#### **BDT Activities on Spectrum Management**



István Bozsóki Head of Division BDT/IEE/SBD



## Recent Activities on Spectrum Management

Spectrum Management Master Plan (SM MP)
Spectrum Management Software (SMS4DC)
Cross-border frequency coordination (HCM4A)
Spectrum Management Training Program (SMTP)
Spectrum fee



## Spectrum Management Master Plan ITU-KOREA Projects

## SM Master Plan Project in Caribbean



#### Increasing need of efficient spectrum management

- Many countries adopted new approaches: unified licensing, market based spectrum allocation, technical neutrality, cognitive radio systems
- Requires resources and skills to update national spectrum management frameworks

#### ITU Global and Regional Objectives

- WTDC-14 Dubai Action Plan Objective 2: To foster an enabling environment for ICT development and foster the development of telecommunications/ICT networks, including enhancing awareness and capability of countries in the fields of spectrum planning and assignment, spectrum management and radio monitoring
- **AMERICA Regional Initiative 2:** To provide assistance in the transition to digital broadcasting and spectrum management, including support for the elaboration of spectrum-management plans at the national, regional and global levels

## SM Master Plan Project in ASP

- SM Master Plan Project in ASP (3 countries)
- ASP Regional Initiative 5: Policy and regulation
- Project Status
  - Bangladesh
    - 2 missions, Master Plan prepared
  - Fiji
    - 2 missions, Master Plan under development
  - Brunei
    - 1st mission done, second in May

#### Project extension signing during PP-14

- Additional Funding and modified targets of the project
- Three more countries evaluated to be the beneficiary of the technical assistance (Pakistan, Thailand and Vietnam)



## **Objectives and Targets**



- To assist governments and regulators of developing countries in the Americas Region (in particular on Caribbean Countries) and in Asia-Pacific region in developing national spectrum management master plans
  - At least for 3 beneficiary countries (6 now for **ASP**)
    - Assessment of the spectrum management scheme: spectrum policy, spectrum use, authorization, spectrum sharing, spectrum monitoring...
    - Provision of advices concerning each beneficiary country's development of relevant policies, legislations and regulations based on request and interest of the countries
- Human capacity building [3 seminars, 60 participants in total]
- Provision of guidance during implementation of the master plans, where requested by beneficiary country and agreed by ITU

## Selection of Beneficiary Countries

#### Selection Criteria (tentative)

- Reply to ITU Questionnaire: Yes
- Willingness to work with ITU: Yes
- Capacity to devote relevant and qualified internal resources to develop and implement SM Master Plan: 1(least) 5(most)
- Legal framework readiness and spectrum usage: 1 5 (low usage, no legal framework: 1)
- Representativeness of the region

#### Selection Procedure

- Sending Questionnaire:
  - ITU-Caribbean (March 2015)
  - ITU- ASP Jan 2014 and Dec 2014- Jan 2015
- Recommendation of 3 beneficiary countries: ITU-Caribbean in consultation with CTU (April 2015)
- Selection of beneficiary countries: ITU-HQ (based on ITU-CTU proposal for Americas region) with consent from MSIP, republic of Korea (May 2015)

## Activities



- Job Description and Experts recruitment: ITU in consultation with beneficiary countries and CTU for Americas region
- 1<sup>st</sup> phase focused on assessment of overall aspects: data gathering, 5 days mission (meeting with Government, interviews with stakeholders), preparation of a draft (results of the CTU SM Task Force documents/reports will be taken into account in order to avoid duplication)
- 2<sup>nd</sup> phase focused on a Master Plan for specific recommendation reflecting request and interest of beneficiary countries: preparation of advices, 5 days mission (presentation and discussion), preparing final report
- Comment and Approval: review and comments by ITU and CTU for Americas region, approval of governments for the release of final report
- Seminars: topics and materials will be decided in consultation with experts and beneficiary countries (in cooperation with CTU for Americas region)
- Assistance: to beneficiary countries for the preparation of implementation plans for Master plans; on request

## Roles and Responsibilities

- > ITU
  - Selection of beneficiary countries, recruitment of experts, provision of staff resources for overall project management, approval of the report
  - Organizing the Seminars
- > MSIP
  - Cash contribution
  - Collaborate with ITU in the selection of countries and experts
- CTU (for Americas region only)
  - Recommend beneficiary countries and experts
  - Comments on the scope of the reports, seminars and the contents of the reports

#### Beneficiary Countries

- Designate a qualified counterpart work with ITU
- Provide access to the relevant information and materials
- Provide administrative support including staff, visa, premises for the interview and training, etc.

## Report Framework (1)



#### **Executive summary**

#### **1. Introduction**

- 1.1 The context and scope for the study
- 1.2 Report contents

#### 2. Global trends in Radio Spectrum Management

- 2.1 Administrative processes
- 2.2 Existing and new licensing policies (including DSA, LSA, white space)
- 2.3 Fees
- 2.4 Market mechanisms
- 2.5 Policy in respect of non-commercial use

#### **3. Current Spectrum Management Framework**

- 3.1 Legislative framework
- 3.2 Process (including internal coordination with stake-holders)
- 3.3 Licensing
- 3.4 Spectrum fee

## Report Framework (2)



- 3.5 Monitoring, type approval and enforcement
- 3.6 Cross-border frequency coordination
- 3.7 Spectrum policy, management issues and strategy (if exists)

#### 4. Current spectrum demand and issues - by sector/service

- 4.1 Data sources used in analysis
- 4.2 NTFA (National Table of Frequency Allocation)
- 4.3 Analysis of current spectrum use
- 4.4 Issues identified by Stakeholders in relation to frequency use
- 4.5 Conclusions, issues to deal with during the assistance

