ITU-T Rapporteur and Editor Tutorial

(Gyeonggi, Korea, 30-31 October 2012)

Crafting Consensus

Gary Fishman Pearlfisher International TSAG Chairman (1996-2008)

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Outline

- 1. Consensus: What is it?
- 2. Crafting Consensus
- 3. Your Role as a leader

With thanks to Dr. Reinhard Scholl, Deputy to the Director TSB who developed many of these slides

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ITU reaches decisions by consensus

- However, there is no reference to consensus in the ITU Constitution, ITU Convention or the ITU General Rules
- Council Rules 12.5 have a reference to consensus, but this rule applies only to Council:
 - "The standing committee and working groups [of Council] shall make every effort to achieve a consensus on the matters submitted to them for consideration..."

ITU's consensus principle is based on best practice/past practice/culture (no losers, only winners)



What is Consensus?

Google hits (Aug 2012):

- Consensus: 95 million
- "How to reach consensus": 1.4 million
- "How to chair a meeting": 78,300
- Merriam-Webster: (1) general agreement;
 (2) group solidarity in sentiment and belief
 - Wikipedia: "Consensus decision-making seeks the consent, not necessarily the agreement, of participants and the resolutions of objections."
- From Latin: "feel together"
- "There is consensus that there is no consensus on what consensus is."

(former ITU-T Study Group Chairman)

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Consensus definitions

- ISO/IEC Guide 2 definition (most often cited in ITU-T context):
 - "General agreement, characterized by the absence of sustained opposition to substantial issues by any important part of the concerned interests and by a process that involves seeking to take into account the views of all parties concerned and to reconcile any conflicting arguments.
 - Note : Consensus need not imply unanimity."

ANSI Essential Requirements definition:

 "Consensus means substantial agreement has been reached by directly and materially affected interests. This signifies the concurrence of more than a simple majority, but not necessarily unanimity. Consensus requires that all views and objections be considered, and that an effort be made toward their resolution."



Unanimity > unopposed agreement > consensus Definitions/explanations: - Unanimity: everyone is of the same opinion - Unopposed agreement: one single voice against a proposal stops it - Consensus: see previous slide Examples: - 1 in favor, 99 don't care: • Unanimity: no Unopposed agreement: yes Consensus: yes - 99 in favor, 1 against: • Unanimity: no Unopposed agreement: no Consensus: Chairman can declare consensus International 6

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Consensus is the chairman's judgement call

- Chairman needs to make every effort to reach consensus
- But at the end of the day it is the chairman who decides whether consensus has been reached or not
 - Use gavel to indicate that decision has been taken

And if someone wants to reopen discussion after the chairman has taken the decision?

- Think hard whether you really want to allow it



Recommendation Approval: Crafting Consensus and The Endgame

- The vast majority of Recommendations will pass without problems
- But, if you don't understand the endgame, months or years can be wasted
- Why? What is "The Endgame"?
 - A Recommendation will fail to be approved if only 1 MS (TAP) or 2 MSs (AAP) are opposed



How do you ask the question?

- How you ask the question can produce very different results:
 - 1. Is anyone objecting to this proposal?
 - 2. Is there any objection to this proposal?
 - 3. I see no-one objecting.
 - 4. I see no objection.
 - 5. Is anyone supporting this proposal?
 - 6. Is there any support for this proposal?
 - 7. I see no-one supporting
 - 8. I see no support.

Note: some chairmen find it better to say "is there any objection" rather than "does anyone object" (and, by analogy, prefer 4 over 3, 6 over 5, 8 over 7): it makes it less a challenge for an individual to speak out. The latter could also be taken to indicate a personal opinion rather than a member's position



Summarize the issue at hand

- After discussion, summarize: "Let me summarize now:"
- Summarizing can be pretty tough sometimes because after a confusing discussion no one including yourself really understands what an appropriate summary would be
 - Don't move on; say instead "Let me try to summarize what I understood"
 - After further debate, issue may be clearer
 - A lot is already gained if different positions are clearly summarized. Then you can take the next step towards reaching a compromise



Ways of reaching consensus

 Informal ways

 which bring parties together, or allow progress despite some visible opposition

More formal ways

 which result in recording a statement of opposition in written form

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An informal way: "Silent agreement" solution

- "I see no-one objecting."
- "I see no objection."
- Does anyone object to this proposal?"
- "Is there any opposition to this proposal?"
- The above are useful for large meetings with long agendas
 - Note: the above variations may produce a very different result

Even if there have been lengthy objections by some parties, chairman could announce "ok, we have heard all the voices now, and I take it that it is agreed"

- If no objection afterwards, consensus has been reached

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An informal way: "Vocal agreement" solution

- Does anyone support this proposal?"
- "Is there (any) support for this proposal?"
- "Could I hear from those in support of this proposal?"
- Does anyone have anything to say in support of this proposal?"
- Can be useful
 - To quickly eliminate a proposal that is supported by no-one else
 - if you have arranged with someone in the audience to say "yes" but don't get caught!

