Table of Contents

			Page
1	Scope	e	1
2	Refer	ences	1
3	Defin	itions	1
	3.1	Terms defined elsewhere	1
	3.2	Terms defined in this Recommendation	2
4	Abbre	eviations and acronyms	2
5	Conve	entions	3
6	Overv	view	3
7	Secur	ity architecture	4
	7.1	REE security	6
	7.2	TEE security	6
	7.3	Hardware security	6
8	Basic	security capabilities	6
	8.1	Hardware security	6
	8.2	Software security	7
	8.3	Network security	8
	8.4	Data security	9
	8.5	Application security	9
9	Secur	ity mechanism	10
	9.1	Digital certificate security trust mechanism	10
	9.2	Security trust chain check mechanism	10
	9.3	Security storage mechanism	10
	9.4	REE/TEE communication	10
	9.5	Sandbox isolation security	11
10	Secur	ity functions	12
	10.1	Content security	12
	10.2	Service security	12
	10.3	Secure upgrade	12
	10.4	Miscellaneous security functions	12
Bibl	iography	<i>7</i>	14

Introduction

This Recommendation is the fourth in a series on a smart television operating system (TVOS). The Recommendations for this smart TVOS cover functional requirements, architecture, and security and application programming interfaces (APIs):

Smart television operating system – Functional requirements [b-ITU-T J.1201]

Smart television operating system – Architecture [b-ITU-T J.1202]

Smart television operating system – Specification [b-ITU-T J.1203]

Smart television operating system – Security framework (ITU-T J.1204)

 $Smart\ television\ operating\ system\ -\ Hardware\ abstract\ layer\ application\ programming\ interface\ [b-ITU-T\ J.1205]$

Recommendation ITU-T J.1204

Smart television operating system – Security framework

1 Scope

This Recommendation intends to specify the security framework of a smart TV operating system over integrated broadcast and broadband cable networks, which exploits the popular hardware based trusted execution environment (TEE) technology and has multiple security defence capabilities.

As described in the smart TV operating system (TVOS) requirement [ITU-T J.1201], the TVOS can support both traditional TV services and IP-based TV services such as over the top (OTT) services. As such, the security of the TVOS is very important.

2 References

The following ITU-T Recommendations and other references contain provisions which, through reference in this text, constitute provisions of this Recommendation. At the time of publication, the editions indicated were valid. All Recommendations and other references are subject to revision; users of this Recommendation are therefore encouraged to investigate the possibility of applying the most recent edition of the Recommendations and other references listed below. A list of the currently valid ITU-T Recommendations is regularly published. The reference to a document within this Recommendation does not give it, as a stand-alone document, the status of a Recommendation.

[ITU-T J.205]	Recommendation ITU-T J.205 (2012), Requirements for an application control framework using integrated broadcast and broadband digital television.
[ITU-T J.1201]	Recommendation ITU-T J.1201 (2022), Smart television operating system – Functional requirements.
[ITU-T J.1202]	Recommendation ITU-T J.1202 (2022), Smart television operating system – Architecture.
[ITU-T X.509]	Recommendation ITU-T X.509 (2019) ISO/IEC 9594-8 (2020), <i>Information technology – Open Systems Interconnection – The Directory: Public-key and attribute certificate frameworks</i> .

3 Definitions

3.1 Terms defined elsewhere

This Recommendation uses the following terms defined elsewhere:

- **3.1.1 integrated broadcast and broadband (IBB) DTV service** [ITU-T J.205]: A service that simultaneously provides an integrated experience of broadcasting and interactivity relating to media content, data and applications from multiple sources, where the interactivity is sometimes associated with broadcasting programmes.
- **3.1.2** rich execution environment (REE) [ITU-T J.1201]: An extensible and versatile operating environment that brings flexibility and capability.
- **3.1.3 secure operating system** [ITU-T J.1202]: An operating system running in a trusted execution environment (TEE) which is used to trigger secure execution of applications within the TEE.
- **3.1.4 smart TV operating system (TVOS)** [ITU-T J.1201]: A system software running on an integrated broadcast and broadband-capable (IBB-capable) cable set top box (STB) and television

