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DIGITAL SYSTEMS AND NETWORKS

Data over Transport – Generic aspects – Transport  
network control aspects

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## **Architecture for SDN control of transport networks**

Recommendation ITU-T G.7702

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# Recommendation ITU-T G.7702

## Architecture for SDN control of transport networks

### Summary

Recommendation ITU-T G.7702 describes the reference architecture for software defined networking (SDN) control of transport networks applicable to both connection-oriented circuit and/or packet transport networks. This architecture is described in terms of abstract components and interfaces that represent logical functions (abstract entities versus physical implementations).

### History

Edition	Recommendation	Approval	Study Group	Unique ID*
1.0	ITU-T G.7702	2018-03-16	15	<a href="http://handle.itu.int/11.1002/1000/13540">11.1002/1000/13540</a>

### Keywords

Application of SDN to transport networks, control components, control plane interface (CPI), management-control continuum (MCC), transport SDN.

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# Recommendation ITU-T G.7702

## Architecture for SDN control of transport networks

### 1 Scope

This Recommendation specifies the architecture and requirements for software defined networking (SDN) control of transport networks, consistent with the principles of SDN and complementary to SDN related work in SG11, SG13 and SG17. This architecture is applicable to both connection-oriented circuit and packet transport networks.

The reference architecture describes SDN control of transport networks in terms of abstract control components that are used for manipulating transport network resources in order to provide the desired functionality. These components represent abstract entities rather than instances of implementable software and use UML-like notation.

### 2 References

The following ITU-T Recommendations and other references contain provisions which, through reference in this text, constitute provisions of this Recommendation. At the time of publication, the editions indicated were valid. All Recommendations and other references are subject to revision; users of this Recommendation are therefore encouraged to investigate the possibility of applying the most recent edition of the Recommendations and other references listed below. A list of the currently valid ITU-T Recommendations is regularly published. The reference to a document within this Recommendation does not give it, as a stand-alone document, the status of a Recommendation.

- [ITU-T G.800] Recommendation ITU-T G.800 (2016), *Unified functional architecture of transport networks*.
- [ITU-T G.805] Recommendation ITU-T G.805 (2000), *Generic functional architecture of transport networks*.
- [ITU-T G.872] Recommendation ITU-T G.872 (2017), *Architecture of optical transport networks*.
- [ITU-T G.7701] Recommendation ITU-T G.7701 (2016), *Common control aspects*.
- [ITU-T G.7711] Recommendation ITU-T G.7711/Y.1702 (2018), *Generic protocol-neutral management Information Model for Transport Resources*.
- [ITU-T G.7712] Recommendation ITU-T G.7712/Y.1703 (2010), *Architecture and specification of data communication network*.
- [ITU-T G.8080] Recommendation ITU-T G.8080/Y.1304 (2012), *Architecture for the automatically switched optical network*.
- [ITU-T Y.3300] ITU-T Recommendation Y.3300 (2014), *Framework of software-defined networking*.

### 3 Definitions

#### 3.1 Terms defined elsewhere

This Recommendation uses the following terms defined elsewhere:

**3.1.1 adaptation:** [ITU-T G.800].

**3.1.2 address:** [ITU-T G.7701].

- 3.1.3 **administrative domain:** [ITU-T G.7701].
- 3.1.4 **architectural component:** [ITU-T G.805].
- 3.1.5 **call:** [ITU-T G.7701].
- 3.1.6 **call controller:** [ITU-T G.7701].
- 3.1.7 **calling/called party call controller:** [ITU-T G.7701].
- 3.1.8 **characteristic information (CI):** [ITU-T G.800].
- 3.1.9 **client/server relationship:** [ITU-T G.805].
- 3.1.10 **component:** [ITU-T G.7701].
- 3.1.11 **component interface:** [ITU-T G.7701].
- 3.1.12 **connection:** [ITU-T G.805].
- 3.1.13 **connection controller (CC):** [ITU-T G.7701].
- 3.1.14 **control domain:** [ITU-T G.7701].
- 3.1.15 **domain:** [ITU-T G.7701].
- 3.1.16 **layer network:** [ITU-T G.805].
- 3.1.17 **link:** [ITU-T G.805].
- 3.1.18 **link connection:** [ITU-T G.805].
- 3.1.19 **network call controller (NCC):** [ITU-T G.7701].
- 3.1.20 **policy:** [ITU-T G.7701].
- 3.1.21 **recovery domain:** [ITU-T G.7701].
- 3.1.22 **resource database (RDB):** [ITU-T G.7701].
- 3.1.23 **route:** [ITU-T G.7701].
- 3.1.24 **routing area (RA):** [ITU-T G.7701].
- 3.1.25 **routing controller (RC):** [ITU-T G.7701].
- 3.1.26 **routing domain:** [ITU-T G.7701].
- 3.1.27 **software defined networking:** [ITU-T Y.3300].
- 3.1.28 **subnetwork:** [ITU-T G.805].
- 3.1.29 **subnetwork connection:** [ITU-T G.805].
- 3.1.30 **subnetwork point (SNP):** [ITU-T G.7701].
- 3.1.31 **subnetwork point pool (SNPP):** [ITU-T G.7701].
- 3.1.32 **trail:** [ITU-T G.805].
- 3.1.33 **transitional link:** [ITU-T G.800].
- 3.1.34 **virtual network (VN):** [ITU-T G.7701].

## 3.2 **Terms defined in this Recommendation**

None.

## 4 **Abbreviations and acronyms**

This Recommendation uses the following abbreviations and acronyms:



AAA	Authentication, Authorization and Accounting
AVC	Attribute Value Change
BRI	Boundary Resource Identifier
BSS	Business Support System
CC	Connection Controller
CCC	Calling/called party Call Controller
CCN	Control Communication Network
CI	Characteristic Information
CIM	Common Information Model
CPI	Control Plane Interface
DA	Discovery Agent
DS	Directory Service
EMS	Element Management System
FCAPS	Fault, Configuration, Accounting, Performance and Security
FFS	For Further Study
FP	Forwarding Point
IM	Information Model
LLDP	Link Layer Discovery Protocol
LRM	Link Resource Manager
LTP	Link Termination Point
MCC	Management-Control Continuum
MPLS	Multi-Protocol Label Switching
MPLS-TP	MPLS Transport Profile
NCC	Network Call Controller
NE	Network Element
NMS	Network Management System
OSS	Operation Support System
OTN	Optical Transport Network
QoS	Quality of Service
RA	Routing Area
RC	Routing Controller
RDB	Resource Database
SDN	Software Defined Networking
SLA	Service Level Agreement
SNC	Subnetwork Connection
SNP	Subnetwork Point
SNPP	Subnetwork Point Pool

TAP	Termination and Adaptation Performer
TLS	Transport Layer Security
UML	Unified Modelling Language
VN	Virtual Network

## 5 Conventions

This Recommendation uses the diagrammatic conventions defined in [ITU-T G.800] to describe the transport resources.

This Recommendation uses the diagrammatic conventions defined in [ITU-T G.7701] to describe controller components.

## 6 Overview

The transport network needs to accommodate growing bandwidth demand, support rapid service deployment from application providers and provide real-time responsiveness to capacity/QoS changes. Application and service providers desire the ability to request and provision edge-to-edge connections with guaranteed service level agreements (SLAs), in terms of e.g., bandwidth, delay, availability and error performance, over multiple types of transport infrastructures, including optical transport network (OTN), Ethernet and multi-protocol label switching transport profile (MPLS-TP). SDN is a technology that is intended to address some of these needs and desires. This Recommendation describes the application of the SDN architecture to transport networks.

The purpose of the application of SDN for transport networks is to:

- Provide enhanced support for connection control in multi-domain, multi-layer (etc. multi-technology) and multi-level transport networks, including network virtualization, network optimization, centralized restoration;
- Enable technology-agnostic control of connectivity and the necessary support functions across multi-layer transport networks, facilitating optimization across circuit and packet layers;
- By separating out the connection control aspect from the operation support system (OSS)/ network management system (NMS), SDN can open an interface that allows it to be exposed to clients/servers without exposing the rest of the OSS infrastructure.

This Recommendation deals with the SDN for transport network architectural components and their interaction with the transport data plane and management functions.

SDN control of the media layer is also within the scope of this Recommendation. This introduces the concept of media layer specific controllers. The architecture and management of the media layer is specified in [ITU-T G.872].

### 6.1 Functional characteristics of SDN for transport networks

Service providers may offer a wide range of services based upon differing business models. Operators continue to require protection of their commercial business operating practices and resources from external scrutiny or control, as well as to maintain the ability to differentiate the services they offer. The security and reliability of the underlying transport network remains a high priority. The SDN for transport architecture must thus provide for boundaries of policy and information sharing to accommodate, for example, the range of business models and varying trust relationships among users and providers, among users and among providers. Security at the boundary of SDN controller constrains the capability offered to clients across the control plane interface (CPI) (see clause 13.2).

Transport network operators may select among a wide breadth of existing and emerging transport technologies, infrastructure granularity options, flexible capacity adjustment schemes, survivability strategies and infrastructure evolution choices. For a network operator/service provider, the optimal network layering, convergence choices and equipment selection depends upon multiple factors, such as:

- network size, geography, scalability;
- service offerings portfolio, QoS committed in SLAs;
- resource utilization, performance, survivability/resiliency trade-offs;
- deployment schemes of control and management environment; and
- whether services traverse multiple operator domains.

Consequently, the architecture of SDN control of transport network must be designed to allow for multi-dimensional heterogeneity and not preclude network operators from optimizing their network design and supporting service realization as they see fit. The architecture must thus support the ability to decouple the services offered from their service delivery mechanisms and decouple QoS from its realization mechanisms.

As described in clause 11 of [ITU-T G.7701], distinct and independent sets of name spaces exist, from which identifiers are drawn, for:

- Resources in the transport network
- Control view of transport resources
- Control components
- Control Artefacts
- Reference points
- Control communications network.

These considerations lead to the following architectural principles:

- Provide a construct that reflects a service association that is distinct from its infrastructure/realization mechanisms
- Establish a modular architecture with interfaces at policy decision points (e.g., trust domain boundaries)
- Offer capability to distinguish identity from address (including distinguishing between and among, transport resources, controller entities and control communication network addresses).

## **6.2 Architecture of SDN for transport networks**

This clause describes the functional entities that form the architecture of SDN for transport networks. The basic concept of SDN encompasses SDN applications exercising control of abstracted network resources and SDN controllers providing logically centralized control of network resources. The high-level architecture (see clause 12) is described in terms of a hierarchy of SDN controllers inter-connected by a control plane interface (CPI). Any SDN controller in the hierarchy can support applications.

