

# Cost recovery for satellite network filings

ITU – RRS-13-Africa Yaounde, Cameroun 16 -20 September 2013

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### Implementation of cost recovery:

The ITU Council determines the cost recovery principles and fees for satellite network filings

**Council Decision 482** 

The WRC determines regulation covering satellite network filings, in particular, the penalty in the event of non-payment of cost recovery fee

Radio Regulations

# **Council Decision 482:**

Established a schedule of processing charge that relates to the complexity and size of a filing

**Complexity is modeled with categories** 

Size is modeled by units

6 months to make payment

One free entitlement a year for each member state

# What Filings are chargeable?

#### 1/ API:

- \* API for NGSO not subject to coordination
- \* API for inter-satellite links of a GSO communicating with NGSO not subject to coordination

### **Filings**

2/ Coordination Requests

#### 3/ Plans:

- \* Modifications of the Space Service Plans and Lists
- \* The use of guardbands
- \* Requests for implementation of the FSS plans

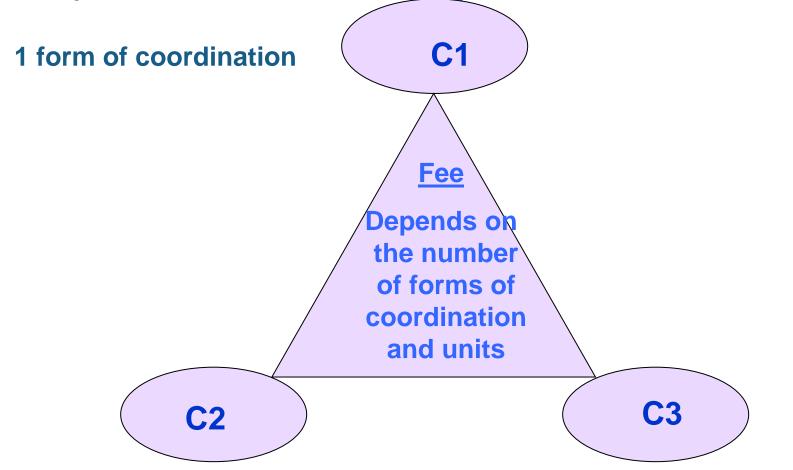
4/ Notification for recording in the MIFR

# Fees for API

- Flat fee of 570 CHF for
  - API for NGSO not subject to coordination
  - Inter-satellite links of a GSO communicating with NGSO not subject to coodination

# Categorization of Coordination Requests

By number of cost-recovery forms of coordination



2 or 3 forms of coordination

4 or more forms of coordination



### What are forms of coordination?

Cost recovery form of coordination	RR forms of coordination
Α	No. 9.7, RS33.3
В	AP30 7.1, AP30A 7.1
С	No. 9.11, RS33 2.1, RS539
D	Nos. 9.7B, 9.11A, 9.12, 9.12A, 9.13, 9.14
E	No. 9.7A
F	No. 9.21



# Example (Forms of Coordination):



UNION INTERNATIONALE DES TÉLÉCOMMUNICATIONS
BUREAU DES RADIOCOMMUNICATIONS

INTERNATIONAL TELECOMMUNICATION UNION RADIOCOMMUNICATION BUREAU UNIÓN INTERNACIONAL DE TELECOMUNICACIONES
OFICINA DE RADIOCOMUNICACIONES

CITU:

DUNEAU DES NA	AD ICC CRIMINIONICAL	IONO	NADIOCOMMUNICATION BUREAU OF CITAL DE NADIOCOMIONICACIONES			
RÉSEAU À SATELLITE SATELLITE NETWORK RED DE SATÉLITE		LUX-G6-12		SECTION SPÉCIALE Nº SPECIAL SECTION No. SECCIÓN ESPECIAL N.º	CR/C/2245	
STATION TERRIENNE EARTH STATION ESTACIÓN TERRENA		1 20		BR IFIC / DATE BR IFIC / DATE BR IFIC / FECHA	2632 / 11.11.2008	
ADM. RESPONSABLE RESPONSIBLE ADM. ADM. RESPONSABLE	LUX	LONGITUDE NOMINALE NOMINAL LONGITUDE LONGITUD NOMINAL	43.5 E	NUMÉRO D'IDENTIFICATION IDENTIFICATION NUMBER NÚMERO DE IDENTIFICACIÓN	108520161	
RENSEIGNEMENTS REÇUS	PAR LE BUREAU I	LE / INFORMATION RECEIVED BY	THE BUREAU ON /	INFORMACIÓN RECIBIDA POR LA C	DFICINA EL 18.06.2008	