- (TV) that is capable of managing hardware, software and data resources of the IBB-capable cable STB and TV, supporting and controlling the application software execution.
- **3.1.5 trusted execution environment** (**TEE**) [ITU-T J.1201]: A secure area of the main processor in an integrated broadcast and broadband-capable cable set top box and television to ensure that sensitive data is stored, processed and protected in an isolated and trusted environment. It offers isolated safe execution of authorized security software providing end-to-end security by enforcement of protected execution of authenticated code, confidentiality, authenticity, privacy, system integrity and data access rights.

3.2 Terms defined in this Recommendation

This Recommendation defines the following terms:

- **3.2.1 BootRom**: A small read-only memory or write protected flash memory embedded in a chip. It contains the first boot code that the chip executes when powered on or reset.
- **3.2.2 sandbox**: A security technology which confines a software process to run in an environment restricted by an operating system.
- **3.2.3 security chip**: A chipset with security functions such as secure key storage, secure key deriving and key ladder processing.
- **3.2.4 SETVOS**: A mandatory access control model based on the smart TV operating system (TVOS) kernel, which implements sandbox isolation, access control and other security mechanisms to improve the overall security of TVOS.

4 Abbreviations and acronyms

This Recommendation uses the following abbreviations and acronyms:

ACL Access Control List

AES Advanced Encryption Standard

API Application Programming Interface

ARP Address Resolution Protocol

CA Certification Authority

DCAS Downloadable Conditional Access System

DDOS Distributed Denial of Service

DES Data Encryption Standard

DRM Digital Rights Management

DTV Digital Television

ECC Elliptic Curves Cryptography
HAL Hardware Abstraction Layer

IBB Integrated Broadcast and Broadband

IPC Inter Process Communications

NVM Non-Volatile Memory

OS Operating System

OTP One-Time Programmable

OTT Over The Top

REE Rich Execution Environment

RPC Remote Procedure Call

RSA Rivest-Shamir-Adleman

SHA Secure Hash Algorithm

SMC Secure Monitor Call

SVP Secure Video Path

TEE Trusted Execution Environment

TApp Trusted Application

TVOS smart TV Operating System

UI User Interface

5 Conventions

In this Recommendation:

The phrase "**is required to**" indicates a requirement that must be strictly followed and from which no deviation is permitted if conformity with this document is to be claimed.

The phrase "is recommended" indicates a requirement that is recommended but which is not absolutely required. Thus, this requirement needs not be present to claim conformity.

The phrase "is prohibited from" indicates a requirement which must be strictly followed and from which no deviation is permitted if conformity with this document is to be claimed.

The phrase "can optionally" indicates an optional requirement that is permissible, without implying any sense of being recommended. This term is not intended to imply that the vendor's implementation must provide the option and the feature can be optionally enabled by the network operator/service provider. Rather, it means the vendor may optionally provide the feature and still claim conformity with this Recommendation.

In the body of this Recommendation and its annexes, the words *shall*, *shall not*, *should*, and *may* sometimes appear, in which case they are to be interpreted, respectively, as *is required to*, *is prohibited from*, *is recommended*, and *can optionally*. The appearance of such phrases or keywords in an appendix or in material explicitly marked as *informative* are to be interpreted as having no normative intent.

6 Overview

This Recommendation defines the smart TV operating system (TVOS) security framework, which is a security hierarchy composed of three security environments of rich execution environment (REE), trusted execution environment (TEE) and hardware based on the TVOS security architecture and mechanism. The TVOS security architecture defines the attribution of basic security capabilities to each security environment and how each security environment leverages the basic security capabilities that it has as well as the composition of each basic security capability of hardware, software, network, data and application within each security environment. The TVOS security mechanism defines how different security environments with various basic security capabilities work together jointly to build up the overall TVOS security and functionalities.