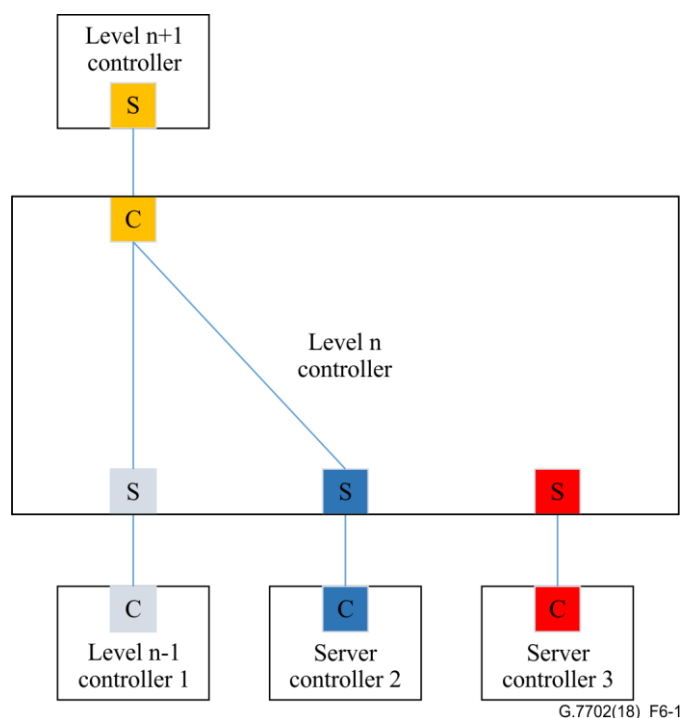
Within this Recommendation the term layer is used as defined in [ITU-T G.800]. Within this Recommendation the term level is used to describe a hierarchy of SDN controllers or hierarchical transport network views. The level of a controller in a hierarchy is similar to, but distinct from, routing levels as defined in [ITU-T G.7701] and used in [b-ITU-T G.7715]. In both cases, level refers to a position within a hierarchy.

In SDN hierarchies, a server SDN controller presents a view of resources to its client(s). These are known as virtual networks (VNs). Furthermore, a given SDN controller may have both VNs and non-VNs in the scope of its management-control continuum (MCC) as described in [ITU-T G.7701].

As shown in Figure 6-1 an SDN controller may have multiple clients and multiple servers, this is referred to as a client/server relationship within the SDN architecture.

Within an SDN controller, a particular client is supported by a set of information including for example a virtual network (VN), relating to that client as well as management-control functions. Together, this is known as the client context.

Similarly when a server is used by an SDN controller, it is supported by a set of information relating to that server as well as management-control functions and this is known as the server context.



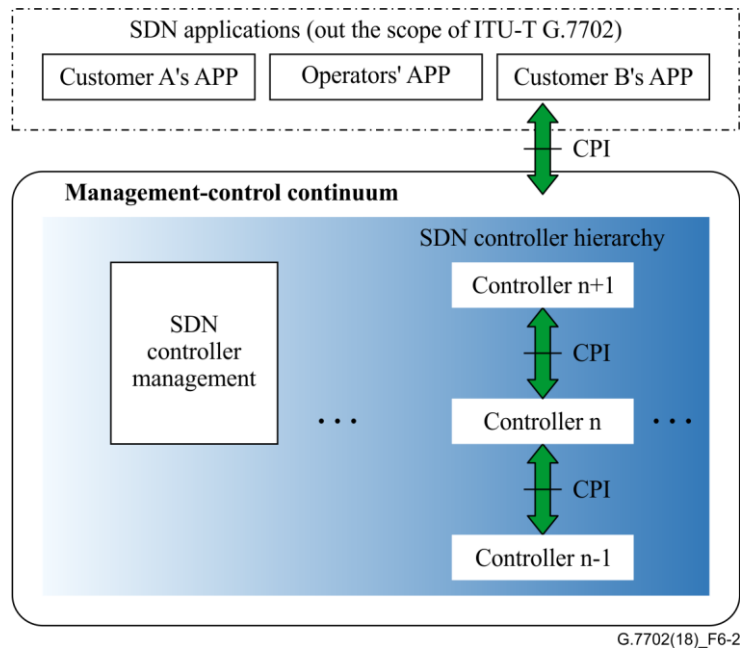
**Figure 6-1 – Client/server relationships in the SDN architecture**

When two SDN controllers are adjacent in a hierarchy, the SDN controller with the client role has a server context that has a 1:1 relationship with the client context in the SDN controller with the server role.

The VN provided to a client by a server controller is stored in a server context.

The server assembles resources for a given client, such an assembly of resources is identified by a client context that is communicated to the client. The client uses a server context to identify the server that supplied the resources.

Figure 6-2 shows the architecture of SDN for transport networks.



**Figure 6-2 – Architecture of SDN for transport networks**

### 6.3 Interaction between SDN controller and transport network

When the MCC functions in an SDN controller directly control the transport resources, these functions view the [ITU-T G.800] forwarding point (FP) name space for those resources and forwarding can be configured. At other levels in the recursion, the server SDN controller presents an abstract view of the transport resources to the client SDN controller using subnetwork point (SNP) and subnetwork point pool (SNPP) identifiers. These SDN controllers only have SNP/SNPP identifiers for resources in their scope and therefore cannot configure forwarding for those resources. In this case, a request must be sent to a server controller. This is described in more detail in clause 7 (Controller arrangements).

### 6.4 Interaction between SDN controller and applications

The client context in an SDN controller at any level in the hierarchy can communicate with the server context of another controller as described in clause 6.3 or it can communicate with an application.

From the perspective of an SDN controller supporting an application, the application is not distinguishable from that of another client controller. As illustrated in Figure 6-1, applications can be supported by SDN controllers at any level and applications and controllers are indistinguishable from the perspective of a server controller.

### 6.5 Management functions interactions

The management-control continuum (MCC) described in [ITU-T G.7701] expresses the view that management and control functions are essentially the same and thus they can be grouped into one set of MCC functions. There are MCC functions that directly manage resources and MCC functions that manage other MCC functions (e.g., configuration of call control). Many of these MCC functions are still essential in an SDN environment. Relevant management functions include functionalities for supporting fault management, configuration management, accounting management, performance management and security management (FCAPS) as described in [b-ITU-T M.3400]. For example, in the transport network, management is minimally required for initial configuration of the transport network resources, assigning the SDN-controlled parts and configuring their associated SDN controller(s). In the SDN controllers, management needs to configure the policies defining the scope of control given to the SDN application and to monitor the

performance of the system. In the applications, management typically configures the contracts and service level agreements (SLAs). Management configures the security associations that allow functions to safely communicate among each other. Additional examples of management functionalities include equipment inventory, software upgrade, fault isolation, performance optimization, energy efficient operations and autonomic management (continuous adaptation to the network status).

Both SDN controller and OSS/NMS may contain MCC functions that provide transport network service and resource management functions. In the application of SDN to transport network, the controller's main function is to provide connection and routing control related management functions. NMS/element management system (EMS) typically contains transport network and elements management functions known as FCAPS.

The FCAPS of an SDN controller may also be contained within the SDN controller management function, as illustrated in Figure 6-2.

Incorporating FCAPS into the SDN architecture is possible by considering the name/identifier spaces that MCC functions use. [ITU-T G.7701] components use boundary resource identifier and SNPP name spaces and the discovery agent (DA) and termination and adaptation performer (TAP) components also use one of the resource name spaces. FCAPS functions use resource name spaces as well. This enables both ITU-T G.7701 components and FCAPS functions to be accessed in the management-control continuum.

## **6.6 Transport resource views**

This clause specifies the way that the transport resources are represented in a SDN controller using the methodology described in [ITU-T G.800] and [ITU-T G.7701].

For the purpose of control, transport network resources are organized using layering and partitioning (e.g., subnetworks and domains) defined in [ITU-T G.800]. As described in [ITU-T G.800], layering enables decomposition of a transport network into a number of independent transport layer networks, which have client/server relationships. Partitioning enables division of a larger subnetwork into a number of disjoint subnetworks that are interconnected by links. As described in [ITU-T G.800], the elements of the transport network architectural model can be divided into three groups: topological components (e.g., layer network, subnetwork, link (including transitional link), access group, etc.), transport processing functions (e.g., adaptation, termination, layer processor, forwarding, etc.) and transport entities (e.g., subnetwork connection (SNC), link connection, etc.). The transport network resources used to support the connection management function of an SDN controller are represented by a set of transport entities, termed subnetwork point (SNP) and subnetwork point pool (SNPP) in [ITU-T G.7701]. The SNP and SNPP entities are organized into routing area (RA), subnetwork and link topological constructs which represent the view of the transport resources as seen by the SDN controller from a connection management perspective.

In the context of the control and management continuum (MCC), the representation of transport network resources in a common information model is very important for the application of SDN to transport networks to avoid the need for translation as described in Annex A. Figure 7-2 in [ITU-T G.7701] illustrates the relationship between the transport resources described in [ITU-T G.800] and the entities that represent these resources from the perspective of network management as described in [b-ITU-T M.3100] and [ITU-T G.7711] respectively. The common information model (CIM) for transport network resources is specified in [ITU-T G.7711].

