

ITU-T

TELECOMMUNICATION
STANDARDIZATION SECTOR
OF ITU

G.8262/Y.1362

Corrigendum 1
(11/2016)

**SERIES G: TRANSMISSION SYSTEMS AND MEDIA,
DIGITAL SYSTEMS AND NETWORKS**

Packet over Transport aspects – Synchronization, quality
and availability targets

**SERIES Y: GLOBAL INFORMATION
INFRASTRUCTURE, INTERNET PROTOCOL ASPECTS
AND NEXT-GENERATION NETWORKS**

Internet protocol aspects – Transport

Timing characteristics of synchronous Ethernet
equipment slave clock

Corrigendum 1

Recommendation ITU-T G.8262/Y.1362 (2015) –
Corrigendum 1

ITU-T G-SERIES RECOMMENDATIONS

TRANSMISSION SYSTEMS AND MEDIA, DIGITAL SYSTEMS AND NETWORKS

INTERNATIONAL TELEPHONE CONNECTIONS AND CIRCUITS	G.100–G.199
GENERAL CHARACTERISTICS COMMON TO ALL ANALOGUE CARRIER-TRANSMISSION SYSTEMS	G.200–G.299
INDIVIDUAL CHARACTERISTICS OF INTERNATIONAL CARRIER TELEPHONE SYSTEMS ON METALLIC LINES	G.300–G.399
GENERAL CHARACTERISTICS OF INTERNATIONAL CARRIER TELEPHONE SYSTEMS ON RADIO-RELAY OR SATELLITE LINKS AND INTERCONNECTION WITH METALLIC LINES	G.400–G.449
COORDINATION OF RADIOTELEPHONY AND LINE TELEPHONY	G.450–G.499
TRANSMISSION MEDIA AND OPTICAL SYSTEMS CHARACTERISTICS	G.600–G.699
DIGITAL TERMINAL EQUIPMENTS	G.700–G.799
DIGITAL NETWORKS	G.800–G.899
DIGITAL SECTIONS AND DIGITAL LINE SYSTEM	G.900–G.999
MULTIMEDIA QUALITY OF SERVICE AND PERFORMANCE – GENERIC AND USER-RELATED ASPECTS	G.1000–G.1999
TRANSMISSION MEDIA CHARACTERISTICS	G.6000–G.6999
DATA OVER TRANSPORT – GENERIC ASPECTS	G.7000–G.7999
PACKET OVER TRANSPORT ASPECTS	G.8000–G.8999
Ethernet over Transport aspects	G.8000–G.8099
MPLS over Transport aspects	G.8100–G.8199
Synchronization, quality and availability targets	G.8200–G.8299
Service Management	G.8600–G.8699
ACCESS NETWORKS	G.9000–G.9999

For further details, please refer to the list of ITU-T Recommendations.

Recommendation ITU-T G.8262/Y.1362

Timing characteristics of synchronous Ethernet equipment slave clock

Corrigendum 1

Summary

Recommendation ITU-T G.8262/Y.1362 outlines requirements for timing devices used in synchronizing network equipments that use synchronous Ethernet. This Recommendation defines the requirements for clocks, e.g., bandwidth, frequency accuracy, holdover and noise generation.

Corrigendum 1 replaces:

- The first equation in clause 11.2.1
- The second equation in clause 11.2.1 (above Figure 13).

History

Edition	Recommendation	Approval	Study Group	Unique ID*
1.0	ITU-T G.8262/Y.1362	2007-08-13	15	11.1002/1000/9190
1.1	ITU-T G.8262/Y.1362 (2007) Amd. 1	2008-04-29	15	11.1002/1000/9417
1.2	ITU-T G.8262/Y.1362 (2007) Amd.2	2010-01-13	15	11.1002/1000/10432
2.0	ITU-T G.8262/Y.1362	2010-07-29	15	11.1002/1000/10909
2.1	ITU-T G.8262/Y.1362 (2010) Amd. 1	2012-02-13	15	11.1002/1000/11523
2.2	ITU-T G.8262/Y.1362 (2010) Amd. 2	2012-10-29	15	11.1002/1000/11814
3.0	ITU-T G.8262/Y.1362	2015-01-13	15	11.1002/1000/12389
3.1	ITU-T G.8262/Y.1362 (2015) Cor. 1	2016-11-13	15	11.1002/1000/13105

Keywords

Clock, jitter, synchronization, wander.

* To access the Recommendation, type the URL <http://handle.itu.int/> in the address field of your web browser, followed by the Recommendation's unique ID. For example, <http://handle.itu.int/11.1002/1000/11830-en>.

FOREWORD

The International Telecommunication Union (ITU) is the United Nations specialized agency in the field of telecommunications, information and communication technologies (ICTs). The ITU Telecommunication Standardization Sector (ITU-T) is a permanent organ of ITU. ITU-T is responsible for studying technical, operating and tariff questions and issuing Recommendations on them with a view to standardizing telecommunications on a worldwide basis.

The World Telecommunication Standardization Assembly (WTSA), which meets every four years, establishes the topics for study by the ITU-T study groups which, in turn, produce Recommendations on these topics.

The approval of ITU-T Recommendations is covered by the procedure laid down in WTSA Resolution 1.

In some areas of information technology which fall within ITU-T's purview, the necessary standards are prepared on a collaborative basis with ISO and IEC.

NOTE

In this Recommendation, the expression "Administration" is used for conciseness to indicate both a telecommunication administration and a recognized operating agency.

Compliance with this Recommendation is voluntary. However, the Recommendation may contain certain mandatory provisions (to ensure, e.g., interoperability or applicability) and compliance with the Recommendation is achieved when all of these mandatory provisions are met. The words "shall" or some other obligatory language such as "must" and the negative equivalents are used to express requirements. The use of such words does not suggest that compliance with the Recommendation is required of any party.

INTELLECTUAL PROPERTY RIGHTS

ITU draws attention to the possibility that the practice or implementation of this Recommendation may involve the use of a claimed Intellectual Property Right. ITU takes no position concerning the evidence, validity or applicability of claimed Intellectual Property Rights, whether asserted by ITU members or others outside of the Recommendation development process.

As of the date of approval of this Recommendation, ITU had received notice of intellectual property, protected by patents, which may be required to implement this Recommendation. However, implementers are cautioned that this may not represent the latest information and are therefore strongly urged to consult the TSB patent database at <http://www.itu.int/ITU-T/ipr/>.

© ITU 2017

All rights reserved. No part of this publication may be reproduced, by any means whatsoever, without the prior written permission of ITU.

Table of Contents

		Page
1	Scope.....	1
2	References.....	2
3	Definitions	2
4	Abbreviations and acronyms	2
5	Conventions	3
6	Frequency accuracy	3
	6.1 EEC-Option 1	3
	6.2 EEC-Option 2	3
7	Pull-in, hold-in and pull-out ranges	4
	7.1 Pull-in range	4
	7.2 Hold-in range.....	4
	7.3 Pull-out range	4
8	Noise generation	4
	8.1 Wander in locked mode.....	4
	8.2 Non-locked wander	7
	8.3 Jitter	7
9	Noise tolerance	8
	9.1 Wander tolerance.....	9
	9.2 Jitter tolerance	11
10	Noise transfer.....	14
	10.1 EEC-Option 1	14
	10.2 EEC-Option 2	14
11	Transient response and holdover performance	15
	11.1 Short-term phase transient response.....	16
	11.2 Long-term phase transient response (holdover)	17
	11.3 Phase response to input signal interruptions	19
	11.4 Phase discontinuity.....	19
12	Interfaces.....	21
	12.1 External synchronization interfaces	21
	Appendix I – Hybrid network elements using STM-N and Ethernet (ETY) interfaces	22
	Appendix II – Relationship between requirements contained in this Recommendation and other key synchronization-related Recommendations.....	23
	Appendix III – List of Ethernet interfaces applicable to synchronous Ethernet.....	24
	Appendix IV – Considerations related to synchronous Ethernet over 1000BASE-T and 10GBASE-T	28
	Appendix V – Considerations for measuring noise transfer for EEC-Option 2 clocks	30
	Bibliography.....	31

Introduction

The synchronous Ethernet method refers to the primary reference clock (PRC) or primary reference time clock (PRTC) distributed method (for instance, based on the global navigation satellite system (GNSS)), or the master-slave method using a synchronous physical layer (e.g., ETY, STM-N). These methods are widely implemented to synchronize time division multiplexing (TDM) and mobile backhaul networks.

Timing characteristics of synchronous Ethernet equipment slave clock

Corrigendum 1

Editorial note: This is a complete-text publication. Modifications introduced by this corrigendum are shown in revision marks relative to Recommendation ITU-T G.8262/Y.1362 (2015).

1 Scope

This Recommendation outlines minimum requirements for timing devices used in synchronizing network equipments that support synchronous Ethernet. It supports clock distribution based on the network-synchronous line-code methods (e.g., synchronous Ethernet).

This Recommendation allows for proper network operation when a synchronous Ethernet equipment clock (EEC) (Option 1 or 2) is timed from another network equipment clock or a higher-quality clock.

Included in this Recommendation are requirements for clock accuracy, noise transfer, holdover performance, noise tolerance and noise generation. These requirements apply under the normal environmental conditions specified for the equipment.

This Recommendation contains two options for synchronous Ethernet. The first option, referred to as "EEC-Option 1", applies to synchronous Ethernet equipments that are designed to interwork with networks optimized for the 2048-kbit/s hierarchy. These networks allow the worst-case synchronization reference chain as specified in Figure 8-5 of [ITU-T G.803]. The second option, referred to as "EEC-Option 2", applies to synchronous Ethernet equipments that are designed to interwork with networks optimized for the 1544-kbit/s hierarchy. The synchronization reference chain for these networks is defined in clause II.3 of [ITU-T G.813].

A synchronous Ethernet equipment slave clock should comply with all of the requirements specific to one option and should not mix requirements between EEC-Options 1 and 2. In the clauses where one requirement is specified, the requirements are common to both options. It is the intention that EEC-Options 1 and 2 should be harmonized in the future. The intent of the synchronous Ethernet is to interoperate with existing synchronization networks based on [ITU-T G.813].

Careful consideration should be taken when interworking between networks with synchronous Ethernet based on EEC-Option 1 and networks with synchronous Ethernet based on EEC-Option 2.

Some synchronous Ethernet network elements (NEs) may have a higher-quality clock. This Recommendation allows for proper network operation when a synchronous Ethernet equipment (EEC-Option 1 or 2) is timed from either another synchronous Ethernet equipment (like option), or from a SDH equipment clock (SEC), or a higher-quality clock. Hierarchical timing distribution is recommended for synchronous Ethernet networks. Timing should not be passed from a synchronous Ethernet in free-run/holdover mode to a higher-quality clock since the higher-quality clock should not follow the synchronous Ethernet signal during the fault conditions.

Certain Ethernet equipments, such as regenerators/repeaters, must provide through-timing capability to transmit timing via synchronous Ethernet. These equipments are for further study.

More information on synchronous Ethernet can be found in [ITU-T G.781], [ITU-T G.8261] and [ITU-T G.8264].

2 References

The following ITU-T Recommendations and other references contain provisions which, through reference in this text, constitute provisions of this Recommendation. At the time of publication, the editions indicated were valid. All Recommendations and other references are subject to revision; users of this Recommendation are therefore encouraged to investigate the possibility of applying the most recent edition of the Recommendations and other references listed below. A list of the currently valid ITU-T Recommendations is regularly published. The reference to a document within this Recommendation does not give it, as a stand-alone document, the status of a Recommendation.

