



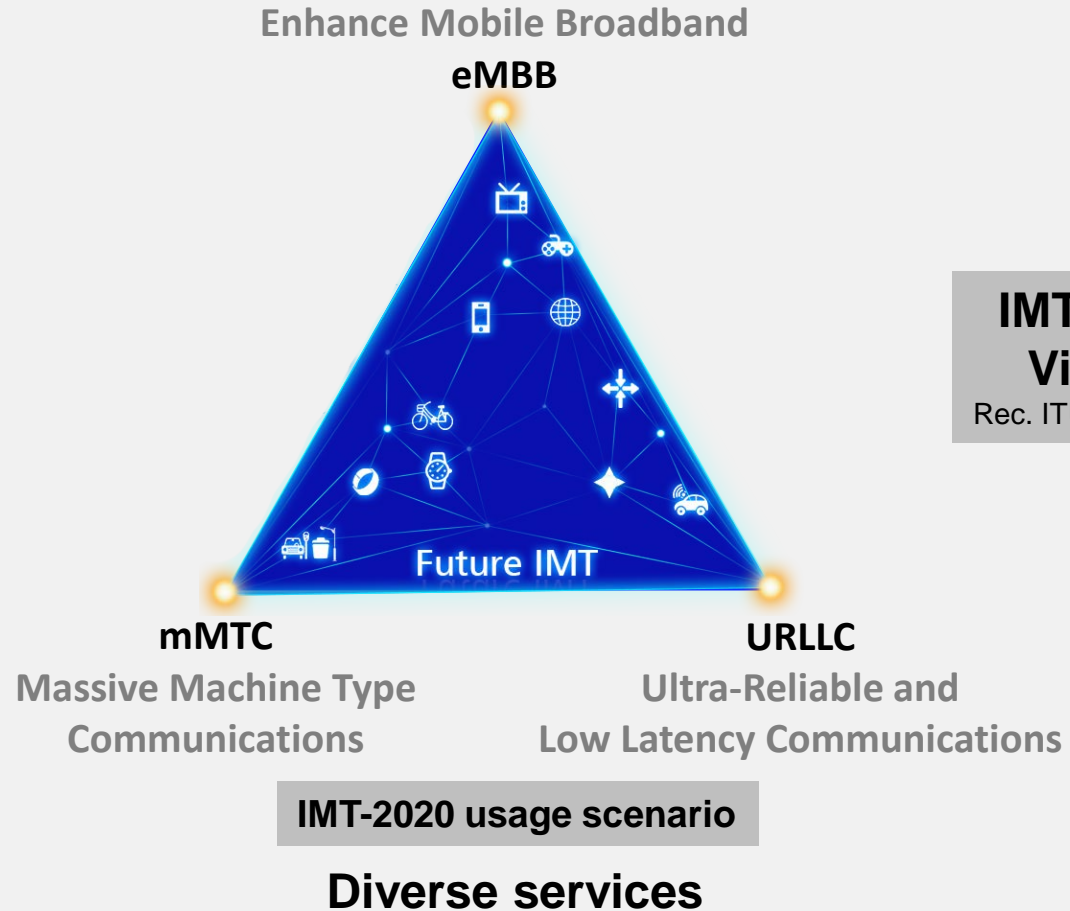
# Requirements, Evaluation Criteria and Submission Templates for the development of IMT-2020

"Report ITU-R M.[IMT-2020.SUBMISSION]"

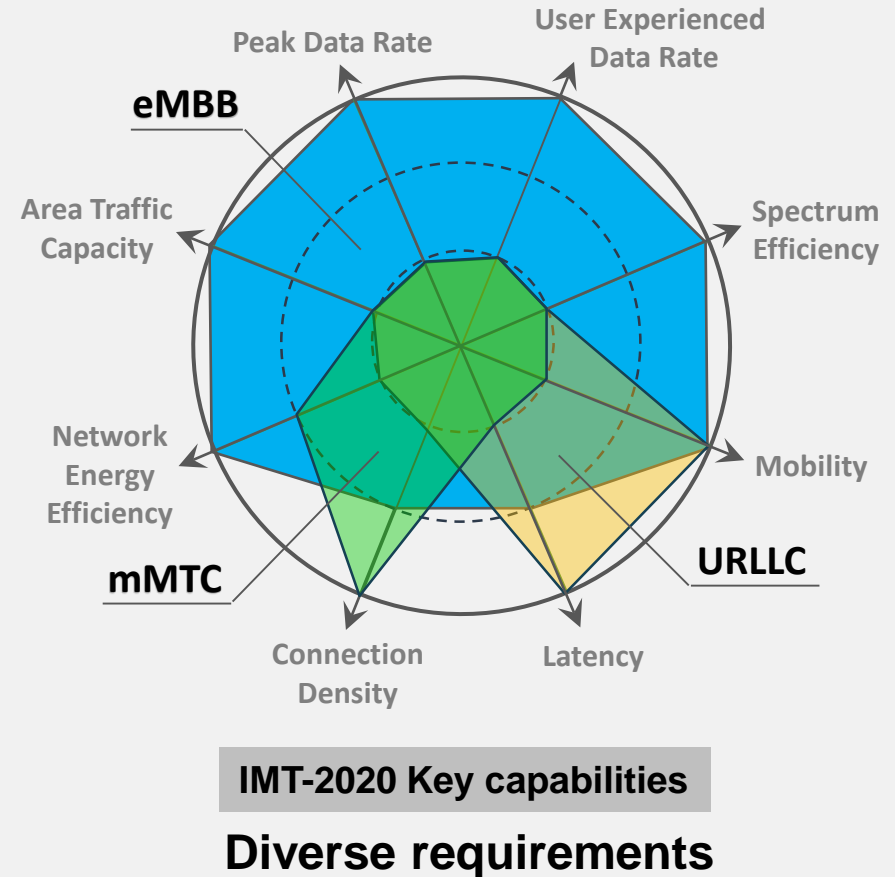
Workshop on IMT-2020 terrestrial radio interfaces  
(October 4, 2017)