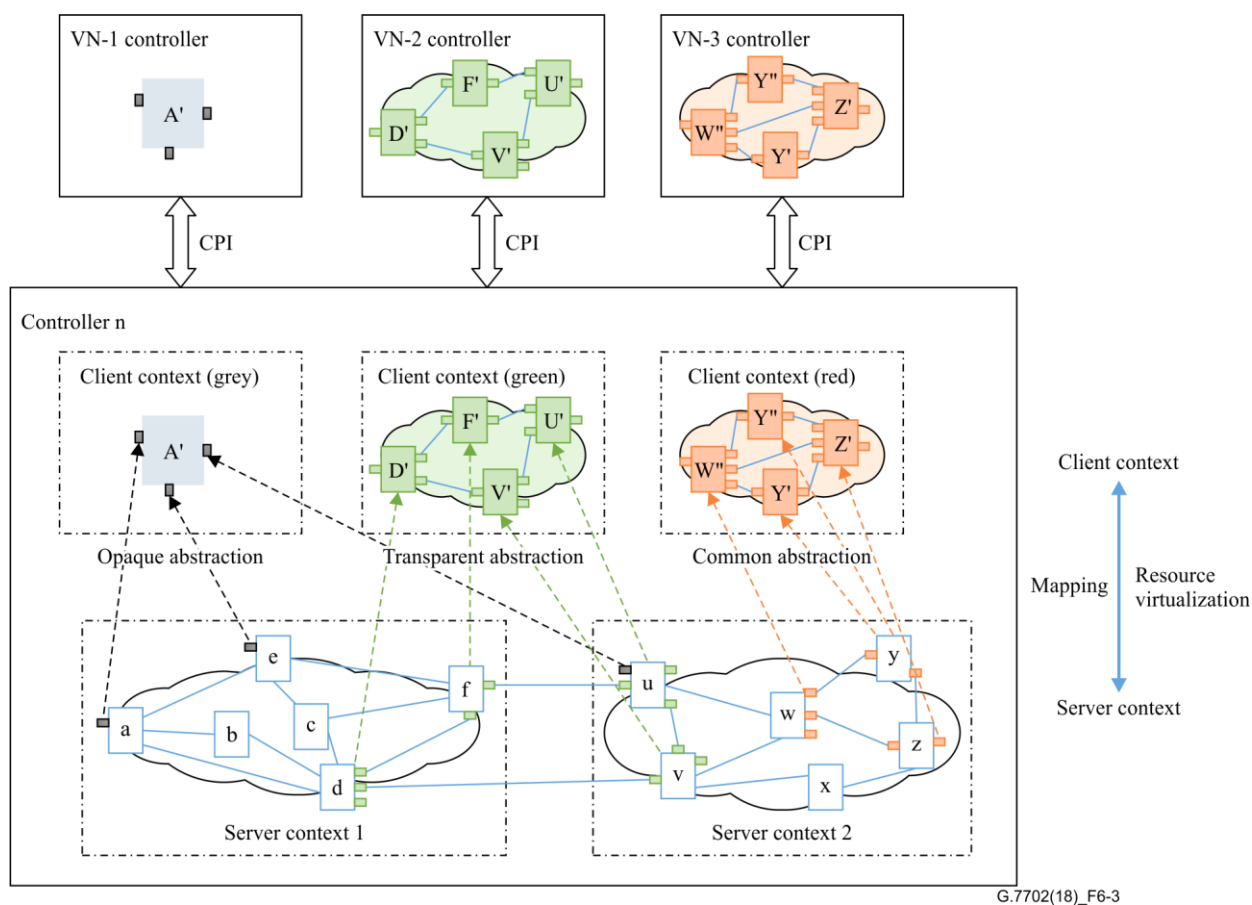
### **6.6.1 Virtualization**

As described in [ITU-T G.7701], an abstraction is a representation of an entity in terms of selected characteristics, while hiding or summarizing characteristics irrelevant to the selection criteria. A virtualization is an abstraction and subset whose selection criterion is dedication of resources to a

particular client or application. A virtual network (VN) is a virtualisation of ITU-T G.800 layer network resources. The VN is a part of the information contained in a client context or a server context. Transport network resources are assigned to a VN by administrative or other means. Note that a VN in the server context of a client controller is the same as the VN in the corresponding client context of its server controller. Further considerations on the use of the CIM to represent the resources are provided in Annex A.

Figure 6-3 illustrates the basic method by which a server controller realizes transport resource virtualization to provide a VN for each client controller. The transport resources in the server controller's view need to be mapped from the controller's server context (name space and identifiers) into client context used by each client (name space and identifiers), then the transport resources in the controller's context are divided into three VNs which is the view provided to three different client controllers.

This clause describes the controller using the component approach described in [ITU-T G.7701].



**Figure 6-3 – Basic method of transport resources virtualization**

A given SDN controller governs a set of transport resources. It virtualizes these underlying resources and exposes a customized virtual resource environment to each of its clients. The administrator configures the controller with server contexts to access underlying resources and updates them from time to time as needed. The underlying resources are themselves configured by their own administrators at their own (underlying) levels. An administrator client context that has unrestricted visibility and authority to perform all kinds of operations is formed at the time the controller is launched. The administrator then creates a client context for each of its clients according to the contract/parameters negotiated between administrator and each client, which may include route information like constraints, policy and resiliency information among different virtual ports. A virtual network, which may be comprised of one or more virtual nodes and virtual links

that interconnect these virtual nodes, is constructed in the client context based on the underlying resources in the server context.

The controller providing service for clients is responsible for resource virtualization function control including orchestration of resources among different server contexts, virtual network (VN) resources mapping between client context and server context, lifecycle management of VN and exposing the VN resources to the corresponding VN controllers. Each VN has a separate virtualization instance in the server controller. Each VN controller is authorized by the server controller to access a VN and is responsible for the lifecycle management of the services carried by that VN.

Resource virtualization includes the virtualization of the network topology and characteristic information (CI) of each transport entity, according to the different policies and selection criteria established for the client. Even when the network topology views are the same, the detailed CI of nodes or links may be different. Various virtualization methods, such as one node in the server context can be virtualized as more than one virtual node in the client context, or several different nodes can be combined together and presented to the client context as a single virtual node. Figure 6-3 also shows examples of virtual network (VN) topology views using these virtualization methods for transport network topology, which could be an opaque network as a single virtual node with accessible virtual ports, one node is virtualized as two virtual nodes. For a common virtualized network topology, there may be less or more nodes and links than the original network topology, due to the aggregating or splitting function on a graph.

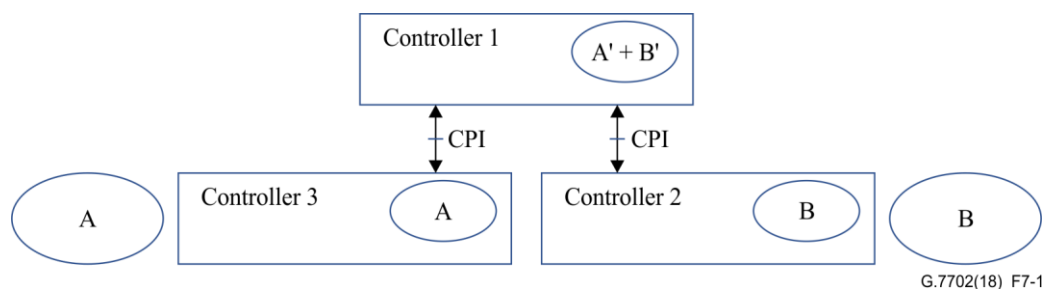
## 7 Controller arrangements

When a transport network is subdivided into partitions, each partition may be controlled by different controllers. This supports scalability and addresses administrative and business needs. The transport network has multiple layer networks. Partitions from these layer networks may be managed by a single controller.

### 7.1 Multi-level control hierarchy

The control components operate in the control name space to perform operations on an abstract view of the transport resources. The components within a controller are not aware of their position (level) within a hierarchical stack of SDN controllers that are in a recursive client/server relationship. The transport resources made available by a server controller are presented, in a client context, to a client controller. The transport resources available to (in scope of) a controller are collected in one or more server contexts. Requests to configure resources are passed, via a network call controller (NCC) from the server context of the client controller to the client context of the server controller. A controller that has visibility of the FP name space is able to directly configure the transport resources.

This is illustrated in Figure 7-1 where controllers 2 and 3 have the FP name space for the resources directly in scope.

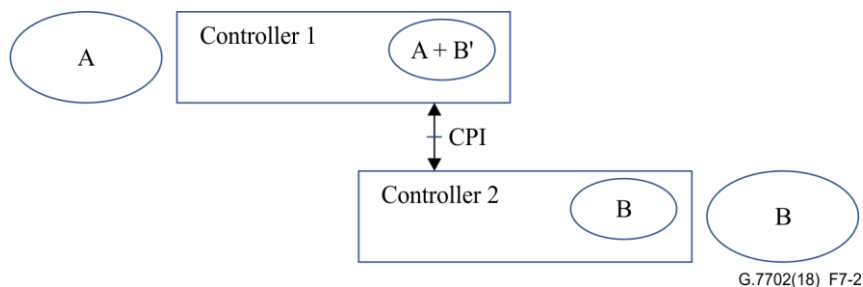


**Figure 7-1 – Controllers with FP resource name space directly in scope**



Transport resources in the scope of a controller may be at different levels in a control hierarchy, including the lowest level.

The transport resources for which the controller has visibility of the FP name space are placed in a server context that does not provide an external interface. That is, the CPI is not exposed. The other transport resources are placed in one or more server contexts that provide an external interface, to the client context of a server controller. An example of this is provided in Figure 7-2, controller 1 has the FP name space for the resources in subnetwork A in scope and has a CPI to controller 2 which has the FP name space of subnetwork B in scope.

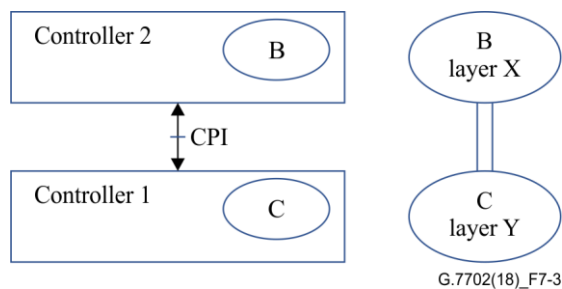


**Figure 7-2 – Multi-level control resource views**

The resources and topology available to Controller 1 consists of VN (subnetwork B') and non VN (subnetwork A) resources.

## 7.2 Multi-layer control

Within this Recommendation the MCC functions are described in the context of the management of a single transport layer network. To control a multi-layer transport network single layer network SDN controllers are arranged in a client/server level hierarchy that corresponds to the client/server layer relationships in the transport layer networks being controlled. The server controller manages the client (layer network) to server (layer network) adaptation and presents the resources to the client controller as a client layer SNPP link, or as client layer subnetworks interconnected by SNPP links. The communication between the controllers in adjacent levels is supported by a (multi-layer) network call controller (NCC). This is illustrated in Figure 7-3.



**Figure 7-3 – Multi-layer network control**

A multi-layer SDN controller has resources from more than one transport layer network in scope. Within a multi-layer controller, each layer network is managed by that single layer network's MCC functions, which communicate with the MCC functions for the adjacent layer network. The server controller manages the client (layer network) to server (layer network) adaptation and presents the resources to the client controller. The resource database (RDB) in a multi-layer controller has all of the (multi-layer) resources in scope and includes transitional links between the layer networks to support multi-layer path computation. The results of a multi-layer path computation are passed to the appropriate MCC functions for each of the layer networks. The multi-layer controller ensures

that the connections in each layer network are added, modified or deleted in the appropriate sequence.

### 7.3 Multi-domain control aspects

As described in clause 7.2 of [ITU-T G.7701], domains are established by operator policies. The scope (or boundary) of a domain is defined for a particular purpose and domains defined for one purpose need not coincide with domains defined for another purpose. Domains that have been defined for the same purpose are restricted in that they do not overlap; however, they may:

- fully contain other domains that have been defined for the same purpose;
- border each other;
- be isolated from each other.

Examples of domains include administrative domains, control domains, routing domains and recovery domains. A control domain must be contained in an administrative domain.

A control domain must contain one SDN controller, it may contain other control domains. In this case the corresponding SDN controllers are hierarchically arranged. A control domain may also include transport resources where the FP namespace is directly visible.

## 8 Control components

This clause describes the control components of the SDN controller using the approach described in [ITU-T G.7701]. These components are the MCC functions within an SDN controller.