The REE is the first security line of defence for the TVOS, which directly faces to various apps such as device user interface (UI) and security breaches through user interactions. It is most vulnerable in terms of security in these three environments. It has to rely on the security computing and processing done within the TEE and the hardware security as the security root provided by the hardware

environment through the TEE to guarantee that its security level is strong enough. As it requires the least security resources, it is the most flexible in terms of expanding security capabilities and providing security services to different applications running on top of the TVOS. It is also the most adaptable to the hardware platform on which the TVOS is running.

The TEE is the middle security defence line and can make use of the hardware security as the security root by using its secure OS, which is separate and different from the operating system kernel within the REE, through the security hardware abstraction layer (HAL) between the TEE and secure hardware environment. The TEE directly works with and supports the REE by implementing all the core security computing and processing relevant to any security computing and processing of the basic security capabilities within the REE through the security communication interface between the REE and the TEE. As it requires more security software and hardware resources than the REE, it is less flexible in providing a security service than the REE, but it still has limited ability to expand its support for highly secured service by adding support for more trusted applications. Compared with the REE, it is also less adaptable to the hardware platform on which the TVOS is running.

The security hardware environment is the last security line of defence and is the security root of the TVOS. It is the strongest among the three security defence lines in terms of the security level, and makes TVOS security unbreakable unless the security hardware is compromised in terms of security. But it is also the least flexible in providing the security support, as once the hardware platform of a secure chip is made and used by the TVOS, its security cannot be expanded. All the critical keys and cipher algorithm engines are embedded in the hardware environment. REE and TEE rely on the security resources provided by the hardware security within this environment according to the security requirement of related services and when necessary.

7 Security architecture

The TVOS security architecture, as shown in Figure 1, describes how basic capabilities form the REE, TEE and hardware security.

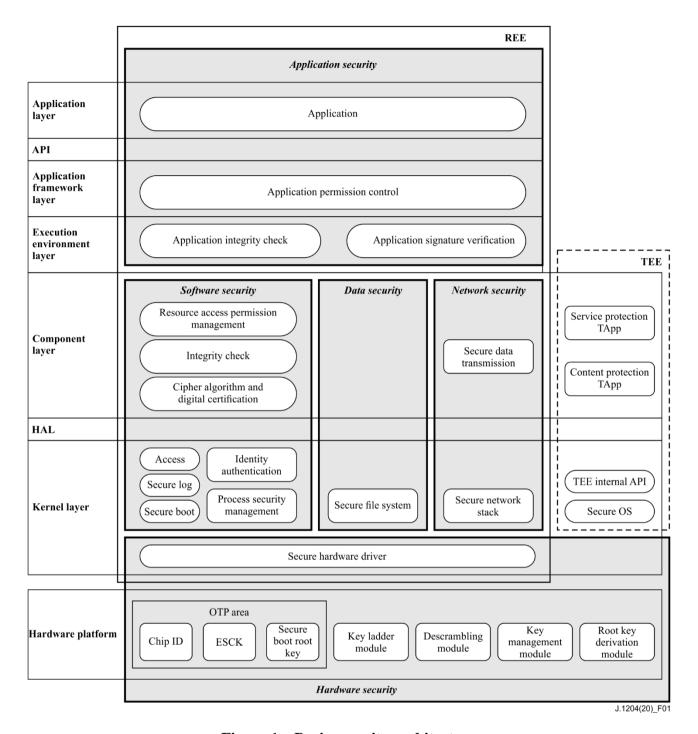


Figure 1 – Basic security architecture

The TVOS basic security architecture should integrate and collaborate with TVOS hardware security, software security, network security, data security and application security capabilities based on the TVOS software architecture and security mechanism to form defence-in-depth TVOS security functions.

The TVOS hardware security capabilities provide support for the building of basic security capabilities such as software security, network security, data security and application security. The basic security capabilities such as software security, network security and data security should be built based on the hardware's basic security capabilities and should provide support for the building of application basic security capabilities. The application basic security capabilities should be built based on the basic security capabilities such as software security, network security and data security.

