

China Communication Standards Association (CCSA)

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Course Objectives:

- Introduce the standardization system in China;
- Introduce CCSA's work;
- Introduce Conformance Testing Specifications by CCSA;
- Introduce CCSA's cooperation with international SDOs.

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Background and Establishment
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• IPR Policy

• International Cooperation

Background and Establishment



"Monopoly Period" (ended in1997)

Government's responsibilities for telecom standards:

- Standards project plans, funding, drafting, examination and approval, and publication;
- Government research institutes played a leading role;
- Government as the driving force behind China's telecom standardization.



Background and Establishment

CCSA was founded in 2002.

- A step towards China adopting a market economy system;
- Main purpose is to allow enterprises become the main working and driving force in the standardization process;
- CCSA's Nature -- A non-profit society of legal person, established voluntarily by enterprises and institutes in China for carrying out standardization activities in the ICT field.

Background and Establishment



Since then, the process has evolved into:

- CCSA drafts an annual standardization project plan.
- Government examines and approves the annual plan.
- CCSA prepares drafts for final approval.
- Government approves and publishes the standards.



Government Voice —Standardization Reform



State Council released "Reform Plan for Further Improving Standardization Work" on 26 March, 2015.

The plan includes 6 specific reform measures:

- Establish a coordination and promotion mechanism;
- Streamline mandatory standards;
- Optimize recommended standards;
- Cultivate and develop consortia standards (CCSA has been appointed as a pilot organization);
- Activate and liberate enterprise standards;
- Upgrade Chinese standards to be more in line with international standards.

Government Voice —Standardization Reform



Work plan on how to implement "Reform Plan for Standardization System in China" (2015-2016)

Released in August, 2015

The plan for specific measures to enforce the reform of standardization system, including assessing mandatory standards, and reviewing voluntary ones, etc.

Development Plan for National Standardization System (2016-2020)

Released in December, 2015)

The first national special plan on standardization to deploy the promotion and implementation of standardization strategies, defining a national standardization system which supports the national governance system and governance capability modernization

The draft revision of Standardization Law of the People's Republic of China

Released in March, 2016 to solicit opinions

The first revision to 1989 Standardization Law, adding consortium standard to its standardization system

Government Voice —Standardization Reform



Current Standardization System



New Standardization System

New standardization management mechanism

CCSA Standard



- Standardization Administration of the People's Republic of China (SAC) appointed 39 social organizations to carry out the pilot work of consortium standards in July 2015. CCSA is among the 39 organizations.
 - Consortium standards published in 2015.
 - 29 CCSA Standards(YDB);
 - 125 Technical Reports.
 - -The total amount of consortium standards published by CCSA.
 - 101 CCSA Standards(YDB) ;
 - 530 Technical Reports.

CCSA's Principles and Operations



- Principle of "Openness, Fairness, Justness and Consensus"
- A market-oriented operating mechanism in which:
 - the government plays a guiding role, with
 - joint efforts by manufactures, universities, research institutes and users for standards development,
 - -innovation as the core strength.

Scope of Activities



- To promulgate the state laws, regulations and policies on standardization;
- To propose R&D projects of communications standard;
- To conduct study and survey on standardization system
- To promote the implementation of communications;
- To organize domestic and international exchange and cooperation;
- To undertake work related to standardization commissioned by the authority, members of CCSA or other organizations.

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Members and Observers (2015)



The membership of CCSA is open to corporate bodies only, including R&D institutes, design institutes, manufacturers, operators, universities and other societies.





Members and Observers

Chart of membership (2002 – 2015)





Organizational Structure





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IP & Multimedia Communication Technical Committee



- Multimedia services and systems, data communications and data communication networks, remote information systems, IP service & applications, IP network equipment
- Correspondence of international SDOs: ITU-T SG16 and SG17



Network & Switching Technical Committee



- General requirements, performance, switching, numbering, services, signaling protocol, billing principle, network interface and interconnection of information and communication network.
- Correspondence of SDOs: ITU-T SG13, SG11 and SG2.



Communication Power Supply & Station Operation

- Areas of research and standardization
- Power supply of communication Equipment, Power supply of communication station; Station Operational Environment.



Wireless Communication Technology Committee



- Mobile Communication, Microwave, satellite communications, mobile services and applications, all types of radio frequency demand characteristics.
- Correspondence of international standardization organizations: ITU-R, 3GPP, 3GPP2, IEEE, OMA, etc.

Wireless Communication Technology Committee





Transport & Access network Technical Committee



- Transportation network, System and Equipment, Access network, Transfer media and apparatus, Television and Multimedia digital signal transfer, and so on.
- Correspondence of international SDOs: ITU-T SG15, SG6 and IEC TCs.



Network management & Operation Support Technical Committee



- Network management and maintenance, telecommunication operational support system.
- Correspondence of international SDO: ITU-T SG4.



