### FGAI4H-R-055

Cambridge, 21-24 March 2023

| Source:   | Editor   |                                   |  |  |  |  |
|-----------|--|-----------------------------------|--|--|--|--|
| Title:    | DEL00: Overview of the FG-AI4H deliverables – Presentation   |                                   |  |  |  |  |
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| Abstract: | This PPT contains a presentation of DEL00 presented at Meeting R of the FG-AI4H, 21-24 March 2023. |                                   |  |  |  |  |

International Telecommunication Union

### ITU-T FG-AI4H Deliverable

Draft 2021-01-25

DEL00

TELECOMMUNICATION

OF ITU

STANDARDIZATION SECTOR

Overview of the FG-Al4H deliverables

# Abstract

- This document provides the overview of the planned deliverables for the ITU-T Focus Group on AI for health (FG-AI4H) to provide a standardization framework on artificial intelligence for health.
- With the increase and development of the deliverables, a compiled overview is to be built to give a quick review of all deliverables, therefore to facilitate collaboration and management of FG activities. It can also be used as a quick guild for new participants to understand FG-AI4H activities.



**DEL 10: AI4H use cases: Topic description documents** 

TG-Cardio TG-FakeMed TG-Outbreaks TG-Fertility TG-Derma TG-Diabetes TG-Psy TG-Bacteria TG-TB TG-Radiology TG-Falls TG-Snake ..... TG-Histo TG-Symptom TG-Malaria TG-MSK TG-MCH TG-Neuro TG-POC TG-TM TG-Ophthalmo TG-DiagnosticCT TG-Dental TG-Endoscopy FG-AI4H deliverables from machine perspectives

