## Emerging Radiocommunication . Technologies and Applications

Spectrum Engineering Department, The State Radio Monitoring Center, MIIT, China



国家无线电监测中心 he State Radio Monitoring Center



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State Radio Monitoring Center of China Radio Monitoring Department



#### **5G**

- Introduction
- Development Status



#### ΙοΤ

- Introduction
- Development Status

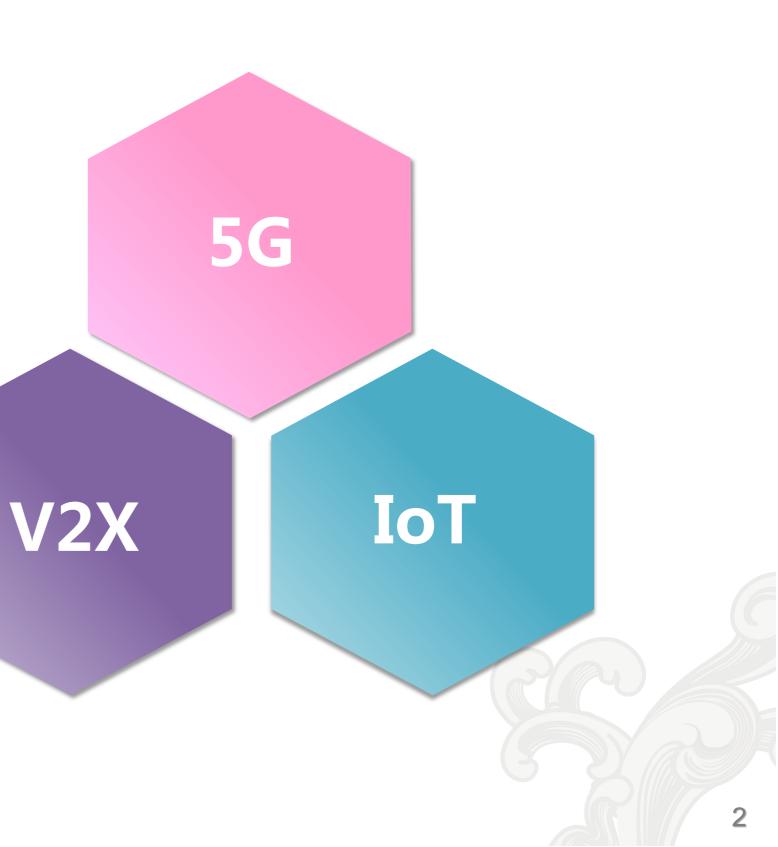
03

### V2X

• Vehicle-to-everything communications









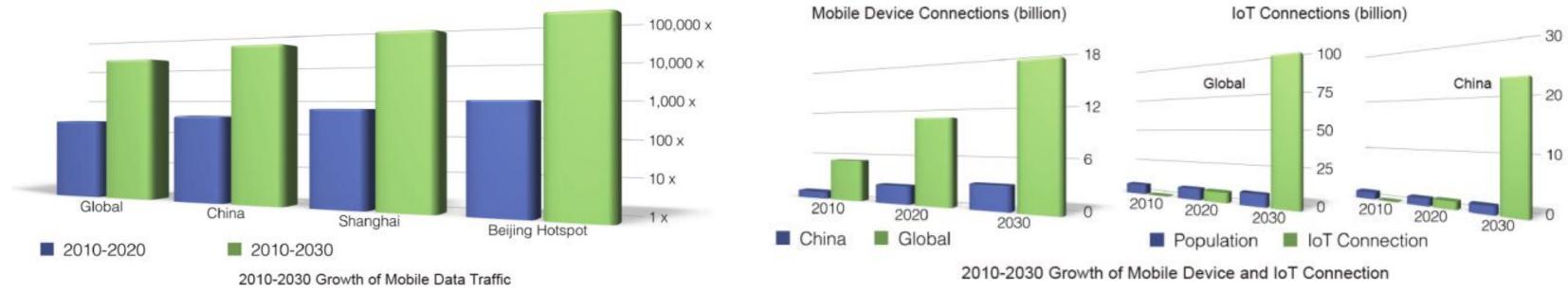


### Introduction

## Why 5G?

#### Mobile Data Traffic **Thousands of times growth**

#### **Mobile Internet & IoT Connections** Up to 100 billion



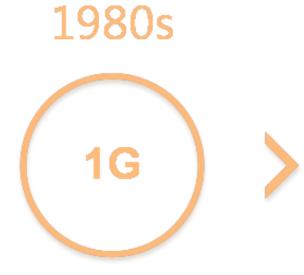
Source: IMT-2020(5G)PG - WHITE PAPER ON 5G CONCEPT

Current and projected dramatic growth of mobile data traffic necessitates the development of 5G mobile communications technology.