Network & Information Security Technical Committee



- Standards for Network and Information Security of Internet for public services, standards for Network and Information Security of the convergence of telecommunication network and Internet, standards for Network and Information Security in special telecommunication fields;
- Correspondence of international SDO: ITU-T SG17.



Electromagnetic Environment & Protection Technical Committee



- Electromagnetic compatibility of telecommunication equipment, Safeguard against lighting strike and strong electricity; to ensure electromagnetic radiation is safe and does not threaten our health, and electromagnetic information security
- Correspondence of SDOs: ITU-T SG5, as well as IEC / CISPR, EN, IEEE, WHO, ANSI, etc.



Ubiquitous Technical Committee



Areas of research and standardization

 Carry out targeted standard studies on ubiquitous networks related technologies by establishing several project groups. Based on ubiquitous network-related businesses that are carried out by operators, technical solutions proposed by research institutes & manufactures and examples of informatization application for specific industries.



Mobile Internet Application and Terminal Technical Committee



- Terminology definition, demands, architecture, principle and security of mobile internet application; the ability, software & hardware, interface, integration, universality of various forms of terminal, terminal peripheral component and terminal security.
- Correspondence of international SDOs: ITU-T SG12, IETF, OMA, WAC, W3C, 3GPP, 3GPP2, GSMA, etc.



Communications Equipment Energysaving and Comprehensive Utilization Special Task Group



Areas of research and standardization

• Energy-saving, recycling of waste, Limit harmful substances and ensure clean production of communications equipment

Emergency Communication Special Task Group



Areas of research and standardization

 To carry out studies on comprehensive, managerial and architectural standards of Emergency Communication, including policy, network and technology supportive standards.

Telecommunication Infrastructure Construction Co-construction and Sharing Special Task Group



Areas of research and standardization

 To carry out studies on telecommunication infrastructure construction co-construction and sharing standards according to industry demands. This includes EMC, electromagnetic radiation, Electromagnetic mutual interference of telecommunication infrastructure construction co-construction and sharing.

Telecom Billing Special Task Group



Areas of research and standardization

 To carry out studies on telecom billing-related standards and technology, supporting industry authorities in charge of telecom billing supervision.

Current Working Fields



- Essential standards make up a small portion of CCSA's work;
- Divisional and segmental standards make up the bulk of CCSA's work;
- Mandatory standards, such as those for product safety, environmental protection and rare earths consumption;
- Technical reports and research projects in emerging spaces such as M2M, Internet of Things (IoT) and cloud computing, etc.



CCSA Main Tasks in 2016





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CCSA's Standards Output



<u>588</u> standards were published in 2015.

- <u>44</u> National Standards (GB);
- <u>390</u> Industry Standards (YDT/YDC);
- <u>29</u> CCSA Standards(YDB);
- <u>125</u> Technical Reports.



Conformance Testing Specifications

		<u> </u>
NO.	Standard	ТС
1	The Conformance Testing Specification for IPv6 Routing Protocol Intermediate system to Intermediate system intra-domain routing information exchange protocol (IS-ISv6)	TC1
2	The comformance testing specification for border gateway protocol (BGP4)	TC1
3	The conformance testing specification for intermediate system to intermediate system routing exchange protocol (IS-IS)	TC1
4	The conformance testing specification for open shortest path first (OSPF)	TC1
5	Study on Conformance Test Method for LTE-Advanced Terminal	TC5
6	Test Method for User Equipment of voice over LTE (VoLTE) Part2:Conformance Test	TC5
7	Test Method for User Equipment of LTE FDD Digital Cellular Mobile Telecommunication Network (Phase 1) Part 4: Protocol Comformance Test	TC5
8	Test Method for User Equipment of TD-LTE Digital Cellular Mobile Telecommunication Network (Phase 1) Part 4: Protocol Comformance Test	TC5
9	2GHz TD-SCDMA Digital Cellular Mobile Telecommunication Network HSPA+ User Equipment (UE) Protocol conformance specification	TC5
10	Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); User Equipment (UE) conformance specification: Part 1: Protocol conformance specification	TC5
11	Test method for HSUPA user equipment of 2GHz TD-SCDMA digital cellular mobile communication network - Protocol conformance	TC5
12	Test Method for User Equipment of 2GHz TD-SCDMA HSDPA Digital Cellular Mobile Communication Network Protocol conformance specification	TC5
13	Test Method for User Equipment of 2GHz TD-SCDMA Digital Cellular Mobile Communication Network (XXX) - Protocol conformance	TC5
14	2GHz TD-SCDMA Digital Cellular Mobile Telecommunication Network MBMS System (TD-MBMS) Protocol Conformance Test Methods of User Equipment	TC5
15	Test method for HSUPA user equipment of 2GHz TD-SCDMA digital cellular mobile communication network - Protocol conformance	TC5
16	Test method of Mobile Station Equipment Identifier (MEID) support for 800MHz/2GHz cdma2000 spread spectrum systems	TC5
17	Test Method for User Equipment of 2GHz TD-SCDMA Digital Cellular Mobile Communication Network Protocol conformance specification (Part I)	TC5
18	Test Method for User Equipment of TD-SCDMA Protocol conformance specification	TC5
19	Test Specification for 800MHz CDMA1X Digital Cellular Mobile Communication Networks : Signaling Conformance Specification for Broadcast and Muticast Service	TC5
20	Test Method for Wireless Access to the Fixed Broadband Based on 802.16d — Air interface conformance specification	TC5
21	Specification and Testing Method of Wireless LAN Access Controller (AC)-Access Point (AP) Interoperability	TC5
22	Multiple Technology Network Management (MTNM) interface conformance testing specification	TC7
23	The Consistency Test Methods for Disaster Recovery Data	TC8