WU Yong

# Background



**IMT-2020  
Vision**  
Rec. ITU-R M.2083



**Transforming IMT-2020 Vision to Requirements, Evaluation criteria, and submission templates**

# Introduction

Radiocommunication Study Groups



Source: Document 5D/TEMP/327(Rev.2)

Document 5/56-E  
3 July 2017  
English only

## Working Party 5D

DRAFT NEW REPORT ITU-R M.[IMT-2020.SUBMISSION]

**Requirements, evaluation criteria and submission templates  
for the development of IMT-2020**

### 1 Introduction

This Report deals with on the requirements, evaluation criteria and submission templates for the development of Recommendations and Reports on IMT-2020, such as the detailed specifications of IMT-2020, and provides:

- a) the service, spectrum and technical performance requirements for candidate Radio Interface Technologies (RITs)/Set of Radio Interface Technologies (SRITs) for IMT-2020;
- b) evaluation guidelines including evaluation criteria and procedures to evaluate technology submissions for IMT-2020;
- c) submission templates that proponents must utilize to organize the information that is required in a submission of a candidate technology for evaluation. Proponents must provide the required information.

Additional specific details, including the process, the steps and the relevant timelines may be found on the ITU-R IMT-2020 web page (<http://www.itu.int/en/ITU-R/study-groups/rsg5/rwp5d/imt-2020/Pages/submission-eval.aspx>) under the link "Web page for the IMT-2020 submission and evaluation process" (see also Document [IMT-2020/2\(Rev.1\)](#) – Submission and evaluation process and consensus building for IMT-2020).

Draft New Report M. [IMT-2020.SUBMISSION] –

***Requirements, Evaluation criteria, and submission  
templates for the development of IMT-2020***

### ***This Report provides***

- **IMT-2020 minimum requirements** (Section 3 of this Report)
  - Service, Spectrum, Technical performance
  - The compliance of the candidate RIT<sup>1</sup> or SRIT<sup>2</sup> will be assessed with the above minimum requirements.
- **Evaluation criteria and guidelines** (Section 4 of this Report)
  - Applicable to all IMT-2020 minimum requirements
  - Candidate RIT/SRIT will be evaluated using the criteria to assess the compliance with the minimum requirements.
- **IMT-2020 submission guideline and templates** (Section 5 of this Report)
  - Completeness of submission
  - Submission template: Required information in a organized format

1 Radio Interface Technology; 2 Set of RIT

A stylized city skyline composed of various icons representing communication and infrastructure. From left to right, it includes a building, a tall antenna tower with signal waves, two smaller antenna towers, a cluster of buildings, a car with signal waves, a taller antenna tower with signal waves, another car with signal waves, and a house with signal waves.

# IMT-2020 Minimum Requirements

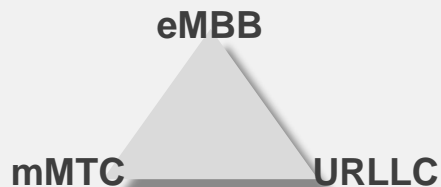
# IMT-2020 Minimum Requirements

The IMT-2020 minimum requirements relate to three aspects

## Services

(Section 3.1 of this Report)

- Diverse services for eMBB, mMTC and URLLC are envisaged.
- A wide range of services across usage scenarios shall be supported
- The requirements are indicated in the compliance templates
  - Relevant information are encouraged to be provided



## Spectrum

(Section 3.2 of this Report)

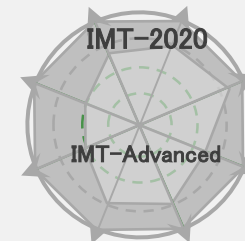
- A list of frequency bands (ranging from 450MHz to 4990MHz) have been identified for IMT.
- Also a need of higher frequency bands to address radio traffic growth.
- The requirements related to spectrum are in the compliance templates.



## Technical performance

(Section 3.3 of this Report)

- Technical performance requirements are defined to assess the technical capabilities of IMT-2020.
- The necessary background information about the individual requirements, the justification for the items and the values chosen are provided in **Report ITU-R M.[IMT-2020. TECH PERF REQ]**.