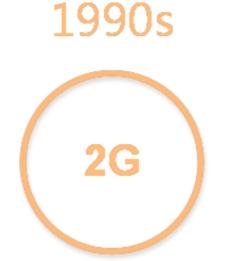


### Introduction

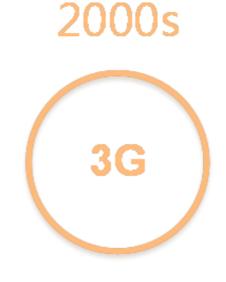
## What is 5G?



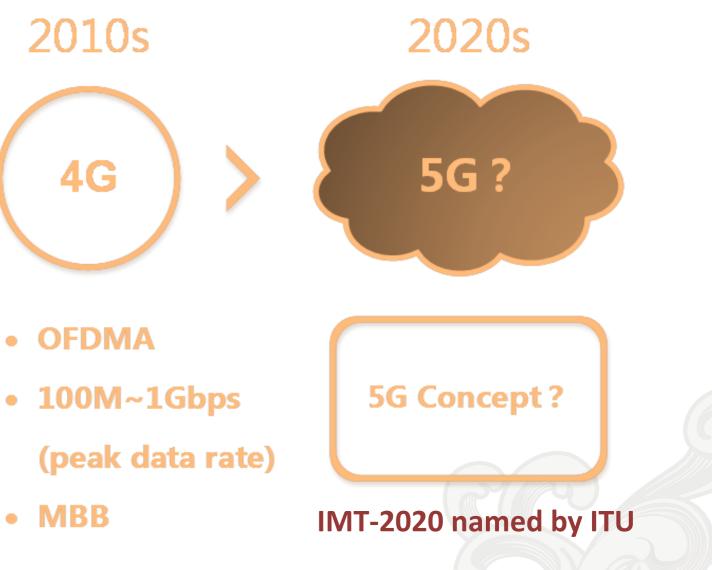
- FDMA
- Analog voice



- TDMA
- Digital voice
- Low-data-rate
  - services



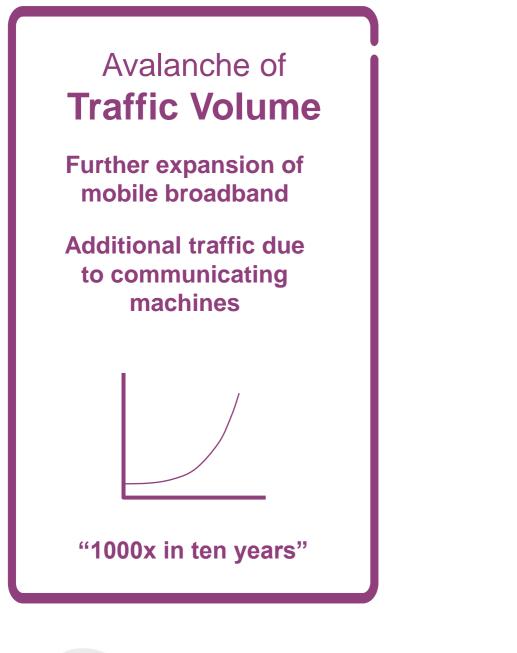
- CDMA
- 2~Tens of Mbps (peak data rate)
- Multimedia





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## Requirements—Market & Services



# Massive growth in Connected Devices

"Communicating machines"



"50 billion devices in 2020"