Cette demande de coordination, reque par le Bureau des radiocommunications en vertu du numéro 9.30 du Réglement des radiocommunications, a été examinée au the des numéros 9.36 et 9.36 et est publiée conformément au numéro 9.38. Elle est au bordonnée au type de coordination indiqué dans la colonne de gauche par

This request for coordination, received by the Radiocommunication Bureau pursuant to No. 9.30 of the Radio Regulations, has been examined under Nos. 9.35 and 9.36 and is published in accordance with No. 9.38, it is subject to the form of coordination indicated in the left-hand column by an X in the relevant box

Esta solicitud de coordinación, recibida por la Oficina de Radiocomunicaciones de conformidad con el punto Nº 9.30 del Reglamento de Radiocomunicaciones, se ha examinado de conformidad con los Nº 9.35 y 9.36 y se publica de conformidad con el Nº 9.38. Está sujeta al formulario de coordinación indicado en la columna de la

un X	dans la case pertinente.	orm of coordination indicate		da con una X en la castila correspondiente.
Тур	e de coordination mentionné dans le Tableau	I / Form of coordination referred to in Table I / Forms de coordi	nación men donada en el cuadro I	
X	9.7 9.7A 9.7B 9.42 AP3007.1 AP30407.1 RS77 RS84	Conformément aux numéros 9.50 à 9.52 du Réglement des radiocommunications, les Administrations identifiées dans le Tableau I ci-après sont priées de communiquer leur déclaion à l'Administration responsable et au Bureau avant la date limite indiquée di dessous.	in accordance with Nos. 9.50-9.52 of the Radio Regulations, the Administrations identified in Table I below are requested to communicate their decision to the Responsible administration and the Bureau by the deadline indicated below.	De conformidad con los Nº 9.50-9.52 del Reglamento de Radiocomunicaciones, se solicita a las administraciones señaladas en el cuadro I a continuación que comuniquen su decisión a la administración responsable y a la Oficina antes del plazo indicado más abajo.
Typ	R S539 R S33#3 e de coordination mentionné dans le Tableau	III / Form of coordination referred to in Table II / Formulario de o	continación remitido al cuadro II	
X	9.11	Les Administrations, énumérées ou non-énumérées dans		
X X X	9.11A 9.12 9.12A X 9.13 X 9.14 9.21/A 9.21/B 9.21/C RS33W2.1	Les Administrations, enumerees ou noi-enumerees anti- le Tableau III ci-après, qui n'acceptent pas la demande de coordination au titre des numéros 9-11 à 9.14, 9.21 et RS33#2-1, sont priées de communiquer leurs sob envations à l'Administration responsable et au Bureau avant la date limite indiquée di dessous. Toute Administration qui ne réagir a pas au têtre du numéro 9.52 avant cette date limite sera considérée comme rétant pas défavorablement influencée et, dans les cas couverts par les numéros 9.11 à 9.14 et RS33#2.1, les dispositions des numéros 9.48 et 9.49 s'appliqueront.	Administrations listed or not listed in Table II below, which do not agree to the request for coordination under Nos. 9.11 to 9.14, 9.21 and RS3382.1 are requested to communicate their comments to the responsible administration and the Bureau by the deadline indicated below. Any administration not responding under No. 9.52 within this deadline shall be regarded as unaffected and, in the cases of Nos. 9.11 to 9.14 and RS3382.1, the provisions of Nos. 9.48 and 9.49 shall apply.	Se invita a las administraciones, enumeradas o no en el cuadro II, que no estén de acuerdo con la solicitud de condinación de conformidad con los N <sup>el</sup> 9.11 a 9.14, 9.21 y RSS3#2.1 que comuniquen sus observaciones a la administración responsable y a la Oficina dentro del plazo nicicado más abajo. Se considerará que toda administración que no responda de conformidad con el Nº 9.52 dentro del plazo seña lado, no está afectada y, en el caso de los N <sup>el</sup> 9.11 a 9.14 y RSS3#2.1, se aplicarán las disposiciones de los N <sup>el</sup> 9.48 y 9.49