- [ITU-T G.703] Recommendation ITU-T G.703 (2001), *Physical/electrical characteristics of hierarchical digital interfaces*.
- [ITU-T G.781] Recommendation ITU-T G.781 (1999), *Synchronization layer functions*.
- [ITU-T G.803] Recommendation ITU-T G.803 (2000), *Architecture of transport networks based on the synchronous digital hierarchy (SDH)*.
- [ITU-T G.810] Recommendation ITU-T G.810 (1996), *Definitions and terminology for synchronization networks*.
- [ITU-T G.811] Recommendation ITU-T G.811 (1997), *Timing characteristics of primary reference clocks*.
- [ITU-T G.812] Recommendation ITU-T G.812 (2004), *Timing requirements of slave clocks suitable for use as node clocks in synchronization networks*.
- [ITU-T G.813] Recommendation ITU-T G.813 (2003), *Timing characteristics of SDH equipment slave clocks (SEC)*.
- [ITU-T G.825] Recommendation ITU-T G.825 (2000), *The control of jitter and wander within digital networks which are based on the synchronous digital hierarchy (SDH)*.
- [ITU-T G.8261] Recommendation ITU-T G.8261/Y.1361 (2008), *Timing and synchronization aspects in packet networks*.
- [ITU-T G.8264] Recommendation ITU-T G.8264/Y.1364 (2008), *Distribution of timing information through packet networks*.
- [ITU-T G.8272] Recommendation ITU-T G.8272 (2015), *Timing characteristics of primary reference time clocks*.
- [IEEE 802.3] IEEE Standard 802.3 (2012), *IEEE Standard for Ethernet*.

3 Definitions

The terms and definitions used in this Recommendation are contained in [ITU-T G.810].

4 Abbreviations and acronyms

This Recommendation uses the following abbreviations and acronyms:

BITS	Building Integrated Timing Source
BPSK	Binary Phase Shift Keying
CSMA/CD	Carrier Sense Multiple Access with Collision Detection
DSL	Digital Subscriber Line
EC	Equipment Clock
EEC	synchronous Ethernet Equipment Clock

ESMC	Ethernet Synchronization Message Channel
ETH	Ethernet MAC layer network
ETY	Ethernet PHY layer network
GNSS	Global Navigation Satellite System
MAC	Media Access Control
MTIE	Maximum Time Interval Error
NE	Network Element
NRZ	Non-Return to Zero
OAM	Operations, Administration and Maintenance
PAM	Pulse Amplitude Modulation
PHY	Physical (layer)
ppm	parts per million
PRC	Primary Reference Clock
PRTC	Primary Reference Time Clock
SDH	Synchronous Digital Hierarchy
SEC	SDH Equipment Clock
SSM	Synchronization Message Channel
SSU	Synchronization Supply Unit
STM-N	Synchronous Transport Module-N
TDEV	Time Deviation
TDM	Time Division Multiplexing
UI	Unit Interval
UTC	Coordinated Universal Time

5 Conventions

None.

6 Frequency accuracy

6.1 EEC-Option 1

Under free-running conditions, the EEC output frequency accuracy should not be greater than 4.6 ppm with regard to a reference traceable to a [ITU-T G.811] or [ITU-T G.8272] clock.

NOTE – The time interval for this accuracy is for further study. Values of one month and one year have been proposed.

6.2 EEC-Option 2

Under prolonged holdover conditions, the output frequency accuracy of the different types of node clocks should not exceed 4.6 ppm with regard to a reference traceable to a primary reference clock, over a time period T of one year.

NOTE – The time period T applies after 30 days of continuous synchronized operation.

7 Pull-in, hold-in and pull-out ranges

7.1 Pull-in range

7.1.1 EEC-Option 1

The minimum pull-in range should be ± 4.6 ppm, whatever the internal oscillator frequency offset may be.

7.1.2 EEC-Option 2

The minimum pull-in range should be ± 4.6 ppm, whatever the internal oscillator frequency offset may be.

7.2 Hold-in range

7.2.1 EEC-Option 1

The hold-in range for EEC-Option 1 is not required.

7.2.2 EEC-Option 2

The hold-in range for EEC-Option 2 should be ± 4.6 ppm, whatever the internal oscillator frequency offset may be.

7.3 Pull-out range

7.3.1 EEC-Option 1

The pull-out range is for further study. A minimum value of ± 4.6 ppm has been proposed.

7.3.2 EEC-Option 2

The pull-out range is not applicable.

8 Noise generation

The noise generation of an EEC represents the amount of phase noise produced at the output when there is an ideal input reference signal or the clock is in holdover state. A suitable reference, for practical testing purposes, implies a performance level of at least ten times more stable than the output requirements. The ability of the clock to limit this noise is described by its frequency stability. The maximum time interval error (MTIE) and time deviation (TDEV) are useful means for characterization of noise generation performance.

MTIE and TDEV are measured through an equivalent 10-Hz, first-order, low-pass measurement filter, at a maximum sampling time τ_0 of 1/30 seconds. The minimum measurement period for TDEV is twelve times the integration period ($T = 12\tau$).

8.1 Wander in locked mode

8.1.1 EEC-Option 1

When the EEC is in the locked mode of operation synchronized to a wander-free reference, the MTIE measured using the synchronized clock configuration defined in Figure 1a of [ITU-T G.810] should have the limits in Table 1, if the temperature is constant (within $\pm 1^\circ\text{K}$):

Table 1 – Wander generation (MTIE) for EEC-Option 1 with constant temperature

MTIE limit [ns]	Observation interval τ [s]
40	$0.1 < \tau \leq 1$
$40 \tau^{0.1}$	$1 < \tau \leq 100$
$25.25 \tau^{0.2}$	$100 < \tau \leq 1000$

The resultant requirement is shown by the thick solid line in Figure 1.

When temperature effects are included, the allowance for the total MTIE contribution of a single EEC increases by the values in Table 2.

Table 2 – Additional wander generation (MTIE) for EEC-Option 1 with temperature effects

Additional MTIE allowance [ns]	Observation interval τ [s]
0.5τ	$\tau \leq 100$
50	$\tau > 100$

The resultant requirements are shown by the thin solid line in Figure 1.

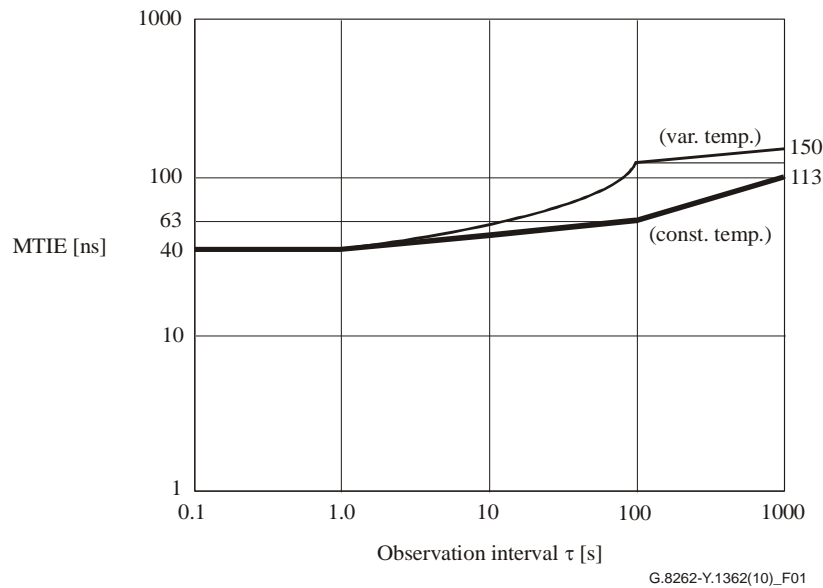


Figure 1 – Wander generation (MTIE) for EEC-Option 1

When the EEC is in the locked mode of operation, the TDEV measured using the synchronized clock configuration defined in Figure 1a of [ITU-T G.810] should have the limits in Table 3, if the temperature is constant (within $\pm 1^\circ\text{K}$):

Table 3 – Wander generation (TDEV) for EEC-Option 1 with constant temperature

TDEV limit [ns]	Observation interval τ [s]
3.2	$0.1 < \tau \leq 25$
$0.64 \tau^{0.5}$	$25 < \tau \leq 100$
6.4	$100 < \tau \leq 1000$

The resultant requirements are shown in Figure 2.

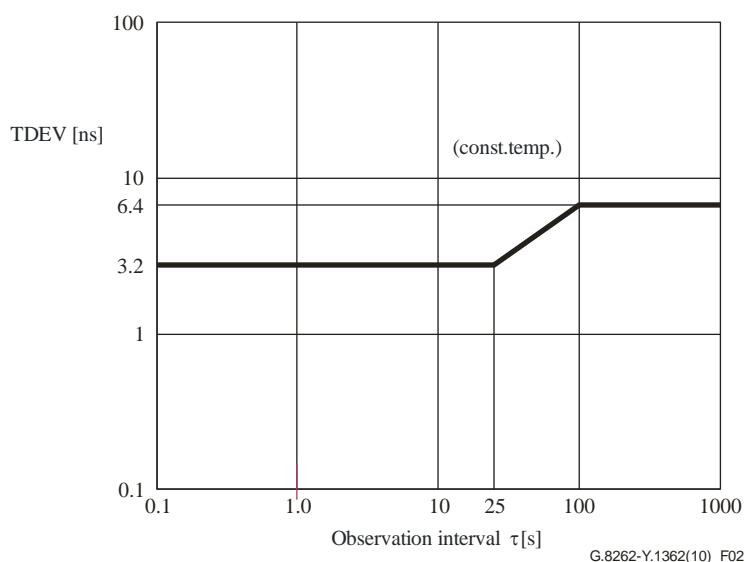


Figure 2 – Wander generation (TDEV) for EEC-Option 1 with constant temperature

The allowance for the total TDEV contribution of a single EEC when temperature effects are included is for further study.

8.1.2 EEC-Option 2

When the EEC clock is in the locked mode of operation synchronized to a wander-free reference, the MTIE and TDEV measured at the output under constant temperature (within $\pm 1^\circ\text{K}$) shall be below the limits in Tables 4 and 5 respectively.

Table 4 – Wander generation (MTIE) for EEC-Option 2 with constant temperature

MTIE limit [ns]	Observation interval τ [s]
20	$0.1 < \tau \leq 1$
$20 \tau^{0.48}$	$1 < \tau \leq 10$
60	$10 < \tau \leq 1000$

Table 5 – Wander generation (TDEV) for EEC-Option 2 with constant temperature

TDEV limit [ns]	Observation interval τ [s]
$3.2 \tau^{-0.5}$	$0.1 < \tau \leq 2.5$
2	$2.5 < \tau \leq 40$
$0.32 \tau^{0.5}$	$40 < \tau \leq 1000$
10	$1000 < \tau \leq 10\,000$

The resultant requirements are shown in Figures 3 and 4.