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# DELIVERABLES UPDATES

| TOTAL docs       42       40       43       41       38       39       41       44       30         deliverables       DEL 00       0       4       4       30       41       44       30         DEL 00       DEL 00_1       DEL 00       4       4       4       4       44       30         DEL 00_1       DEL 02       4       4       4       4       4       4       44       30         DEL 00_1       0       4   |                      | Meeting I | Meeting J | Meeting K | Meeting L | Meeting | M Meeting | N Meeting | O Meeting | P Meeting Q |
|---|----------------------|-----------|-----------|-----------|-----------|---------|-----------|-----------|-----------|-------------|
| DEL 00         DEL 00 1         DEL 00S         DEL 01         DEL 02         DEL 02 1         DEL 02 2         DEL 03 0         DEL 02 1         DEL 03 0         DEL 04 0         DEL 05 1         DEL 05 1         DEL 05 2         DEL 05 3         DEL 05 5         DEL 05 6         DEL 05 5         DEL 05 6         DEL 05 7         DEL 05 8         DEL 05 9         DEL 05 9         DEL 07 1         DEL 07 2         DEL 07 4         DEL 07 2         DEL 07 4         DEL 07 5         DEL 08         DEL 07 4         DEL 08         DEL 09 1   |                      | 42        | 4         | 0 43      | 3 41      | L       | 38        | 39        | 41 .      | 44 30       |
| DEL 00,1         DEL 00S         DEL 01         DEL 02,1         DEL 02,2         DEL 03         DEL 03         DEL 04         DEL 05,1         DEL 05,2         DEL 05,3         DEL 05,6         DEL 05,6         DEL 05,6         DEL 05,1         DEL 05,3         DEL 05,4         DEL 05,5         DEL 07,1         DEL 07,2         DEL 07,3         DEL 07,3         DEL 07,4         DEL 07,5         DEL 08         DEL 09,1  |                      |           |           |           |           |         |           |           |           |             |
| DEL 00S         DEL 01         DEL 02         DEL 02,1         DEL 02,2         DEL 03         DEL 04         DEL 05         DEL 05,1         DEL 05,2         DEL 05,3         DEL 05,4         DEL 05,6         DEL 05,6         DEL 05,6         DEL 07,1         DEL 07,3         DEL 07,3         DEL 07,4         DEL 07,5         DEL 08         DEL 09         DEL 09   |                      | •         | •         | •         | •         | •       | •         |           | •         |             |
| DEL 01         DEL 02         DEL 02,1         DEL 02,2         DEL 03         DEL 03         DEL 03         DEL 04         DEL 05         DEL 05,1         DEL 05,3         DEL 05,3         DEL 05,4         DEL 05,5         DEL 05,6         DEL 05,6         DEL 07         DEL 07,1         DEL 07,2         DEL 07,3         DEL 07,4         DEL 07         DEL 07         DEL 07,4         DEL 07         DEL 08         DEL 07         DEL 07,1         DEL 07,2         DEL 07,1         DEL 07,2         DEL 07,3         DEL 07,4         DEL 08         DEL 09         DEL 09   |                      |           |           |           |           | •       | $\bullet$ | •         | •         |             |
| DEL 02         DEL 02_1         DEL 02_2         DEL 03         DEL 03         DEL 03         DEL 04         DEL 05         DEL 05_1         DEL 05_2         DEL 05_3         DEL 05_6         DEL 05_6         DEL 05         DEL 05         DEL 05         DEL 05         DEL 07_1         DEL 07_2         DEL 07_3         DEL 07_4         DEL 07_5         DEL 08         DEL 09_1   |                      |           |           |           | _         |         |           | _         |           |             |
| DEL 02_1         DEL 02_2         DEL 03         DEL 03         DEL 04         DEL 05         DEL 05_1         DEL 05_2         DEL 05_3         DEL 05_6         DEL 05_6         DEL 05         DEL 05_6         DEL 06         DEL 07_1         DEL 07_2         DEL 07_3         DEL 07_4         DEL 07_5         DEL 08         DEL 09  |                      |           | •         |           | •         |         | _         | •         | •         |             |
| DEL 02_2         DEL 03         DEL 04         DEL 05         DEL 05_1         DEL 05_2         DEL 05_3         DEL 05_4         DEL 05_5         DEL 05_6         DEL 05         DEL 05         DEL 05_1         DEL 05_3         DEL 05_4         DEL 05_5         DEL 05_6         DEL 07         DEL 07_1         DEL 07_2         DEL 07_3         DEL 07_4         DEL 08         DEL 08         DEL 09         DEL 09   |                      | •         | •         | •         | •         | •       | •         | •         | •         |             |
| DEL 03       • <td></td> <td></td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td></td> <td></td>  |                      |           | -         | -         | -         | -       | -         | -         |           |             |
| DEL 04       • <td></td> <td>•</td> <td>•</td> <td>•</td> <td>•</td> <td>•</td> <td>•</td> <td>•</td> <td></td> <td></td> |                      | •         | •         | •         | •         | •       | •         | •         |           |             |
| DEL 05       • <td></td> <td>•</td> <td>•</td> <td>•</td> <td>•</td> <td>•</td> <td>•</td> <td>•</td> <td></td> <td></td> |                      | •         | •         | •         | •         | •       | •         | •         |           |             |
| DEL 05_1       •<   |                      |           | •         | •         | •         |         | •         | •         | •         |             |
| DEL 05_2         DEL 05_3         DEL 05_4         DEL 05_5         DEL 05_6         DEL 05_6         DEL 05_7         DEL 05_6         DEL 07_1         DEL 07_1         DEL 07_3         DEL 07_4         DEL 07_5         DEL 08         DEL 09_1  |                      | •         |           |           |           |         |           |           | •         |             |
| DEL 05_3       •<   |                      | •         |           |           |           |         |           |           |           |             |
| DEL 05_4       •<   |                      |           | •         |           |           |         |           |           |           |             |
| DEL 05_5<br>DEL 05_6       •       •       •         DEL05.x:       •       •       •         DEL 06<br>DEL 07<br>DEL 07_1       •       •       •         DEL 07_2       •       •       •         DEL 07_3       •       •       •         DEL 07_5<br>DEL 08<br>DEL 09       •       •       •       •         DEL 09       •       •       •       •  |                      |           | •         |           |           |         |           |           | •         |             |
| DEL 05_6       •<   |                      |           |           | •         | •         | •       |           |           |           |             |
| DEL05.x:       •<   |                      | •         | •         | •         | •         |         |           |           |           | •           |
| DEL 06<br>DEL 07<br>DEL 07_1<br>DEL 07_2<br>DEL 07_3<br>DEL 07_4<br>DEL 07_5<br>DEL 08<br>DEL 09<br>DEL 09_1  |                      |           | -         | -         | -         |         |           |           |           | -           |
| DEL 07       • <td></td> <td>•</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>       |                      | •         |           |           |           |         |           |           |           |             |
| DEL 07_1       •<   |                      | •         | •         | •         | _         |         | _         | _         |           |             |
| DEL 07_2       •<   |                      | •         | •         | •         | •         | •       | •         | •         | •         | •           |
| DEL 07_3       •<   |                      |           | -         |           | -         |         |           | •         | -         |             |
| DEL 07_4<br>DEL 07_5<br>DEL 08<br>DEL 09<br>DEL 09_1  |                      | •         | •         | •         | •         |         | •         | •         | •         |             |
| DEL 07_5       •<   |                      | •         | •         | •         | •         | •       | •         | •         | •         | •           |
| DEL 08       •       •       •         DEL 09       •       •       •         DEL 09_1       •       •       •       •  |                      | •         | •         | •         | •         | •       | •         | •         | •         | •           |
| DEL 09  |                      |           |           | •         |           |         |           | •         | •         |             |
| DEL 09_1 • • • • •  |                      |           |           |           |           |         |           |           | •         |             |
|   |                      |           |           |           | •         |         |           |           |           |             |
|   | DEL 09_1<br>DEL 09_2 |           |           | -         |           |         | •         |           |           | •           |
| $\begin{array}{cccccccccccccccccccccccccccccccccccc$  |                      | -         |           |           | •         | •       |           |           |           | •           |

