ITU Asia-Pacific Centres of Excellence Training on Conformity and Interoperability

Session 5: Mutual Recognition Agreements

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Keith Mainwaring
ITU Expert





Agenda

- Aims and benefits of MRAs
- Types of MRA
- Example Framework MRA





Overview

- Mutual
 - -Riciprocal
 - -Bilateral
 - -Multilateral
- Recognition
 - -Technical requirements
 - Conformity assessment bodies
 - Conformity assessment results
- Agreement
 - Regulatory
- Arrangement
 - –Voluntary





Aims

1 test, 1 report

1 audit, 1 certificate

- Accepted everywhere





Benefits (1)

- Avoids duplication of work in testing and certification
- Eliminates redundant and costly activities





Benefits (2)

- Products may be shipped to foreign markets without any further requirements for testing and/or certification, thereby reducing costs and time to market
- Facilitates trade by promoting transparency in market access and competition
- Reduces and minimizes non-tariff trade barriers





Benefits for:

- Regulators
 - Reduced resources required for certification
- Manufacturers
 - -Lower barriers for market access
 - Increased certification process efficiency
- Conformity assessment bodies
 - -Larger market
- Consumers
 - -Greater availability of equipment at lower prices





Mutual

- Recognition of:
 - —Testing laboratories
 - –Certification bodies
- Acceptance of:
 - –Test reports
 - -Certificates





Types of MRA

Between:

- Conformity Assessment Bodies
- Accreditation Bodies
 - International Laboratories Accreditation Cooperation (ILAC)
 - —International Accreditation Forum (IAF)
- Governments
 - -Binding e.g. Canada EU & USA EU
 - –Non-binding e.g. Asia Pacific Economic Cooperation (APEC)





ILAC MRA

- Promotes the acceptance of accredited test and calibration results by establishing a network of mutual recognition agreements among accreditation bodies
- The accreditation bodies which are signatories to the ILAC
 MRA have been peer-reviewed and shown to meet ILAC's criteria for competence
- Tests results prepared by testing laboratories of one signatory are accepted by testing laboratories of all other signatories





International Accreditation Forum (IAF)

Aims to:

- -Ensure that its accreditation body members only accredit bodies that are competent to do the work they undertake
- -Establish mutual recognition arrangements, known as Multilateral Recognition Arrangements (MLA), between its accreditation body members



ILAC & IAF

- Both recognize the following Regional Cooperation Bodies which have their own MRAs:
 - The European cooperation for Accreditation (EA)
 - The Asia Pacific Laboratory Accreditation Cooperation (APLAC)
 - •The Inter-American Accreditation Cooperation (IAAC)





MRAs between governments

- Single / Multi-Sector
 - –Single sector e.g. Asia Pacific Economic Cooperation (APEC) MRA telecommunications
 - Multi-sector e.g. Canada / European Union MRA six sectors including EMC and telecommunications
- Bilateral / Framework
 - -Bilateral e.g. Canada / EU MRA
 - -Framework e.g. Asia Pacific Economic Cooperation (APEC) and Inter-American (CITEL) MRAs





APEC-TEL MRA

- Mutual Recognition Arrangement for Conformity Assessment of Telecommunications Equipment - in effect from July 1999
- MRA Implementation Guide 2001
- MRA Guide for Manufacturers 2001
- MRA Guide for Conformity Assessment Bodies 2001
- MRA-ETR Equivalence of Technical Requirements 2010





APEC-TEL MRA Partners

- Australia
- Brunei Darussalam
- Canada
- Chile
- People's Republic of China
- Hong Kong, China
- Indonesia
- Japan
- Republic of Korea
- Malaysia
- Mexico

- New Zealand
- Papua New Guinea
- Peru
- Philippines
- Russia
- Singapore
- Chinese Taipei
- Thailand
- USA
- Viet Nam
- ASEAN



MRA Pre-implementation (1)

- Review legislation, regulations and administrative procedures to determine if:
 - —They permit recognition of MRA partners conformity assessment bodies (CABs)
 - —They accept conformity assessment results prepared by MRA partners' CABs
 - -Market surveillance procedures are in place





MRA Pre-implementation (2)

- Update or develop legislation and regulations to enable the implementation of MRA
- Develop MRA procedures
- Update or develop market surveillance procedures





Example Framework MRA Conformity Assessment of Telecommunications Equipment







Attributes

- Scope
 - Equipment subject to mandatory telecommunication requirements
- Coverage
 - -Telecom, EMC and Electrical Safety
- Phases
 - Phase I acceptance of test results
 - Phase II acceptance of equipment certification
- Conformity Assessment Bodies (CABs)
 - Testing laboratories
 - Certification bodies
- Competence of CABs
 - Determined using ISO/IEC Standards 17011, 17025, 17065 plus appropriate technical regulations





Contents

- Preamble
- Purpose of the agreement
- General Provisions
- Definitions and Interpretations
- Scope
- Designating Authorities
- Designation of CABs and Appointment of Accreditation Bodies (ABs)
- Recognition of CABs and Mutual Acceptance of the Results of Conformity Assessment (CA) Procedures
- Verification of CABs
- Commencing the Agreement and Initiating Participation in Phase I or Phase II Procedures
- Information Exchange
- Joint Committee
- Additional Provisions
- Confidentiality
- Preservation of Regulatory Authority
- Fees
- Amendment and Termination of Agreement
- Final Provisions



Appendices (1)

- I. Appendix A
 - A. Common Requirements
 - B. Designation of Testing Laboratories
 - C. Designation of Certification Bodies
- II. Appendix B Phase I procedures for mutual recognition of testing laboratories as CABs and mutual acceptance of test results
 - A. Scope
 - B. Designation and Recognition of CABs
 - C. Participation in Phase I Procedures
 - D. Transition Periods
 - E. Mutual Acceptance of Test Reports
 - F. Processing of Applications
 - G. Suspension of Mutual Recognition and Acceptance Obligations





Appendices (2)

III. Appendix C – Phase II procedures for mutual recognition of certification bodies as CABs and mutual acceptance of equipment certifications

A-G Same as above, except change Phase I to Phase II and testing to certification

IV. Annexes I – Annexes IV

Annex I – List of the Technical Regulations

for Each Participating Country

Annex II – List of Name and Address of Each Designating Authority and Accreditation Body for Each Participating Country

Annex III – List of Name and Address of Each CAB Designated by Each

Participating Country

Annex IV – List of Name and Address of Each CAB or MRAs by AB Recognized by Each Participating Economy





Reference

- Guidelines for the development, implementation and management of mutual recognition arrangements/agreements (MRAs) on conformity assessment of telecommunications equipment
- https://www.itu.int/en/ITU-D/Technology/Documents/ConformanceInteroperability/Guidel inesMRAs_E.pdf