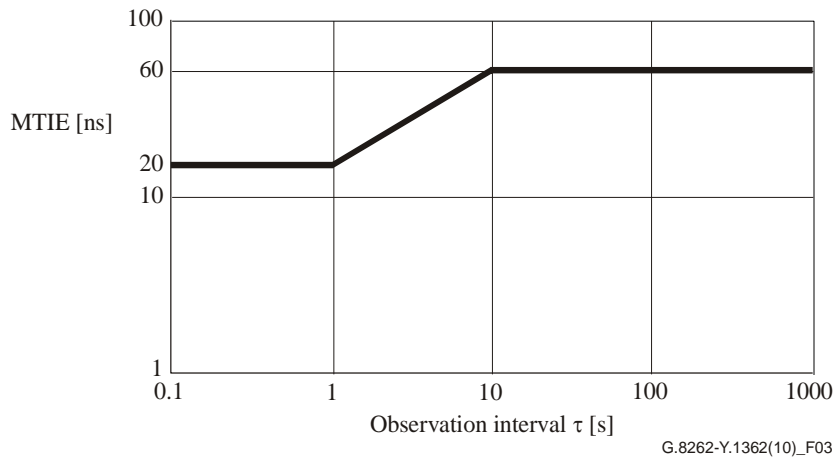


Figure 3 – Wander generation (MTIE) for EEC-Option 2 with constant temperature

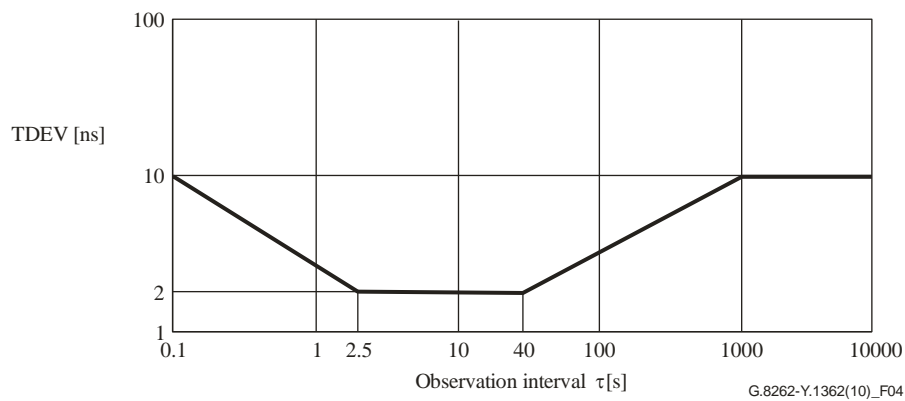


Figure 4 – Wander generation (TDEV) for EEC-Option 2 with constant temperature

8.2 Non-locked wander

When a clock is not locked to a synchronization reference, the random noise components are negligible compared to deterministic effects like initial frequency offset. Consequently, the non-locked wander effects are included in clause 11.2.

8.3 Jitter

While most requirements in this Recommendation are independent of the output interface at which they are measured, this is not the case for jitter production; jitter generation requirements utilize existing Recommendations that have different limits for different interface rates. These requirements are stated separately for the interfaces identified in clause 12.

8.3.1 EEC-Option 1 and EEC-Option 2

Output jitter at a synchronous Ethernet interface:

In the absence of input jitter at the synchronization interface, the intrinsic jitter at the synchronous Ethernet output interfaces, as measured over a 60-second interval, should not exceed the limits given in Table 6.

Table 6 – Synchronous Ethernet jitter generation for EEC-Option 1 and EEC-Option 2

Interface	Measuring filter	Peak-to-peak amplitude (UI)
1G (Notes 1, 2, 4, 5)	2.5 kHz to 10 MHz	0.50
10G (Notes 1, 3, 4, 5)	20 kHz to 80 MHz	0.50
25G (Note 1, 4, 5, 6)	20 kHz to 200 MHz	1.2

NOTE 1 – There is no specific high-band jitter requirement for synchronous Ethernet. The relevant IEEE 802.3 jitter requirements shall be met in addition to the specific synchronous Ethernet wideband jitter requirements specified in this table. [IEEE 802.3] defines measurement methodologies. The applicability for those measurement methodologies in a synchronization network environment is for further study.

NOTE 2 – 1G includes 1000BASE-KX, -SX, -LX; multi-lane interfaces are for further study.

NOTE 3 – 10G includes 10GBASE-SR/LR/ER, 10GBASE-LRM, 10GBASE-SW/LW/EW and multi-lane interfaces consisting of 10G lanes including 40GBASE-KR4/CR4/SR4/LR4 and 100GBASE-CR10/SR10.

NOTE 4 – 25G includes multi-lane interfaces consisting of 25G lanes including 100GBASE-LR4/ER4

NOTE 5 – 1G: (1000BASE-KX, -SX, -LX) 1 UI = 0.8 ns
10G (10GBASE-SR/LR/ER, -LRM,
40GBASE-KR4/CR4/SR4/LR4,
100GBASE-CR10/SR10): 1 UI = 96.97 ps
10G (10GBASE-SW/LW/EW): 1 UI = 100.47 ps
25G (100GBASE-LR4/ER4): 1 UI = 38.79 ps

NOTE 6 – The peak-to-peak jitter amplitude for 25G lanes is increased from 0.5 UI to 1.2 UI, i.e., by a factor of 2.4. To compensate for this increase, the high-pass corner frequency used for 10G should first be increased by a factor of 2.5 to take account of the increase in line rate from 10G, and then decreased by a factor of 2.4 to take account of the increase in amplitude. This gives a high-pass corner frequency of 20.833 kHz, which has been rounded down to 20 kHz for convenience; this rounding to a lower value is slightly stricter.

Output jitter at 2048 kHz, 2048 kbit/s, 1544 kbit/s and STM-N interfaces:

Jitter generation for the 2048 kHz and 2048 kbit/s interface, and for the synchronous transport module-N (STM-N) interface are defined for Option 1 in clause 7.3 of [ITU-T G.813].

Jitter generation for the 1544-kbit/s interface and for the STM-N interfaces are defined for Option 2 in clause 7.3 of [ITU-T G.813].

9 Noise tolerance

The noise tolerance of an EEC indicates the minimum phase noise level at the input of the clock that should be accommodated whilst:

- maintaining the clock within prescribed performance limits. The exact performance limits are for further study;
- not causing any alarms;
- not causing the clock to switch reference;
- not causing the clock to go into holdover.

In general, the noise tolerance of the EEC is the same as the network limit for the synchronization interface in order to maintain acceptable performance. However, the synchronization interface network limit may be different according to the application. Therefore, in order to determine the EEC

noise tolerance, the worst-case network limit should be used. An explanation of the different network limits is given in Appendix I of [ITU-T G.813].

The wander and jitter tolerances given in clauses 9.1 and 9.2 represent the worst levels that a synchronization carrying interface should exhibit. The TDEV signal used for a conformance test should be generated by adding white Gaussian noise sources of which each has been filtered to obtain the proper type of noise process with the proper amplitude.

MTIE and TDEV are measured through an equivalent 10-Hz, first-order, low-pass measurement filter, at a maximum sampling time τ_0 of 1/30 seconds. The minimum measurement period for TDEV is twelve times the integration period ($T = 12\tau$).

9.1 Wander tolerance

9.1.1 EEC-Option 1

The input wander tolerance expressed in MTIE and TDEV limits is given in Tables 7 and 8, respectively.

Table 7 – Input wander tolerance (MTIE) for EEC-Option 1

MTIE limit [μs]	Observation interval τ [s]
0.25	$0.1 < \tau \leq 2.5$
0.1τ	$2.5 < \tau \leq 20$
2	$20 < \tau \leq 400$
0.005τ	$400 < \tau \leq 1000$

Table 8 – Input wander tolerance (TDEV) for EEC-Option 1

TDEV limit [ns]	Observation interval τ [s]
12	$0.1 < \tau \leq 7$
1.7τ	$7 < \tau \leq 100$
170	$100 < \tau \leq 1000$

The resultant requirements are shown in Figures 5 and 6.

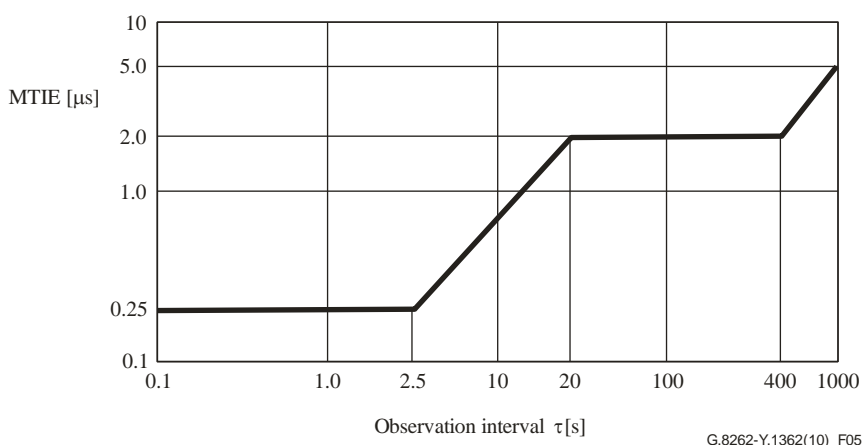


Figure 5 – Input wander tolerance (MTIE) for EEC-Option 1

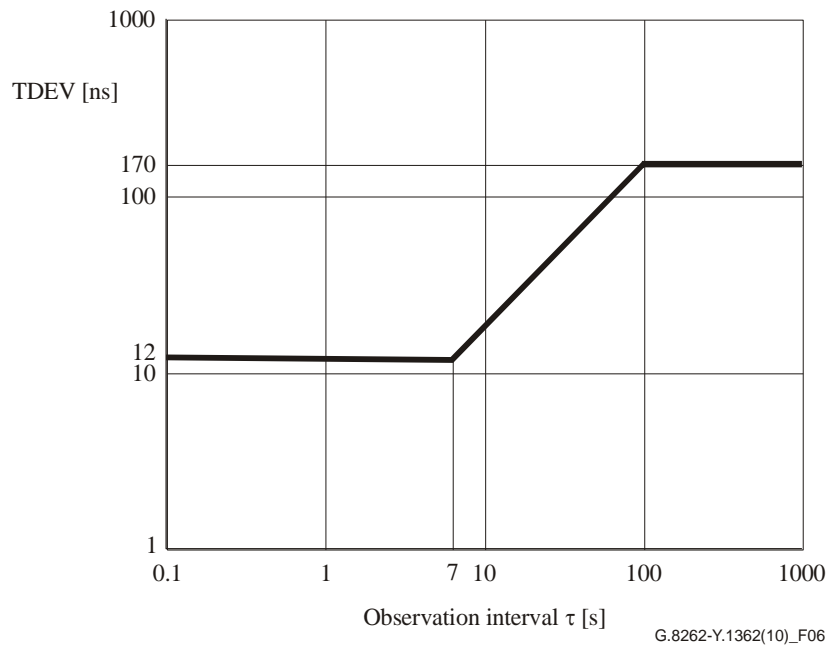


Figure 6 – Input wander tolerance (TDEV) for EEC-Option 1

Suitable test signals that check conformance to the mask in Figure 6 are being studied. Test signals with a sinusoidal phase variation can be used, according to the levels in Table 9, to check conformance to the mask in Figure 5.

Table 9 – Lower limit of maximum tolerable sinusoidal input wander for EEC-Option 1

Peak-to-peak wander amplitude			Wander frequency				
A_1 [μ s]	A_2 [μ s]	A_3 [μ s]	f_4 [mHz]	f_3 [mHz]	f_2 [mHz]	f_1 [Hz]	f_0 [Hz]
0.25	2	5	0.32	0.8	16	0.13	10

The resultant requirements are shown in Figure 7.

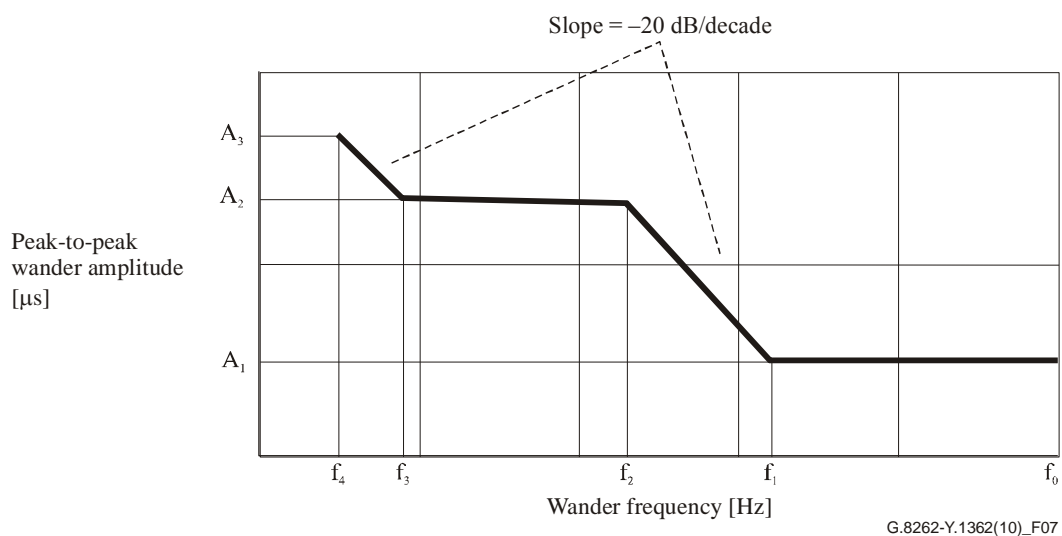


Figure 7 – Lower limit of maximum tolerable sinusoidal input wander for EEC-Option 1

9.1.2 EEC-Option 2

The EEC input wander tolerance expressed in TDEV is specified in Table 10.