#### Large diversity of Use cases & Requirements

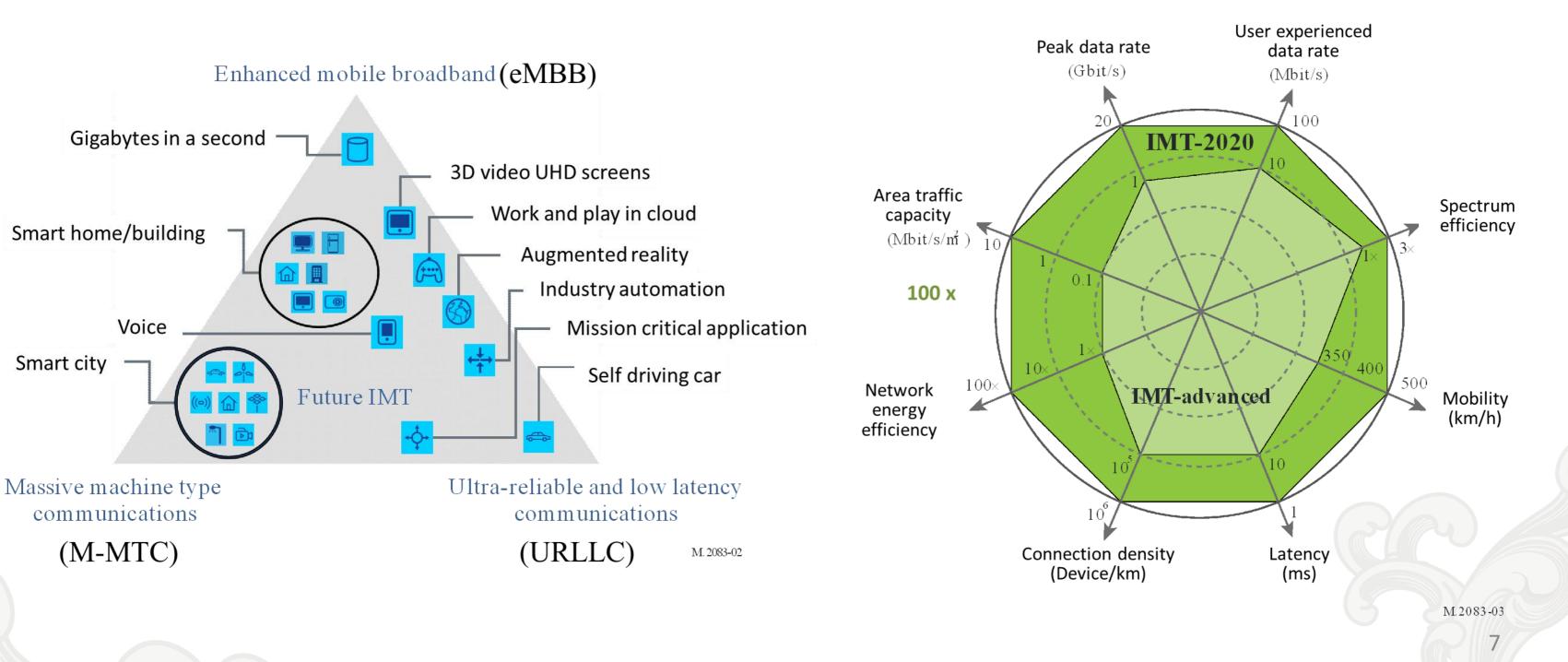
Device-to-Device Communications

Car-to-Car Comm.

New requirements and characteristics due to communicating machines

## Requirements——Scenarios & KPIS







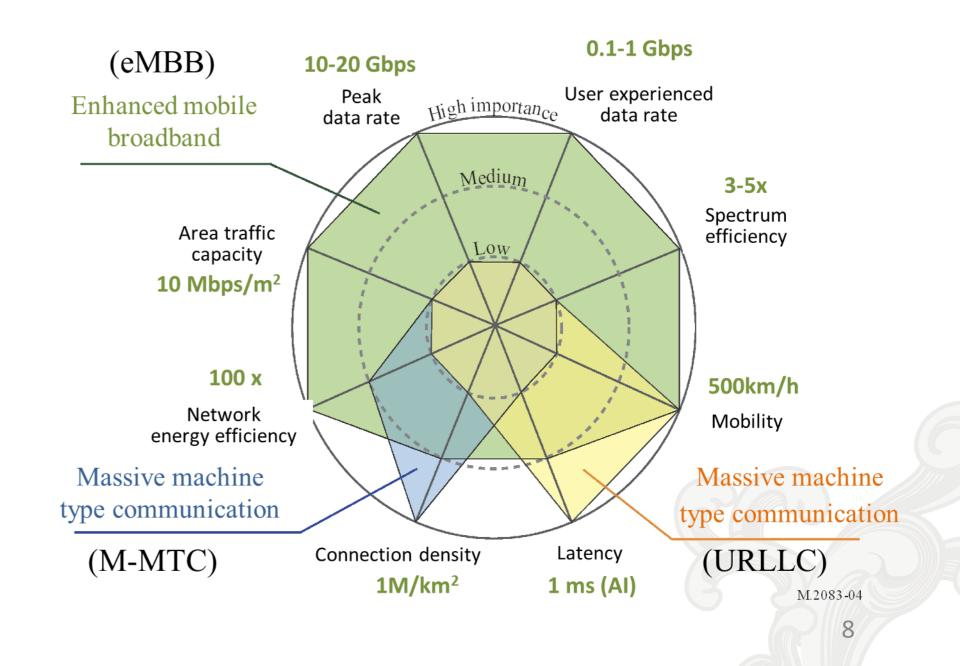


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Requirements — Evaluation

#### **5** Test environments

eMBB		
Indoor hotspot	Dense urban	Rural coverage



M-MTC	URLLC
Urban coverage	Urban coverage



#### 14 KPIs

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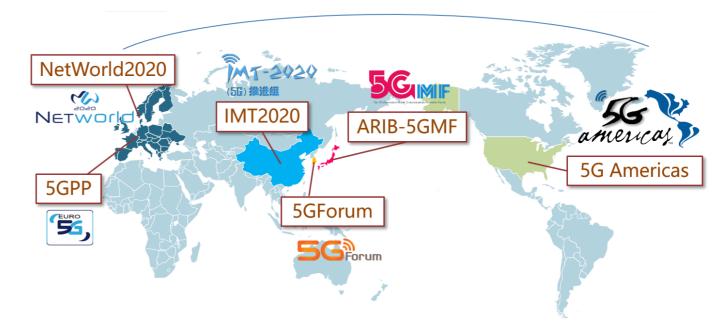
## Standards