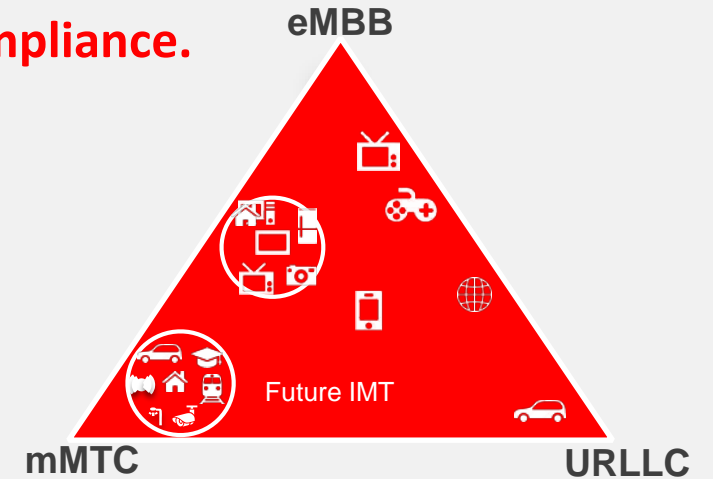


Compliance of Candidate IMT-2020 RIT/SRIT will be assessed from the three aspects

# IMT-2020 Minimum Requirements Related to Service

Candidate IMT-2020 RIT/SRIT will be assessed against the following compliance.

Service capability requirements	
5.2.4.1.1	<p><b>Support for wide range of services</b></p> <p>Is the proposal able to support a range of services across different usage scenarios (eMBB, URLLC, and mMTC)?: <input type="checkbox"/> YES / <input type="checkbox"/> NO</p> <p>Specify which usage scenarios (eMBB, URLLC, and mMTC) the candidate RIT or candidate SRIT can support.<sup>(1)</sup></p>
	(1) Refer to the process requirements in IMT-2020/2.



The usage scenarios that shall be supported by candidate RIT/SRIT are according to the condition defined in Step 2, 6, 7 in Doc. IMT-2020/02



Smart Access



Smart Drive



AR/VR

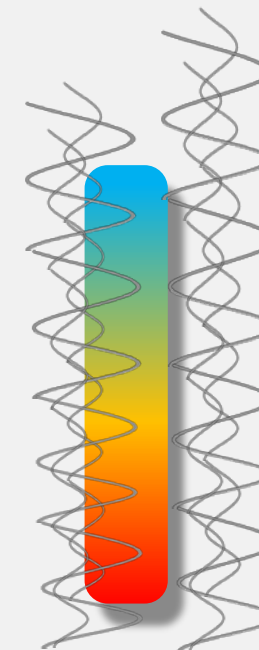
In addition, the following information is encouraged to be provided

5.2.3.2.23	<b>Support for wide range of services</b>
5.2.3.2.23.1	Describe <i>what kind of services/applications</i> can be supported in each usage scenarios in Recommendation ITU-R M.2083 (eMBB, URLLC, and mMTC).
5.2.3.2.23.2	Describe <i>any capabilities/features to flexibly deploy</i> a range of services across different usage scenarios (eMBB, URLLC, and mMTC) in an efficient manner, (e.g., a proposed RIT/SRIT is designed to use a single continuous or multiple block(s) of spectrum).

# IMT-2020 Minimum Requirements Related to Spectrum

Candidate IMT-2020 RIT/SRIT will be assessed against the following compliance

Spectrum capability requirements	
5.2.4.2.1	<p><b>Frequency bands identified for IMT</b></p> <p>Is the proposal able to utilize at least one frequency band identified for IMT in the ITU Radio Regulations?: <input type="checkbox"/> YES / <input type="checkbox"/> NO</p> <p>Specify in which band(s) the candidate RIT or candidate SRIT can be deployed.</p>
5.2.4.2.2	<p><b>Higher Frequency range/band(s)</b></p> <p>Is the proposal able to utilize the higher frequency range/band(s) above 24.25 GHz?: <input checked="" type="checkbox"/> YES / <input type="checkbox"/> NO</p> <p>Specify in which band(s) the candidate RIT or candidate SRIT can be deployed.</p> <p><b>NOTE 1:</b> In the case of the candidate SRIT, at least one of the component RITs need to fulfil this requirement.</p>



Candidate SRIT 1	Component RIT1	Component RIT2	Remarks
IMT bands	Y	Y	Both shall support IMT bands
Higher bands	Y	N	At least one of them shall support higher bands



Compliant

Candidate SRIT 2	Component RIT1	Component RIT2
IMT bands	N	Y
Higher bands	Y	N



Not compliant

Candidate RIT	RIT
IMT bands	Y
Higher bands	N



Not compliant

# IMT-2020 Minimum Requirements Related to Technical Performance

Candidate IMT-2020 RIT/SRIT will be assessed against compliance of the required number of test environments by related technical performance. The required number of test environments as defined in *Doc. IMT-2020/02*.