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IPR Policy — Overview



- Based on the China's situation and experiences from other SDO's IPR policy, CCSA IPR Policy (for trial implementation) approved by the Council of CCSA in November 2007, was promulgated.
- This is the first IPR policy developed by a stantand-setting body in China. This laids a solid pratical foundation for the Standard Administration of PRC in drafting its national standards.

IPR Policy — Key Points



- CCSA IPR Policy (for trial implementation) consists of 13 articles, mainly dealing with patents related to standard on issues such as principle, disclosure, licensing, copyright, transfer and exemption of CCSA. Also, Template for Patent Information Disclosure and Licensing Declaration Form is annexed to constitute part of CCSA IPR Policy.
- Disclosure CCSA encourages Members to early disclose information of patents related to Standard known by Members and their Affiliates, as well as information of patents which are related to Standard or Documentation and provided by Members or their Affiliates to other standard organizations. However this does not imply any obligation for a Member to conduct any patent searches.

IPR Policy — Key Points



- Licensing—Members and their Affiliates who hold patents related to standard shall submit patent licensing declaration to CCSA. The licensing declaration shall make statement on one of the following:
 - willing to grant a free-of-charge license to any parties who implement the Standard.
 - willing to grant a license under fair, reasonable and non-discriminatory terms and conditions to any parties who implement the Standard.
 - unwilling to grant a license.
- **Denial of licensing**—When a patent holder is unwilling to grant a license under a patent related to Standard, CCSA shall review that Standard in order to seek a viable alternative technology, suggest the authorities of the state revoke the Standard, or take other effective ways to solve the problem.

IPR Policy — Key Points



- Copyright CCSA shall own the copyright of the documentation and Standards created by CCSA or its Working Organizations. The copyright of the Standards approved and released by relevant government authorities belongs to the authorities concerned.
- Transfer After making the license declaration, if the patent transfer to another person or entity, the patent holder guarantee, the transferee is still bound by this license statement.
- **Exemption** CCSA will not be involved in licensing negotiations in the implementation of Standards, which should be conducted between the patent holders and the other parties implementing the Standard. Any disputes on patent issues arising from the implementation of a Standard should be handled by the other authorities concerned.

IPR Policy — Implementation



- With the support of its members, CCSA IPR policy has been well implemented since it came into effect in 2007.
- CCSA introduces and clarifies its IPR policy to chairmans, group leaders and members involved in standardization process. CCSA requires that at any stage of standard formulation, if a company wants to disclosure their patent, it should notify the working group immediately and submit its patent licensing declaration.
- CCSA has already addressed 62 standards involving patents including 210 patents and patent applications.
- Standards involving patents mainly cover mobile multimedia, broadband access, Ethernet, IPV6, digital trucking, encryption, e-mail, cable, SCDMA, etc.
- Patents/Patent applications mainly refer to inventions, only a few of utility models.
- Patent licensing are mainly conducted uner FRAND terms and conditions, some free-ofcharege licenses, such as ZUC.



IPR Policy — Activities

CCSA lays more emphasis on IPR issues.

In 2015, CCSA organized 2 seminars to discuss IPR policy and made research on typical cases.

CCSA will keep an eye on the updates of IPR policies in other SDOs.

- ITU-T IPR Ad Hoc Group meeting
- GSC IPR meeting
- ETSI IPR meeting
- IEEE IPR meeting
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International Standardization Cooperation Ecosystem



CCSA would like to make our contributions to form a healthy international standardization cooperation ecosystem.



International Standardization Cooperation Ecosystem

Contributions to International Organizations



In 2014, CCSA members submit more than 10,000 contributions to ITU, 3GPP, 3GPP2 and other international and regional standards organizations.



Contributions (ITU+3GPP+3GPP2)

International Standards Transfer



- Standards Transfer
- International Standards
- Chinese Standards

International Standards

Chinese Standards

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Thank You!

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