#### **International Standards Bodies**



- ITU: International Telecommunication Union
- GSMA: GSM Association
- **3GPP:** 3<sup>rd</sup> Generation Partnership Project
- **IEEE:** Institute of Electrical and Electronics Engineers
- NGMS: Next Generation Mobile Networks
- WWRF: Wireless World Research Forum

### **Reginal/National Standards Bodies**



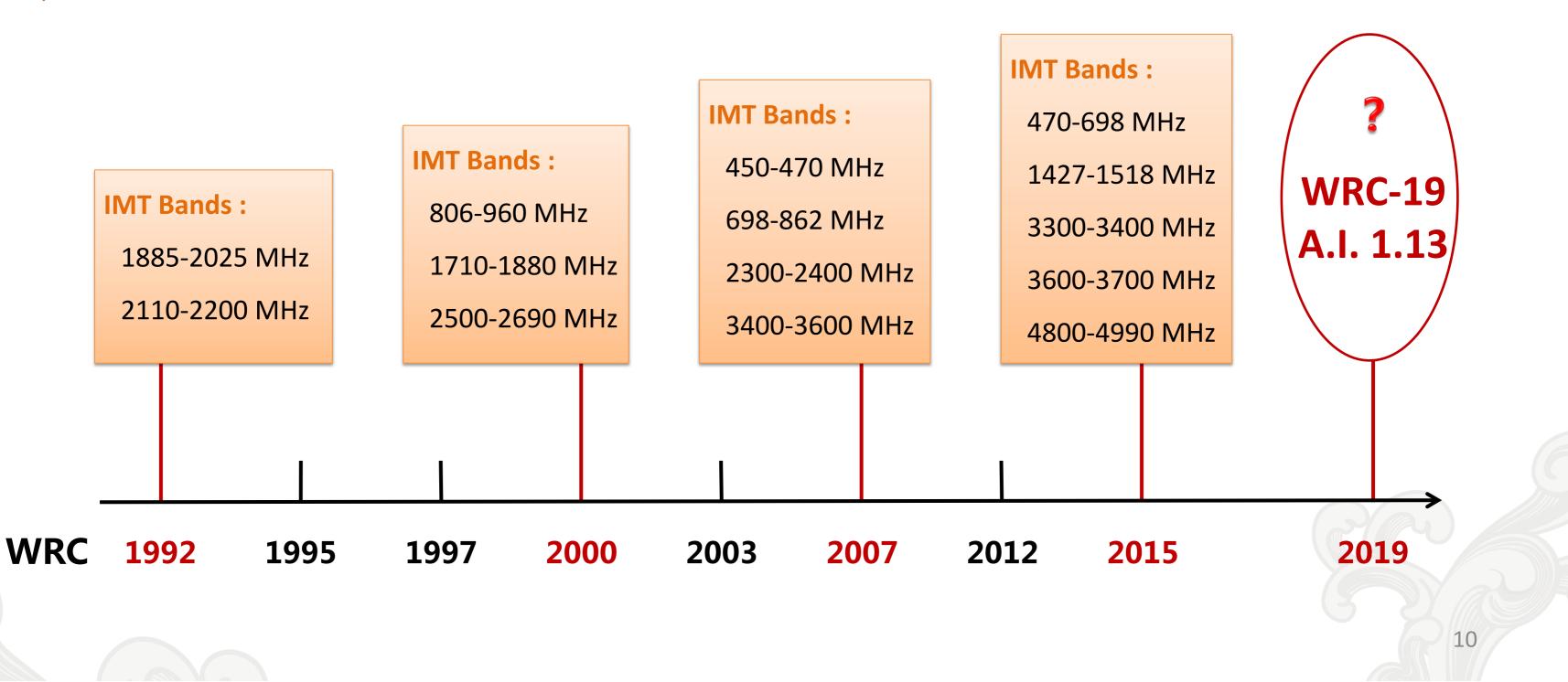
- 5G Americas: 5<sup>th</sup> Generation Americas
- 5GPPP: 5<sup>th</sup> Generation Public Private Partnership
- 5GMF: 5<sup>th</sup> Generation Mobile Communications Promotion Forum
- **5GForum:** 5<sup>th</sup> Generation Forum
- IMT-2020: International Mobile Telecom System-2020



