#### FGAI4H-N-042-A01

E-meeting, 15-17 February 2022

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Title: Updated DEL07: AI for Health Evaluation Considerations

- Att.1 - Presentation

**Purpose:** Discussion

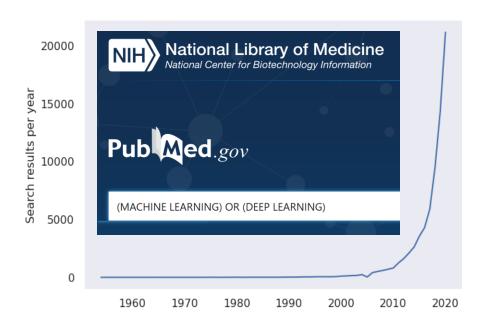
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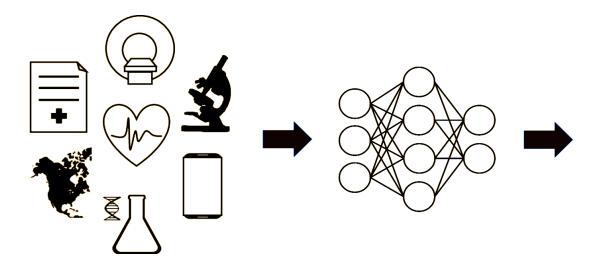
**Abstract:** This PPT summarizes the content of N-042 with the *Updated DEL07: Al for* 

Health Evaluation Considerations, for presentation and discussion during

the meeting.

### Healthcare Al Models





- Offer great potential for many applications in healthcare
- Predict, recognize, recommend, warn early, help to decide, ...
- Effective, safe, accurate on new data, robust, plausible, fair?
- Standards for trustworthy healthcare AI needed
- Careful evaluation plays crucial role



### **Evaluation Deliverables Series**



N°	Title	Editors
DEL7.0	AI4H Evaluation Considerations	Markus Wenzel (Fhg)
DEL7.1	AI4H Evaluation Process Description	Sheng Wu (WHO)
DEL7.2	Al Technical Test Specification	Auss Abood (RKI)
DEL7.3	Data + Al Assessment Methods Ref.	Luis Oala (Fhg)
DEL7.4	Clinical Evaluation	Naomi Lee (Lancet) et al.
DEL7.5	Assessment Platform	Steffen Vogler (Bayer) et al.



## DEL7.0) AI4H Evaluation Considerations

- 1. Overview of deliverables DEL7.1-5
- 2. Preliminary evaluation process
- 3. Novelty
- 4. Standardized model benchmarking
- 5. Benchmarking platform requirements
- 6. Best practices from literature



## Cyclical Evaluation Process

Preliminary considerations

Define health AI task + quality criteria

Safety/quality mechanisms + monitoring

**Define** assessment metrics + **test data** 

Evaluate safety, efficacy, usability in clinic or field

Collect + audit test data

Benchmark AI models on test data



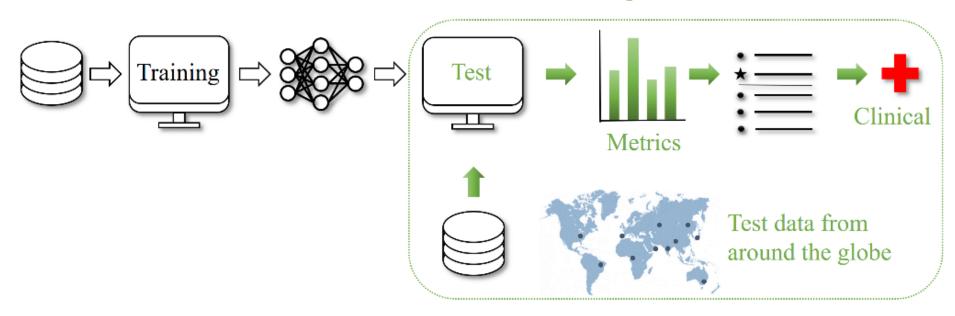
### Novel Aspects of Health Al Model Evaluation