DATE LIMITE POUR LA DÉCISION / EXPIRY DATE FOR DECISION / FECHA LÍMITE PARA LA DECISIÓN

11.03.2009



BR57 Category

BR56 Total number of units

### Cost recovery summary in CR/C

		A1f3 Inter. sat. org. BR1 Date of receipt 18.06.2008 BR20/BR21 BR IFIC no./part 2632,							2632/							
BR6a/BR6b	ld. no.   108	3520161	3	BI	R3a/BR3b Pro	vision reference	9.6	9.6 C BR2 Adm. serial no.								
B1a Beam designation	B2 Emi-Rcp	BR8 Action code	BR7a Group id.	BR9 Action code	13A Conformity with RR	C3a Assigned freq. band	Freque	BR47 ncy bar	id (MHz)	BR15 Provision reference	BR53 Nb of freq.	C4a Class of station	BR54 Nb of emiss.	BR55 Nb of unite		
TUHR	E	9	108627556	(A)	A	77000	235	105270	312	9.21,9.7	1	EI	2	2		
	50360		108627557	- 3	Α	7000	315	17	322	9.21,9.7	1	EI	2	2		
			108627558	len l	A	64500	335.4		399.9	9.13,9.21,9.7	1	EI	2	2		
TV1R	E		108627559		A	2000000	37500	15	42500	9.7	2	EC	1	2		
			108627560	- 3	A	1000000	39500	- 2	40500	9.7	1	EC	1	3		
			108627561		A	1000000	39500	1.5	40500	9.7	1	EI	1	3		
			108627562	an ji	A	1000000	41000	30 E	42000	9.7	1	EA	- 1	1		
TV2R	E		108627563		A	2000000	37500	19	42500	9.7	2	EC	1	2		
			108627564	- 9	Α	1000000	39500	- 2	40500	9.7	1	EC	1	1		
						108627565		A	1000000	39500	-	40500	9.7	1	EI	1
			108627566	es 3	A	2000000	40500	827783	42500	9.7	1	EA	1	1		
TVR	E		108627757	\$ S	A	30000	2170	772	2200	9.13,9.14,9.7	1	EI	6	6		
TX1R	E		108627567		A	125000	7250	15	7375	9.7	1	EC	1	]		
			108627568	- 8	Α	125000	7250		7375	9.7	1	EC	1	1		
			108627569		A	125000	7250	1-	7375	9.7	1	EC	1	1		
			108627570	8	Α	375000	7375	27	7750	9.7	1	EC	1	1		
			108627571		A	375000	7375	-	7750	9.7	. 1	EC	1	1		
			108627572	- 8	A	375000	7375	27	7750	9.7	1	EC	1	1		
			108627573		A	125000	7250	-	7375	9.21,9.7	1	EI	1	1		
			108627574	1 1	Α	125000	7250		7375	9.21,9.7	1	EI	1	1		
			108627575		A	125000	7250	1.0	7375	9.21,9.7	1	EI	1			



### What are Units?

– Units =

the number of frequency assignments

X

number of classes of station

X

number of emissions

summed up for all frequency assignment groups

- Only applicable for categories C1, C2, C3, N1, N2, N3
- For these categories, flat fee applies for units > 100