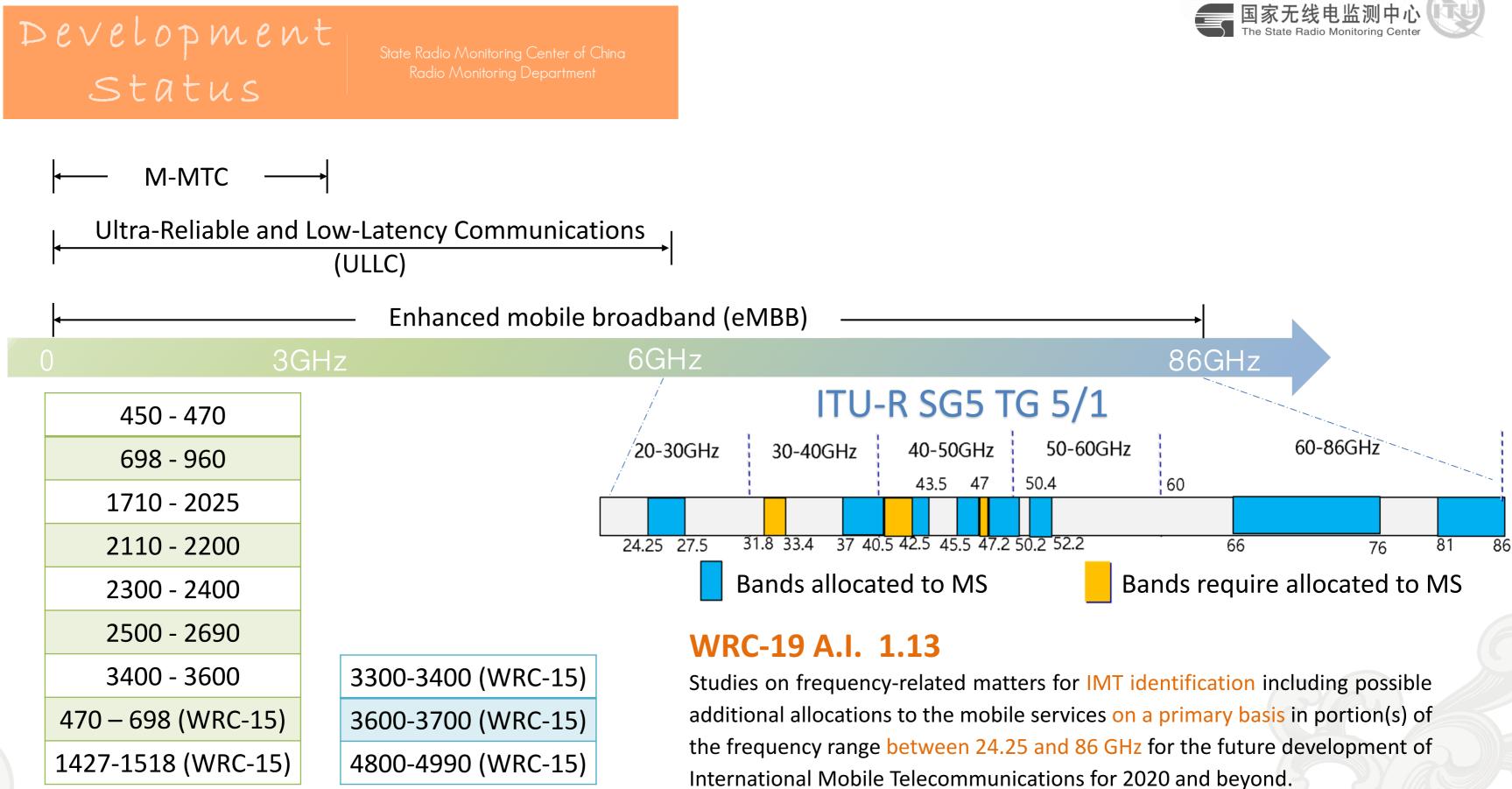


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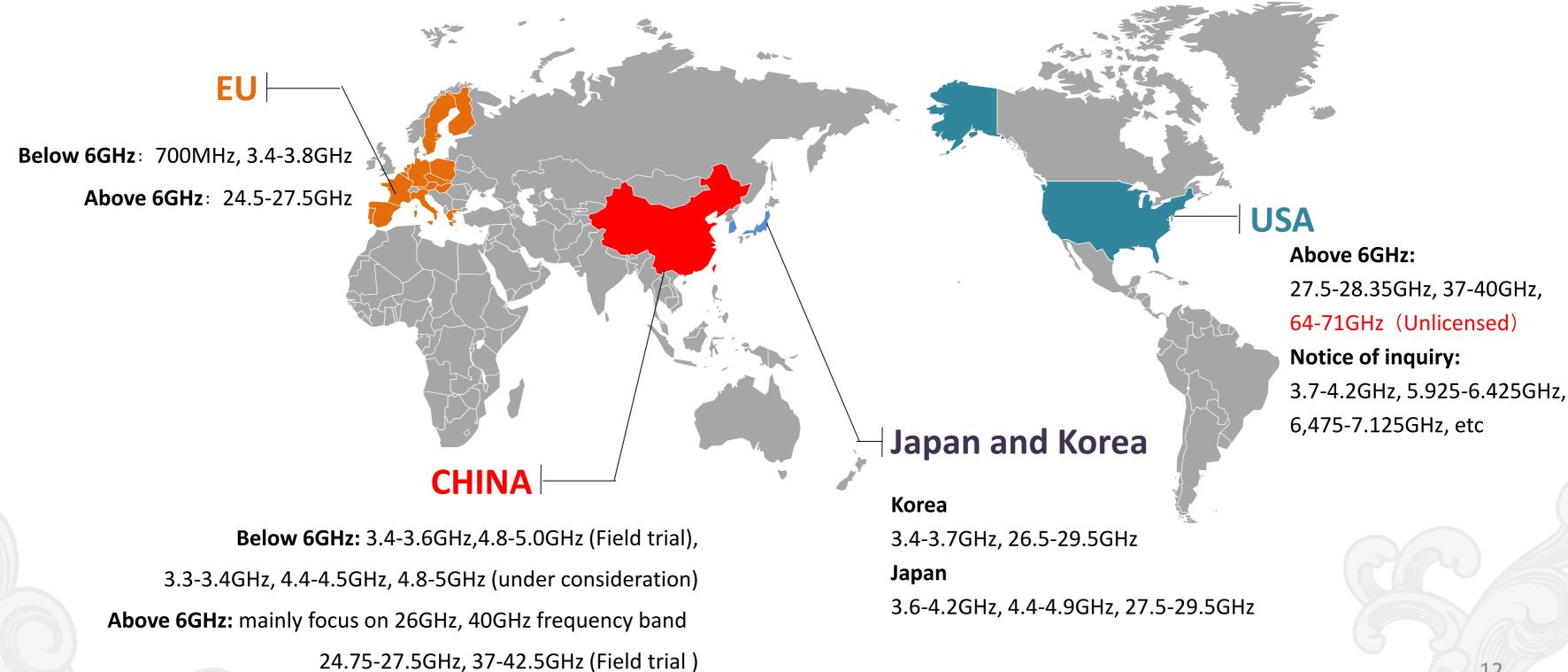
Spectrum