N°	Aspect (key word; full description in DEL7)	Phase of Evaluation Cycle						
		Define task	Define task metrics	Collect/ audit test data	Benchmark	Evaluate	Monitor	
1	Appropriate test data sets	•	•	•	•		•	
2	Internal vs. external validation			•	•			
3	Technical vs. clinical criteria				•	•		
4	Proper benchmarking				•			
5	Complex models and settings	•	•					
6	Self-learning algorithms				•			
7	Human factors					•	•	
8	Misuse accurate tools					•	•	
9	Design of clinical trials with AI					•		
10	Agreement on/interoperable encoding of benchmarking cases	•	•		•			
11	Testing existing AI products vs. ML-challenges	•			•			



## Standardized Benchmarking

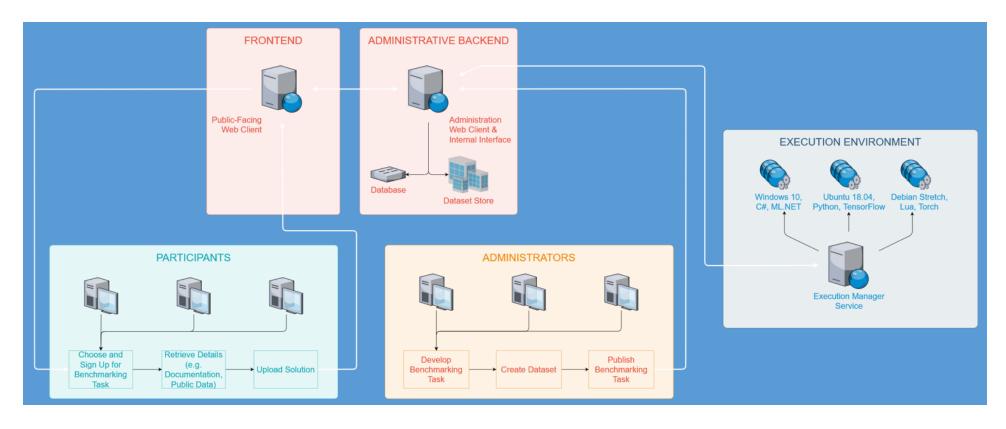
#### Evaluation process



- Independent model validation with standard procedures on separate test data and subsequent clinical evaluation
- (1) Closed environment, (2) Via interface, (3) Federated



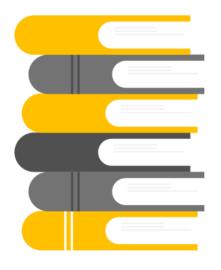
# Benchmarking Platform – Requirements



- System overview (administrative backend · frontend · execution environment)
- General considerations (security · hosting · computing resources · availability)



### Collection of Publications With Best Practices



- Scientific literature and other documents
- From 31+ important sources with relevant quotes & brief discussion
- Please point us to literature you find relevant!



### Status Update

- Added references & improved text
- Turning vision for AI4H benchmarking platform into practice: <a href="http://github.com/fg-ai4h">http://github.com/fg-ai4h</a> & <a href="https://health.aiaudit.org/">https://health.aiaudit.org/</a>
- See talk on day 1: evaluation package of open code initiative

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### **Evaluating AI models in health**

The ITU/WHO Focus Group on artificial intelligence for health (FG-AI4H) works in partnership with the World Health Organization (WHO) to establish a standardized assessment framework for the evaluation of Al-based methods for health, diagnosis, triage or treatment decisions. The group was established by ITU-T Study Group 16 at its meeting in Ljubljana, Slovenia, 9-20 July 2018.

Host Benchmark Participate

## Outline next steps to publish deliverable DEL7

- In good shape, quite happy about current version
- Review by editors of DEL7.1-5, WGs, TGs, OCI, AI/ML4H-Audit would be valuable
- Depends as intro to series on the progress of DEL7.1-5
  - DEL7.1: AI4H evaluation process description?
  - Fluid, dynamic DEL7.3. Now: "DEL7.3: ML4H trial audits—Iteration 2.0 Playbook", ex: "DEL7.3: Data and artificial intelligence assessment methods (DAISAM) reference"?
  - DEL7.5: Assessment platform?
- Detail: Colorful title page would be nice
- Many thanks to my co-authors: David Neumann (Fraunhofer), Sandeep Reddy (Deakin Univ.), Annika Reinke, Manuel Wiesenfarth (DKFZ), Alberto Merola (AICURA medical), Steffen Vogler (Bayer)
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