Usage scenario	Sub-items	Evaluation method	Test environment				
			eMBB			mMTC	URLLC
			Indoor hotspot	Dense urban	Rural	Urban macro	Urban macro
eMBB	Peak data rate	Analysis	Gray	Gray	Gray	Gray	Gray
	Peak spectral efficiency	Analysis	Gray	Gray	Gray	Gray	Gray
	<b>User experienced data rate</b>	Analysis, or <b>SLS</b> (for multi-layer)	Gray	Gray	Gray	Gray	Gray
	<b>5<sup>th</sup> percentile user spectral efficiency</b>	<b>SLS</b>	Gray	Gray	Gray	Gray	Gray
	<b>Average spectral efficiency</b>	<b>SLS</b>	Gray	Gray	Gray	Gray	Gray
	Area traffic capacity	Analysis	Gray	Gray	Gray	Gray	Gray
	Energy efficiency	Inspection	Gray	Gray	Gray	Gray	Gray
	<b>Mobility</b>	<b>SLS + LLS</b>	Gray	Gray	Gray	Gray	Gray
eMBB, URLLC	User plane latency	Analysis	Gray	Gray	Gray	Gray	Gray
	Control plane latency	Analysis	Gray	Gray	Gray	Gray	Gray
	Mobility interruption time	Analysis	Gray	Gray	Gray	Gray	Gray
URLLC	<b>Reliability</b>	<b>SLS + LLS</b>	Gray	Gray	Gray	Gray	Gray
mMTC	<b>Connection density</b>	<b>SLS + LLS, or Full SLS</b>	Gray	Gray	Gray	Gray	Gray
General	Bandwidth and Scalability	Inspection	Gray	Gray	Gray	Gray	Gray

Gray grids show the related Tech Perf Req (TPR) of a test environment.

All TPRs related to a specific test environment will be evaluated to test the candidate's compliance with that test environment. If the candidate failed to meet one TPR, then it is not compliant with this test environment.





# IMT-2020 Minimum Requirements Related to Technical Performance

Some examples:

Usage scenario	Sub-items	Test environment				
		eMBB			mMTC	URLLC
		Indoor hotspot	Dense urban	Rural	Urban macro	Urban macro
eMBB	Peak data rate	Yes				
	Peak spectral efficiency					
	<b>User experienced data rate</b>					
	<b>5<sup>th</sup> percentile user spectral efficiency</b>		No			
	<b>Average spectral efficiency</b>					
	Area traffic capacity					
	Energy efficiency					
	<b>Mobility</b>					
eMBB, URLLC	User plane latency					
	Control plane latency					
	Mobility interruption time					
URLLC	<b>Reliability</b>					
mMTC	<b>Connection density</b>					
General	Bandwidth and Scalability					

● This example candidate RIT/SRIT fulfills minimum requirement of:

- ✓ Two eMBB test environments (Indoor + Rural) *and*
- ✓ One mMTC test environment.

The required number of test environments of the candidate RIT/SRIT is defined in Step 2, 6, and 7 in Doc. IMT-2020/02.

# IMT-2020 Minimum Requirements Related to Technical Performance

Some examples:

Usage scenario	Sub-items	Test environment				
		eMBB			mMTC	URLLC
		Indoor hotspot	Dense urban	Rural	Urban macro	Urban macro
eMBB	Peak data rate	Yes				
	Peak spectral efficiency					
	<i>User experienced data rate</i>					
	<i>5<sup>th</sup> percentile user spectral efficiency</i>					
	<i>Average spectral efficiency</i>					
	Area traffic capacity					
	Energy efficiency					
	<i>Mobility</i>					
eMBB, URLLC	User plane latency					
	Control plane latency					
	Mobility interruption time	No				
URLLC	<i>Reliability</i>					
mMTC	<i>Connection density</i>					
General	Bandwidth and Scalability					

- This example candidate RIT/SRIT fulfills minimum requirement of:

- ✓ One mMTC test environment.

The required number of test environments of the candidate RIT/SRIT is defined in Step 2, 6, and 7 in Doc. IMT-2020/02.



# IMT-2020 evaluation

Candidate RITs or SRITs will be evaluated according to the guidelines defined in *Report ITU-R M.[IMT-2020.EVAL]*.  
**The candidate RIT/SRIT passes one TPR under a specific test environment, if it achieves the TPR for one of the evaluation configurations under that specific test environment.**

Usage scenarios	eMBB									mMTC		URLLC	
	Indoor Hotspot – eMBB			Dense Urban – eMBB			Rural – eMBB			Urban Macro – mMTC		Urban Macro – URLLC	
Test environments													
Eval. Config.	A: 4GHz	B: 30GHz	C: 70GHz	A: 4GHz/ Macro layer	B: 30GHz/ Macro layer	C: Multi- layer	A: 700MHz/ 1732m	B: 4GHz/ 1732m	C: 700MHz/ 6000m (LMLC)	A: 500m/ 700MHz	B: 1732m/ 700MHz	A: 4GHz	B: 700MHz
Average spectral efficiency													
5 <sup>th</sup> percentile user spectral efficiency													
User experienced data rate				Analysis	Analysis								
Mobility													
Connection density													
Reliability													
(other TPRs with analysis or inspection)													

Gray grids need simulation.