Spectrum—Remarks

- 5G system need to support aggregation of frequency bands:
  - $\checkmark$  frequency bands below 6GHz for 5G coverage,
  - ✓ frequency bands above 6GHz for 5G capacity and backhaul.
- Frequency band below 1GHz is applicable for M-MTC scenario
- Cooperation in ITU is important to ensure global/ regional harmonization





Technologies

#### **Unified Air interface framework**





## From Vision to Standard Innovation

Technologies make KPIs achievable



#### **Novel Network Architecture**

Network Slicing



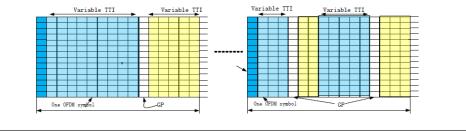
Security Algorithm

14

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#### **Flexible System Design**

- Flexible Frame Structure to support Self-contained and variable length TTI
- Flexible Waveform to provide forward compatibility
- Flexible Duplex with Symmetric TX/RX Design to realize cross link unified design and interference mitigation

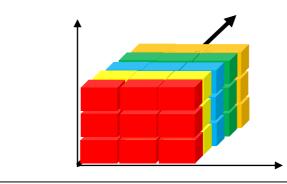


#### **Technologies for NR**

- Non-Orthogonal Multiple Access (NOMA)
  - SCMA
  - PDMA
  - MUSA

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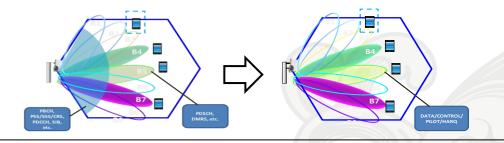
- New coding schemes
  - Polar code
  - LDPC





#### **Massive MIMO**

- Unified MIMO framework for control and data
- Control signaling and feedback
   enhancement for MU-MIMO
- More accurate CSI reporting
- More robust open-loop schemes
- Beamforming enhancement
  - Self contained beam
  - Hybrid beamforming in baseband and analog domain



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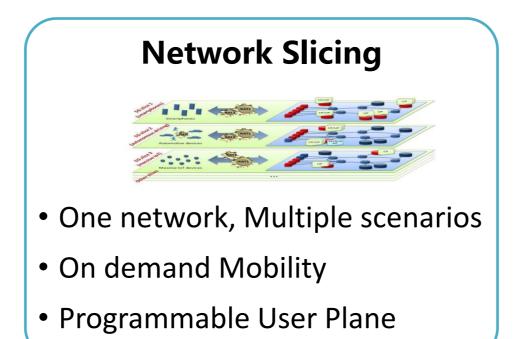
### **3GPP Release 15**

TR 23.799 Architecture on NextGen

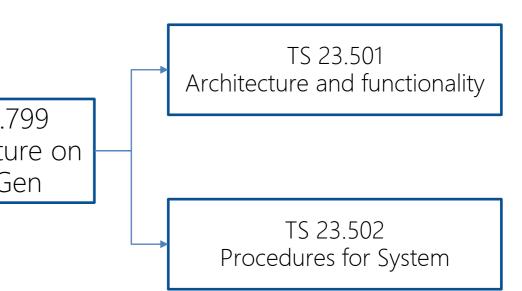
5G system Standardization comprehensively considers the aspects of **architecture**, **network function and infrastructure**.