7.1 REE security

The TVOS REE security should provide software security, network security, data security and application security capabilities based on the hardware security. REE communicates with TEE through the security mechanism to complete service protection and content protection.

7.2 TEE security

The TVOS TEE as defined in [ITU-T J.1202] is a trusted and secure computing environment including trusted and secure hardware and software.

The TVOS trusted and secure hardware supports the security chip, secure memory access control, secure bus connection, secure interruption, secure clock, secure random number, secure encryption and decryption engine, and secure video path (SVP).

The TVOS trusted and secure software includes the secure OS and TEE HAL. The secure OS provides the memory management, secure time, task scheduling, interruption, task communication, and encryption and decryption functions, and supports memory isolation, version anti-rollback, secure storage and dynamic trusted application (TApp) loading. The TEE HAL is a hardware abstract layer API to support various trusted applications such as digital rights management (DRM TApp) and downloadable conditional access system (DCAS) TApp.

7.3 Hardware security

The TVOS should provide hardware security capabilities for the implementation of the TVOS software security, network security, data security and application security based on the security chip. These hardware security capabilities should include securing a storage area, security trust root and a hardware cipher algorithm engine.

8 Basic security capabilities

The overall security of TVOS is based on various basic security capabilities. These basic capabilities cooperate to secure the software and hardware environments, and to secure the device functionalities.

Hardware security, which includes the storage, booting and cipher security, is the capabilities built inside hardware. It is the foundation of software security.

Software security manages the resource access and verification.

Network security, data security and application security are the capabilities at an upper layer to secure the application functionalities.

8.1 Hardware security

8.1.1 Secure storage area

The secure storage area should support one-time programmable (OTP) storage for important secure information such as chip ID and secure boot root key.

8.1.2 Secure boot root trust

As the security information is in the non-writable secure storage area of the security chip, the hardware security trust root is used as the trust root of the security trust chain check mechanism for secure system boot, secure system software upgrade and secure application software downloading and installation.

8.1.3 Hardware cipher algorithm engine

The hardware cipher algorithm engine implements various cipher algorithms in the hardware level to ensure key security. It should support following cipher algorithms:

- Symmetric cryptographic algorithm such as DES as defined in [b-NIST-FIPS-46-3] and AES as defined in [b-NIST-FIPS-197];
- Asymmetric cryptographic algorithm such as RSA as defined in [b-IETF RFC 8017] and ECC as defined in [b-IETF RFC 6090];
- Hash algorithm such as SHA-256 as defined in [b-NIST-FIPS-180-4].

8.2 Software security

The TVOS software security should guarantee the security of system resources (including hardware, calculation, and data) controlled by TVOS when TVOS is running.

The TVOS software security includes resource access permission management, cipher algorithm and digital certification, access control, identity authentication, secure log, process security management and secure boot.

8.2.1 Resource access permission management

The security framework of the TVOS should control and manage resource access permissions as well as save and query information about the application of resource access permissions. The security framework of the TVOS should require that all subject access to the resource objects be clearly permitted based on the SETVOS permission control mechanism. The subjects refer to specific processes when the TVOS is running. The resource objects include the inter process communications (IPC) channels, files and network hosts, etc. The subject's permission to access the resource object should be determined by the security context defined by the security policies. A subject is associated with an object by a unique security context. During running, the access control relationship between the access subjects and the resource objects must not be modified.

8.2.2 Cipher algorithm and digital certification

The cipher algorithm in the security framework of TVOS should be implemented by calling the hardware cipher algorithm engine.

The digital certification is a kind of cipher algorithm, which can encrypt and decrypt information, digital signature and signature verification, and ensure the security and integrity of information.

Based on the digital certification, the security framework of TVOS should check the authentication and authorization of applications when applications are being installed and run.