| Meeting I                                 | Meeting J        | Meeting K   | Meeting L | Meeting M | Meeting N | Meeting O | Meeting P | Meeting Q |
|---|------------------|-------------|-----------|-----------|-----------|-----------|-----------|-----------|
| b-TG-Cardio (Cardiovas 🔍                  | •                | •           | •         | •         | •         | •         | •         | •         |
| c-TG-Derma (Dermatolc                     | •                | •           | •         | •         | •         | •         | •         | •         |
| d-TG-Bacteria (Diagnos: ●                 | •                | •           | •         | •         | •         | •         | •         | •         |
| e-TG-DiagnosticCT (Voli ●                 | •                | •           | •         | •         | •         | •         | •         | •         |
| f-TG-Dental (Dental diaç 🛡                | •                | •           | •         | •         | •         | •         | •         | •         |
| g-TG-FakeMed: Al-base 🔍                   | •                | •           | •         | •         | •         | •         | •         | •         |
| h-TG-Falls (Falls among 🔍                 | •                | •           | •         | •         | •         | •         | •         | •         |
| i-TG-Histo (Histopatholc 🔍                | •                | •           | •         | •         | •         | •         | •         | •         |
| j-TG-Malaria: Malaria de 🛡                | •                | •           | •         | •         | •         | •         | •         | •         |
| k-TG-MCH: Maternal an 🛡                   | •                | •           | •         | •         | •         | •         | •         | •         |
| I-TG-Neuro: Neurologic: ●                 | •                | •           | •         | •         | •         | •         | •         | •         |
| m-TG-Ophthalmo (Oph 🔍                     | •                | •           | •         | •         | •         | •         | •         | •         |
| n-TG-Outbreaks (Al for 🔎                  | •                | •           | •         | •         | •         | •         | •         | •         |
| o-TG-Psy (Psychiatry)                     | •                | •           | •         | •         | •         | •         | •         | •         |
| p-TG-Snake (Snakebite ; 🔍                 | •                | •           | •         | •         | •         | •         | •         | •         |
| q-TG-Symptom (Sympte                      | •                | •           | •         | •         | •         | •         | •         | •         |
| r-TG-TB (Tuberculosis) 🔍                  | •                | •           | •         | •         | •         | •         | •         | •         |
| s-TG-Radiology (Radiolc                   | •                | •           | •         | •         | •         | •         | •         | •         |
| t-TG-Diabetes                             | •                | •           | •         | •         | •         | •         | •         | •         |
| u-TG-Endoscopy                            | •                | •           | •         | •         | •         | •         | •         | •         |
| v- TG-AI for Musculoskeletal medicine     | Word&PPT(r       | e Word&PPT  | •         | •         | •         | •         | •         | •         |
| w-Al for Human Reproduction and Fe        | ertility         |             | •         | •         | •         | •         | •         | •         |
| x-TG-Sanitation (Al in sanitation for pu  | ublic health)    |             | •         | •         | •         | •         | •         | •         |
| y-TG-POC (Topic Group on Al for poin      | nt-of care dia   | gnostics)   | •         | •         | •         | •         | •         | •         |
| z-TG-Nephrology: Role of artificial inte  | elligence in kid | ney disease |           |           |           | •         |           |           |
| Scalable digital platform for proactive I |                  |             |           |           |           | •         |           |           |
| New TG on AI for traditional medicine     |                  |             |           |           |           |           | •         | •         |



#### TOTAL docs in eachmeeting

Meeting I Meeting J Meeting K Meeting L Meeting M Meeting N Meeting O Meeting P Meeting Q

## DEL 00: OVERVIEW OF THE FG-AI4H DELIVERABLES

| А         | В              |
|-----------|----------------|
| Frequency | Word           |
| 63        | ai             |
| 62        | data           |
| 58        | health         |
| 39        | fg-ai4h        |
| 36        | del            |
| 34        | document       |
| 29        | evaluation     |
| 28        | deliverable    |
| 18        | practices      |
| 17        | considerations |
| 17        | training       |
| 17        | specification  |
| 16        | topic          |
| 15        | artificial     |
| 15        | intelligence   |
| 15        | model          |
| 15        | applications   |
| 15        | ai4h           |
| 14        | group          |
| 14        | software       |
| 14        | process        |
| 13        | annotation     |

prediction methods 10 image clinical public requirements to model descri groups framework description process <sub>ml</sub> test deliverable del detection use ai4h ... overview generalized fg-ai4h practices etc regulatory training topic <sup>05</sup> best specific intelligence life learning principles specification applications evaluation self-built ethics cycle machine software group artificial focus considerations document based development annotation requirement set