Table 10 – Input wander tolerance (TDEV) for EEC-Option 2

TDEV limit [ns]	Observation interval τ [s]
17	$0.1 < \tau \leq 3$
5.77τ	$3 < \tau \leq 30$
$31.6325 \tau^{0.5}$	$30 < \tau \leq 1000$

The resultant requirement is shown in Figure 8. A requirement expressed in MTIE is not defined.

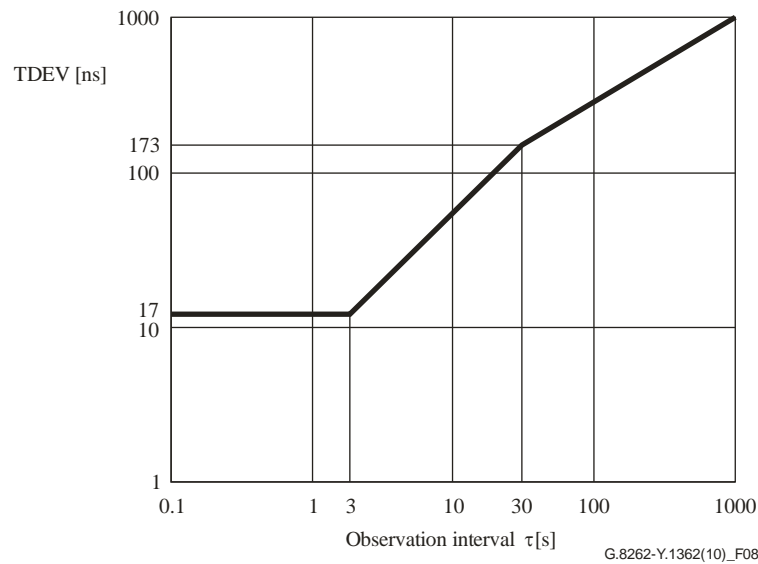


Figure 8 – Input wander tolerance (TDEV) for EEC-Option 2

9.2 Jitter tolerance

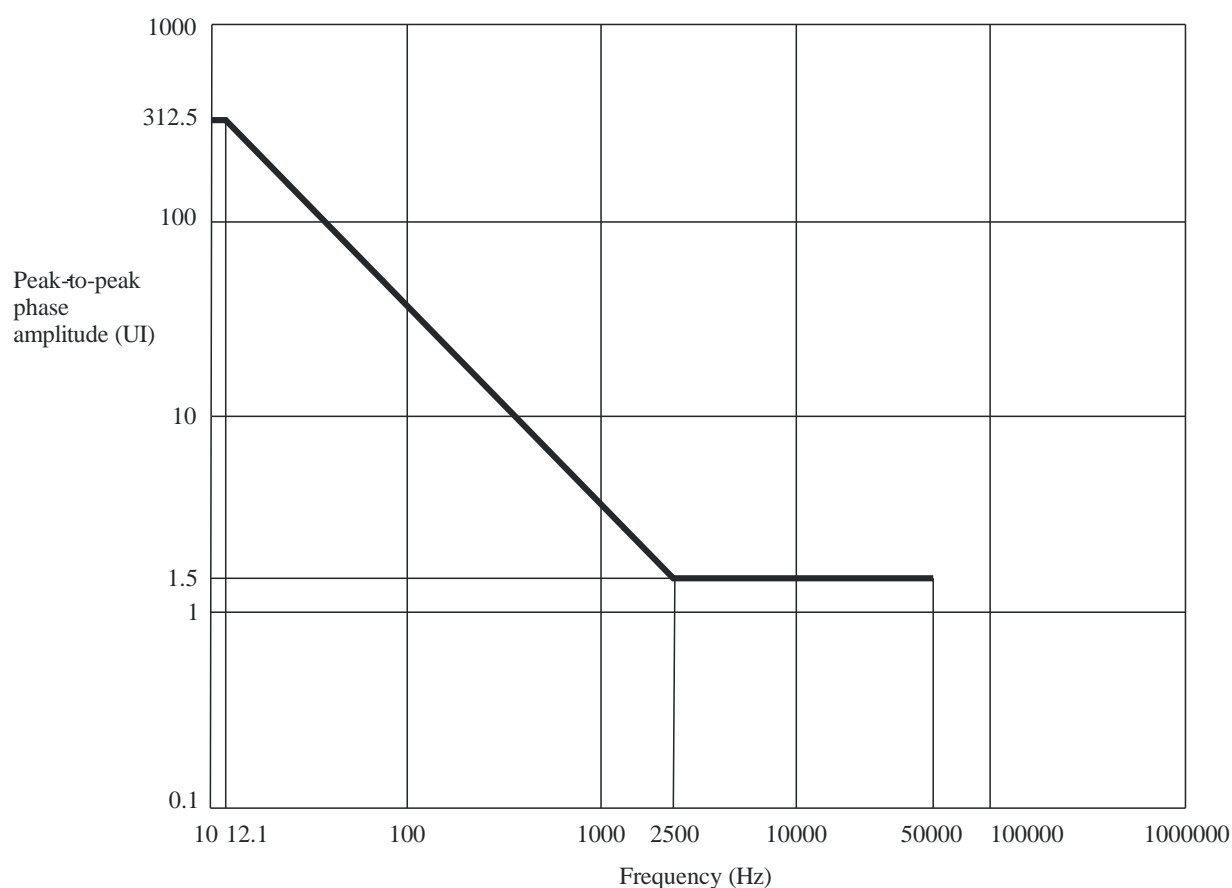
9.2.1 EEC-Option 1 and EEC-Option 2

Jitter tolerance at a synchronous Ethernet interface:

The lower limit of maximum tolerable input jitter for 1G Ethernet interfaces for EEC-Option 1 and EEC-Option 2 is given in Table 11 and Figure 9.

Table 11 – 1G synchronous Ethernet wideband jitter tolerance for EEC-Option 1 and EEC-Option 2

Peak-peak jitter amplitude (UI)	Frequency f (Hz)
312.5	$10 < f \leq 12.1$
$3750 f^{-1}$	$12.1 < f \leq 2.5 \text{ k}$
1.5	$2.5 \text{ k} < f \leq 50 \text{ k}$
NOTE – 1G includes 1000BASE-KX, -SX, -LX; multi-lane interfaces are for further study.	



G.8262-Y.1362(10)_F09

Figure 9 – 1G synchronous Ethernet wideband jitter tolerance for EEC-Option 1 and EEC-Option 2

NOTE 1 – The relevant IEEE 802.3 jitter tolerance requirements shall be met in addition to the specific synchronous Ethernet wideband jitter tolerance requirements.

NOTE 2 – For testing purposes, high frequency jitter tolerance and test signal generation for Ethernet traffic interfaces above 637 kHz are specified by [IEEE 802.3].

NOTE 3 – The slope above 50 kHz is 20 dB/decade. The actual values between 50 kHz and 637 kHz are for further study as the measurement methods between [IEEE 802.3] and ITU-T are not fully comparable. Information on the ITU jitter specification can be found in Appendix I of [ITU-T G.825].

The lower limit of maximum tolerable input jitter for 10G Ethernet interfaces for EEC-Option 1 and EEC-Option 2 is given in Table 12 and Figure 10.

Table 12 – 10G synchronous Ethernet wide-band jitter tolerance for EEC-Option 1 and EEC-Option 2

Peak-peak jitter amplitude (UI)	Frequency f (Hz)
2488	$10 < f \leq 12.1$
$30000 f^{-1}$	$12.1 < f \leq 20 \text{ k}$
1.5	$20 \text{ k} < f \leq 40 \text{ k}$
NOTE – 10G includes 10GBASE-SR/LR/ER, 10GBASE-LRM, 10GBASE-SW/LW/EW and multi-lane interfaces consisting of 10G lanes including 40GBASE-KR4/CR4/SR4/LR4 and 100GBASE-CR10/SR10	

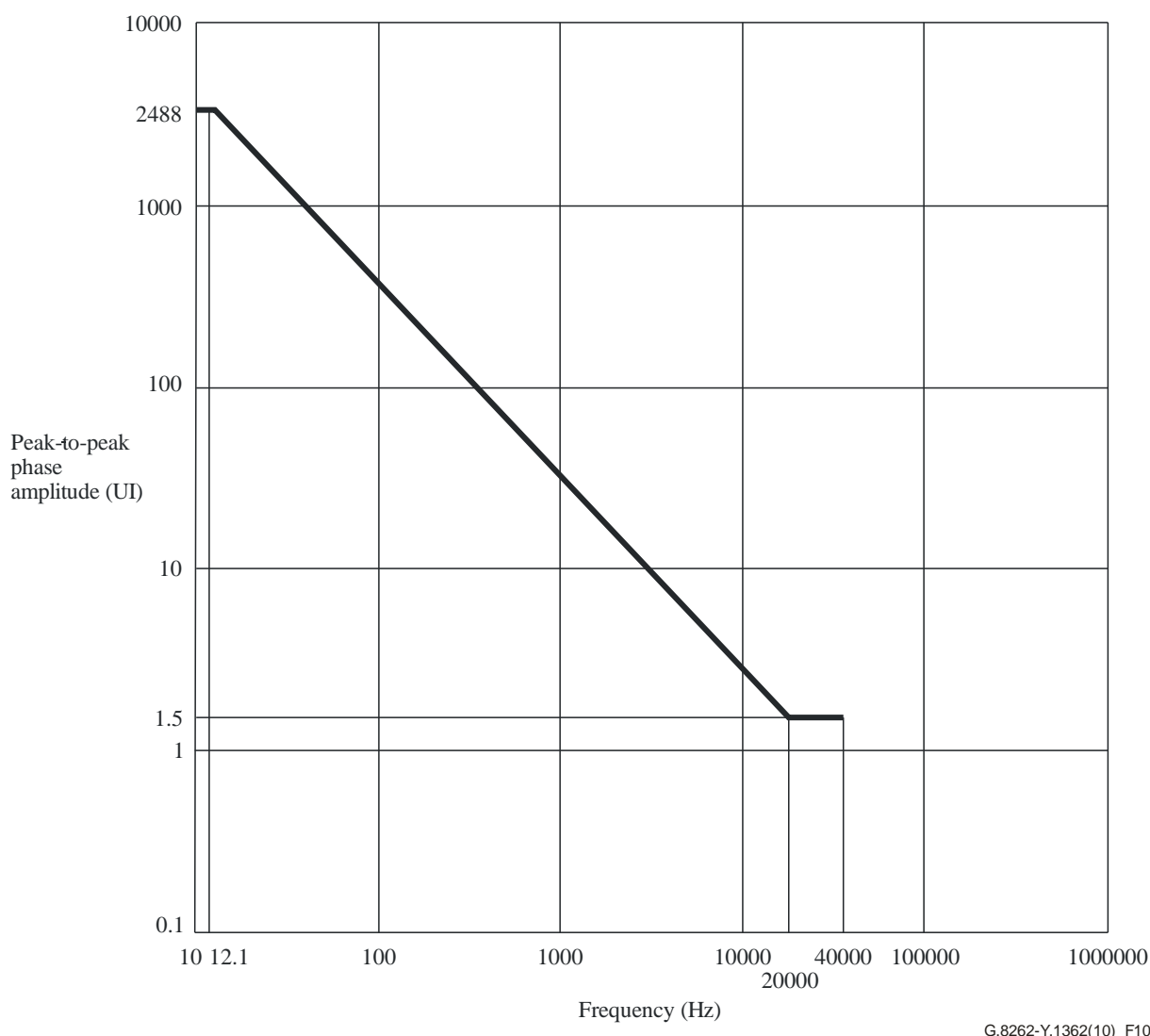


Figure 10 – 10G synchronous Ethernet wide-band jitter tolerance for EEC-Option 1 and EEC-Option 2

NOTE 4 – The relevant [IEEE 802.3] jitter tolerance requirements shall be met in addition to the specific synchronous Ethernet wideband and jitter tolerance requirements.