For these TPRs, the evaluation configurations in red box can be selected for a specific test environment.

A stylized city skyline composed of various red icons. From left to right, it includes a building, a tall antenna tower with three curved lines above it, two smaller antenna towers, a cluster of buildings, a car with a signal icon, a taller antenna tower with four curved lines, another car with a signal icon, and a house with a signal icon.

# IMT-2020 Submission Templates

# IMT-2020 submission templates

The proponent should prepare submission templates according to the format given in this Report.

## Completed templates

### Description templates

- To facilitate a sufficient understanding of the proposal

#### Characteristics template

- A list of items (questions) for the description of the characteristics of the proposal
- It is NOT a part of minimum requirement

#### Link budget template

- A calculation table to provide link budget of the proposal in each of test environment
- It is NOT a part of minimum requirement



### Compliance templates

- To assess the compliance of the proposal with the minimum requirements

For service

For spectrum

For technical performance

- For a candidate SRIT, the template should be provided for each component RIT within the composite SRIT and/or for the composite SRIT

# IMT-2020 Submission Templates

## Description templates - Characteristics

Item	Item to be described
5.2.3.2.1	<b>Test environment(s)</b>
5.2.3.2.1.1	What test environments (described in Report ITU-R M.[IMT-2020.EVAL]) does this technology description template address?
5.2.3.2.2	<b>Radio interface functional aspects</b>
5.2.3.2.2.1	<i>Multiple access schemes</i> Which access scheme(s) does the proposal use? Describe in detail the multiple access schemes employed with their main parameters.
5.2.3.2.2.2	<i>Modulation scheme</i>
5.2.3.2.2.2.1	What is the baseband modulation scheme? If both data modulation and spreading modulation are required, describe in detail. Describe the modulation scheme employed for data and control information. What is the symbol rate after modulation?
5.2.3.2.2.2.2	<i>PAPR</i> What is the RF peak to average power ratio after baseband filtering (dB)? Describe the PAPR (peak-to-average power ratio) reduction algorithms if they are used in the proposed RIT/SRIT.
5.2.3.2.2.3	<i>Error control coding scheme and interleaving</i>
5.2.3.2.2.3.1	Provide details of error control coding scheme for both downlink and uplink. For example, – FEC or other schemes? The proponents can provide additional information on the decoding schemes.
5.2.3.2.2.3.2	Describe the bit interleaving scheme for both uplink and downlink.
5.2.3.2.3	<b>Describe channel tracking capabilities (e.g. channel tracking algorithm, pilot symbol configuration, etc.) to accommodate rapidly changing delay spread profile.</b>
5.2.3.2.4	<b>Physical channel structure and multiplexing</b>
5.2.3.2.4.1	What is the physical channel bit rate (M or Gbit/s) for supported bandwidths? i.e., the product of the modulation symbol rate (in symbols per second), bits per modulation symbol, and the number of streams supported by the antenna system.
5.2.3.2.4.2	<i>Layer 1 and Layer 2 overhead estimation.</i> Describe how the RIT/SRIT accounts for all layer 1 (PHY) and layer 2 (MAC) overhead and provide an accurate estimate that includes static and dynamic overheads.
5.2.3.2.4.3	<i>Variable bit rate capabilities:</i> Describe how the proposal supports different applications and services with various bit rate requirements.

- **Characteristics template provides a list of items (questions) for the description of the characteristics of the proposal**
  - ✓ Providing sufficient information relevant to the assessment will assist in the evaluation of the proposal by independent evaluation groups
  - ✓ If an item is not relevant to or for a proposal, it should be answered N/A (Not Applicable); optionally with an explanation of why the item is not applicable
  - ✓ Proponents are encouraged to extend beyond the template if additional information would assist in the assessment