### 8.1 Call controller components

The common description for connection controller (CC) refers to clause 8.3.1 of [ITU-T G.7701].

In [ITU-T G.7701], the call controller is a component with important policy boundary functions for entities that are in a relationship. The call may have associated connections and this state is also maintained by the call controller.

The interfaces described in [ITU-T G.7701] are extended as shown in the tables below.

The existing CC **Connection request In** interface allows for an optional route. An addition is needed to be able to accept a sequence of route fragments. The corresponding interface in the NCC is the **Connection request out** that should be updated to pass these ordered SNP pairs to its CC. This allows for the concatenation of subnetwork and link connections in the client context.

An updated interface description for the NCC is shown in Table 8-1.

**Table 8-1 – Network call controller component interfaces**

Output interface	Basic output parameters	Basic return parameters
Connection request out	Boundary resource identifier, Ordered list of SNP pairs	A pair of SNPs

An updated interface description for the CC is shown in Table 8-2.

**Table 8-2– Connection controller component interfaces**

<b>Input interface</b>	<b>Basic input parameters</b>	<b>Basic return parameters</b>
Connection request in	A pair of local SNP identifiers and optionally a route. An optional ordered list of SNP pairs.	A subnetwork connection (controller response with the established connection)

## **8.2 Connection controller (CC) component**

The common description for the CC component refers to clause 8.3.2 of [ITU-T G.7701].

The CC establishes and releases connections on VNs within a client context. Information the CC may need about links such as utilization, is maintained in the resource database (RDB). If an ordered list of SNP pairs is supplied by an NCC when requesting a connection, these are used in the request to the routing controller (RC) for resolution of the route. Connections established and their associated identifiers, are CC artefacts that are also maintained in the RDB.

For the 1: n case of SDN controllers that use the NCC to NCC interfaces, the connection controller exhibits similar behaviour as in the hierarchical routing case. This is when a client NCC makes multiple server NCC calls and gathers a pair of SNPs per call. Each SNP pair represents the returned connection. At the client NCC, the pairs need to be concatenated and it is the connection controller (CC) that does this. The Connection Request Out interface from the NCC needs to include a sequence of SNC pairs that the CC must use when constructing the connection.

## **8.3 Routing controller (RC) component**

The common description for the RC component refers to clause 8.3.3 of [ITU-T G.7701].

In an SDN controller, the RC component is able to compute routes for VNs in the client and server contexts within the controller. It maintains topology information that is logically in the RDB and the relationship between a VN and its underlying topologies in server contexts.

## **8.4 Link resource manager (LRM) component**

The common description for link resource manager (LRM) appears in clause 8.3.4 of [ITU-T G.7701].

In [ITU-T G.8080] and [ITU-T G.7701] a different LRM is responsible for each end (LRMA, LRMZ) of an SNPP link. A logically centralized controller found in SDN has a global view of resources in the network. As a result the LRM covers both A and Z scope.

The LRM, in [ITU-T G.7702], has a view of and is responsible for all links, real and abstract, under its control.

## **8.5 Discovery agent (DA) component**

The common description for the discovery agent (DA) component refers to clause 8.3.5 of [ITU-T G.7701].

## **8.6 Termination and adaptation performer (TAP) component**

The common description for the termination and adaptation performer (TAP) component refers to clause 8.3.6 of [ITU-T G.7701].

## **8.7 Directory service (DS) component**

The common description for the directory service (DS) component refers to clause 8.3.7 of [ITU-T G.7701].

The DS component may be used by other components when mapping between VNs and their underlying server topologies as each may have a distinct SNPP name space.

## **8.8 Resource notification controller component**

The common description for the resource notification component refers to clause 8.3.8 of [ITU-T G.7701].

A transport resource (e.g., a link) may contribute to many VNs and some of the contexts associated with those VNs may subscribe to a type of notification regarding that resource (e.g., an alarm). When a change occurs that is cause for notification, the component in each of the affected contexts is able to know about the change and generate the appropriate notification.

## **9 Topology and discovery**

In the logically centralized and hierarchical control mode of SDN, the topology of the transport network is maintained by the co-operation of multi-level controllers and their locally controlled transport network.

Within one control domain, the transport network topology is auto-discovered by the discovery agent (DA) using the mechanisms described in [b-ITU-T G.7714] and [b-ITU-T G.7714.1]. The DA reports the discovery result to the SDN controller for that domain.

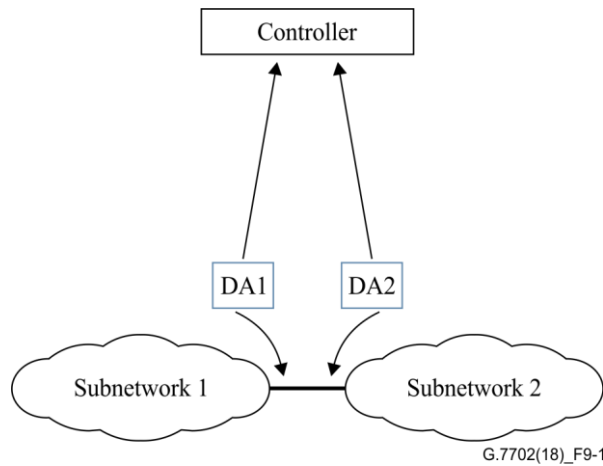
When the control domain contains two inter-connected administrative domains, the links between them are often manually configured in the controller. In some scenarios, auto-discovery may be possible e.g., for an Ethernet link, link layer discovery protocol (LLDP) may be enabled to operate across the administrative boundary.

The controller's view of its resources should be kept current since these may change over time due to failure, recovery, network build-out or administrative action.

### **9.1 Creation of network topology by auto discovery procedure**

The auto discovery of links and neighbours happens in the transport network. Any in-band communications used for the auto discovery procedure defined in [b-ITU-T G.7714] and depicted in [ITU-T G.7701] happen across the layer adjacency between subnetworks under the control of the discovery agent (DA). The results (i.e., the observed adjacency to a specific far link endpoint, identified using transport TCP identifiers) are reported to other components in the SDN controller (DA to TAP, then TAP to LRM which located in SDN controller).

As shown in Figure 9-1 DA1 is responsible for the link end on subnetwork 1 and DA2 is responsible for the link end subnetwork 2. Through discovery messaging, DA1 and DA2 may discover the adjacency between the link ends. This and the capabilities of link are reported to the link end's TAP. The TAP maps the identifiers from the transport name space into the control name space used by the LRM and RC. This information contributes to the network topology graph created in the SDN controller.

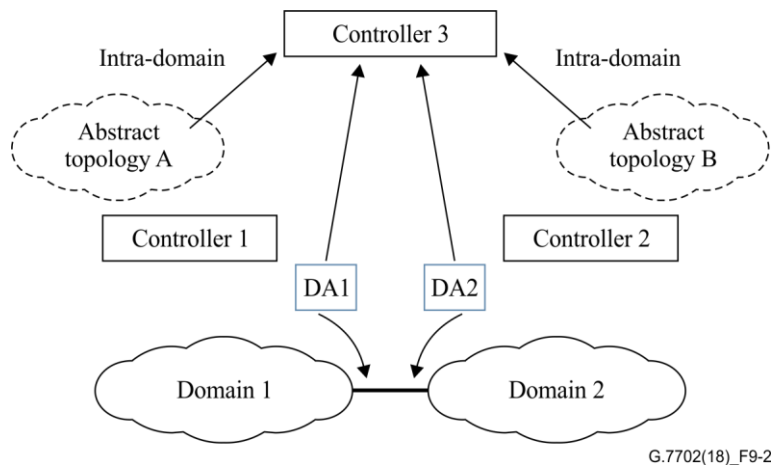


**Figure 9-1 – Example of auto discovery between subnetworks**

When multiple controllers are in use, the DAs need to determine which controller to notify about the link and its capability. Since a controller provides connection management across the link and needs to configure both ends of the link, the appropriate controller is the lowest level controller that has visibility to both ends of the link. If a DA does not have a direct association with the appropriate controller, the link discovery notification may be proxied by a lower level controller.

## 9.2 Creation of abstracted network topology

An abstracted network consists of subnetworks and links, which are created based on assignment of resources from the network topology known to the SDN controller, with consideration of policy, SLA, security, etc. The non-abstracted and abstracted subnetworks as well as links are equivalent with each other, aggregated or sliced from actual transport network resources or abstracted resources, as shown in Figure 9-2 as an example of discovery and creation of abstracted network.



**Figure 9-2 – Example of discovery and creation of abstracted network**

In Figure 9-2 Controller 3 creates an abstracted network topology from network topologies 1 and 2. These topologies are auto discovered separately following the procedure described in clause 9.1. The DAs for network 1 notify Controller 1 of discovered links so it may assemble the topology of network 1 and the DAs in network 2 notify Controller 2 of the discovered links so it may assemble the topology of network 2. Based on the topology for network 1, Controller 1 creates the abstracted network A and reports it to Controller 3 by the CPI interface between Controller 1 and 3.

The physical link between domain 1 and 2 can be configured manually or auto discovered by cooperation of the DAs for Domain 1 with Controller 1 as well as the DAs for Domain 2 with

Controller 2. Thus controller 3's total network topology consists of abstract topology A, abstract topology B and the links between these two topologies.

## 10 Controller interactions

There are many possible combinations of controller interactions enabled by the architecture, particularly when considering the dimensions of single/multi resource layers and single/multi level resource views. This clause describes component interactions for a controller that can configure the FP name space for resources. Other dimensions of recursion are then described including when the controller has only SNP/SNPP names spaces for its view of resources, when multiple server controllers are needed for a concatenated connection and when an interlayer call is needed. Once the recursive cases are described, combinations of them are understood to cover many possible arrangements.

The semantics of multi-layer and multi-level are now described, followed by the types of controller interactions driven by the dimensions of recursion.

The basic types of controller interactions are:

- 1) Request to a controller where called MCC components have FP name spaces in scope. The CC, TAP and DA components have interfaces to the resources and can configure forwarding.
- 2) Request to a controller where called MCC components do not have FP name space in scope. Any connections computed with the SNP/SNPP name space require further resolution to FP name spaces. This triggers a recursive call to a server controller that supports the VN representation.
- 3) Request that triggers sequential (horizontal) calls culminating in a concatenation of subnetwork connections. Multiple server controllers are called to return subnetwork connections that are assembled in the calling controller.
- 4) Interlayer request for connection that becomes a link in the VN of the client controller. The [ITU-T G.7701] NCC's "Client NCC coordination in" interface returns SNPs in the client context so adaptation is performed in the server controller.
- 5) Interlayer mapped server. A server controller with server layer resources sets up a connection that is presented as a pair of SNPs in the client controller.