8.2.3 Access control

The security framework of the TVOS should have an access control mechanism for TVOS software modules and applications to access data files, operate on device resources and invoke certain software modules. The access control mechanism allows TVOS software modules and applications to access data files, operate on device resources and invoke software modules only when the software modules and applications have the respective right to access permissions for the corresponding data files, device resources and software modules. With this mechanism, the TVOS can also prevent the software modules and applications from obtaining sensitive security data, performing unauthorized operations, invoking unauthorized software modules and executing unauthorized intrusion code. The security framework of TVOS should support the SETVOS secure access control mechanism.

8.2.4 Identity authentication

The TVOS identity authentication capability should provide a user authentication function and prohibit illegal users from entering the system.

The TVOS identity authentication capability should implement the following functions:

- Provide user operating APIs such as creating user, deleting user in the TVOS system;
- Check password complexity when creating user or changing password;

• Storing the password in irreversible encrypted format.

8.2.5 Secure log

The TVOS secure log should save the system information when system faults and/or important system events are happening.

The TVOS secure log capability should implement the following functions:

- Register system faults callback in the TVOS system;
- Provide APIs for other module to write log and read log;
- Save the log to non-volatile memory (NVM).

The TVOS secure log function should be automatically invoked when system faults are detected and processed. Every TVOS module can also write important events to secure log by calling secure log APIs.

8.2.6 Process security management

The TVOS is a multiprocess system. The process security management capability should manage the system processes to prevent abnormal processes consuming resources or illegal processes attacking the system.

The TVOS process security management capability should implement the following functions:

- Detect and kill abnormal process in the TVOS system;
- Trace the root privilege process in the TVOS system;
- Reset the TVOS system if the system cannot recover.

The TVOS process security management function should be invoked automatically when the TVOS system is started up.

8.2.7 Secure boot

The TVOS should implement secure boot from the chip, bootloader to the TVOS software based on the hardware security and security mechanism, ensure the safe running of the TVOS software, and protect the system software from being tampered with.

Secure boot is implemented based on the secure chip. The secure boot trust chain starts with the secure chip, passes through the terminal bootloader, TVOS secure OS, TVOS kernel, other TVOS software and finally arrives at the TVOS applications. Each link of the trust chain can be started only after the digital signature verification is passed.

The secure boot process is as follows:

- 1. After the system is powered on, the BootROM loads the bootloader.
- 2. The bootloader loads the TVOS TEE and creates the secure memory.
- 3. The bootloader loads the TVOS REE.

The software loading and boot at each level should pass the validity and integrity security check of the digital certificate trust mechanism. A link can be started only after it passes the security check, and then the next link can enter the check status. The system can be started only after all the links pass the security check. Check failure of any link causes system start failure.

8.3 Network security

The TVOS network security should include secure network stack and secure data transmission.

8.3.1 Secure network stack

The TVOS secure network stack should support functions including anti-DDOS attack, anti-ARP spoofing, IP address blacklist and whitelist, service port access control list (ACL), network traffic management and statistics.

8.3.2 Secure data transmission

The TVOS should be able to guarantee the network communication security including data security through network transmission and network intrusion prevention.

8.4 Data security

The TVOS should be able to guarantee the security of data generated when the system software and application software are running.

The TVOS should be able to safely store data using the secure file system and support file handle random allocation, file system security management, file system kernel module check, file integrity check, data encryption and decryption algorithms, and anti-rollback mechanism of key data, ensuring that the user data is not maliciously leaked and tampered with and protecting the security-sensitive information.

8.5 Application security

The TVOS application security should include application signature verification, application integrity check and application permission control.

8.5.1 Application verification

The applications running on TVOS should be verified before installation, upgrading and loading.

The application signature verification should verify the application by using the security trust chain check mechanism during installation or upgrading the application to prevent illegal applications being installed into the TVOS system.

The TVOS application signature verification capability should implement the following functions:

- Preinstall application verification certification in the TVOS system.
- Include the signature files in the application installation package.
- Provide signature verification function in the TVOS component layer.
- Provide application installation API in the application framework layer.