# IMT-2020 Submission Templates

## Description templates - Characteristics

Item	Item to be described
5.2.3.2.1	<b>Test environment(s)</b>
5.2.3.2.1.1	What test environments (described in Report ITU-R M.[IMT-2020.EVAL]) does this technology description template address?
5.2.3.2.2	<b>Radio interface functional aspects</b>
5.2.3.2.2.1	<i>Multiple access schemes</i> Which access scheme(s) does the proposal use? Describe in detail the multiple access schemes employed with their main parameters.
5.2.3.2.2.2	<i>Modulation scheme</i>
5.2.3.2.2.2.1	What is the baseband modulation scheme? If both data modulation and spreading modulation are required, describe in detail. Describe the modulation scheme employed for data and control information. What is the symbol rate after modulation?
5.2.3.2.2.2.2	<i>PAPR</i> What is the RF peak to average power ratio after baseband filtering (dB)? Describe the PAPR (peak-to-average power ratio) reduction algorithms if they are used in the proposed RIT/SRIT.
5.2.3.2.2.3	<i>Error control coding scheme and interleaving</i>
5.2.3.2.2.3.1	Provide details of error control coding scheme for both downlink and uplink. For example, – FEC or other schemes? The proponents can provide additional information on the decoding schemes.
5.2.3.2.2.3.2	Describe the bit interleaving scheme for both uplink and downlink.
5.2.3.2.3	<b>Describe channel tracking capabilities (e.g. channel tracking algorithm, pilot symbol configuration, etc.) to accommodate rapidly changing delay spread profile.</b>
5.2.3.2.4	<b>Physical channel structure and multiplexing</b>
5.2.3.2.4.1	What is the physical channel bit rate (M or Gbit/s) for supported bandwidths? i.e., the product of the modulation symbol rate (in symbols per second), bits per modulation symbol, and the number of streams supported by the antenna system.
5.2.3.2.4.2	<i>Layer 1 and Layer 2 overhead estimation.</i> Describe how the RIT/SRIT accounts for all layer 1 (PHY) and layer 2 (MAC) overhead and provide an accurate estimate that includes static and dynamic overheads.
5.2.3.2.4.3	<i>Variable bit rate capabilities:</i> Describe how the proposal supports different applications and services with various bit rate requirements.

- Test environment(s)
- Radio interface functional aspects (multiple access, modulation, PAPR, coding scheme)
- Channel tracking capabilities (e.g., pilot symbol configuration)
- Physical channel structure and multiplexing
- Mobility management (Handover)
- Radio resource management
- Frame structure
- Spectrum capabilities and duplex technologies
- Support of Advanced antenna capabilities
- Link adaptation and power control
- Power classes
- Scheduler, QoS support and management, data services
- Radio interface architecture and protocol stack
- Cell selection
- Location determination mechanisms
- Priority access mechanisms
- Unicast, multicast and broadcast
- Privacy, authorization, encryption, authentication and legal intercept schemes
- Frequency planning
- Interference mitigation within radio interface
- Synchronization requirements
- Support for wide range of services
- Global circulation of terminals
- Energy efficiency
- Other items



# IMT-2020 Submission Templates

## Description templates – Link Budget

TABLE 4

Link budget template for Urban Macro - mMTC

Item	Downlink	Uplink
<b>System configuration</b>		
Carrier frequency (GHz)	0.7	0.7
BS antenna heights (m)	25	25
UE antenna heights (m)	1.5	1.5
Cell area reliability <sup>(1)</sup> (%) (Please specify how it is calculated.)		
Transmission bit rate for control channel (bit/s)		
Transmission bit rate for data channel (bit/s)		
Target packet error ratio for the required SNR in item (19a) for control channel		
Target packet error ratio for the required SNR in item (19b) for data channel		
Spectral efficiency <sup>(2)</sup> (bit/s/Hz)		
Pathloss model <sup>(3)</sup> (Select from LOS, NLOS or O-to-I)		
UE speed (km/h)		
Feeder loss (dB)		
<b>Transmitter</b>		
(1) Number of transmit antennas (The number shall be within the indicated range in § 8.4 of Report ITU-R M.[IMT-2020.EVAL])		
(2) Maximal transmit power per antenna (dBm)		
(3) Total transmit power = function of (1) and (2) (dBm) (The value shall not exceed the indicated value in § 8.4 of Report ITU-R M.[IMT-2020.EVAL])		
(4) Transmitter antenna gain (dBi)		

- **Link budget template provides a calculation table to demonstrate link budget information**
  - ✓ Proponents should provide link budget information according to this template for each test environment in the target set of test environments
  - ✓ The parameter values should follow the values or constraints given in the templates and in § 8 of Report ITU-R M.[IMT-2020.EVAL].
  - ✓ Proponent should use the same configuration and parameters of each test environment as ones in its self-evaluation.

# IMT-2020 Submission Templates

## Compliance templates for Service

This compliance template will be used to assess the compliance of the proposal with service requirements.

	Service capability requirements	Evaluator's comments
5.2.4.1.1	<p><b>Support for wide range of services</b></p> <p>Is the proposal able to support a range of services across different usage scenarios (eMBB, URLLC, and mMTC)?: <input type="checkbox"/>YES / <input type="checkbox"/>NO</p> <p>Specify which usage scenarios (eMBB, URLLC, and mMTC) the candidate RIT or candidate SRIT can support. <sup>(1)</sup></p>	
<p><sup>(1)</sup> Refer to the process requirements in IMT-2020/2.</p>		

The usage scenarios that shall be supported by candidate RIT/SRIT are according to the condition defined in Step 2, 6, 7 in Doc. IMT-2020/02

- **Evaluation method:** Inspection as specified in Report M.[IMT-2020.EVAL]

# IMT-2020 Submission Templates

## Compliance templates for Spectrum

This compliance template will be used to assess the compliance of the proposal with spectrum requirements.