NOTE 5 – The measurements methods between [IEEE 802.3] and ITU-T are not fully comparable. Information on the ITU jitter specification can be found in Appendix I of [ITU-T G.825].

The lower limit of maximum tolerable input jitter for 25G Ethernet interfaces for EEC-Option 1 and EEC-Option 2 is given in Table 13.

Table 13 – 25G synchronous Ethernet wide-band jitter tolerance for EEC-Option 1 and EEC-Option 2

Peak-peak jitter amplitude (UI)	Frequency f (Hz)
6445	$10 < f \leq 11.17$
$72000f^{-1}$	$11.17 < f \leq 20 \text{ k}$
3.6	$20 \text{ k} < f \leq 100 \text{ k}$
NOTE – 25G includes multi-lane interfaces consisting of 25G lanes including 100GBASE-LR4/ER4.	

Jitter tolerance at 2048 kHz, 2048 kbit/s, 1544 kbit/s and STM-N interfaces:

The lower limit of maximum tolerable input jitter for 2048 kHz and 2048 kbit/s signals is defined for Option 1 in clause 8.2 of [ITU-T G.813].

The lower limit of maximum tolerable jitter for external 1544 kbit/s synchronization is defined for Option 2 in clause 8.2 of [ITU-T G.813].

The lower limit of maximum tolerable input jitter for STM-N interfaces is defined in [ITU-T G.825].

10 Noise transfer

The transfer characteristic of the EEC determines its properties with regard to the transfer of excursions of the input phase relative to the carrier phase. The EEC can be viewed as a low-pass filter for the differences between the actual input phase and the ideal input phase of the reference. The minimum and the maximum allowed bandwidths for this low-pass filter behaviour are based on the considerations described in Appendix II of [ITU-T G.813] and are indicated below.

In the passband, the phase gain of the EEC should be smaller than 0.2 dB (2.3%). The above applies to a linear EEC model. However, this model should not restrict implementation.

10.1 EEC-Option 1

The minimum bandwidth requirement for an EEC is 1 Hz. The maximum bandwidth requirement for an EEC is 10 Hz.

10.2 EEC-Option 2

Synchronous Ethernet or SDH NEs, when referenced to a synchronous Ethernet or a STM-N timing signal that meets the input TDEV mask in Figure 8 and Table 10, shall output signals that meet the output TDEV limits in Table 14.

Table 14 – Wander transfer for EEC-Option 2 (maximum output wander when input wander meets Table 10)

TDEV limit [ns]	Observation interval τ [s]
10.2	$0.1 < \tau \leq 1.73$
5.88τ	$1.73 < \tau \leq 30$
$32.26 \tau^{0.5}$	$30 < \tau \leq 1000$

The resultant requirement is shown in the mask of Figure 11. The purpose of these masks is to ensure that the maximum bandwidth of an EEC is 0.1 Hz. These masks should not be used to verify phase gain peaking. There is no requirement for a minimum bandwidth.

TDEV is measured through an equivalent 10-Hz, first-order, low-pass measurement filter at a maximum sampling time τ_0 of 1/30 seconds. The minimum measurement period for TDEV is twelve times the integration period ($T = 12\tau$).

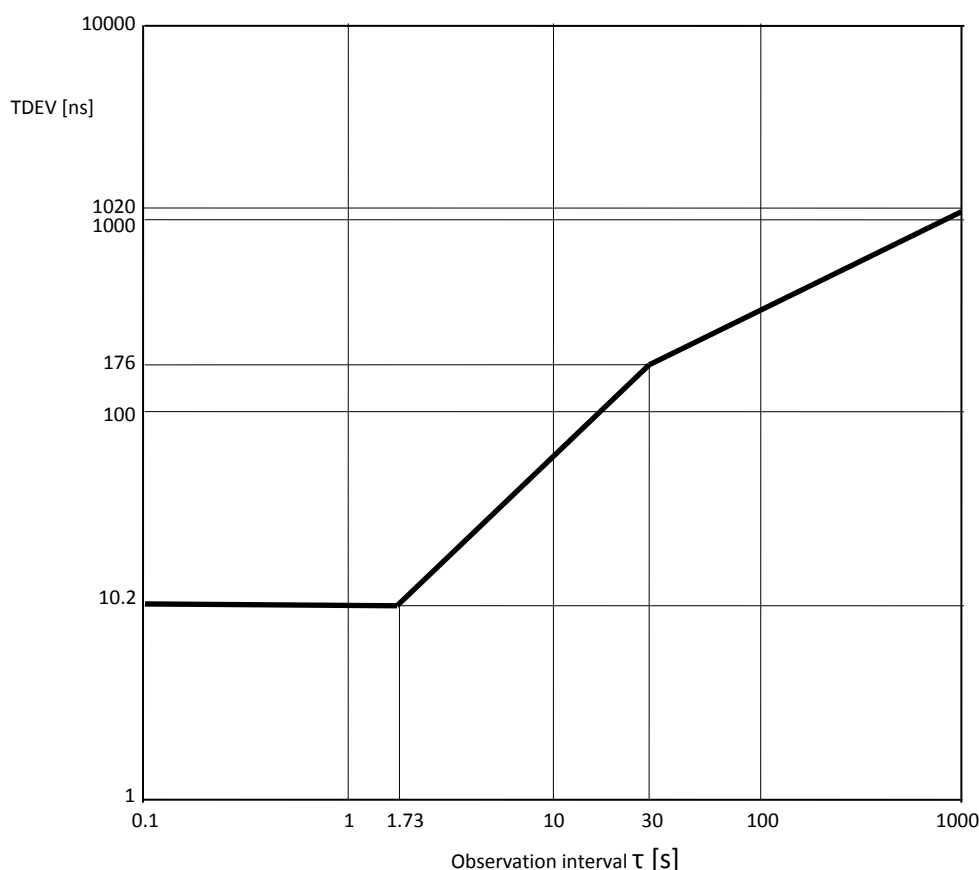


Figure 11 – Wander transfer for EEC-Option 2 (maximum output wander when input wander meets Figure 8)

NOTE – The values of this transfer mask are 2% higher than the mask found in Figure 8 in the passband.

The masks in Figures 8 and 11 are used to verify wander tolerance and measure TDEV transfer respectively; they do not represent the network wander limit needed to be met for the payload wander accumulation requirement. In practice, this will not cause loss of synchronization at an EEC, as the network wander tolerance limit in Figure 11 is within the pass band of the EEC-Option 2 clock. However, it will cause higher wander accumulation.

11 Transient response and holdover performance

The requirements in this clause apply to situations where the input signal is affected by disturbances or transmission failures (e.g., short interruptions, switching between different synchronization signals, loss of reference, etc.) that result in phase transients at the EEC output. The ability to withstand disturbances is necessary to avoid transmission defects or failures. Transmission failures and disturbances are common stress conditions in the transmission environment.

It is recommended that all the phase movements at the output of the EEC stay within the levels described in the following clauses.

Measurements of MTIE for EEC-Option 2 clocks are carried out through an equivalent 100-Hz, first-order, low-pass measurement filter.

11.1 Short-term phase transient response

11.1.1 EEC-Option 1

This requirement reflects the performance of the clock in cases when the (selected) input reference is lost due to a failure in the reference path and a second reference input signal, traceable to the same reference clock, is available simultaneously, or shortly after the detection of the failure (e.g., in cases of autonomous restoration). In such cases, the reference is lost for at most 15 seconds. The output phase variation, relative to the input reference before it was lost, is bounded by the following requirements:

The phase error should not exceed $\Delta t + 5 \times 10^{-8} \times S$ seconds over any period S up to 15 seconds. Δt represents two phase jumps that may occur during the transition into and out of the holdover state which both should not exceed 120 ns with a temporary frequency offset of no more than 7.5 ppm.

The resultant overall requirement is summarized in Figure 12. This figure is intended to depict the worst-case phase movement attributable to an EEC reference clock switch. Clocks may change state more quickly than is shown here. Background information on the requirements that drove this requirement is provided in Appendix II of [ITU-T G.813].

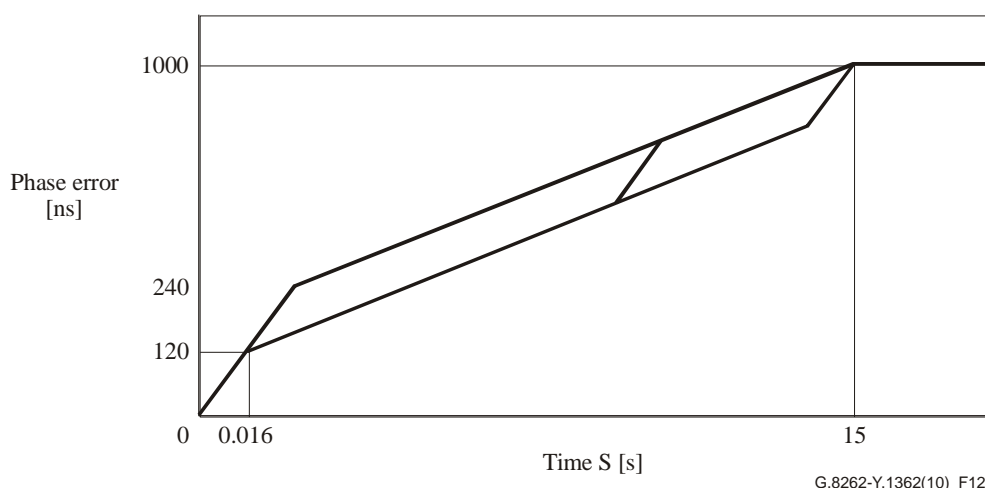


Figure 12 – Maximum phase transient at the output due to reference switching for EEC-Option 1

Figure 12 shows two phase jumps in the clock switching transient. The first jump reflects the initial response to a loss of the synchronization reference source and subsequent entry into holdover. The magnitude of this jump corresponds to a frequency offset less than 7.5 ppm for a duration less than 16 ms. After 16 ms, the phase movement is restricted to lie underneath the line with a slope of 5×10^{-8} in order to constrain pointer activity. The second jump, which is to take place within 15 seconds after entering holdover, accounts for the switching to the secondary reference. The same requirements are applicable for this jump. After the second jump, the phase error should remain constant and smaller than 1 μ s.

NOTE – The output phase excursion, when switching between references which are not traceable to the same primary reference clock (PRC), is for further study.

In cases where the input synchronization signal is lost for more than 15 seconds, the requirements in clause 11.2 apply.

11.1.2 EEC-Option 2

During clock rearrangement operations (e.g., reference switching), the output of the clock should meet the MTIE requirement as defined in clause 11.4.2.

11.2 Long-term phase transient response (holdover)

This requirement bounds the maximum excursions in the output timing signal. Additionally, it restricts the accumulation of the phase movement during input signal impairments or internal disturbances.