### 10.1 Interaction type 1

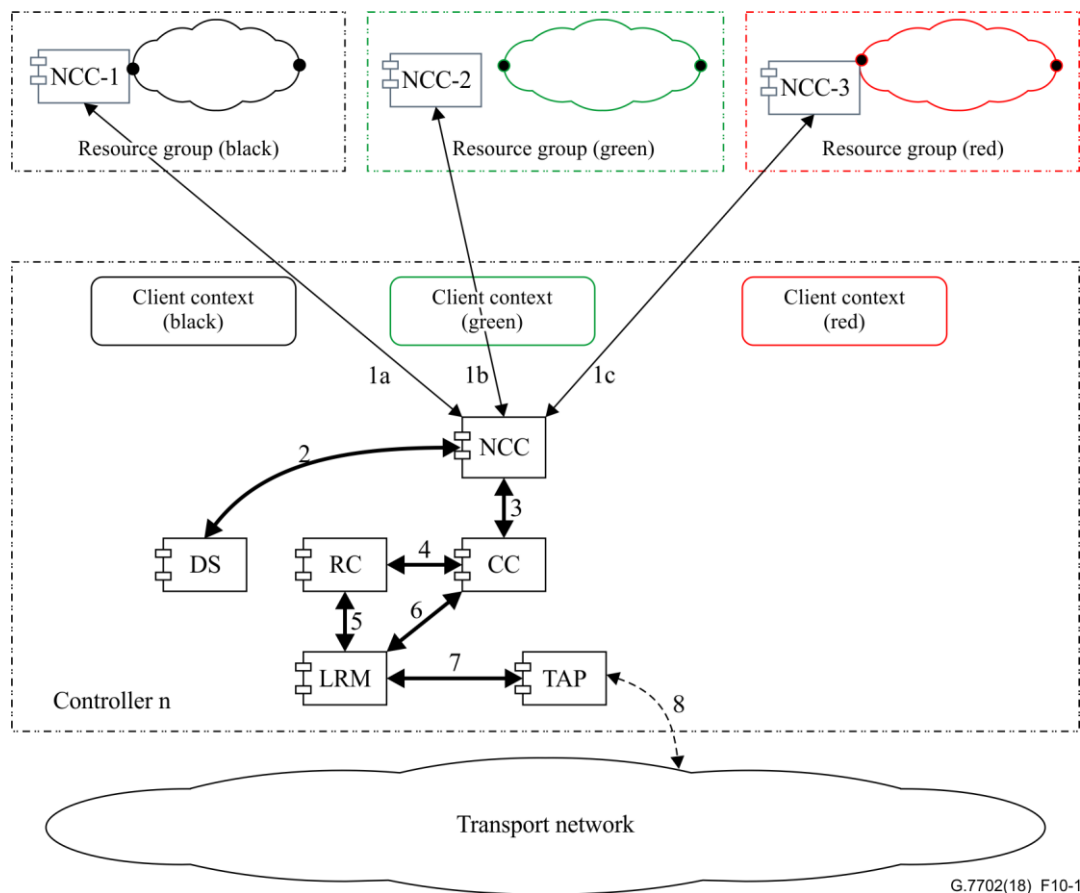
Since the controller involved in this type of interaction has the resource name space in scope and can configure forwarding no recursive calls follow from call/connection setup.

#### 10.1.1 Call and connection control

The interaction of control components within single layer network for call/connection control including NCC, DS, CC, RC, DA and LRM in a single level controller is illustrated in Figure 10-1. Here the TAP, DA and CC access the FP name space of the transport resources and can configure forwarding.

**Call/Connection set-up process:** The calling/called party call controller (CCC) or NCC-1/NCC-2/NCC-3 with the client context sends the call request to the NCC with server context in controller n (# 1a, 1b and 1c). The NCC sends a client/server context mapping request to the Directory Services (DS) component (# 2) for identifier translation and mapping of virtual network name spaces. The NCC then transforms the call request to a connection request to the CC (# 3) based on the Identifiers in the server context. The CC sends a route query to the RC (# 4) for a path. The RC computes a path to CC based on the topology information which was provided by the LRM (# 5). Then CC sends the link connection request to LRM for transport network resource allocation

(# 6). The LRM interacts with TAP (# 7) for the link termination point (LTP) configuration. TAP has interfaces to resources (# 8) that use the FP name space and these are not exposed SDN interfaces. This enables forwarding in the network resources.



**Figure 10-1 – Call and connection control within single level control for single layer network**

**Connection status maintenance process:** When the connection status is affected by a defect in the transport resources that are in the scope of the controller, the LRM reports the local connection status to the CC and then to the NCC through the network connection status reporting interface. The NCC in the server controller queries the DS to obtain the mapping of the connection identifiers into the client contexts. The NCC in the server context is responsible for sending the connection status update information to the NCCs in client controllers.

### 10.1.2 Resource discovery, virtualization (name spaces and mappings) and resource view maintenance

The interaction of control components within single layer network for resource virtualization and resource view maintenance including NCC, DS, RC, LRM, TAP and DA in a single level controller is illustrated as in Figure 10-1.

In Figure 10-1, the DA component is shown inside the boundary of an SDN controller. This indicates that the DA is one of control components supporting the SDN controller. DA deployment is not required when physical network resources are manually configured. Therefore, the DA is an optional control component of an SDN controller. Also, a DA may be deployed in a non-SDN environment. The DA is described in clause 8.3.5 of [ITU-T G.7701].

**Resource discovery process:** The LRM, TAP and DA interacts together to perform the resources discovery function. DAs at the end of a link discover the trail FP to FP resource information over

that link through in-band communication. The relationship between two client FPs (cFPs) over the two server FPs (sFPs) can be inferred as defined in [b-ITU-T G.7714.1]. In general, multiple client FPs (cFPs) in different layers may be associated with one server FP (sFP) using the flexible adaptation function. The TAP is responsible for maintaining the relationship between the cFP and sFP, including the mapping of the namespace between SNPs and FPs. LRMs interact with TAPs and maintain the corresponding SNP to SNP relationship for links in the SNP name space. The LRM reports the local topology (nodes and links), resources and abilities information of transport network to the RC and constructs the underlying network topology and resource database.

**Virtualization within a single level:** Virtualization can be performed within a single level based on the underlying network topology and resources database, which is maintained by the controller, to create/modify/delete VNs for a client. A controller has one RC instance for each client and server context. The RC in a server context maintains the resource information obtained from the LRMs, while the RC in a client context maintains the VN topology information for that client. The virtualization function in the controller maintains separate RC instances to construct the topology required by each client context. During this virtualization process, the RC may interact directly with the LRM to establish the availability of lower level resources and, if necessary, provide partial lower level resources to its client based on policy; or the RC may interact with CC and NCC to first establish a connection and then use this connection as the input to the RC in client context, then use it as a link. A separate name space mapping between the client context and the server context is required for each RC instance.

#### **Resource view maintenance process:**

In the initial state, a common pool of transport resources under the control of an administration are abstracted into virtual resources and then allocated to different clients, each with their own client context, for their dedicated usage. When a client context is deleted, the virtual network resources dedicated to that client should be deleted and the corresponding SNPs and FPs in the transport resources should be released.

Once the topology and resources state change due to failure, recovery, network build-out or administrative action, the TAP will update the SNP name spaces and also the relationship between the cFP and sFP. The LRM will update the corresponding SNP to SNP relationship for links in the SNP name space and output the changes in local topology (nodes and links), resources and abilities information of transport network to the RC in the server context. With the support of DS to perform the virtualization function per client, the corresponding RC instance will input all the changed information to the RDB and notify the VN client of the virtual resource changes.

## **10.2 Interaction type 2**

Consider the controller n+1 in Figure 10-2 and a request to set up a connection within a local transport network 11. Controller n+1 views transport network 11 as a VN with an SNP/SNPP name space. It can compute a route in this name space but as its MCC components do not have visibility of the FP name space it thus must request controller n' to make a connection. Controller n+1 would utilize its NCC, CC and RC, but not LRM and TAP as there is no visibility of the FP name space. The NCC in controller n' would return a pair of SNPs to the NCC in Controller n+1.

This style of interaction drives recursion up/down a hierarchy of controllers. It covers the case where a client has a VN projected from a subset of resources and/or that is an abstraction of resources. After the controller processes the request, further requests to other controllers may be initiated (types 1,3,4 and 5).



### 10.3 Interaction type 3

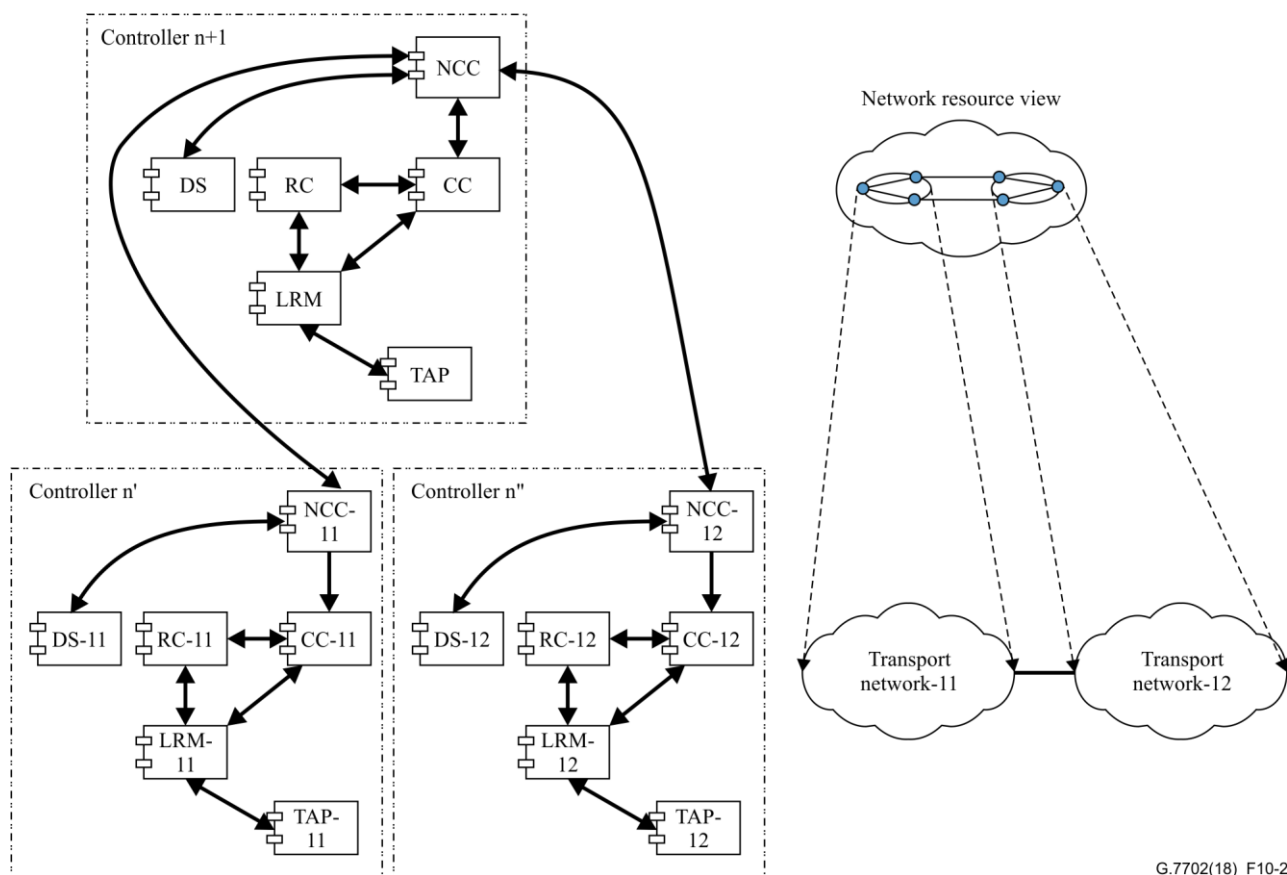
This interaction type drives horizontal recursion to perform concatenation of calls/connections between controllers. This style of interaction drives recursion across controllers. It covers the case where a client has a VN consisting of resources projected from multiple server controllers.