The signature verification function should be invoked with the application installation API when installing or upgrading applications.

The application integrity check should verify the application software before loading and running to prevent illegal applications running in the TVOS system.

The TVOS application integrity check capability should implement the following functions:

- Preinstall application integrity checking certification in the TVOS system.
- Include the integrity checking signature files in the application installation package.
- Provide integrity check function in the TVOS component layer.

The integrity check function should be invoked by the application management component when it starts loading and running applications.

8.5.2 Application permission control

The TVOS application permission control should configure the application permissions during application installation and control the permissions based on the permission configuration when the application running in the TVOS system.

The TVOS application permission control capability should implement the following functions:

- Include the permission configuration file in the application installation package.
- Provide permission management component based on access control mechanism in the TVOS component layer.

The application permission control function should be invoked by the application which calls the application installation API when it starts installing or upgrading applications. When TVOS application is running, the permission management component should manage and control the application permissions according to the permission configuration.

9 Security mechanism

The basic security capabilities use the TVOS security mechanism, which includes digital certificate security trust mechanism, security trust chain check mechanism, security storage mechanism and REE/TEE communication mechanism.

9.1 Digital certificate security trust mechanism

The digital certificate security trust mechanism should support hierarchical security trust verification based on the ITU-T X.509 specification as defined in [ITU-T X.509]. The security trust verification at each level should use the digital certificate of the corresponding level.

9.2 Security trust chain check mechanism

The security trust chain check mechanism should use the digital certificate security trust mechanism to check the validity and integrity of software at each level of the trust chain. The trust chain starts from the security chip and contains the bootloader, OS and application software.

In the trust chain, software at each level should be cryptography signed. The software at a certain level should use the key pre-configured in the software at the previous level of the trust chain to step-by-step implement security verification. The bootloader should use the key embedded in the security chip.

9.3 Security storage mechanism

Security storage mechanism is to verify and protect the stored data from being accessed or modified illegally. The read or write access must be authorized, and the data must be verified before it is used.

9.4 REE/TEE communication

There are some mechanisms to communicate between REE and TEE such as:

1. SMC

When the processor executes the secure monitor call (SMC), the core enters secure monitor mode to execute the secure monitor code.

2. GP TEE client APIs

This provides the open session, invoke command and close session API which sends a SMC to communicate between REE and TEE. The TEE interfaces accessed by the client APIs from the REE must go through the predefined commands by the invoke command API.

TVOS applications such as DRM App and DCAS App can use Java or Web application programming interfaces which encapsulate the GP TEE client APIs to communicate with the trusted applications such as DRM TApp and DCAS TApp.

3. RPC

The remote procedure call (RPC) command is used to communicate between TEE and TEE supplicant. The TEE supplication would help TEE to perform the file system access and the TApp loading.

9.5 Sandbox isolation security

An application sandbox should be built for each TVOS application based on the TVOS software architecture and basic security architecture. Each TVOS application sandbox should be built by the corresponding TVOS application process. The application process is defined by the corresponding TVOS application, application execution environment, as well as the functional interface unit instances at the TVOS application framework layer and client instances of functional components invoked by the TVOS application. The security of the TVOS application sandbox can be reinforced as required by using the TVOS basic security capabilities and security mechanism.

A component sandbox should be built for each TVOS functional component based on the TVOS software architecture and basic security architecture. Each TVOS component sandbox should be built by the corresponding TVOS component process. The component process is defined by the corresponding server of the TVOS functional component, client instances of other functional components invoked by the server, and instances of the HAL functional interface units. The security of the TVOS component sandbox can be reinforced as required by using the TVOS basic security capabilities and security mechanism.

The TVOS applications and functional component modules should comply with the TVOS sandbox isolation security architecture, as shown in Figure 2.