## DEL 00\_1: COMMON UNIFIED TERMS IN ARTIFICIAL INTELLIGENCE FOR HEALTH

| A         | В           |
|-----------|-------------|
| Frequency | Word        |
| 85        | data        |
| 51        | ai          |
| 40        | terms       |
| 34        | iso         |
| 34        | clinical    |
| 31        | system      |
| 30        | learning    |
| 28        | ai-eg       |
| 27        | iec         |
| 26        | defined     |
| 26        | used        |
| 25        | note        |
| 24        | information |
| 23        | use         |
| 20        | health      |
| 18        | machine     |
| 15        | definitions |
| 15        | training    |
| 15        | model       |
|           |             |

| defined  | intelligence t<br>algorith<br>oto note<br>clinical del        |   |
|--|---|---|
| model 💙  |   | ai-eg   |
| definitions  | clinical del  | following september   |
| health<br>outcome<br>erformance<br>property medical<br>intend<br>without | used learning<br>including imdrf<br>training gloss<br>product | group iso use<br>terms different<br>ary machine<br>system jec process<br>development<br>nation personal |

### WORD CLOUD ANALYSIS OF SOME CURRENT PUBLISHED DELIVERABLES

methods prediction test classification 10 mage clinical public requirements OOTO model description process mi Oocouriew deliverable del decuments decuments overview detection us ai4h generalized detection us ai4h generalized detection us ai4h generalized detection us ai4h generalized intelligence life self-built applications evaluation deliverables development document based requirement set mage clinical public documents decuments overview detection us ai4h generalized deliverables development document requirement set

#### DEL 00: Overview of the FG-AI4H deliverables

figure \_ USE applications published jec data needed b-xavier **SU** auality b-iec <sup>cycle</sup> product chanae ai high-level shall need documented defects etc device standards including **DIOCESS** development additional lifecycle management systems requirements validation needs includes changes steps performance plan

DEL 04: AI software life cycle specification

intelligence technical defined alaorithm neural clinical del following september model definitions used learning group iso use health terms different including imdrf outcome performance glossary machine training property medical product system iec process intended elsewhere device without technology information personal activities human artificial evidence based

#### DEL 00\_1: Common unified terms in AI4H



DEL 05\_1: Data requirements

detection aih evaluation concepts clinical safety validation control et validation control et specification inputs classification aspects principles device associated software key accuracy lay risks uncertainty characteristics

#### DEL 02\_I:AI software life cycle specification

calculation two segmentation description classification model training annotators detection classification model training annotators detection classification model training annotators used agreement input information example agreement consistency standard number kappa machine type device raters threadical criteria Oata P-e table images annotations learning one threshold figure dataset area procedure procedure procedure type device raters

DEL 05\_3: Data annotation specification

### WORD CLOUD ANALYSIS OF SOME CURRENT PUBLISHED DELIVERABLES

| convention table      | e tools input req                    | id variable                |
|-----------------------|--------------------------------------|----------------------------|
| method<br>tech        | nique specifica                      | tion datasets              |
| type tes              |                                      |                            |
| Gevice                | equirements                          | ata security<br>techniques |
| state training shall  | acquisition<br>distribution creation | document techniques        |
| out                   | put creation creation                |                            |
| define                | standards                            | version                    |
| description labelling | methods                              | dataset<br>                |
| protocol quality      |                                      | model etc                  |
| used standard         | value                                | based                      |
| values                | validation                           |                            |

#### DEL 05\_4: Training and test data specification



#### DEL 05\_5: Data handling



#### DEL 06: AI training best practices



DEL 07: AI4H evaluation considerations



DEL 07\_4: Clinical evaluation of AI4H

considerations https deliverable meeting fg-aih <sub>cftgp</sub> int specific germany aih health ai itu-t switzerland topic aspx focusgroups driver detection del\_use pdf tdd verview medicine university description document tg documents group itu groups www en data institute sites benchmarking site extranet deliverables working

DEL 10: AI4H use cases TDD

### QUESTIONS CAN BE ANSWERED BY THIS ANALYSIS...



A Sankey diagram visualizes the proportional flow between variables (or nodes) within a network. Transform the word frequency analysis result into a multicategorical data, it can visualizes the flow between different deliverables within the diagram. \_\_single word cloud analysis\_\_\_\_\_

- I) What is the nature/material of each deliverable?
- 2) What is the nature/material of this FG-AI4H?
- 3) Does the current nature/material reflect your expectation towards this deliverable?

#### \_when mapping between two DELs\_\_

- Have all important terms been defined and included in the DEL 00\_1: Common unified terms?
- 2) Does the umbrella document cover all key points?

### QUESTIONS CAN BE ANSWERED BY THIS ANALYSIS...



\_when mapping among several DELs\_\_\_\_

- I) What are the connections between the set of docs?
- 2) Any implication/revision to the structure figure?
- 3) Promoting more collaborations between two similar groups?
- 4) May peer review groups be set up referring to this basis?
- \* Could dive deep into the nature of these connections (e.g., tech, ethics, regulatory, clinical, etc. as different colors)

A chord diagram represents flows or connections between several entities (called nodes). Each entity is represented by a fragment on the outer part of the circular layout. Then, arcs are drawn between each entities.