Consider the controller  $n+1$  in Figure 10-2 and a request to set up a connection from transport network 11 to transport network 12.

#### 10.3.1 Call and connection control

A two level control hierarchy is given in Figure 10-2 as an example to illustrate an end to end call and connection control across two subnet networks and their interconnected links. The end to end connection is divided into three parts: the first part is within the scope of controller  $n'$ ; the second is within scope of controller  $n''$ ; the third is the inter-connection between network 11 and network 12, which is within the control scope of controller  $n+1$ . The interaction of control components between the two levels and within each level are both illustrated and described below.

**Connection set-up:** After the discovery and creation of abstracted network resource process for multiple domains in high level controller as described in clause 7.2.2, Controller  $n+1$  found that the end to end connection set-up and release process need to be divided into three subnetwork connections, Controller  $n'$  is responsible for the connection set-up and release process within network 11, Controller  $n''$  is responsible for the connection set-up and release process within network 12 and Controller  $n+1$  is responsible for the inter-connection between network 11 and network 12. NCC in Controller  $n+1$  separately sends the call request to the NCC-11 in Controller  $n'$  and the NCC-12 in Controller  $n''$ . Interworking between the two levels of controllers is performed via NCC to NCC interaction. Inside each controller, the internal procedure for call and connection configuration is performed as the same component interaction sequence (including NCC, DS, CC, RC and LRM) as described in clause 10.1.1.



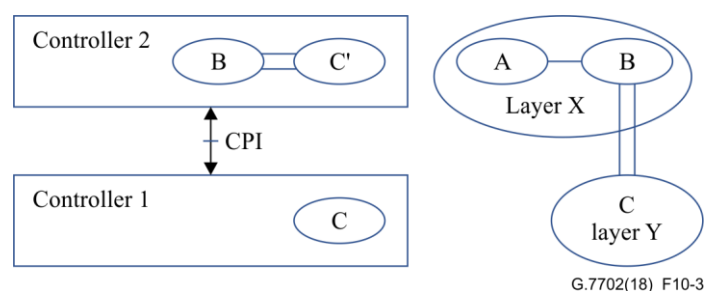
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**Figure 10-2 – Connection set-up and release process for two level controllers**

**Connection status maintenance process:** The NCC-NCC instance is also involved in the connection status maintenance process. The LRM reports the local connection status to the CC and then to the NCC through the network connection status reporting interface. The NCC in the server controller is responsible for sending the connection status information to the NCCs in client controllers.

#### 10.4 Interaction type 4

Consider the controller 2 in Figure 10-3. It makes a request for a connection from its server controller 1. The RC in Controller 2 is aware of the adaptation potential of the link to the resource view of subnetwork C in Layer Y. The returned connection is in layer X and controller 2 views it as a link in layer X that becomes part of subnetwork B. The connection is able to use the new link in layer X. The Client NCC Coordination In interface of the [ITU-T G.7701] NCC is used. When the NCC in controller 1 receives the request, it proceeds with the same steps 2-8 as depicted in Figure 10-1. The reply to the NCC in Controller 2 over the the Client NCC Coordination In interface provides a pair of SNPs in the calling layer (i.e., layer X). As described in [ITU-T G.7701], the configuration of adaptation between [ITU-T G.800] layers is performed in the server NCC which is the NCC in controller 1.



**Figure 10-3 – Client NCC Coordination In interface**

When controller 1 is processing the request, further recursive calls may be needed. For example, interaction types 2, 3 and 4.

### 10.5 Interaction type 5

Consider the controller 2 in Figure 10-3. For a mapped server call, Controller 2 needs to have a multi-layer topology view. This can be accomplished by having a VN representation of subnetwork C which is from a different layer than subnetwork B. The multilayer topology is used by an RC in Controller 2, to calculate a route through both layer networks. The NCC-NCC call is made from Controller 2 to controller 1 using boundary resource identifiers in the context of subnetwork C.

The returned SNPs are in layer X and controller 2 views it as the location of an adaptation into Layer Y. The connection in Layer X is able to use the connection that is created in layer Y. This is the mapped server case described in clause 6.8 of [ITU-T G.8080]. The same steps as described in clause 10.4 are performed when the NCC in Controller 1 receives the request. The returned SNPs however, are not added as a link to the topology in Controller 2 though.

When controller 1 completes the request, further recursive NCC-NCC calls of interaction types 1 through 5 may be needed.

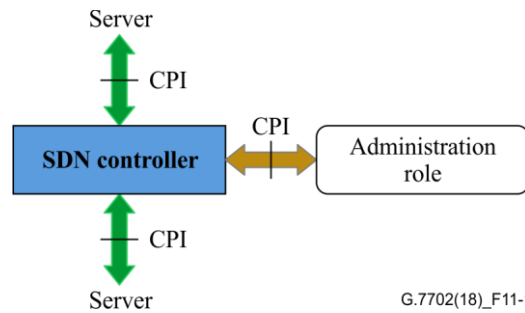
## 11 Reference points

### 11.1 SDN control plane interfaces

The SDN control plane interfaces with other planes are shown in Figure 11-1. These interfaces are reference points for information hiding, traffic and namespace isolation and policy enforcement. Reference points of control components could be bundled in these interfaces.

The control plane interfaces include:

- a) The relationships between transport NEs and SDN controllers, between SDN controllers at adjacent layers in a recursive hierarchy (see clause 10) and between SDN controller and applications, are all client and server. Instance of the same north-south interfaces with the same set of information models (IMs) are used between each level of the hierarchy and may represent (again see clause 10) virtual resources:
  - 1) Interfaces between SDN controllers and transport NEs;
  - 2) Interfaces between high level controller and lower level controllers;
  - 3) Interfaces between SDN controller and applications.
- b) In the MCC, an administration role acts to configure and manage SDN controllers. SDN controllers contain MCC components, the administration role is also performed by MCC components. Therefore, the interface between a SDN controller and the administrator role is a CPI. Note that the administrator role may be implemented in a legacy management system (e.g., EMS/OSS/BSS). Interfaces between the applications and the SDN controller are outside the scope of this Recommendation.

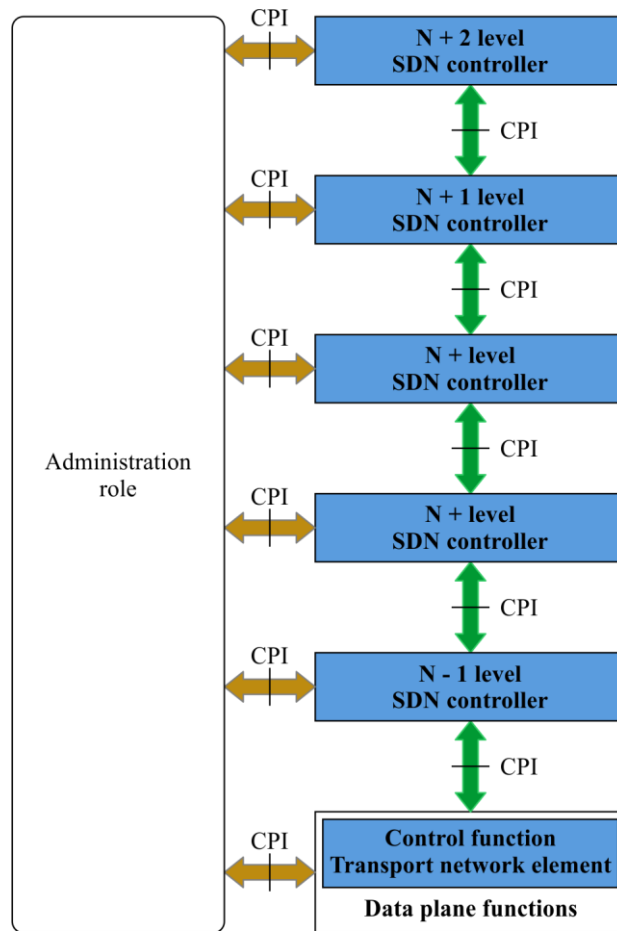


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**Figure 11-1 – General architecture of SDN controller interfaces**

Figure 11-2 shows the 1:1 hierarchical stack of controllers in different levels. The control function inside the transport NE could be seen as a management and control agent of SDN in the NE.

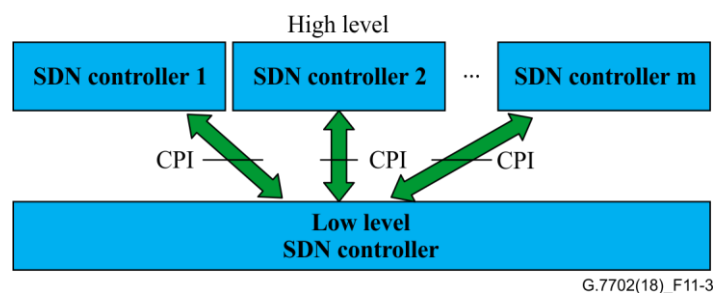
Note that in Figure 11-2 below the N+2 level controller could be an SDN application; the server SDN controller at level N+1 would, however, be unable to distinguish any difference.