# Example (units):

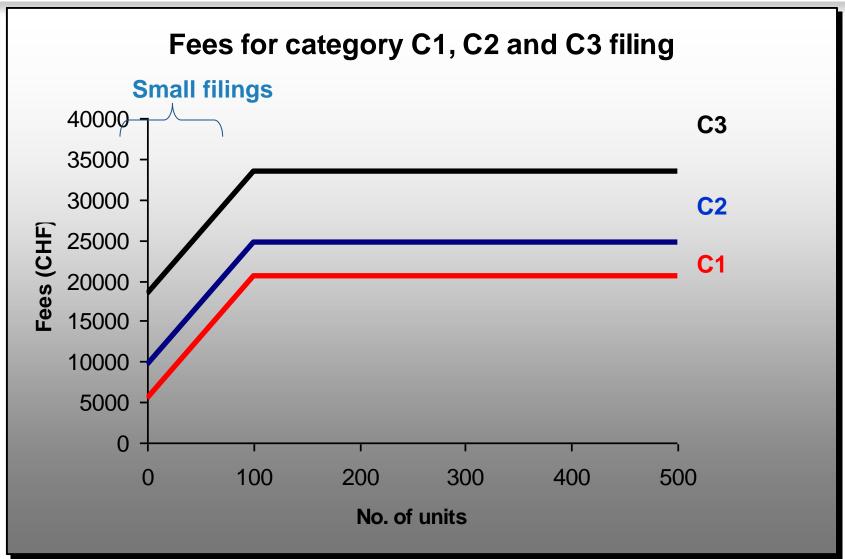
SECTION SPE	CIALE / SPE	ECIAL SEC	TION / SECCIÓ	N ESPEC	CIAL/特节/C	ПЕЦИАЛЬНАЯ	ص / СЕКЦИЯ	القسم الخا	<u>en</u>	niss.				CR/C/22
A A1a S	at. Network	SWANSAT	-3A		A1f1 Notifying	adm. NRU	A1f3 Inter.	sat. org		BR1 Date of receipt 15.05	.2008	BR20/BR21 BR IF	IC no./part	2629/
BR6a/BR6b				BF	R3a/BR3b Pro	vision referenc	e 9.6			BR2 Adm. serial no.			\ \ <u>\</u>	
B1a Beam designation	B2 Emi-Rcp	BR8 Action code	BR7a Group id.	BR9 Action code	13A Conformity with RR	C3a Assigned freq. band	Freque	BR47 ency ban	d (MHz)	BR15 Provision reference	BR53 Nb of freq.	Class of station	BR54 Nb of emiss.	BR55 Nb of un
			108617349		A	3000000	71000	-	74000	9.7		EC	3	
			108617350		A	3000000	71000	-	74000	9.7	1	EC	3	$\mathcal{A}$
			108617351		A	3000000	71000	-	74000	9.7	1	EI	3	
			108617352		A	3000000	71000	-	74000	9.7		EI	3	
			108617353		A	3000000	71000	-	74000	9.7		EI	3	
			108617354		A	2000000	74000	-	76000	9.7		EB, EV	3	
			108617355		A	2000000	74000	-	76000	9.7		EB, EV	3	
			108617356		A	2000000	74000	-	76000	9.7		EB, EV	3	
VD2	E		108617357		A	3000000	71000	-	76000	9.7		EC	3	
			108617358		A	3000000	71000	-	76000	9.7		EC	3	
			108617359		A	3000000	71000	-	76000	9.7		EC	3	
			108617360		A	3000000	71000	-	74000	9.7		EI	3	
			108617361		A	3000000	71000	-	74000	9.7		EI	3	
			108617362		A	3000000	71000	_	74000	9.7	1	EI	3	
			108617363		A	2000000	74000	-	76000	9.7	1		3	
	1		108617364		A	2000000	74000	-	76000	9.7	1		3	
			108617365		A	2000000	74000	-	76000	9.7	1	EB, EV	3	
VD3	Е		108617378		A	3000000	71000	-	76000	9.7	2	EC	3	
	2.60		108617379		A	3000000	71000	-	76000	9.7	2	EC	3	
			108617380		A	3000000	71000		76000	9.7	2	EC	3	
			108617381		A	3000000	71000	-	74000	9.7	1	EI	3	
			108617382		A	3000000	71000	-	74000	9.7	1	EI	3	
			108617383		A	3000000	71000	-	74000	9.7	1	EI	3	
			108617384		A	2000000	74000		76000	9.7	1	EB, EV	3	
			108617385		A	2000000	74000		76000	9.7	1	EB, EV	3	
			108617386		A	2000000	74000	-	76000	9.7	1	EB, EV	3	