### A CHAT WITH AI MODEL ON AI FOR HEALTH



Hello! How can I assist you today?

6 P



|   | S Regenerate response | 5 |
|---|-----------------------|---|
| 1 |                       | 1 |
|   |                       |   |

ChatGPT Mar 14 Version. Free Research Preview. Our goal is to make AI systems more natural and safe to interact with. Your feedback will help us improve.

### TROUBLESHOOTING

s could you please send me the link of "AI for Health: A Framework for Action" report



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Certainly! Here is the link to download the "AI for Health: A Framework for Action"  $\bigcirc$   $\bigcirc$  report from the official ITU&WHO AI for Health Focus Group website:

https://www.itu.int/dms\_pub/itu-t/opb/fg/Al4H/TF\_Al4H\_001-3-2019/en/ai4hframework-for-action-190517.pdf

I hope this helps!

#### Server Error

it is not found

404 - File or directory not found.

6 7

The resource you are looking for might have been removed, had its name changed, or is temporarily unavailable.

I apologize for the confusion. It's possible that the link I provided earlier may have been outdated or no longer available.

Here is an updated link to download the "AI for Health: A Framework for Action" report from the official ITU&WHO AI for Health Focus Group website:

# PROPOSALS

- A in-depth follow up with AI for health deliverables:
  - Coverage of the terms
  - Connections and structure among deliverables
  - Visualized summary of the set of deliverables
- A development of knowledge repository of FG-AI4H deliverables:
  - Al interventions with Al for health
  - *Simple and intuitive interactions with audience*

# ANNEX

- Generalized specifications (DEL 1-9): focus on generalized specifications including ethics, regulatory, requirement, data, training, evaluation, application, etc. Each part is interconnected to form a life cycle process of Al-based methods for health.
- Topic groups (DEL 10.1-10.24): focus on use cases in specific health domains with corresponding AI/ML tasks. Each case can be regarded as an example of a whole process recommended by generalized specifications (DEL 1-9), and profiled in a specific application scenario.



#### **Generalized specifications (DEL 1-9)**

### Table 2 – Summary of generalized documents (DEL 1-9)

|                     | Deliverable   | Scope   | Last update |
|---------------------|---|---|-------------|
| 1- AI4]             | H ethics considerations   | The rapidly developing field of AI raises a number of ethical, legal and social concerns, e.g. regarding equitable access, privacy, appropriate uses and users, liability and bias and inclusiveness. These issues are trans-national in nature, as capturing, sharing and using data generated and/or used by these technologies goes beyond national boundaries. Many questions remain unanswered concerning the ethical development and use of these technologies, including how low- and middle-income countries will benefit from AI developments. This document is to develop a harmonised ethics guidance for the design and implementation of AI in global health.  | 05/31/2022  |
| 2- AI4]             | H regulatory best practices   | This document is aimed as a general, high-level, and nonexclusive overview of key regulatory considerations' topic areas delivered by the WG-RC on AI for health. It highlights some of the key regulatory principles and concepts, such as risk/benefit assessments and considerations for the evaluation and monitoring of the performance of AI solutions.   | 05/31/2022  |
| 2.1                 | Mapping of IMDRF<br>essential principles to AI<br>for health software   | This document provides a number of new aspects that have not been considered when developing the regulatory framework for software as a medical device (SaMD) as described by the IMDRF Essential Principles (EPs) in "Essential Principles of Safety and Performance of Medical Devices and IVD Medical Devices", IMDRF Good Regulatory Review Practices Group, IMDRF GRRP WG/N47 FINAL, 31 October 2018. This document provides a suggested mapping of the EPs to related aspects of AI4H software. Its purpose is to cover all aspects considered in the regulation of SaMDs and whether and if yes, how they are applicable to AI4H.  | 5/18/2020   |
| 2.2                 | Good practices for health<br>applications of machine<br>learning: Considerations<br>for manufacturers and<br>regulators | This document recommends a set of good machine learning practice guidelines to the manufacturers and regulators of data driven<br>Artificial Intelligence based healthcare solutions on conducting comprehensive requirements analysis and streamlining conformity<br>assessment procedures for continual product improvement in an iterative and adaptive manner. This set of good machine learning<br>practice guidelines gives prime priority to the factor of patient safety and focuses on a streamlined process for risk minimization and<br>quality assurance for AI/ML based health solutions and tries to establish a system of transparency and accountability of all the processes<br>involved in AI/ML based health solutions.  | 05/31/2022  |
| 3- AI4<br>specifie  | H requirements<br>cation  | This document is to define the System Requirement Specifications (SyRS) that explains the informational, functional, behavioural and operational aspects a generic AI for health (AI4H) system. SyRS serves as the basis and helps to create system design, system verification and validation plans and procedures. System requirements analysis methodology follows a collaborative team-oriented approach, involving all the working groups and topic groups of AI4GH FG, to help the project team identify, control and track various requirements and changes to those requirements during the AI4H system development lifecycle.  | 05/31/2022  |
| 4-AI so<br>specifie | ftware life cycle<br>cation   | This deliverable includes the following considerations: a) Identification of all standards and best practices that are relevant for the AI for health software life cycle. Similar to other software life cycle processes, the AI software life cycle process needs to be specified. b) Summary and critical review of the identified documents including a discussion of their limits/gaps and need for action. C) Identification of life cycle steps that are specific/characteristic for AI for health software, such as training and test procedures based on data that potentially need to be annotated. d) Specification of the AI for health software life cycle and definition of best practices for the different life cycle steps in one document (under consideration of a, b, and c). Overview and examples of best practices | 9/28/2020   |