11.2.1 EEC-Option 1

~~When an EEC loses all its references, it is said to enter the holdover state. The phase error, ΔT , at the output of the EEC relative to the input at the moment of loss of reference should not, over any period of $S > 15$ s, exceed the following limit:~~

~~$$\Delta T(S) = \left\{ (a_1 + a_2)S + 0.5bS^2 + c \right\} \quad [\text{ns}]$$~~

When an EEC loses all its references, it enters the holdover state. The phase error, ΔT , at the output of the EEC relative to the input at the moment of loss of reference should, over any period $S > 15$ s, meet the following:

$$|\Delta T(S)| \leq \left\{ (a_1 + a_2)S + 0.5bS^2 + c \right\} \quad [\text{ns}]$$

where:

$$a_1 = 50 \text{ ns/s (see Note 1)}$$

$$a_2 = 2000 \text{ ns/s (see Note 2)}$$

$$b = 1.16 \times 10^{-4} \text{ ns/s}^2 \text{ (see Note 3)}$$

$$c = 120 \text{ ns (see Note 4)}$$

This limit is subject to a maximum frequency offset of ± 4.6 ppm. The behaviour for $S < 15$ s is defined in clause 11.1.

NOTE 1 – The frequency offset a_1 represents an initial frequency offset corresponding to 5×10^{-8} (0.05 ppm).

NOTE 2 – The frequency offset a_2 accounts for temperature variations after the clock went into holdover and corresponds to 2×10^{-6} (2 ppm). If there are no temperature variations, the term a_2S should not contribute to the phase error.

NOTE 3 – The drift b is caused by ageing: $1.16 \times 10^{-4} \text{ ns/s}^2$ corresponds to a frequency drift of $1 \times 10^{-8}/\text{day}$ (0.01 ppm/day). This value is derived from typical ageing characteristics after ten days of continuous operation. It is not intended to measure this value on a per day basis, as the temperature effect will dominate.

NOTE 4 – The phase offset c takes care of any additional phase shift that may arise during the transition at the entry of the holdover state.

The resultant overall requirement for constant temperature (i.e., when the temperature effect is negligible) is summarized in Figure 13.

$$\Delta T(S) = \left(a_1S + \frac{b}{2}S^2 + c \right) \quad [\text{ns}] \quad \underline{|\Delta T(S)| \leq \left(a_1S + \frac{b}{2}S^2 + c \right) \quad [\text{ns}]}$$

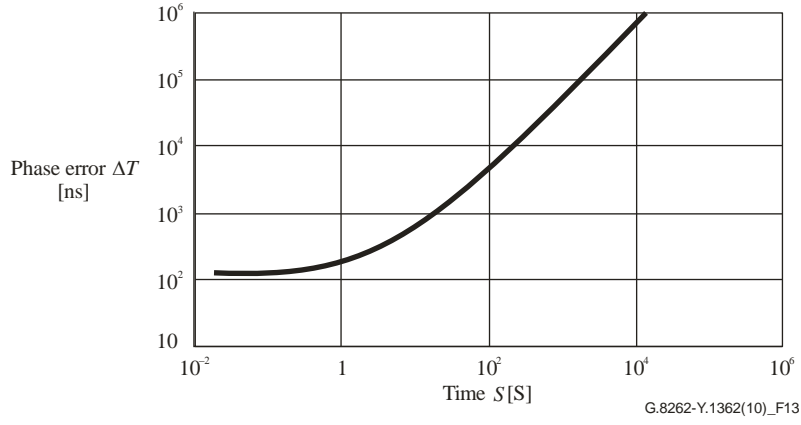


Figure 13 – Permissible phase error for an EEC-Option 1 under holdover operation at constant temperature

11.2.2 EEC-Option 2

When an EEC clock loses all its references, it enters the holdover state. The phase error, ΔT , at the output of the slave clock from the moment of loss of reference, should, over any period of S seconds, meet the following:

$$|\Delta T(S)| \leq \{a_1 + a_2\}S + 0.5bS^2 + c \quad [\text{ns}]$$

The derivative of $\Delta T(S)$, the fractional frequency offset, should, over any period of S seconds, meet the following:

$$|d(\Delta T(S))/dS| \leq \{a_1 + a_2 + bS\} \quad [\text{ns/s}]$$

The second derivative of $\Delta T(S)$, the fractional frequency drift, should, over any period of S seconds, meet the following:

$$|d^2(\Delta T(S))/dS^2| \leq d \quad [\text{ns/s}^2]$$

In applying the above requirements for the derivative of $\Delta T(S)$ and the second derivative of $\Delta T(S)$, the period S must begin after any transient associated with entry into holdover is over. During this transient period, the transient requirements of clause 11.4.2 apply.

NOTE 1 – a_1 represents an initial frequency offset under constant temperature conditions (± 1 K).

NOTE 2 – a_2 accounts for temperature variations after the clock went into holdover. If there are no temperature variations, the term $a_2 S$ should not contribute to the phase error.

NOTE 3 – b represents the average frequency drift caused by aging. This value is derived from typical aging characteristics after 60 days of continuous operation. It is not intended to measure this value on a per day basis, as the temperature effect will dominate.

NOTE 4 – The phase offset c takes care of any additional phase shift that may arise during the transition at the entry of the holdover state.

NOTE 5 – d represents the maximum temporary frequency drift rate at constant temperature allowed during holdover. However, it is not required that d and b be equal. Note that for some time periods, especially for short periods, this parameter may be difficult to test and the measured value may not be meaningful.

The permissible phase error specification for EEC-Option 2 is shown in Table 15.

Table 15 – Transient response specification during holdover

	EEC-Option 2
Applies for	$S > \text{TBD}$
a_1 [ns/s]	50
a_2 [ns/s]	300
b [ns/s ²]	4.63×10^{-4}
c [ns]	1000
d [ns/s ²]	4.63×10^{-4}
TBD: To be defined.	

11.3 Phase response to input signal interruptions

11.3.1 EEC-Option 1

For short-term interruptions on synchronization input signals that do not cause reference switching, the output phase variation should not exceed 120 ns, with a maximum frequency offset of 7.5 ppm for a maximum period of 16 ms.

11.3.2 EEC-Option 2

This is for further study.

11.4 Phase discontinuity

11.4.1 EEC-Option 1

In cases of infrequent internal testing or other internal disturbances (but excluding major hardware failures, e.g., those that would give rise to clock equipment protection switches) within the synchronous Ethernet equipment clock, the following conditions should be met:

- The phase variation over any period S (ms) up to 16 ms should not exceed $7.5S$ ns;
- The phase variation over any period S (ms) from 16 ms up to 2.4 s should not exceed 120 ns;
- For periods greater than 2.4 s, the phase variation for each interval of 2.4 s should not exceed 120 ns with a temporary offset of no more than 7.5 ppm up to a total amount of 1 μ s.

11.4.2 EEC-Option 2

In cases of infrequent internal testing or rearrangement operations within the slave clock, the phase transient at the output of EEC-Option 2 should meet the MTIE specifications as specified in Table 16.

Table 16 – MTIE at the output due to reference switching/ rearrangement operations for EEC-Option 2

MTIE limit [ns]	Observation interval τ [s]
Not specified	$\tau \leq 0.014$
$7.6 + 885 \tau$	$0.014 < \tau \leq 0.5$
$300 + 300 \tau$	$0.5 < \tau \leq 2.33$
1000	$2.33 < \tau$

This MTIE requirement is illustrated in Figure 14.

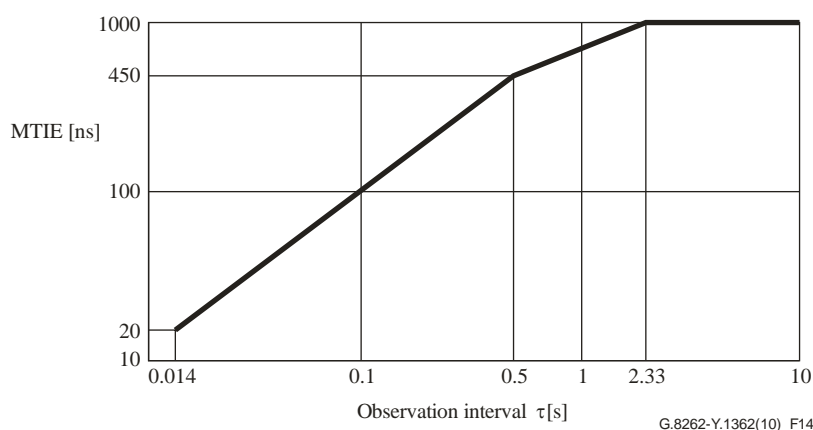


Figure 14 – MTIE at the output due to reference switching/rearrangement operations for EEC-Option 2

12 Interfaces

The requirements in this Recommendation are related to reference points internal to the network elements (NEs) in which the clock is embedded and are, therefore, not necessarily available for measurement or analysis by the user. Therefore, the performance of the EEC is not defined at these internal reference points, but rather at the external interfaces of the equipment.

The synchronization input and output interfaces for Ethernet equipment in which the EEC may be contained are:

- 1544-kbit/s interfaces according to [ITU-T G.703];
- 2048-kHz external interfaces according to [ITU-T G.703];
- 2048-kbit/s interfaces according to [ITU-T G.703];
- STM-N traffic interfaces (for hybrid NEs);
- 64-kHz interface according to [ITU-T G.703];
- 6312-kHz external interfaces according to [ITU-T G.703];
- Synchronous Ethernet interfaces.

All of the above interfaces may not be implemented on all equipments. These interfaces should comply with the jitter and wander requirements as defined in this Recommendation.

Ethernet copper interfaces allow half-duplex mode and collisions on a line which could squelch the signals and destroy the timing; therefore synchronous Ethernet interfaces must work only in full-duplex and have a continuous bit stream.

NOTE – To support interoperability with existing network equipments, interfaces to and from external network clocks may optionally support synchronization message channel (SSM).

12.1 External synchronization interfaces

Synchronization of Ethernet equipment will require a range of external synchronization interface types to be supported that will allow synchronization to be derived from an [ITU-T G.812] SSU/BITS clock, from the output of an [ITU-T G.813] SDH equipment clock (SEC) or from another synchronous Ethernet equipment as specified in this Recommendation.

The primary objectives are:

- to provide an easy migration path from existing synchronization distribution architectures based on synchronous digital hierarchy (SDH) transmission to future synchronization architectures based on carrier scale Ethernet transport with an embedded EEC;

- to ensure that synchronization (frequency) is transported at the physical layer where it is not subject to load impairments.

Table 17 shows the external interface type.

Table 17 – External interface type

External interface type	Supports
[ITU-T G.703]-based 2.048 MHz/2.048 Mbit/s 1.544 MHz/1.544 Mbit/s	Legacy/Initial architecture support for frequency NOTE – Allows transition from legacy architecture based on SDH to carrier scale initial synchronous Ethernet architecture reusing existing synchronization supply unit (SSU) functionality.
Synchronous Ethernet (rate TBD)	Initial requirement for frequency

Other external interface types are for further study.

NOTE – Synchronous Ethernet support for time transfer (i.e., both frequency and time) is for further study.

Appendix I

Hybrid network elements using STM-N and Ethernet (ETY) interfaces

(This appendix does not form an integral part of this Recommendation.)

The EEC clocks may support the use of hybrid network elements (NEs) at any place in a synchronization chain as shown in Appendix XII of [ITU-T G.8261]. Figure I.1 illustrates a hybrid NE and timing relations between the equipment clock (EC) and STM-N and ETY interfaces.

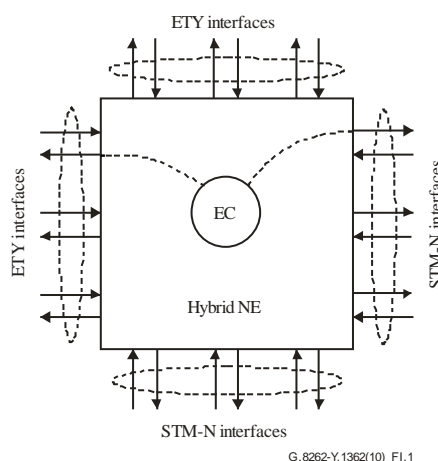


Figure I.1 – Hybrid NE using STM-N and Ethernet (ETY) interfaces

For hybrid NEs, timing transfer may be supported from any type of input interface to any type of output interface as shown in Table I.1.