# Fees for Coordination Requests

Category	Number of costrec forms of coordination	Fees (CHF)
C1	1	5 560 + 150 x Units
		(max 20 560)
C2	2 or 3	9 620 + 150 x Units
		(max 24 620)
C3	4 or more	18 467+ 150 x Units
		(max 33 467)







# Notifications (under Art 11)

**Date of receipt:** on or after 1/1/2006

Conditions

API date of receipt after 19/10/2002

#### **For N1, N2, N3 only:**

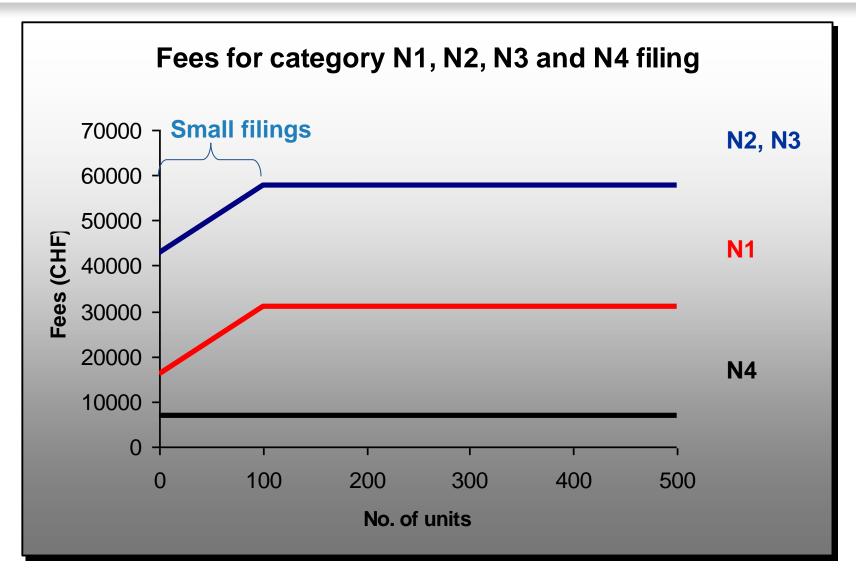
If procedure under No.11.32A is requested, invoice will be issued for 100% of the fee. Otherwise, only 70% of the fee will be invoiced, and 30% will be invoiced if and when No.11.32A is requested



# Fees for Notification (Art 11)

Category	Number of costrec forms of coordination	Fees (CHF)
N1	1	15 910 + 150 x Units (max 30 910)
N2	2 or 3	42 920 + 150 x Units (max 57 920)
N3	4 or more	42 920+ 150 x Units (max 57 920)
N4	Not subject to coordination	7 030







# Fees for Plans (AP30/30A/30B)

Category	Description	Flat fee (CHF)
P1	AP30/E, AP30A/E, AP30-30E/ (Part A or Part B)	28 870
P2	Notification under Article 5 of AP30/30A	11 550
P3	Coordination request under Article 2A of AP30/30A	12 000
P4	Procedures under Article 6 of AP30B	25 350
P5	Notification under Article 8 of AP30B	20 280