### Table 2 (continued) – Summary of generalized documents (DEL 1-9)

|                      | Deliverable                          | Scope  | Last update |  |  |
|----------------------|--------------------------------------|--|-------------|--|--|
| 5-Data specification |                                      | This document combines a set of six separate deliverables as umbrella, which address six important aspects related to data specification when used for artificial intelligence (AI) and machine learning (ML) models/methods for health purposes. Each editor will propose an initial outline (=Table of Contents), define the objectives of the future deliverable, and collect a bibliography of existing literature and material relevant for the development of the respective document. A short call for participation, the expertise profile of potential contributors, a time plan, and a brief characterisation of the target audience serve as preface. |             |  |  |
| 5.1                  | Data requirements                    | This document lists acceptance criteria for data submitted to the FG-AI4H and states the governing principles and rules. These principles are crucial because the core of the benchmarking framework for AI for health methods will be an undisclosed test data set – per use case of each topic area to be defined – that will not be made accessible to the AI developers.   | 5/19/2020   |  |  |
| 5.2                  | Data acquisition                     | This document presents a framework for public healthcare data acquisition and management model based on standard protocol for its easy adoption by any country or international health organizations. This paper assumes basic digitization of electronic health record (EHR) at basic health facilities. There is a gap in developing an integrated and comprehensive framework that addresses the use of EHR in a standardized way for public health, privacy issue by anonymizing patient specific information, fusing multiple records with slight changes in the same information, augmenting a broad spectrum of contextual data, and so on.               | 5/19/2020   |  |  |
| 5.3                  | Data annotation specification        | This document is committed to give a general guideline of data annotation specification, including definition, background and goals, framework, standard operating procedure, scenario classifications and corresponding criteria, as well as recommended metadata, etc. A questionnaire is attached to seek input and collaboration with topic groups in FG-AI4H regarding data annotation.   | 1/27/2021   |  |  |
| 5.4                  | Training and test data specification | This document is intended to guide the target audience with a systematic way of preparing technical requirements specification for datasets used in training and testing of machine ML models This document explains the best practices of data quality assurance aimed at minimizing the data error risks during the training and test data preparation phase of machine learning process lifecycle. The training and test data requirement specifications follow the data integrity, data security and data safety norms of the AI data governance lifecycle process.  | 5/20/2020   |  |  |
| 5.5                  | Data handling                        | This document outlines how data will be handled, once they are accepted. Health data are one of the most valuable and sensitive types of data. Handling this kind of data is often associated with a strict and factual framework defined by data protection laws. There are two major issues that the data handling policy should address: (a) compliance with regulations dealing with the use of personal health data; and (b) non-disclosure of the undisclosed test data held by FG-AI4H for the purpose of model evaluation.   | 4/1/2020    |  |  |
| 5.6                  | Data sharing practices               | This document aims to provide an overview of the existing best practices for data sharing of health-related data, including the requirement to enable secure data sharing and issues related to data governance. The documents described established solutions and novel approaches based on distributed and federated environments.   | 5/19/2021   |  |  |