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An informal way: "Coffee break" solution

- "Let's have a coffee break now and see whether we can reach an agreement"
 - Useful when parties are not yet ready to reach a compromise but the Chairman feels that a solution could be reached in an offline discussion among the concerned parties



An informal way: "Chairman's proposal" solution

The Chairman may propose a solution

- The Chairman's proposal could be
 - something brand new, or
 - close to one side of the issue, or
 - a compromise

• Note that a compromise might not necessarily be the best solution.

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An informal way: "Adhoc group" solution

Form antagonists into an adhoc group

 they have to find a solution

 Useful if you feel that there is still some time needed to resolve differing views

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An informal way: "Sleep on it" solution

- It may be too early to resolve different views
- Encourage the different parties
 - to sleep on it and continue the discussion in the session the next day
 - to continue discussing the issue offline, e.g. in the evening at a dinner in the restaurant



An informal way: "Show of hands" solution

- Rather tricky, especially if MSs see it as voting
 Can be a simple thing to request, but difficult to interpret
 - Not every participant carries same weight
 - What is the conclusion when the show of hands is, say, 70/30? Or 80/20?
- Asking "Who is in favor" vs "Who is against" will produce very different results
- A show of hands can be useful if the Chairman is trying to make it visible that it's just 1 or 2 trouble-makers against 150 people
- Chairman needs to know when to ask. Timing is everything

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A more formal way: Recording non-supportive voices in the meeting report

"<company/country> requested that the following statement be recorded in this meeting report: <statement>"

This way, <company/country> has a written record that it does not support the proposal but that it does not stand in the way of reaching consensus either

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A more formal way: Recording non-supportive voices in the Recommendation

- Include a statement in the text of the Recommendation that some companies/countries (list explicitly) have expressed a degree of reservation
- Examples: G.8110.1/Y.1370.1; D.156
- This is a valid, but extreme solution ITU is famous for reaching consensus, so the Study Group Chairman needs to explore all other avenues first



How hard should a Rapporteur try to reach agreement?

- Rapporteur could forward an unresolved issue to the WP or SG plenary for decision
 - But: why should reaching agreement be any easier in a much larger WP or SG plenary?
 - In general, a good SG Chairman would sav: don't bring unresolved issues to my SG plenary

However, some participants may not want to compromise at the Question level because they may want to use their position as "negotiating mass" for other issues or want to escalate the debate to a higher level Nevertheless: try as hard as you can to reach agreement in your Question 21

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Your Role as a Leader

At some point, those in leadership positions, such as a Rapporteur or Editor, will be the Chairman of a meeting

The term "Chairman" in this section refers to anyone chairing a meeting, including a Study Group, a Question, an ad hoc group, an editing group, etc.

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The integrity of the Chairman

- A Chairman must <u>be seen</u> as fair & impartial
- A Chairman must <u>be</u> fair & impartial
- A Chairman needs to earn trust a long process
- Wear only one hat that of the Chairman
 - If your company/government wants to take a position, send someone else to present it
 - Make sure your company/government knows you need to be impartial in the Chair before you take up the Chairmanship
 - View yourself as working for ITU, not for your company/government
 - View the delegates as customers



Communicating means listening

- Communication is indispensible for the Chairman:
 - Listen to the delegates on both sides of an issue
 - Consult with your TSB Counsellor
 - Listen to the Study Group Chairman, the Working Party Chairman, the Study Group management team, and get their advice

Be visible

 Attend the relevant meetings, including ad hoc meetings

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Communicating means being involved (1)

- As chairman you need to be <u>actively</u> involved <u>before and during</u> the meeting period:
 - Consult with relevant parties
 - Discuss issues with delegates to gain a good understanding of the issues, the various perspectives, the strength of differing views, who holds them, etc.
 - Identify potential opposition ahead of time, spend effort to understand their position, develop good relationship with them, and...

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Communicating means being involved (2)

- Ensure all parties understand clearly the proposal to be decided on
- You will be less successful if you are not visible and spend much time isolated in an office or behind your computer

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Communicating means involving

Give everyone a chance to talk

Involve new delegates in the process

 Make an effort to get to know new participants in your group

 Consider having "newbie" sessions at e.g. the study group level, perhaps even at the Question level



Communicating means responding

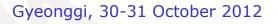
If a delegate asks you a question (e.g. via email), do respond

If you need time to find an answer, or if you don't have time for an immediate comprehensive answer, do send a short acknowledgment that you received the question and that you will get back later



Communicating means understanding languages

- Many delegates don't have English as a native language
- Talk slowly and have others talk slowly
- Make sure everyone understands the issue at hand and where you are going
- Ensure that written proposals by nonnative English speakers are not defeated through eloquent verbal interventions from fluent English speakers – only because they are much more at ease with English



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Communicating means understanding cultures

- In some cultures you can be rather direct in saying that you don't agree with something
- In other cultures you don't easily voice different opinions openly
- Losing face" is probably not a nice thing to happen to anyone in any culture, but in some cultures it may be more serious than in others
- So: always be polite



Good luck

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Thank you

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Additional information \rightarrow

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