ITU

Working Together for DLT Interoperability

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History of Blockchain Standard

2009 At HM Treasury

- Introduced UK Government to Bitcoin and Blockchain
- Economic assessment and policy review
- Concluded that "this will not have any material impact to the UK's economy"
- Kept on working in this space after all, it is really cryptography

2014 Mainstream

- A lot of talk about what we can do with the Bitcoin underlying technology
- Ethereum concept. Ripple, Stellar, xCoins etc
- "Blockchain" name became popular

2015 Business Problem from Government

- Wasn't happy that blockchain was progressing in **silos**
- Came up with the concept and architecture of Interoperability and Governance
- Started talking about identifying use cases NSW Health for electronic records and data sharing

2016 ISO

- Started drafting the idea and proposal with Standards Australia
- Pushed to get people behind it. "Too early", "Stifle innovation", "Why??"
- October 2016 ISO Approval

Why are we building closed proprietary technology in isolation?

We've been here before

1990's: Online Service Providers - Proprietary Networks are limited



2015: Need for Blockchain ISO Standards

Russian Finance Firms Form Bl A group of Russian banks and f companies has formed a private focused on blockchain applicat - Coindesk 1 July 2016	ockchain Consortiur inancial services e-sector consortium ions.	 Blockchain: Standards Wanted Long reliant on collaborative standard-setting, the financial in looks for more of the same to realize the operational and risk potential of blockchain GARP – Global Association of Risk Professiona 2016 	ndustry k-mitigation als 8 April
Australia's peak standard-setting body, Standard International Standards Organisation to begin we blockchain	s Australia, is calling on the ork on global standards for		
The organisation has asked the Geneva-based ISO to blockchain, the technology behind digital currency b Australian expertise.	begin working on standards for vitcoin, offering the assistance of	n of	
	China create The count based coa Assembly blockchair	es blockchain coalition ChinaLedger Union ry's blockchain industry organises distributed ledger- alition in Beijing supported by Chinese National and aiming to standardise the application of n.	

- 20 April 2016

2015: Proposed Blockchain ISO Standard

Proposed ISO Standard

The proposed work is to:

- define this standard
- create the mechanism to be a gateway to multiple blockchains
- create the **governance** framework
- have interoperability and compatibility with existing financial standards
- provide legal and **regulatory compliance** to each transaction across blockchains
- work towards a regulatory framework that provides a mix of legal and technical rules



Form 1: Proposal for a new field of technical activity

Circulation date: 2016-04-14	Reference number (to be given by Central Secretarial)
Downg date for keting 2016-07-04	
Standards Australia	264

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The proposal (to be completed by the proposar)

Title of the proposed new committee (The title shall indicate charty pet concludy the field of bodynized activity which the proposal is intended is cover.) Biochristia and electronic distributed tedper technologies.

rape determined of the proposed new controllines (The scope shall precisely define the inits of the face of activity, because and nor request panets are and principles governing as which of the support and the scope of activity of any constrained.) Instantiation of "biocholumes and destructure sloper individually and as instructioning many series, applications and represented in support interspectability and as instructioning many series. Specifications and represent

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2015: Proposed Blockchain ISO Standard

To address key areas such as:

- **Terminology** Having a common language and terminology to define the interoperability of blockchain
- **Process and Methods** the mechanism and messaging standards around inter-blockchain communication including routing.
- **Trust and Interoperability** Develop the standards that incorporate messaging protocols and methods to route, trust and connect to different blockchains. Establishing a standard API (Application Programming Interface) and set of routines and tools for building blockchain software and applications
- **Privacy and Security** Ensure the confidentiality, integrity and availability of users and entities are maintained. Embed compliance to money laundering and KYC (know your customer) requirements.
- Authentication ability to map blockchain transactions to individual users and entities in a secure manner. Store credentials on the blockchain or align (federate to a side chain (off blockchain))

align/federate to a sidechain (off blockchain)



1st Plenary – Sydney April 2017





National Standards Bodies do not write standards, the stakeholder committees do



TC307 Standards under development

ISO/CD TR 3242 Blockchain and distributed ledger technologies – Use cases

ISO/FDIS 22739 Blockchain and distributed ledger technologies — Vocabulary

ISO/CD TR 23245.2 Blockchain and distributed ledger technologies — Security risks, threats and vulnerabilities

ISO/CD 23257.3 Blockchain and distributed ledger technologies — Reference architecture

ISO/WD TS 23258 Blockchain and distributed ledger technologies — Taxonomy and Ontology

ISO/AWI TS 23259 Blockchain and distributed ledger technologies — Legally binding smart contracts

ISO/CD TR 23576 Blockchain and distributed ledger technologies — Security management of digital asset custodians

ISO/WD TS 23635 Blockchain and distributed ledger technologies — Guidelines for governance

ISO/CD TR 3242 Blockchain and distributed ledger technologies – Use cases

ISO/FDIS 22739 Blockchain and distributed ledger technologies — Vocabulary

TC307 Roadmap



TC307 Working Groups

SO/TC 307/AG 1	SBP Review Advisory Group
ISO/TC 307/AG 2	Liaison Advisory Group
ISO/TC 307/AHG 2	Guidance for Auditing DLT Systems
ISO/TC 307/CAG 1	Convenors coordination group
ISO/TC 307/JWG 4	Joint ISO/TC 307 - ISO/IEC JTC 1/SC 27 WG: Blockchain and distributed ledger technologies
	and IT Security techniques
ISO/TC 307/SG 7	Interoperability of blockchain and distributed ledger technology systems
ISO/TC 307/WG 1	Foundations
ISO/TC 307/WG 2	Security, privacy and identity
ISO/TC 307/WG 3	Smart contracts and their applications
ISO/TC 307/WG 5	Governance
ISO/TC 307/WG 6	Use cases

JOINT WORKING GROUPS UNDER THE RESPONSIBILITY OF ANOTHER COMMITTEE

REFERENCE	TITLE
ISO/TC 46/SC 11/JWG 1	Joint ISO/TC 46/SC 11 - ISO/TC 307 WG: Blockchain



SG7 - Interoperability

- Defining an Interoperability Framework
- Leveraging Cloud Interoperability Standard
 - ISO/IEC 19941:2017
- Providing a framework to cover
 - Governance Interoperability
 - Business Interoperability
 - Technical Interoperability



Transport Facet

• DLT is often thought of operating in an Internet-based environment; however, DLT can operate in other networking environments as well. The Transport facet deals with the communications infrastructure – how to get bytes of data from one system to another.

Syntactic facet

• Syntactic interoperability is defined as "interoperability such that the formats of the exchanged information can be understood by the participating systems."

Semantic Data facet

• Semantic data interoperability as interoperability such that the meaning of the data model within the context of a subject area is understood by the participating systems.

Behavioural facet

• Behavioural interoperability is defined as interoperability so that the actual result of the exchange achieves the expected outcome.

Policy facet

• Policy interoperability while complying with the legal, organizational and policy frameworks applicable to the participating systems.

Interoperability Standardisation Collaboration

Possible framework for collaboration Interoperability "Stack"



Interoperability Type

- Governance
- Business
- Technical

Interoperability Standardisation Collaboration

- Annual "Check-in" INATBA
- Cross-domain experts
 - Working in IEEE, ISO, ITU-T, companies, Gov, open-source etc
 - Workgroup material sharing
- Liaisons between all parties
 - Formal and informal
- Open to participation
- Collaboration for progression

Thank you

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