### Table 2 (continued) – Summary of generalized documents (DEL 1-9)

|                    | Deliverable   | Scope  | Last update |  |  |
|--------------------|---|--|-------------|--|--|
| 6-AI tr<br>specifi | aining best practices<br>cation   | This document aims to provide best practices for training and documentation so as to facilitate maximum performance and transparency. This document provides a review of the different aspects of AI model training pipeline. The first part discusses the best practices for data pre-processing aspects, while the second part discusses the best practices for AI model training aspects.   | 1/25/2021   |  |  |
|                    | or health evaluation<br>erations  | This introduction with considerations on the evaluation of AI for health sets the scene for the five related documents DEL07.1-5. In this document, an overview of the deliverables DEL7.1-5 is given, preliminary considerations on the evaluation process are being made, characteristics of health AI validation and evaluation that are novel are identified, and the concept of standardized model benchmarking is introduced. Moreover, requirements for a benchmarking platform are considered in detail and best practices for the health AI model assessment are collected from selected sources. |             |  |  |
| 7.1                | AI4H evaluation process<br>description  | The AI4H evaluation process description serves as overview of the state of the art of AI evaluation principles and methods and a forward-looking initiator for the evaluation process of AI4H. This process description includes a review of existing evaluation principles and methods, evaluation need and solutions specific for AI4H. It will also look into ethics and risks aspects of AI4H evaluation. Furthermore, based on the fundamentals of AI, the description will gain insights on the direction of how the current evaluation methods evolve towards the concept of REAL AI.               | 5/20/2020   |  |  |
| 7.2                | AI technical test<br>specification  | This document specifies how an AI can and should be tested in silico. Among other aspects, best practices for test procedures known from (but not exclusively) AI challenges will be reviewed in this document. Important testing paradigms that are not exclusively related to AI applications should be mentioned too.   | 5/20/2020   |  |  |
| 7.3                | Data and artificial<br>intelligence assessment<br>methods (DAISAM)<br>reference | This document provides a summary of how to understand and identify algorithmic bias at different stages of the AI-based product that may have critical implications when the algorithm is applied in a real-world clinical setting. The aim is to train the most accurate model for each group without harming any minority group of patients. Furthermore, methods to mitigate bias according to the problem at hand are provided. These guidelines aim to provide a framework for technologists that build health related AI based products to investigate the presence of algorithmic bias.             | 05/31/2022  |  |  |
| 7.4                | Clinical evaluation of AI<br>for health   | This document is to outline the current best practices, the principles and outstanding issues for further considerations related to clinical evaluation of AI health technologies. It serves as the output document of the WHO/ITU Focus Group on AI for Health (FG-AI4H) Working group on Clinical Evaluation of AI for Health (WG-CE).   | 05/31/2022  |  |  |
|                    | I scale-up and adoption   | TBD  | 5/20/2020   |  |  |
| 9-AI4H<br>platfor  | I applications and<br>ms  | This document contains a discussion on development of AI tool for Health using Mobile Applications & Cloud-based AI applications. This document describes type of mobile applications and the development of App based system for disease surveillance in the health sector.   |             |  |  |
| 9.1                | Mobile applications   | This document contains a draft set of rules for development of AI tool for Health using Mobile Applications, their testing and benchmarking. It is to prepare the rules for development of AI tool for Health using Mobile Applications, and discuss the regulatory/ethical rules for Mobile Apps with AI for Healthcare.  | 5/21/2021   |  |  |
| 9.2                | Cloud-based AI<br>applications  | This document contains a draft set of rules for development of Cloud-based AI applications, their testing and benchmarking. It is to discuss on technology, security and legal issues related to cloud-based AI tools, and to provide a forum for open communication among various stakeholders.   | 5/21/2020   |  |  |

| Topic Groups<br>(Examples) | Domain (Cardiovascular/<br>Dermatology/<br>Histopathology/etc.)      | Task (Classification/<br>detection/<br>segmentation/<br>prediction/etc.) | Gold Standard<br>(state-of-the-art task<br>intervention method)       | Input data type<br>(Text/ Image/ video/<br>audio/<br>numerical/etc.) | Testing/ Training<br>dataset (Public<br>dataset/ Collected by<br>myself/etc.)                              | Data annotation<br>(Procedure/<br>annotator number/<br>tool/etc.)  | Algorithm (specific<br>model used in this<br>TG)   | Evaluation (Metrics<br>used in this TG)                 |
|----------------------------|--|--|---|--|--|--|--|---|
| TG-Bacteria                | Diagnoses of bacterial<br>infection and anti-microbial<br>resistance | Classification   | clinical<br>microbiologists with<br>4 to 5 years of<br>specialization | 2D Image   | TBD  | TBD  | TBD  | accuracy  |
| TG-Cardio                  | cardiovascular disease   | prediction   | clinical CVD risk<br>scoring<br>tools/calculators<br>(WHO, 2019)      | Quantitative &<br>qualitative data<br>(structured)                   | De-identified<br>retrospective<br>secondary data from<br>healthcare/EMR &<br>research data<br>repositories | Structured data are<br>used, thus simple R<br>programming is<br>used to recode<br>structured data to<br>required<br>standardized labels. | Support Vector<br>Machines/SVM;<br>Random Forest/RF;<br>& Artificial Neural<br>Networks/ANNs | Accuracy of each<br>risk prediction;<br>Kappa statistic |
| TG-Dental                  | Dental diagnostics and digital dentistry                             | Classification/<br>detection/<br>segmentation/<br>prediction             | Histology, Cross-<br>image validation,<br>human annotations           | 2D Image, 3D<br>Image, Video, Text                                   | Self-built   | Custom made tool   | TBD  | TBD   |
| TG-Derma                   | Dermatology  | Classification   | TBD   | 2D Image   | Public dataset (<br>EDRA, ISIC,<br>Dermofit, AICOS<br>) and private data                                   | Manual annotation  | Not memtioned  | Sensitivity;Specifici<br>ty; F1-score                   |
| TG-Diabetes                | Primary and secondary diabetes prediction                            | TBD  | TBD   | TBD  | TBD  | TBD  | TBD  | TBD   |
| TG-<br>DiagnosticC<br>T    | Volumetric chest computed<br>tomography                              | TBD  | TBD   | TBD  | TBD  | TBD  | TBD  | TBD   |
| TG-<br>Endoscopy           | Endoscopy  | Classification/<br>detection/<br>segmentation                            | Pathological report,<br>Cross annotation by<br>doctors                | 2D Image, Video  | Public dataset,<br>self-built  | Cross annotation,<br>Self-built annotation<br>tool   | TBD  | TBD   |
| TG-FakeMed                 | AI-based detection of falsified medicine                             | Classification/<br>detection/ prediction                                 | TBD   | 2D Image, Text   | Self-built   | TBD  | TBD  | TBD   |
| TG-Falls                   | Falls among the elderly  | TBD  | TBD   | TBD  | TBD  | TBD  | TBD  | TBD   |
| TG-Fertility               | human reproduction and fertility                                     | TBD  | TBD   | TBD  | TBD  | TBD  | TBD  | TBD   |
| TG-Histo                   | Histopathology   | TBD  | TBD   | TBD  | TBD  | TBD  | TBD  | TBD   |
| TG-Malaria                 | Malaria detection  | TBD  | TBD   | TBD  | TBD  | TBD  | TBD  | TBD   |