Table I.1 – Combination of input and output ports for timing distribution

Timing input	Timing output
STM-N	STM-N
STM-N	ETY
STM-N	T4
ETY	STM-N
ETY	ETY
ETY	T4
T3	STM-N
T3	ETY

The use of ETY interfaces for timing distribution and the use of hybrid NEs should not require modifications of deployed SDH NEs or clocks (PRC, SSU), e.g., no new SSM code point for STM-N interfaces. Code point "0000" should also not be used.

Appendix II

Relationship between requirements contained in this Recommendation and other key synchronization-related Recommendations

(This appendix does not form an integral part of this Recommendation.)

This appendix describes the relationship between the clock performance requirements contained within the body of this Recommendation and the key synchronization Recommendations that are under development, or have been developed within Question 13 (Network synchronization and time distribution performance) of ITU-T Study Group 15.

This Recommendation describes performance requirements for synchronous Ethernet clocks. The basic concept of synchronous Ethernet is described in [ITU-T G.8261], the first ITU-T Recommendation to detail network synchronization aspects applicable to packet-based networks.

The clocks described in this Recommendation, if embedded into Ethernet network elements, allow transfer of network traceable timing via the Ethernet physical layer. In this context, the Ethernet physical layer is defined by [IEEE 802.3].

The performance requirements in this Recommendation are derived from existing Recommendations. The EEC-Option 1 requirements are based on the [ITU-T G.813] Option 1 clock and the EEC-Option 2 is based on the Type IV clock from [ITU-T G.812], as deployed in an SDH NE.

Both EEC clocks offer similar performance, but are intended for use in the networks optimized to either the 2-Mbit/s hierarchy (for Option 1) or to the 1544-kbit/s hierarchy (for Option 2). As the EEC clocks are consistent with existing SDH network element clocks used in the distribution of frequency, synchronization network engineering will not require any change to current network engineering practices.

Synchronization networks in general are based on SDH synchronization distribution as described in [ITU-T G.803]. Synchronization distribution may follow specific regional practices in order to meet the fundamental performance requirements and network interface limits from either [b-ITU-T G.823] or [b-ITU-T G.824] for the 2048-kbit/s or 1544-kbit/s hierarchy, respectively. Both [b-ITU-T G.823] and [b-ITU-T G.824] are traceable to the fundamental slip rate objectives in [b-ITU-T G.822].

The EEC clocks are purposely specified to perform in a manner consistent with existing synchronization networks. The Option-1 EEC can be deployed within the synchronization distribution network in exactly the same manner as a [ITU-T G.813] SEC, while the Option-2 EEC can be deployed as per existing [ITU-T G.812] Type IV clocks.

Appendix III

List of Ethernet interfaces applicable to synchronous Ethernet

(This appendix does not form an integral part of this Recommendation.)

A list of all Ethernet interfaces listed in [IEEE 802.3] is provided in Table III.1. It specifies the Ethernet interfaces which are valid for synchronous Ethernet operation. Other interfaces may exist; the list of interfaces is not exhaustive and might be updated.

The following considerations have been taken into consideration for the generation of this list.

CSMA/CD

[IEEE 802.3] specifies two operating modes: half-duplex and full-duplex modes.

The original Ethernet interfaces were developed for a single medium that was shared between multiple end stations using the carrier sense multiple access with collision detection (CSMA/CD) mechanism. Most interfaces use separate media (or separate carriers) for bidirectional communication between two end-stations. The use of half-duplex operation on such bidirectional, point-to-point media serves to mimic the behaviour of legacy shared media operation. In all cases, there is no difference in PHY behaviour between half-duplex and full-duplex modes. The half-duplex functionality is controlled by the media access control (MAC) sublayer and only affects packet transport at layer 2 and above.

Interfaces titled CSMA/CD may be used for the purposes of synchronous Ethernet in all cases where the media is point-to-point.

Constant signal

The interface must permanently transport a signal.

This signal must be coded so that there is a guarantee of transitions so that the clock can be recovered. This is achieved by the 64B/66B encoding in some 10G interfaces; DSQ-128 (2 x 2 pair, PAM-16) signalling for 10G over twisted pair copper; 8B/10B encoding in some 1G interfaces and 10G over 4 channels of fibre or copper; 4D-PAM-5 encoding for 1G over twisted pair copper interfaces; 4B/5B encoding for some 100M interfaces; and MLT-3 for 100M over twisted pair copper interfaces.

All IEEE 802.3 point-to-point physical layers (PHYs) that operate at speed of 100 Mbit/s or greater use constant signalling.

Master/slave

Some bidirectional interfaces are designed to have one side, designated as the clock master, acting as the clock generator, the other side as the slave, which is forced to recover the clock.

Such a configuration will only support unidirectional synchronous Ethernet. Such conditions can be announced under supervision of the Ethernet synchronization message channel (ESMC) process, as defined in [ITU-T G.8264] where synchronous Ethernet reduced interfaces are introduced. For such interfaces, the master/slave resolution should be forced by station management as defined by the appropriate clause in [IEEE 802.3], in accordance with the synchronization network architecture. ESMC reduced interface status should be synchronized with master/slave status.

Two examples of master/slave clock operation are 1000BASE-T and 10GBASE-T.

Auto-negotiation

The auto-negotiation mechanism defined for some sets of physical (layer) (PHY)s is used to find the highest mutually supported mode of operation for two partners at link start-up time. The algorithm will always favour a higher speed compared to a lower speed and full-duplex to half-duplex. Because

the negotiation happens at link start-up, it should be compatible with synchronous Ethernet but may not be compatible with the synchronization distribution plan. Note that the negotiation is an option for some PHY types and the supported PHY speed and duplex may be forced by the management.

Note that there are some cases where auto-negotiation could appear during operation, e.g., during an upgrade. Auto-negotiation must not have any impact on the rates and the clocks to be compatible with synchronous Ethernet.

Physical loopback

All physical loopback functionalities specified on full-duplex links that interrupt the link for test/check "in-service" are not compatible with synchronous Ethernet. Thus, they should be only allowed during the link set-up.

Point-to-multipoint

Some PHY interfaces are designed for point-to-multipoint operation over passive optical networks. Such links use intermittent signalling for the upstream direction but may be suitable for unidirectional synchronous Ethernet.

Miscellaneous

Some of the older PHY types are rarely used and need not be considered, for example two PHY types are defined for use over digital subscriber line (DSL).

Implementation issues

Some interfaces transmit signals over parallel cables or fibres. These interfaces use one clock source for all physical lanes, but the recovered clock (and reference point for timestamping) may vary depending on the definition of multi-lane operation. It is not clear at this point whether further definition will be required for the operation of synchronous Ethernet over these interfaces.

Based on the above considerations, Table III.1 lists the PHY interfaces specified by [IEEE 802.3] and designates which ones may be considered for synchronous Ethernet compatibility, which should not be considered, and which may be unidirectional only.

Table III.1 – List of Ethernet interfaces eligible to synchronous Ethernet

PHY	Description	[IEEE 802.3] clause	Coding	Synchronous Ethernet capable
10BASE2	10 Mbit/s coaxial	10	Manchester, intermittent	No
<i>10BASE5</i>	<i>10 Mbit/s coaxial</i>	8	<i>Manchester, intermittent</i>	<i>No (Note 1)</i>
10BASE-F	10 Mbit/s fibre	15	NRZ, intermittent	No
<i>10BASE-FP</i>	<i>10 Mbit/s fibre, star</i>	<i>16</i>	<i>NRZ, intermittent</i>	<i>No (Note 1)</i>
10BASE-T	10 Mbit/s TP copper	14	Manchester, intermittent	No
100BASE-BX10	100 Mbit/s bidi fibre	58, 66	4B/5B	Yes
100BASE-FX	100 Mbit/s fibre	24, 26	4B/5B	Yes
100BASE-LX10	100 Mbit/s fibre	58, 66	4B/5B	Yes
<i>100BASE-T2</i>	<i>100 Mbit/s TP copper</i>	<i>32</i>	<i>PAM-5</i>	<i>No (Note 1)</i>

Table III.1 – List of Ethernet interfaces eligible to synchronous Ethernet

PHY	Description	[IEEE 802.3] clause	Coding	Synchronous Ethernet capable
<i>100BASE-T4</i>	<i>100 Mbit/s TP copper</i>	23	<i>8B6T</i>	<i>No (Note 1)</i>
100BASE-TX	100 Mbit/s TP copper	24, 25	MLT-3	Yes
1000BASE-BX10	1 Gbit/s bidi fibre	59, 66	8B/10B	Yes
1000BASE-CX	1 Gbit/s twinax	39	8B/10B	Yes
1000BASE-KX	1 Gbit/s backplane	70	8B/10B	Yes
1000BASE-LX	1 Gbit/s fibre	38	8B/10B	Yes
1000BASE-PX	1 Gbit/s PON	38	8B/10B	Unidirectional
1000BASE-SX	1 Gbit/s fibre	38	8B/10B	Yes
1000BASE-T	1 Gbit/s TP copper	40	4D-PAM5	Unidirectional (Note 2)
<i>10BROAD36</i>	<i>10 Mbit/s coax</i>	<i>11</i>	<i>BPSK</i>	<i>No (Note 1)</i>
10GBASE-CX4	10 Gbit/s 4x twinax	54	8B/10B	Yes
10GBASE-ER	10 Gbit/s fibre	49, 52	64B/66B	Yes
10GBASE-EW	10 Gbit/s fibre	50, 52	64B/66B	Yes
10GBASE-KR	10 Gbit/s backplane	72	64B/66B	Yes
10GBASE-KX4	10 Gbit/s 4x backplane	71	8B/10B	Yes
10GBASE-LR	10 Gbit/s fibre	49, 52	64B/66B	Yes
10GBASE-LRM	10 Gbit/s fibre	68	64B/66B	Yes
10GBASE-LW	10 Gbit/s fibre	50, 52	64B/66B	Yes
10GBASE-LX4	10 Gbit/s 4λ fibre	50, 52	8B/10B	Yes
10GBASE-SR	10 Gbit/s fibre	49, 52	64B/66B	Yes
10GBASE-SW	10 Gbit/s fibre	50, 52	64B/66B	Yes
10GBASE-T	10 Gbit/s TP copper	55	DSQ-128	Yes (Note 3)
10PASS-TS	>10 Mbit/s DSL	61, 62	DMT	No
<i>1BASE-5</i>	<i>1 Mbit/s TP copper</i>	<i>12</i>	<i>Manchester</i>	<i>No (Note 1)</i>
2BASE-TL	>2 Mbit/s DSL	61, 63	PAM	No
10/1GBASE-PR	10 Gbit/s/1 Gbit/s PON	76	64B/66B/8B/10B	Unidirectional
10GBASE-PR	10 Gbit/s PON	76	64B/66B	Unidirectional
40GBASE-KR4	40 Gbit/s 4x backplane	84	64B/66B	Yes
40GBASE-CR4	40 Gbit/s 4x twinax	85	64B/66B	Yes

Table III.1 – List of Ethernet interfaces eligible to synchronous Ethernet

PHY	Description	[IEEE 802.3] clause	Coding	Synchronous Ethernet capable
40GBASE-SR4	40 Gbit/s 4x fibre	86	64B/66B	Yes
40GBASE-LR4	40 Gbit/s 4λ fibre	87	64B/66B	Yes
100GBASE-CR10	100 Gbit/s 10x twinax	85	64B/66B	Yes
100GBASE-SR10	100 Gbit/s 10x fibre	86	64B/66B	Yes
100GBASE-LR4	100 Gbit/s 4λ fibre	88	64B/66B	Yes
100GBASE-ER4	100 Gbit/s 4λ fibre	88	64B/66B	Yes
<p>NOTE 1 – These rows (in italics) are deprecated.</p> <p>NOTE 2 – Noise transfer is not measured on a loop-timed interface.</p> <p>NOTE 3 – 10GBASE-T may support dual master or master/slave clocking (i.e., unidirectional synchronous Ethernet).</p>				

Appendix IV

Considerations related to synchronous Ethernet over 1000BASE-T and 10GBASE-T

(This appendix does not form an integral part of this Recommendation.)