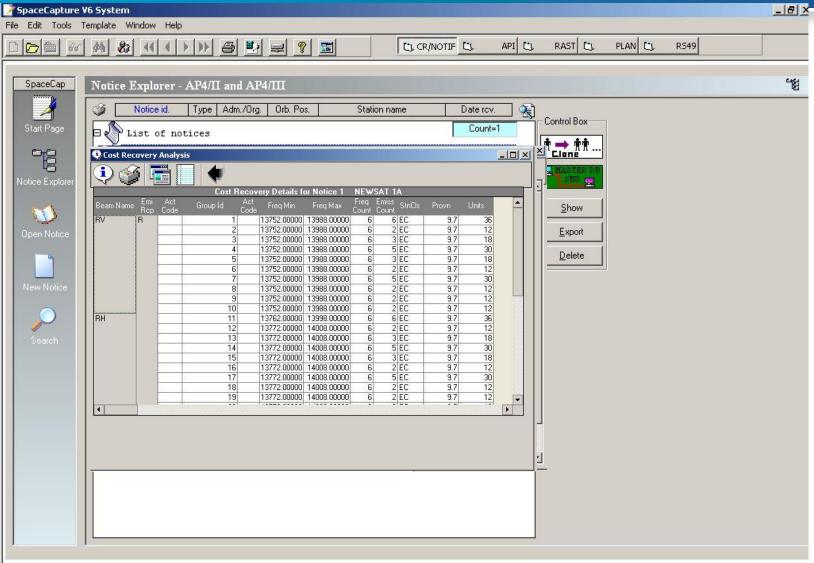


### **Invoicing process:**

- Upon receipt of filing, the BR establishes the receivability of the filings
- Invoice will be issued based on the category and units of the filing accessed at that time (see SpaceCap).
- •Following the detailed examination of the notice, if the category or units of the filing is found to be any different, any excess fee (or refund) will be issued after the publication of the notice



# Using SpaceCap to estimate cost recovery fee





### Using SpaceCap to estimate cost recovery fee

#### Cost Recovery Analysis (Dec 482 C2005)

Analysis run at: 15:27:46 on 06.10.2008

Notice id: 1

Satellite Network: SWANSAT-3A

Services: EB,EC,ED,EI,EK,ER,ES,ET,EV

Provisions: 9.11,9.7

Category: C2

Cost Recovery Units: 369

#### **Estimate of Cost Recovery Fee**

Flat Fee: CHF 24'620 (Category C2)

Total Fee: = CHF 24'620

Please note that this is an estimation.



### Address field on the invoice:

- Invoice will be issued to the Administration.
- Address: Only if an Administration request for it in writing, the invoice can be issued directly to the operator, with a copy to the Administration.

<u>To:</u> ADM

<u>To:</u>	Operator
Copy to:	ADM



### Cancellation of a filing due to nonpayment

- WRC-2003
  - No.9.2B.1, 9.38.1 etc.
    - The Bureau shall send a reminder 60 days before the due date for payment
    - If payment is not received by the due date (which is 6 months from the date of the invoice), the Bureau shall cancel the publication
- WRC-2007
  - Extended to all notifications and some additional sections of Appendix 30B
    - If notifications received and or after 1<sup>st</sup> December 2006
    - If payment is not received by 15 May 2008, the filings will be cancelled



### Withdrawal of a filing by the Administration

Upon submission of a filing, an Administration can withdraw a filing within 15 days with no charge

#### **Condition:**



After that, the filing is chargeable even if it was withdrawn before its publication



### Annual free entitlement

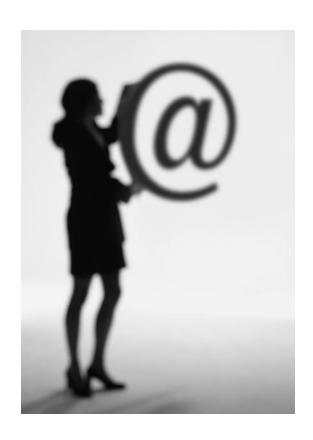
- Each Member state shall be entitled to the publication of special sections for one satellite network each year without charge
- Nomination of the free entitlement
  - To be made by the member state in its role as the notifying administration
  - Should correspond to the year of receipt of the filing
  - Can be made at the time of submission or, at the latest, by the end of the period for payment of the invoice



### References

- Council Decision 482 (C2012)
- BR Circular letter CR/295
- BR web site
  - http://www.itu.int/ITU-R/go/space-cost-recovery





Thanks for your kind attention!

Contact: akim.faloudine@itu.int