#### Table 3(continued) – Summary of Topic Groups (DEL 10.1-10.24)

| Topic Groups<br>(Examples) | Domain (Cardiovascular/<br>Dermatology/<br>Histopathology/etc.) | Task (Classification/<br>detection/<br>segmentation/<br>prediction/etc.) | Gold Standard<br>(state-of-the-art task<br>intervention method)    | Input data type<br>(Text/ Image/ video/<br>audio/<br>numerical/etc.)                | Testing/ Training<br>dataset (Public<br>dataset/ Collected by<br>myself/etc.) | Data annotation<br>(Procedure/<br>annotator number/<br>tool/etc.) | Algorithm (specific<br>model used in this<br>TG) | Evaluation (Metrics<br>used in this TG) |
|----------------------------|---|--|--|---|---|---|--|---|
| TG-MCH                     | Maternal and child health                                       | TBD  | TBD  | TBD   | TBD   | TBD   | TBD  | TBD                                     |
| TG-MSK                     | Musculoskeletal medicine  | TBD  | TBD  | TBD   | TBD   | TBD   | TBD  | TBD                                     |
| TG-Neuro                   | Neurological disorders  | Classification/<br>detection/ prediction                                 | Post-mortem<br>pathology<br>evaluation, and<br>biological markers. | 2D Image, 4D<br>Image, clinical<br>scores, genetics and<br>biomarkers (e.g.<br>csf) | Public dataset, self-<br>built.   | Manual  | TBD  | TBD                                     |
| TG-<br>Ophthalmo           | Ophthalmology   | Classification/<br>detection/<br>segmentation/                           | Pathological report,<br>Cross annotation by<br>doctors             | 2D Image, 3D<br>Image, Text   | Public dataset, self-<br>built  | Cross annotation,<br>Self-built annotation<br>tool                | TBD  | TBD                                     |
| TG-<br>Outbreaks           | Outbreak detection  | TBD  | TBD  | TBD   | TBD   | TBD   | TBD  | TBD                                     |
| TG-POC                     | point-of care diagnostics                                       | TBD  | TBD  | TBD   | TBD   | TBD   | TBD  | TBD                                     |
| TG-Psy                     | Psychiatry  | TBD  | TBD  | TBD   | TBD   | TBD   | TBD  | TBD                                     |
| TG-<br>Radiology           | Radiology   | TBD  | TBD  | TBD   | TBD   | TBD   | TBD  | TBD                                     |
| TG-<br>Sanitation          | Sanitation for public health                                    | TBD  | TBD  | TBD   | TBD   | TBD   | TBD  | TBD                                     |
| TG-Snake                   | Snakebite and snake identification                              | Classification   | Snake expert<br>(herpetologist)<br>identification                  | 2D Image  | Public dataset, self-<br>built.   | Expert<br>identification,<br>crowdsourcing                        | TBD  | TBD                                     |
| TG-Symptom                 | Symptom assessment  | Classification   | Average doctor opinion.  | Text, semantically structured cases.  | Self-built.   | a new case-creation<br>tool                                       | TBD  | TBD                                     |
| TG-TB                      | Tuberculosis  | TBD  | TBD  | TBD   | TBD   | TBD   | TBD  | TBD                                     |