Synchronous Ethernet requires the relevant synchronization parameters of the network elements (e.g., link selected as candidate synchronization reference, priority) to be configured according to the network synchronization plan.

The following discussion focuses on 1000BASE-T and 10GBASE-T, as for these interfaces the timing direction could become incompatible with the network synchronization plan due to the configuration of the master-slave relationship as defined by [IEEE 802.3].

NOTE – The following applies to unidirectional (from a synchronization viewpoint) interfaces. The application of similar rules to links in a ring where the timing chain might have to be reversed is for further study.

The following convention is used below:

- Clock master/slave: IEEE 802.3 master or slave state
- Sync master/slave: ITU-T G.8264 sync timing chain master or slave state

In order to allow the proper setting of SyncE over 1000BASE-T and 10GBASE-T links, the Ethernet PHY could be configured either with a manual configuration or via auto-negotiation.

If manual configuration is used, the operator must take care to correctly configure the clock master/slave setting of the PHY ports according to the network synchronization plan so that candidates for sync slaves are clock slaves and the sync master ports are clock masters. The use of manual configuration, if not properly done, may result in a failure condition and the consequent loss of the traffic connection to the equipment.

As an example, if by mistake both ends are forced to be masters, the result is a configuration fault (see Table 40-5 – 1000BASE-T MASTER-SLAVE configuration resolution table in [IEEE 802.3]).

If auto-negotiation is used, the previous potential issues are prevented by the network element thus avoiding the result of a link not working.

NOTE – In this case, even if the PHY ports are not configured according to the network synchronization plan, the auto-negotiation may fail to get working network synchronization (with no indication of such timing discrepancy) but it will not jeopardize the possibility of getting working Ethernet traffic, and subsequent actions are possible in order to correct the PHY port setting.

A possible sequence of steps to be followed when auto-negotiation is used is described below.

NOTE – It is assumed that these synchronous Ethernet interfaces are configured in synchronous operation mode:

1. All 1000BASE-T and 10GBASE-T ports must allow auto-negotiation.
2. Auto-negotiation is initiated:
 - In the case of 1000BASE-T, all ports shall be configured with Bit 9.12 = 0 (auto-negotiation – not forced). If a port is involved in the network synchronization plan, the port that should act as sync master must be configured with Bit 9.10 = 1 (Table 40-3 in [IEEE 802.3]) and the port that should act as sync slave must be configured with Bit 9.10 = 0. If details on the network synchronization plan are not available, ports should be configured with Bit 9.10 = 1. The configuration is done as per Table 40-5 in [IEEE 802.3] ("The device with the higher SEED value is configured as MASTER, otherwise SLAVE"). When details on the network synchronization plan are made available, having ports with Bit 9.10 = 1 as the preferred default state allows the

modification of Bit 9.10 on the sync slave side only, usually in the downstream data path (see item 4 below).

NOTE – Having ports with bit 9.10 = 0 as the preferred default state requiring the modification of the Bit 9.10 on the sync master side only, would give a similar result. This Recommendation suggests a default configuration for easier interoperability.

- In the case of 10GBASE-T, all ports shall be configured with Bit U11= 0 (see Table 55-11 in [IEEE 802.3]). If a port is involved in the network synchronization plan, the port that should act as sync master must be configured with Bit U13 = 1 (multiport device, see Table 55-11 in [IEEE 802.3]) and the port that should act as sync slave must be configured with Bit U13 = 0 (single port device, see Table 55-11 in [IEEE 802.3]). If details on the network synchronization plan are not available, ports should be configured with Bit U13 = 1.

When details on the network synchronization plan are made available, having ports with bit U13 =1 as the preferred default state allows the modification of the Bit U13 on the sync slave side only, usually in the downstream data path (see item 4 below).

NOTE – Having ports with bit U13 = 0 as the preferred default state requiring the modification of the Bit U13 on the sync master side only, would give a similar result. This Recommendation suggests a default configuration for easier interoperability.

3. The configuration of the network synchronization parameters in the node according to the network synchronization plan should be done and checked after the clock master/slave of the 1000BASE-T or 10GBASE-T ports has been completed. At this point, the links in the nodes that are clock slave can be configured as sync candidate (if the network synchronization plan requires it).
4. If the network synchronization plan is available only after the clock master/slave procedure has been completed, and if a 1000BASE-T or 10GBASE-T port is not the clock slave, but should be the sync slave candidate according to the network synchronization plan ("sync slave"), this port shall initiate a change clock direction (as part of the sync candidate configuration) by means of the tools defined in Table 40-3 (1000BASE-T) and Table 55-11 (10GBASE-T) of [IEEE 802.3]. In particular,
 - In the case of 1000BASE-T, for this port, Bit 9.10 = 0
 - In the case of 10GBASE-T, for this port, Bit U13 = 0

NOTE 1 – Any change in parameters for IEEE 802.3 auto-negotiation would force a reset of the interface, leading to link failure for a certain amount of time (variable up to a few seconds).

NOTE 2 – When these steps are not properly followed (e.g., some of the nodes have been manually configured), a specific alarm might be required in order to notify the operator to take necessary actions.

Appendix V

Considerations for measuring noise transfer for EEC-Option 2 clocks

(This appendix does not form an integral part of this Recommendation.)

The noise transfer of an EEC clock generally behaves as a second-order system. The main parameters that impact wander accumulation in the network are the transfer bandwidth and the allowable gain peaking.

A common method to measure noise transfer for Option 2 networks involves the use of TDEV measurements. Since clock tolerance is measured using a signal that meets the TDEV network limit, measuring the output TDEV will provide an indication of the filtering provided by the clock. Some consideration is needed to accommodate gain peaking. For the EEC-Option 2, the output TDEV is raised by approximately 2% to reflect the appropriate gain.

The output TDEV shall not exceed the mask shown in Figure 11 when the reference signal is at the noise level given by the TDEV tolerance mask specified in Figure 8 of this Recommendation.

The bandwidth of the clock is approximated by the breakpoint observable at 3 seconds observation time. Details for the approximate relationship between clock bandwidth and TDEV can be found in Appendix I of [ITU-T G.812].

Note, as per [b-ITU-T O.174], additional sources of measurement error may need to be considered if using this methodology to verify the transfer characteristics. According to [b-ITU-T O.174], the TDEV noise generation accuracy of the measurement equipment is only required to be 20%; therefore, the noise amplitude must be carefully calibrated before measuring the transfer function of the clock.

In some cases, the use of sinusoidal signals applied to the input and measured at the output may be suitable to determine the transfer characteristics of the clock, as is specified for Option 1 clocks. Given the transfer gain allowed in the equipment under test is only 2%; care should be taken with the test method and measurement equipment accuracy. The specification of this method is for further study.

The output TDEV noise transfer mask for EEC-Option 2 clocks is given in Table 13. The resultant TDEV is shown in the mask of Figure 11.

Bibliography

- [b-ITU-T G.783] Recommendation ITU-T G.783 (2006), *Characteristics of synchronous digital hierarchy (SDH) equipment functional blocks*.
- [b-ITU-T G.801] Recommendation ITU-T G.801 (1988), *Digital transmission models*.
- [b-ITU-T G.822] Recommendation ITU-T G.822 (1988), *Controlled slip rate objectives on an international digital connection*.
- [b-ITU-T G.823] Recommendation ITU-T G.823 (2000), *The control of jitter and wander within digital networks which are based on the 2048 kbit/s hierarchy*.
- [b-ITU-T G.824] Recommendation ITU-T G.824 (2000), *The control of jitter and wander within digital networks which are based on the 1544 kbit/s hierarchy*.
- [b-ITU-T G.8010] Recommendation ITU-T G.8010/Y.1306 (2004), *Architecture of Ethernet layer networks*.
- [b-ITU-T O.174] Recommendation ITU-T O.174 (2009), *Jitter and wander measuring equipment for digital systems which are based on synchronous Ethernet technology*.
- [b-ITU-T Q.551] Recommendation ITU-T Q.551 (2002), *Transmission characteristics of digital exchanges*.

ITU-T Y-SERIES RECOMMENDATIONS

GLOBAL INFORMATION INFRASTRUCTURE, INTERNET PROTOCOL ASPECTS AND NEXT-GENERATION NETWORKS

GLOBAL INFORMATION INFRASTRUCTURE	
General	Y.100–Y.199
Services, applications and middleware	Y.200–Y.299
Network aspects	Y.300–Y.399
Interfaces and protocols	Y.400–Y.499
Numbering, addressing and naming	Y.500–Y.599
Operation, administration and maintenance	Y.600–Y.699
Security	Y.700–Y.799
Performances	Y.800–Y.899
INTERNET PROTOCOL ASPECTS	
General	Y.1000–Y.1099
Services and applications	Y.1100–Y.1199
Architecture, access, network capabilities and resource management	Y.1200–Y.1299
Transport	Y.1300–Y.1399
Interworking	Y.1400–Y.1499
Quality of service and network performance	Y.1500–Y.1599
Signalling	Y.1600–Y.1699
Operation, administration and maintenance	Y.1700–Y.1799
Charging	Y.1800–Y.1899
IPTV over NGN	Y.1900–Y.1999
NEXT GENERATION NETWORKS	
Frameworks and functional architecture models	Y.2000–Y.2099
Quality of Service and performance	Y.2100–Y.2199
Service aspects: Service capabilities and service architecture	Y.2200–Y.2249
Service aspects: Interoperability of services and networks in NGN	Y.2250–Y.2299
Enhancements to NGN	Y.2300–Y.2399
Network management	Y.2400–Y.2499
Network control architectures and protocols	Y.2500–Y.2599
Packet-based Networks	Y.2600–Y.2699
Security	Y.2700–Y.2799
Generalized mobility	Y.2800–Y.2899
Carrier grade open environment	Y.2900–Y.2999
FUTURE NETWORKS	Y.3000–Y.3499
CLOUD COMPUTING	Y.3500–Y.3999

For further details, please refer to the list of ITU-T Recommendations.

SERIES OF ITU-T RECOMMENDATIONS

Series A	Organization of the work of ITU-T
Series D	Tariff and accounting principles and international telecommunication/ICT economic and policy issues
Series E	Overall network operation, telephone service, service operation and human factors
Series F	Non-telephone telecommunication services
Series G	Transmission systems and media, digital systems and networks
Series H	Audiovisual and multimedia systems
Series I	Integrated services digital network
Series J	Cable networks and transmission of television, sound programme and other multimedia signals
Series K	Protection against interference
Series L	Environment and ICTs, climate change, e-waste, energy efficiency; construction, installation and protection of cables and other elements of outside plant
Series M	Telecommunication management, including TMN and network maintenance
Series N	Maintenance: international sound programme and television transmission circuits
Series O	Specifications of measuring equipment
Series P	Telephone transmission quality, telephone installations, local line networks
Series Q	Switching and signalling, and associated measurements and tests
Series R	Telegraph transmission
Series S	Telegraph services terminal equipment
Series T	Terminals for telematic services
Series U	Telegraph switching
Series V	Data communication over the telephone network
Series X	Data networks, open system communications and security
Series Y	Global information infrastructure, Internet protocol aspects, next-generation networks, Internet of Things and smart cities
Series Z	Languages and general software aspects for telecommunication systems