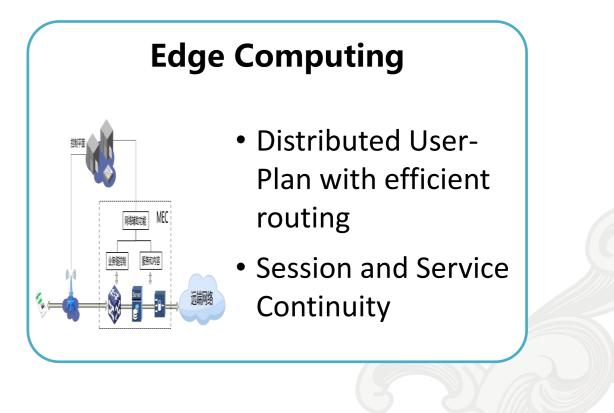
#### **Service based Architecture**

- Service based control plane
- Support flexible Network Functions Reconstruction
- Facilitate network functions reuse and capabilities exposure









## 5G studies in China

Spectrum needs of IMT-2020 by technical performance-based approach in WP5D

Deployment scenario	Macro	Micro
Below 6GHz	802-1090MHz	
24.25-43.5GHz		5.3-7.58MHz*
45.5-86GHz		
Between 24.25 and 86GHz		15-20

\*24.25-43.5 GHz for Micro scenario can also be reused in indoor hotspot.



#### Indoor

5.3-7.58MHz

9.7-12.42GHz

**)**GHz

## Consultations on frequency usage of 3300-3600MHz and 4800-5000MHz for 5G communication system (IMT-2020)

#### 3300-3600MHz and 4800-5000MHz are allocated as IMT-2020 operation bands

- 1. For **3300-3400MHz**, IMT-2020 system should, in principle, be limited for indoor use only. Without any interference to in-used station of radiolocation service, it can be used for outdoor.
- 2. For 3400-3600MHz, IMT-2020 should not generate interference to earth stations of FSS with valid license on this band. Meanwhile, IMT-2020 should provide protection to satellite telemetry system in this band and in adjacent band. Details protection method should be negotiated btw MNOs and Satellite operator.
- 3. For 4990-5000MHz, IMT-2020 shall not generate interference to radio astronomy stations listed in footnote of CHN 12 in "People's Republic of China regulations on the radio frequency allocation".

The above bands are used as IMT-2020 operating frequency bands and are managed by the national radio regulation authority. Specific regulations of frequency allocation, RF technical indices and radio station management will be developed and released in future.



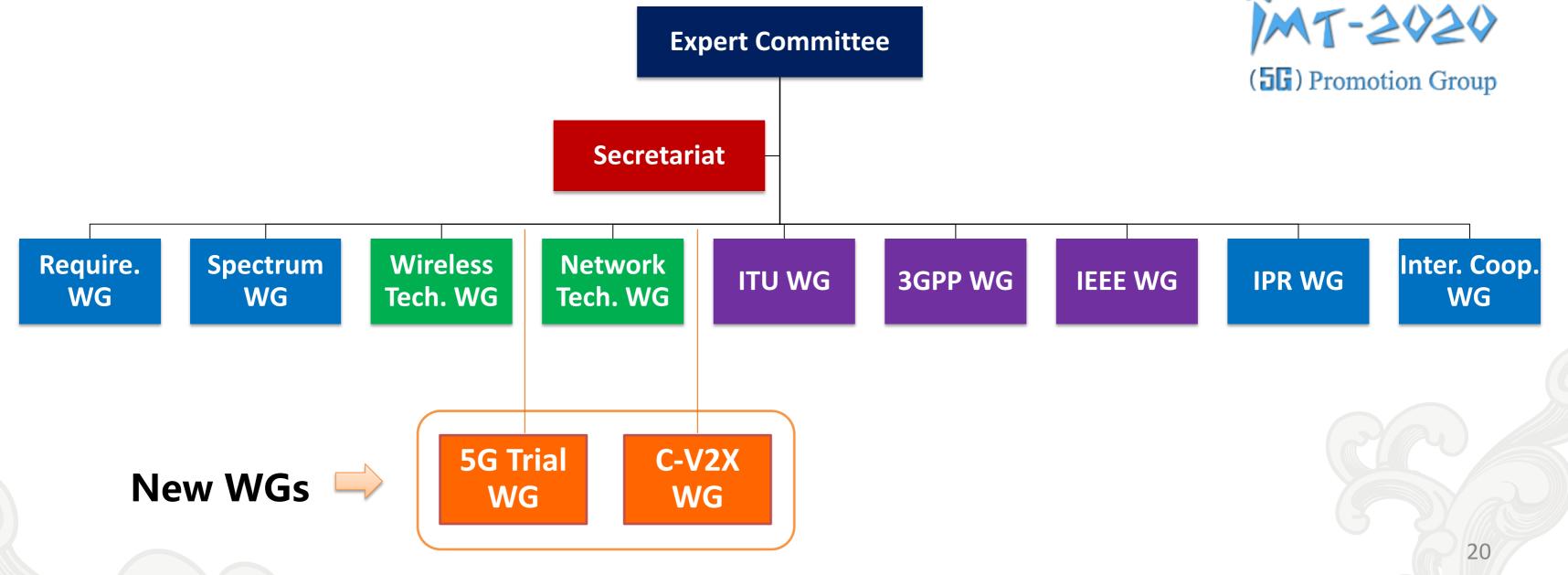
## **Consultations on mmWave Frequency Band Allocation for 5G system**

### MIIT is soliciting public comments on identifying 24.75-27.5GHz, 37-42.5GHz and other mmWave bands for 5G IMT system. Feedback may include but are not limited to following items.