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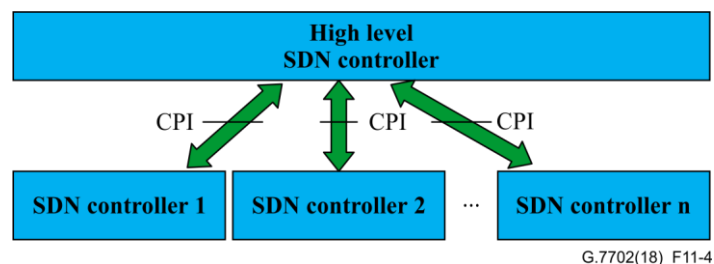
**Figure 11-2 – 1:1 Hierarchical stack of controllers**

Figure 11-3 shows the m:1 hierarchical arrangement of SDN controllers with partitioned resource view. Note that, although the clients of the low level controller are all shown here as SDN controllers, the low level controller can also support applications over the CPI. In general a controller is unaware of the role or naming convention applied to its CPI clients (see clause 6.2). By extension this means that applications can appear as clients of a controller at any level in a hierarchical arrangement of controllers.



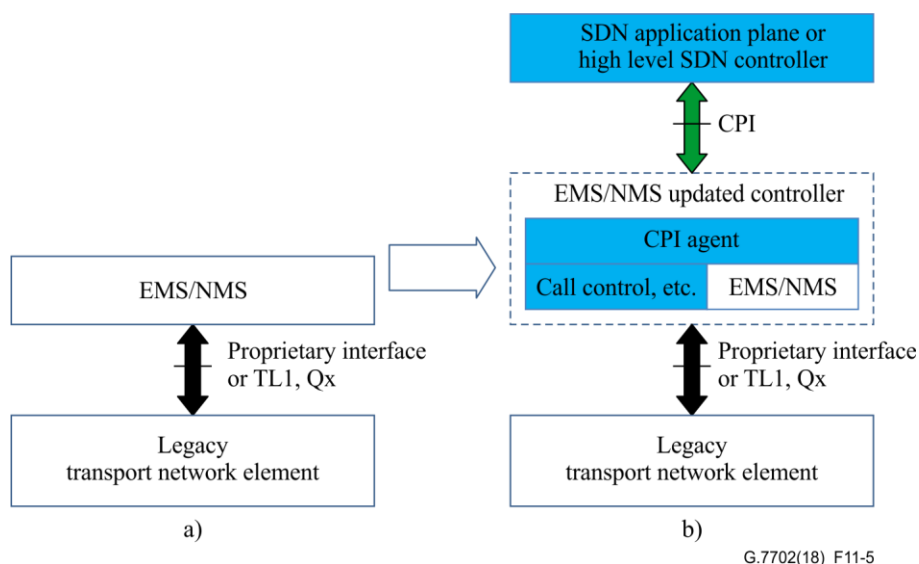
**Figure 11-3 – m:1 hierarchical arrangement of controllers in partitioned view**

Figure 11-4 shows the 1:n hierarchical arrangement of controllers in virtualized resource view.



**Figure 11-4 – 1:n hierarchical arrangement of controller in virtualized view**

For the migration of a legacy transport network to the application of SDN for transport network, one available approach is to upgrade the EMS/NMS with the SDN controller function (such as call control and etc.) and CPI agent, which could support the interaction with the high level SDN controller or application plane with CPI as illustrated in Figure 11-5. The EMS/NMS updated controller could re-use the proprietary interface, TL1, Qx or others to communicate with the legacy transport network element and without any upgrade operation to the existing transport network element.



**Figure 11-5 – A migration approach to support SDN control for a legacy transport network**

## **11.2 Functional requirements for the CPI**

The CPI should support several categories of functional requirements, including topology, connection, path computation and resource virtualization/abstraction, performance and fault management, notification/events, etc.

### **11.2.1 Topology management functions**

The CPI should support the topology management function and retrieval of topological information, which should include:

- Topology management for intra-domain and multi-layer transport network;
- Topology management for inter-domain network or links;
- Abstraction of topology and resources of transport network.
- Getting detailed topological information;
- Receiving topology updates from SDN controllers;
- Retrieval of logical network topologies that include combinations of logical and physical topologies.

### **11.2.2 Connection control function**

Through a CPI, SDN controllers should support connection control functions including: connection creation, connection modification, connection deletion, OAM configuration and activation and survivability mechanisms.

### **11.2.3 Instantiation/Deletion /Update of services**

Controllers are responsible for computing a path for a connection request and providing the path to their client controllers or applications through a CPI, which should support:

- Path computation of intra-domain, inter-domain or multi-layer for transport network, according to the path constraint conditions in the connection request;
- Optimization of P2P paths, reconfiguring paths to achieve optimization;
- Requests for path (route) information needed to set up connections.

### **11.2.4 Resource virtualization/abstraction**

A lower level controller can present its transport resource and virtual networks view to its client controllers and applications through the CPI. Client controller interaction over the CPI allows:

- VN creation, modification and release request.
- Partition of (such as "slice") network resources for different VNs according to policy.
- Providing different views (abstractions) of the resources, real and virtualized, under its control, subnetwork and link topological resource can be represented with varying degrees of detail (granularity).

### **11.2.5 Performance and fault management**

Lower level controllers could provide performance and fault management related information to their clients through the CPI. Performance monitoring and fault detection are necessary for transport resources and virtual network resources. Dynamic service control policy enables the performance and fault management function.

### **11.2.6 Notifications and events**

Notifications refer to the set of autonomous messages that provide information about events, for example, alarms, performance monitoring (PM) threshold crossings, object creation/deletion, attribute value change (AVC), state change, etc., related to resources in the controller's scope. These

notifications may also include messages indicating service faults, performance degradation and so forth.

The notifications are provided over the CPI by server controllers to their client. The mechanism used is a matter of implementation detail.

### **11.3 Interaction between NCCs over CPI**

In Figure 10-2, NCC in the client context sends the call request to NCC in the server context. The resource identifiers (i.e., SNP identifiers/name spaces) are sent from client NCC to server NCC.

Through a CPI, a client NCC could initiate connection creation, connection modification, connection deletion and connection query. The interactions between NCCs over CPI are listed in this clause.

#### **11.3.1 Call creation**

If the connection is set up successfully, the client NCC gets connection identifiers and connections.

The parameters sent from client NCC to server NCC over CPI could be:

- SNP identifiers (or Name) in the client context;
- direction of connection;
- Connection constraints including capacity, layer, latency, cost, etc.
- Required capacity.

The resulting parameters return from server NCC to client NCC over CPI could be:

- Success/Failure;
- Connection identifiers in server context;
- Operational state;
- Lifecycle;
- Connection details, see above parameters.

#### **11.3.2 Call modification**

The parameters sent from client NCC to server NCC over CPI could be:

- Connection identifiers in client context;
- Connection constraints including capacity, layer, latency, cost, etc.
- Required capacity.

The resulting parameters return from server NCC to client NCC over CPI could be:

- Success/Failure;
- Operational state;
- Lifecycle.

#### **11.3.3 Call deletion**

The parameters sent from client NCC to server NCC over CPI could be:

- Connection identifiers or name;

The resulting parameters return from server NCC to client NCC over CPI could be:

- Identifiers of the deleted connection;
- State changes.

### 11.3.4 Call query

The parameters sent from client NCC to server NCC over CPI could be:

- Connection identifiers in client context;
- Connection constraints including capacity, layer, latency, cost, etc.

The resulting parameters return from server NCC to client NCC over CPI could be:

- Connection identifiers in server context;
- Connection details.

## 12 Control communications network

The application of SDN to transport network requires a control communications network (CCN) to transfer information e.g., between SDN controllers at different levels, between SDN controller and the resources in their scope, or between SDN controllers and their management functions.

The CPI is the primary interface defined for these information transfers, the information transfers themselves require a CCN between the communicating entities.

The information transported via different instances of the CPI varies e.g., it may relate to connectivity, compute requirements, dimensioning, reliability, performance, security, etc.

The reliability and security required from the CCN may vary depending on particular usage. Appropriate implementation mechanisms should be used to support reliable information transfer in the CCN if that is required. The CCN should provide appropriate security in terms of access control and guaranteeing secure transport of information. Mechanisms such as authentication, authorization and accounting (AAA) and transport layer security (TLS) may be appropriate solutions to those challenges.

Several different communication scenarios and how some aspects of the communication vary between them are examined below:

### **Control communication network between controller and transport resources:**

- The information carried over the interface between controller and resources in its scope is used for topology discovery, path computation, connectivity control and maintenance purposes.
- Controllers establish resource topology by processing adjacency information provided by those resources.
- A controller processes a connection request from one of its clients by establishing the required path and then sending configuration commands to the appropriate resources on that path.
- Resources can communicate changes in their state to the controller, which, for example, provides the information for network or service monitoring applications.

### **Control communication network between controllers at different levels:**

- Services are established across a network by the appropriate and coordinated configuration of the resources in the scope of one or more controllers.
- Information is communicated between controllers to coordinate this resource configuration. For example signalling messages exchanged between NCCs and the routing information exchanged between RCs, are transported over the interface between controllers at different levels allowing the coordination of network configuration that establishes service for the client.



### **Control communication network between SDN controllers and management applications:**

The information transferred over the interface in this scenario is related to fault, configuration and performance management of the controller. Management applications are concerned with the control, surveillance and performance of the controller.

A controller which suffers from fault may be replaced with the auxiliary one according to the configuration of management functions once the fault is detected.

Performance information from the controller, such as congestion control should be reported to or can be acquired by the management application through the control communication network.

## **13 Management aspects**

This clause deals only with management of SDN controllers themselves. Management of resources controlled by the controller and management of SDN applications themselves are out of scope of this Recommendation. In general the customer of a transport network operator may interact with the network using an SDN application. It is a local matter for such applications to deal with details of customer management (authorization, billing, reporting, etc.). The application may use information obtained from its interaction with the SDN controller e.g., when it invoked a call setup and for whom, to generate billing and reporting details, etc.

### **13.1 Management of SDN controllers**

The management plane should support the configuration management, fault management, performance management and security management for the SDN controllers, including:

- The initial parameters configuration for controllers, e.g., the address, name ID, client-server relationships, enable and disable, etc.
- The status management of controllers themselves, including the process status of software and hardware, the usage status of controllers' resources, operating and running status and management status.
- The alarms and events management of controllers, e.g., the node failure, the modules or components failure, the process failure of software and hardware, etc.
- The performance management of controllers, e.g., the configuration of reports for performance monitoring, the performance of controlling process, the running performance of controllers' resources, etc.
- The policy management for controllers, including the mapping policy for quality of service (QoS), the restriction policy for routing, the security policy, the policy of protection and restoration, etc.

### **13.2 Management of control plane interfaces (CPI)**

The management aspects of control plane interfaces include the management of CPI illustrated in Figure 6-2, which include:

- The type of interface, the type of interface protocol, address, identifier, etc.
- The type of SDN control signalling protocol and related parameters, etc.
- Access control and related policy management of CPI, including access user management, e.g., creation access user name and password, access authority, access security policy, etc.