Spectrum capability requirements	
5.2.4.2.1	<p><b>Frequency bands identified for IMT</b></p> <p>Is the proposal able to utilize at least one frequency band identified for IMT in the ITU Radio Regulations?:</p> <p><input type="checkbox"/>YES / <input type="checkbox"/>NO</p> <p>Specify in which band(s) the candidate RIT or candidate SRIT can be deployed.</p>
5.2.4.2.2	<p><b>Higher Frequency range/band(s)</b></p> <p>Is the proposal able to utilize the higher frequency range/band(s) above 24.25 GHz?:</p> <p><input type="checkbox"/>YES / <input type="checkbox"/>NO</p> <p>Specify in which band(s) the candidate RIT or candidate SRIT can be deployed.</p> <p><b>NOTE 1:</b> In the case of the candidate SRIT, at least one of the component RITs need to fulfil this requirement.</p>

- **Evaluation method: Inspection as specified in Report M.[IMT-2020.EVAL]**

# IMT-2020 Submission Templates

## Compliance templates for Tech. Perf.

This compliance template will be used to assess the compliance of the proposal with technical performance requirements under specific test environments.

Minimum technical performance requirements item (5.2.4.3.x), units, and Report ITU-R M.[IMT-2020.TECH PERF REQ] section reference <sup>(1)</sup>	Category			Required value	Value <sup>(2)</sup>	Requirement met?	Comments <sup>(3)</sup>
	Usage scenario	Test environment	Downlink or uplink				
5.2.4.3.4 5 <sup>th</sup> percentile user spectral efficiency (bit/s/Hz) (4.4)	eMBB	Indoor Hotspot – eMBB	Downlink	0.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Proponent to report:</b> <ul style="list-style-type: none"> <li>- Evaluation configuration employed,</li> <li>- Channel model variant used,</li> <li>- Evaluation method.</li> </ul>
			Uplink	0.21		<input type="checkbox"/> Yes <input type="checkbox"/> No	
	eMBB	Dense Urban – eMBB	Downlink	0.225		<input type="checkbox"/> Yes <input type="checkbox"/> No	
			Uplink	0.15		<input type="checkbox"/> Yes <input type="checkbox"/> No	
	eMBB	Rural – eMBB	Downlink	0.12		<input type="checkbox"/> Yes	
			Uplink	0.045		<input type="checkbox"/> Yes	

**Proponent to provide:**

- Evaluation results

**Proponent to report:**

- Evaluation configuration employed,
- Channel model variant used,
- Evaluation method.

**Downlink and Uplink should use the same evaluation configuration**

# IMT-2020 Submission Templates

# Compliance templates for Tech. Perf.

This compliance template will be used to assess the compliance of the proposal with technical performance requirements under specific test environments.

Minimum technical performance requirements item (5.2.4.3.x), units, and Report ITU-R M.[IMT-2020.TECH PERF REQ] section reference <sup>(1)</sup>	Category			Required value	Value <sup>(2)</sup>	Requirement met?	Comments <sup>(3)</sup>
	Usage scenario	Test environment	Downlink or uplink				
5.2.4.3.2 Peak spectral efficiency (bit/s/Hz) (4.2)	eMBB	Not applicable	Downlink Uplink			<input type="checkbox"/> Yes <input type="checkbox"/> No	
5.2.4.3.14 Mobility interruption time (ms) (4.12)	eMBB and URLLC	Not applicable	Not applicable	0		<input type="checkbox"/> No	
5.2.4.3.15 Bandwidth and Scalability (4.13)	Not applicable	Not applicable	Not applicable	At least 100 MHz		<input type="checkbox"/> Yes <input type="checkbox"/> No	
				...		...	

This TPR is related to **all eMBB** test environments

This TPR is related to **all eMBB and URLLC** test environments

This TPR is related to **all test environments**

# IMT-2020 Submission Templates

## Compliance templates for Tech. Perf.

### Some example compliance template fulfillment

Minimum technical performance requirements item (5.2.4.3.x), units, and Report ITU-R M.[IMT-2020.TECH PERF REQ] section reference <sup>(1)</sup>	Category			Required value	Value <sup>(2)</sup>	Requirement met?	Comments <sup>(3)</sup>
	Usage scenario	Test environment	Downlink or uplink				
5.2.4.3.4 5 <sup>th</sup> percentile user spectral efficiency (bit/s/Hz) (4.4)	eMBB	Indoor Hotspot – eMBB	Downlink	0.3	X1	<input type="checkbox"/> Yes <input type="checkbox"/> No	Configuration A, Channel model A
			Uplink	0.21	Y1	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			Downlink	0.3	X2	<input type="checkbox"/> Yes <input type="checkbox"/> No	Configuration B, Channel model A
			Uplink	0.21	Y2	<input type="checkbox"/> Yes <input type="checkbox"/> No	

# IMT-2020 Submission Templates

# Compliance templates for Tech. Perf.

Assess the number of test environment TPR fulfillment by this compliance template

Usage scenario	Sub-items	Test environment				
		eMBB			mMTC	URLLC
		Indoor hotspot	Dense urban	Rural	Urban macro	Urban macro
eMBB	Peak data rate	Yes				
	Peak spectral efficiency					
	<i>User experienced data rate</i>					
	<i>5<sup>th</sup> percentile user spectral efficiency</i>					
	<i>Average spectral efficiency</i>					
	Area traffic capacity					
	Energy efficiency					
	<i>Mobility</i>					
eMBB, URLLC	User plane latency					
	Control plane latency					
	Mobility interruption time					
URLLC	<i>Reliability</i>					
mMTC	<i>Connection density</i>					
General	Bandwidth and Scalability					

# Completeness of Submission

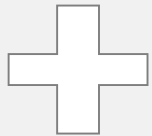
A complete submission must provide three major components as below.