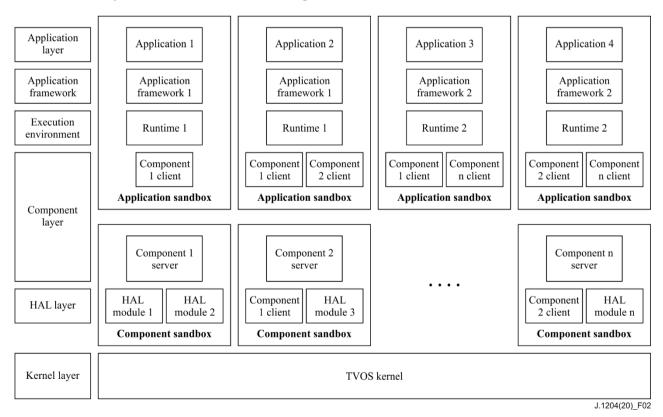


Figure 2 – Sandbox isolation security architecture

Security functions

10.1 Content security

The TVOS should guarantee the security of media content based on the basic security capabilities and security mechanism and ensure that the media content is safely played according to the licence of the media content.

The TVOS content security should provide the DRM security trust chain based on the TVOS security mechanism and hardware trust root. The hardware based secure authorization of the media content, secure decryption, secure decoding, and secure playing and output are implemented by using the secure storage and management mechanism of the keys, secure decryption and security storage mechanism of the authorization information, and SVP protection methods.

10.2 Service security

The TVOS should guarantee the security of the media content flow based on the basic security capabilities and security mechanism and ensure that the media content flow is safely played according to certification authority (CA) authorization of the media content flow.

The TVOS service security should provide the DCAS security trust chain based on the TVOS security mechanism and hardware trust root. The hardware based secure authorization of the CA service, secure decryption and descrambling, secure decoding and secure playing are implemented using the DCAS root key derivation, secure key storage and management, data decryption and secure storage, and SVP protection methods.

10.3 Secure upgrade

The TVOS should ensure the validity and integrity of the upgrade software package based on the hardware security and security mechanism and the security of the remote upgrade and local upgrade of the system software.

The secure upgrade of the TVOS system should perform a security check based on the security trust chain check mechanism. Only the TVOS system packages that pass the security check are allowed to be upgraded. Rollback is forbidden for TVOS system upgrade.

10.4 Miscellaneous security functions

Some of the TVOS basic security capabilities can also work as TVOS security functions such as data security, network security, application security and secure boot.

10.4.1 Data confidentiality

The TVOS should guarantee the confidentiality of data based on the basic security capabilities and security mechanism and ensure the data during transmission, storage and use.

TVOS provides a secure computing environment, secure key storage and management, data decryption and secure storage based on REE security, TEE security and hardware security.

10.4.2 Resource protection

TVOS supports the full lifecycle of resource protection when a subject accesses an object, a particular resource, with four steps including identification, authentication, authorization and accountability.

In order for any subject to access an object, TVOS defines and establishes the identifications for the subjects to access resources. The identification is used for identifying the identity of a subject to access an object.

The first step for any subject to access an object is the authentication, which requires that the subject prove to the system that it is the permitted subject. After successful authentication, the system must decide whether the subject is authorized to access the particular resource and what actions it is permitted to perform on the respective resource.

The TVOS identity authentication capability should provide a user authentication function and prohibit illegal users from entering the system.

The second step for a subject to access an object is the authorization which uses the identity of the subject together with other criteria to make a determination of operations that a subject can carry out on objects.

The security framework of TVOS should require that all the subjects' accesses to the resource objects must be clearly permitted based on the SETVOS access control mechanism.

The third step for a subject to access an object is the accountability which audits logs and monitoring information to track subject activities with objects.

The TVOS secure log should save the system information when system faults and/or important system events happen.

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[b-NIST-FIPS-46-3]	NIST FIPS PUB 46-3 (1999), Data encryption standard (DES).	
[b-NIST-FIPS-180-4	4] NIST FIPS PUB 180-4 (2015), Secure hash standard (SHS).	
[b-NIST-FIPS-197]	NIST FIPS PUB 197 (2001), Specification for the advanced encryption standard (AES).	

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