### **13.3 Management of control communication network (CCN)**

The management aspects of CCN include:

- The CCN configuration management, including CCN channels, interface address and identifier, transport mode, protection and restoration, etc.

- The status monitoring of CCN, including alarms (e.g., the communication failure between controllers or other planes), performance, etc.

## **14 Identifiers**

A number of distinct and independent sets of name spaces, from which identifiers are drawn are described in clause 11 of [ITU-T G.7701]:

- Resources in the transport network;
- Control view of transport resources;
- Control components;
- Control artefacts;
- Reference points;
- Control communications network.

Each of these name spaces and the identifiers that are drawn from them is described in clause 11 of [ITU-T G.7701]. Further information on the use of these name spaces and identifiers in the context of SDN is provided in the remainder of this clause.

### **14.1 Resources in the transport network**

The identifiers drawn from this name space are used by the transport resources to allow the delivery of communications from a source to a sink. Examples of these resource identifiers are; MPLS label; Ethernet SA and DA; wavelength; the TS of an ODU server. The only SDN components that use these identifiers are the DA and TAP.

### **14.2 Control view of transport resources**

The control components use three different name spaces to reference the transport resources: routing area name space and subnetwork name space provide identifiers for ITU-T G.800 topological entities (subnetworks and links). The link context name space provides the identifiers (SNPs) for the ends of transport entities ([ITU-T G.800] FPs). At the bottom of the controller hierarchy the TAP and DA provide a mapping between the SNP identifier and the forwarding resource identifier<sup>1</sup>. Independent identifiers (drawn from these name spaces) may be used for each layer network, client context and server context. Normally the routing area identifiers and link identifiers (SNPPs) are structured in a way that simplifies the implementation of routing and connection management. For example, as described in clause 11 of [ITU-T G.7701] in the case of hierarchically arranged routing areas it may be convenient to use recursive (hierarchical) identifiers for the contained SNPP links or routing areas.

### **14.3 Control components**

A separate name space is used for control components, this ensures that there is no dependency on the identifiers of the resources that the control component have in scope (e.g., routing area) or the CCN address that is used to deliver messages to that control component. This independence allows, for example, the location or scope of a control component to be changed without modifying its identifier.

The control component name space is also used for configuration and fault reporting of the control components.

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<sup>1</sup> In some cases, in an implementation it may be convenient to use the reuse value of the forwarding resource identifier as the SNP identifier at higher levels in the controller hierarchy. However, in this context the resource semantics are absent and the value is treated as an opaque SNP identifier [b-ONF].

## **14.4 Control artefacts**

The control components create and use control artefacts including, for example, connections, routes and calls. Normally the control component that creates a control artefact assigns an identifier. These identifiers are drawn from an independent name space.

## **14.5 Reference points**

A boundary resource identifier (BRI) is used to identify both the transport resource(s) and the interface(s) to control components at the boundary of a domain. The BRI is drawn from an independent name space. The use of an independent name space avoids exposing the identifiers that the control components use within the domain.

## **14.6 Control communications network**

The control communications network (CCN) provides the ability for the control components to exchange information. The CCN uses an address (normally IPv4 or IPv6) to identify the point where a protocol controller (PC) is attached to the CCN. Control components access the CCN via a PC, a PC may support one or more control components. A directory may be used to relate the control component identifier with the CCN address of its (current) PC. The independence between the control component identifier and the CCN address allows, for example, for the CCN to be reconfigured or for the control components to be moved to a different platform.

An MCC function operates on resources using a resource view. This view is enabled by a name/address/identifier plan. For example, the ITU-T G.7701 routing controller component views topology using the SNPP name space and the TAP component manages the mapping of the SNP name space to the FP name space (resource label).

For the purposes of some MCC functions, there does not have to be a distinction between VN and non-VN resource views. If the resource is a networking resource, path computation can operate on a combined topology without needing to know the difference.

An SDN controller may have MCC functions that have resources in scope whose FP name space is visible, as well as resources in scope whose FP name space is invisible. Note that the routing function only uses the SNP/SNPP name space, so it is not affected by FP name space's visibility. For discovery, TAP and LRM, the visibility of FP name space becomes important.

MCC functions in an SDN controller that configure FPs associated with transport network resources do so via the resource-control interfaces [ITU-T Y.3300] and the configuration and/or properties exposed are abstracted by means of information models (IMs) [ITU-T G.7711] and data models (e.g., Yang model). Specifically, the resource-control interface is the generic interface across which an instance of the SDN information model is managed. This interface provides high-level accesses to the network resources regardless of their respective technology. As the SDN architecture operates on an abstract model of the transport resources, there is no architectural distinction between the control of physical and virtual transport NEs. Each may have FP name spaces whose configuration enables transfer of information.

## **15 Scalability considerations**

### **15.1 Scalability of the controller**

The controller can be scaled vertically or horizontally.

Vertical scalability can be achieved using a hierarchical stack of controllers; using this recursive application of SDN controllers, the SDN control layer can be easily scaled to cover large networks. Recursion is illustrated in Figure 9-1 of clause 9. The number of layers employed depends on the size of the network. Increasing recursive depth is used for larger networks.

Horizontal scalability can be achieved using multiple parallel SDN controllers (see SDN controller 1, SDN controller 2 and SDN controller n as shown in Figure 11-4).

Furthermore, the scalability of a SDN controller can be extended by the implementation of controller stacking as long as the network performance is acceptable.

## **15.2 Scalability of components**

The scalability of a controller could be enhanced by implementing multiple instances of the same type of MCC function and load balancing between those instances inside that controller. This approach is an implementation option of the architecture, other implementations are not excluded.

## **16 Connection availability enhancement techniques**

Protection and restoration are techniques that can enhance connection availability. As described in [ITU-T G.805], the terms "protection" (replacement of a failed resource with a pre-assigned standby) and "restoration" (replacement of a failed resource by re-routing using spare capacity) are used to classify the recovery mechanism. In general, protection actions complete in the tens of millisecond range, while restoration actions normally complete in times ranging from hundreds of milliseconds to up to a few seconds. When SDN is used in transport networks it can be used to configure protection or manage restoration.

A domain that is under the control of a single transport SDN controller for the purpose of recovery is called a recovery domain. The selection of the recovery mechanism (none, protection, restoration or both) for a particular recovery domain will be based on: the policy of the network operator, the topology of the network and the capability of the equipment deployed. Different recovery mechanisms may be used on the connections that are concatenated to provide a call. If a call transits the network of more than one operator then each network should be responsible for the recovery of the transit connections.

Protection is executed in network elements as a function in the data plane. Transport SDN controllers with the FP name spaces in scope, are responsible for pre-assigning protection resources, provisioning protection behaviour and subscribing to notifications from network elements, as needed.

The protection or restoration of a connection may be invoked or temporarily disabled by a command from the network operator. These commands may be used to allow scheduled maintenance activities to be performed. They may also be used to override the automatic operations under some exceptional failure conditions.

Connection availability and enhancement for multi-domain networks is FFS.

### **16.1 Data plane protection**

Data plane protection is a mechanism for enhancing availability of a connection through the use of additional, assigned capacity and protection switching processes including protection switching state machines are executed in network elements as a function in the data plane. Once capacity is assigned for protection purposes there is no re-routing and the SNPs allocated at intermediate points to support the protection capacity do not change as a result of a protection event. The transport SDN controller, specifically the connection control component, is responsible for the creation of a connection. This includes creating both a working connection and a protection connection, or providing connection specific configuration information for a protection scheme.

## **16.2 Controller based restoration**

Restoration is used when there are no explicitly identified resources over and above those needed to provide the capability in place and running but there are either resources reserved to be used for the recovery of a failed service, or there is a control capability that can determine which resources can be used to recover a failed service, or both.

Controller based restoration uses the resource control and path computation capability of the controller. The trigger for restoration of a connectivity service can be based on notifications from lower level controllers/network elements or a request from a higher level controller. When receiving notifications from a lower level, the controller needs to determine which, if any, of the connectivity services are impacted by the notification. If connectivity services are impacted, the controller should, based on the resiliency policy, either initiate the restoration or report those notifications to upper level controllers.

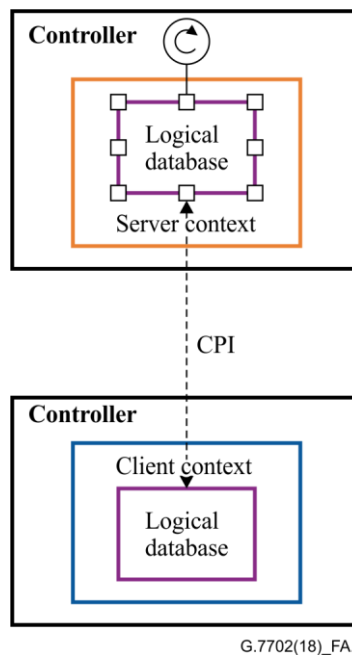
To recover a failed service, a controller can determine which resources should be used for recovery and configure those resources. The resources used to recover a service can be computed before or after the service failure and can be partially configured before the service failure (resources configured before the service failure may reduce the restoration time and are placed in an in-activated state).

## Annex A

### Use of the CIM to represent resources

(This annex forms an integral part of this Recommendation.)

Information models provide management views of the resources that need to be controlled and managed. The relationship between both kinds of resources and instances of resources is specified by the information model. The transport network resource data stored in the databases of SDN controllers may be structured into data models (that are generated from information models). In a model-driven architecture CPIs are described by data models. For each client or server context, there is, conceptually, a (logical) database in which the data is structured into data models that are generated from the information model. These data models describe the information that is encoded in a protocol and passed over the CPI as shown in Figure A.1. It may be necessary to map between the representation in the SDN controller resource database and the information in the database of a client or server. For example, it may be necessary to map between the name space used by the SDN controller and the name space used in a server context or client context. Further it may be necessary to map between the artefacts used in the SDN controller and the (possibly more abstract) artefacts used in the client context as described in clause 6.6.1.



**Figure A.1 – Data model generated from CIM passed over CPI**

Further, if the network resource database in the SDN controller is constructed from the resource database in a number of server contexts it may be necessary to translate between the semantics of these databases. This semantic translation can be avoided if these resource databases are generated from a common information model e.g., [ITU-T G.7711].

For the case where network resources are managed by a SDN controller and legacy management systems (EMS/NMS) simultaneously, it is necessary to synchronize the network resource databases in the SDN controllers and EMS/NMS. Data synchronization can be implemented by data query or database synchronization. Data query is implemented by calling the data query interfaces (CPIs) provided by management/control systems. Database synchronization is implemented by capturing the data changes of network resource databases and synchronising the changes between the databases of management/control systems according to the mapping relationship between the

different information models of the network resources. Both methods require the mapping relationship between the different information models of the network resources.

It should be noted that to fully map and interpret between two IMs, one IM has to be a super set of the other one.

## Bibliography

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