Proponents must provide all required information within each of the major components

## Completed templates

### Description templates

(Characteristics + Link budget)



### Compliance templates

(Service + Spectrum +  
Technical performance)

## Self evaluation

- Self evaluation is provided by proponent and based on compliance templates.
- It is performed using the IMT-2020 evaluation guidelines and criteria.
- Proponent can also endorse an initial evaluation submitted by another entity.

## Compliance with IPR policy

- Proponents and IPR holders should indicate their compliance with the ITU policy on intellectual property rights<sup>1</sup>
- It is specified in the Common Patent Policy for ITU-T/ITU-R/ISO/IEC available at <http://www.itu.int/ITU-T/dbase/patent/patent-policy.html>.

<sup>1</sup> See NOTE 2 in section A2.6 of Resolution ITU-R 1-7



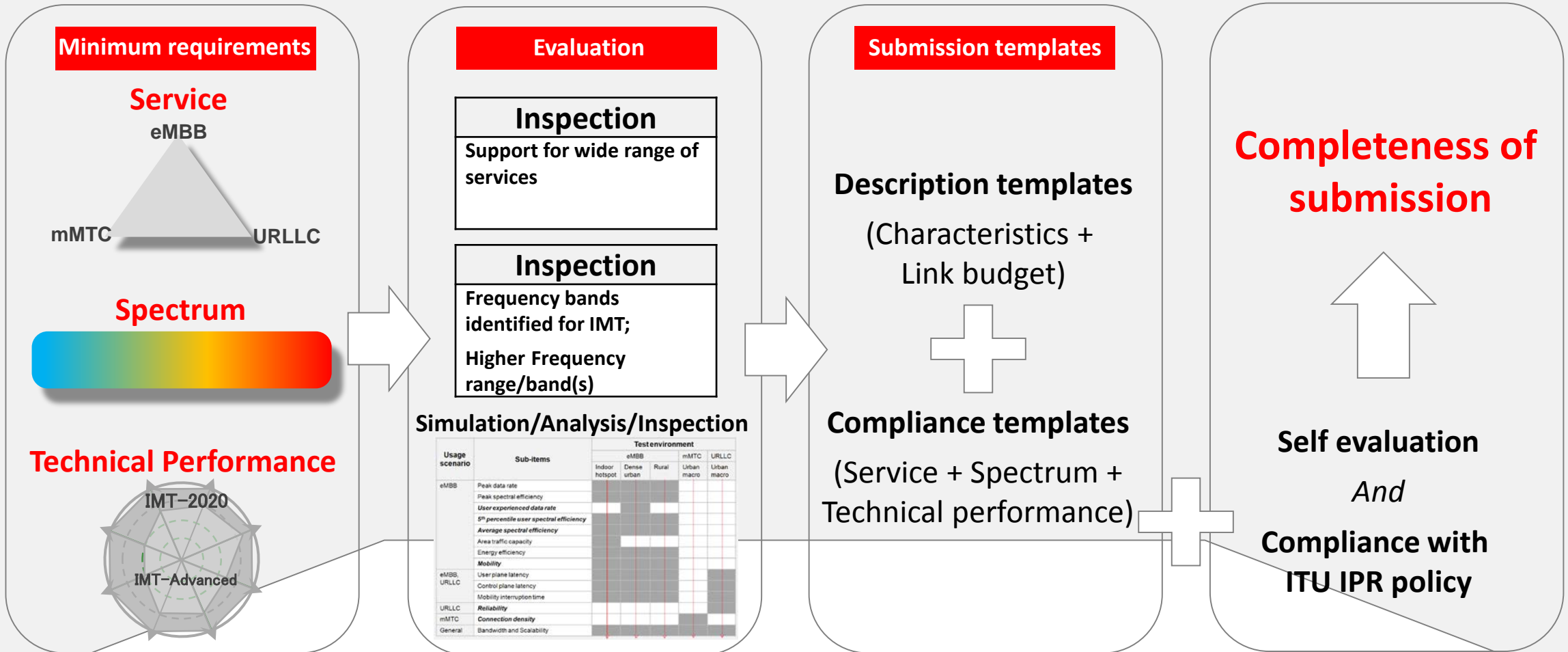
## Completeness of IMT-2020 submission





# Summary

Requirements, Evaluation criteria, and submission templates for the development of IMT-2020



**Draft New Report M.[IMT-2020.SUBMISSION]**

# THANK YOU

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