#### 5. Future demands for spectrum

5.1 Spectrum Demand Trends by Sector/Service

#### 6. Recommendations and Key issues

- 6.1 Allocation Policy
  - 6.1.1 Improving information on spectrum allocations and policy
  - 6.1.2 Making allocation decisions

## Report Framework (3)



6.1.2.1 Role of ITU and other international and regional organizations

- 6.1.2.2 Role of local investors and spectrum users
- 6.1.2.3 Unique needs of the country
- 6.1.2.4 Cross-border frequency coordination agreements
- 6.1.3 Consultation arrangements
- 6.1.4 Balance between government and commercial allocations
- 6.2 Assignment, licensing, monitoring and enforcement
  - 6.2.1 Policy principles
  - 6.2.2 Licensing policy and fees
  - 6.2.3 Planning and licensing processes
  - 6.2.4 Monitoring, type approval and enforcement processes
- 6.3 Spectrum management strategy
- 6.4 Capacity building

#### Annexes Abbreviations

## Spectrum Management System for Developing Countries (SMS4DC) ITU-KOREA Project

#### **Development of version 5**

#### New features to add (1)

- Addition of a general data interface between monitoring software and SMS4DC based on the guidelines prepared for ITU-R Study Group 1/Working Party 1C (ITU-R SG1/WP1C
- Adding propagation models based on the latest version of P.452, P.530 and P. 1812, P.1546 + 11343
- Inclusion of Dynamic Link Library (dll) for Harmonised Calculation Method (HCM)
- Further development of built-in and user specified administrative reports
- Preparation of a general method to import data to SMS4DC
- Insertion of fee calculation models

#### **Development of version 5**

#### New features to add (2)

- Website design in order to provide relevant news and a forum for users to discuss their experiences. Renewal of subscription via web
- Preparation of the SMS4DC software in Spanish
- Preparation and approval of a protocol for testing and finally approving the revised version of the SMS4DC software
- Time limited version as a demo tool which can be used for introduction of SMS4DC
- Preparation of the training material (as a multimedia DVD) for assisting self-learning training of the software.

## Cross-border Frequency Coordination concept (based on HCM) Planned ITU-KOREA Project

#### **Cross Border RF Interference Mitigation in ASEAN (Project concept)**

#### × AIM

+ Develop a harmonized common approach/procedures/framework in ASEAN Member Countries that predicts possible interferences among different services and provide proper protection for existing networks, stations or links and for future services.

#### **×** Scope of Activities

- 1. Assessment Phase: Review of existing bilateral and multilateral cross-border frequency coordination agreements / procedures / practices / frameworks in ASEAN countries.
- 2. **Development of procedures:** Based on the deliverables of assessment phase, Recommend on a common administrative set of procedures and the relevant technical Annexes based on the HCM Agreement
- 3. Creation of a Technical Working Group (TWG) on cross-border frequency coordination comprising of the nominated Spectrum Managers from ASEAN countries.
- 4. Validation workshop and adoption of the recommendations of TWG including development and adaptation of a common software tool considering existing Agreement/ procedures / guidelines / practices in other regions of the world.
- 5. Finalization of software and regional training workshop(s)





#### × Partners

- + ITU
- + ICT Administrations and/or Spectrum Management organizations of ASEAN countries
- + Project Funding partners (tbc)
- × Key Stakeholders
  - + ASEAN Secretariat
  - + Major RF users and Licensees of Administrations of ASEAN countries
  - + Others including Academia, APT, CAAs, UN agencies e.g. ICAO etc. (HCM group can also cooperate if needed)





# SMTP

## Pilot Test

- OM1 "Legal Basis and Regulatory Framework of Spectrum Management" – online, English
  - Test the usability of the programme, pedagogical and assessment components and incorporate comments that will come from users.
  - December 2014 January 2015
  - PPT of PowerPoint presentations, reading materials and presentation video
  - 4 Weeks, 3 sub-modules/week
  - Chat (2/weeks) and discussion forum
  - Test for each week
  - Evaluation form

https://academy.itu.int/news/item/1593/

#### Promotional video on SMTP

#### **Other activities (1)**

Regional Workshop on Spectrum Management and Transition to Digital Terrestrial Television Broadcasting for Europe

#### Budapest, Hungary, 5-7 May 2015

- Digital terrestrial television transition;
- Utilization of the Digital dividend;
- Post transition activities
- Spectrum management structure and tools

#### http://www.itu.int/en/ITU-D/Regional-Presence/Europe/

### Other activities (2)

- CTU SM Task Force
  - Regional and national table of frequency allocation
  - Cross-border frequency coordination
  - Spectrum pricing
  - Digital switch-over and white space management
- ITU-T SG3: Spectrum fee
  - BR and BDT presentations on the relevant activities
  - Country Assistance to Bhutan on spectrum fee
- Country Assistances
  - Review of National Spectrum practices for efficient SM in Bhutan (Feb-Mar 2015)
  - NTFA Update to Vanuatu (Sep 2014)
- Planned events
  - Satellite Launch Coordination Procedures and International Regulations with SRMC China (June 2015 online course)
  - Face to Face RF monitoring training in SRMC China (4 -13 August 2015)
  - ITU-APT event on Spectrum management and DTV in Pacific (Fiji, dates to be finalized)

## THANK YOU !!

István Bozsóki Head of Division BDT/IEE/SBD Istvan.bozsoki@itu.int sbd@itu.int