- Companies/organizations which are using or plan to use these bands, could list out the current usage/intended 1. usage of these bands, deployment plan & key time point and provide opinions upon how to use these band for 5G.
- Illustrate technical problems (including RF components, chipset, instruments & etc.) to deploy 5G system in these 2. bands, as well as feasible solutions and schedule.
- Coexistence & sharing study reports could be attached in which detailed system parameters, obit/position of 3. stations, study method and results should be included.
- 4. Suggestions on how to coordinate 5G system with other systems operating in the same or adjacent bands, as well as possible technical/administrative methods to achieve the coexistence of 5G and other systems.
- Other issues need to be addressed. 5.



### IMT-2020(5G) promotion group was established in 2013 by 3 ministries in China

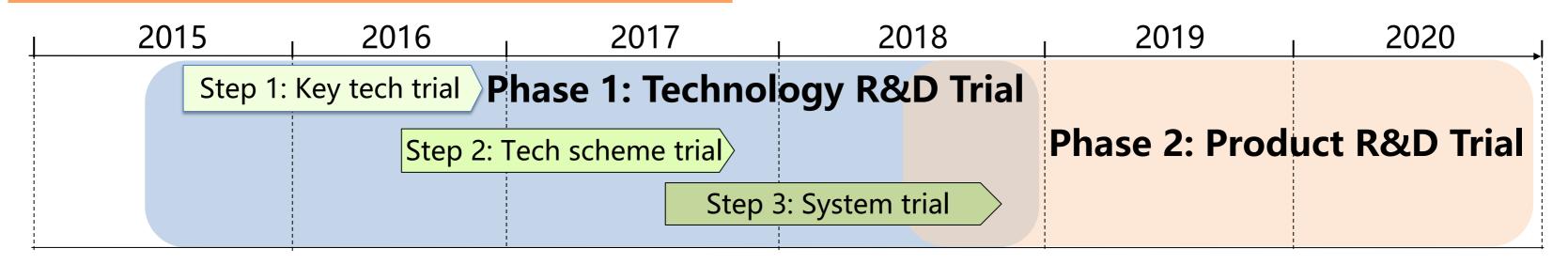








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Trail Status	Frequency bands	
Step 1	• N/A	Vendor de
Step 2	• 3400-3600 MHz	Step 2 foc bands
Step 3	<ul> <li>3400-3600 MHz</li> <li>Other below 6GHz frequency bands (TBD)</li> <li>High-frequency bands in 6-100 GHz (TBD)</li> </ul>	Step 3 cov above 6G





#### Remarks

lependent

cuses on below 6GHz frequency

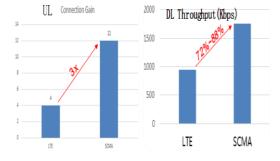
overs below 6GHz bands and GHz bands

## **Trial Results of Step 1**



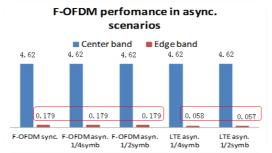
Maximum cell throughput of MU-MIMO is more than 8 times to SU-MIMO with two streams



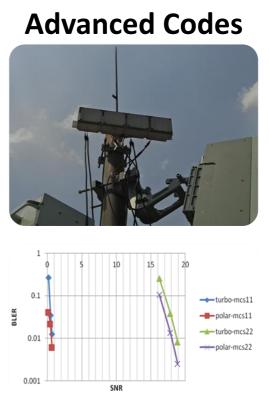


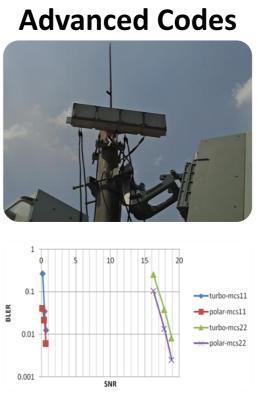
Novel multiple access can obtain 3 times connection gain and **72% - 88%** average throughput gain





New Multi-Carrier is more robust than OFDM waveform in asynchronous transmission





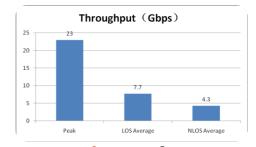
Performance gain of polar coding could achieve 0.45-0.9 dB





#### High-Freq Comm.

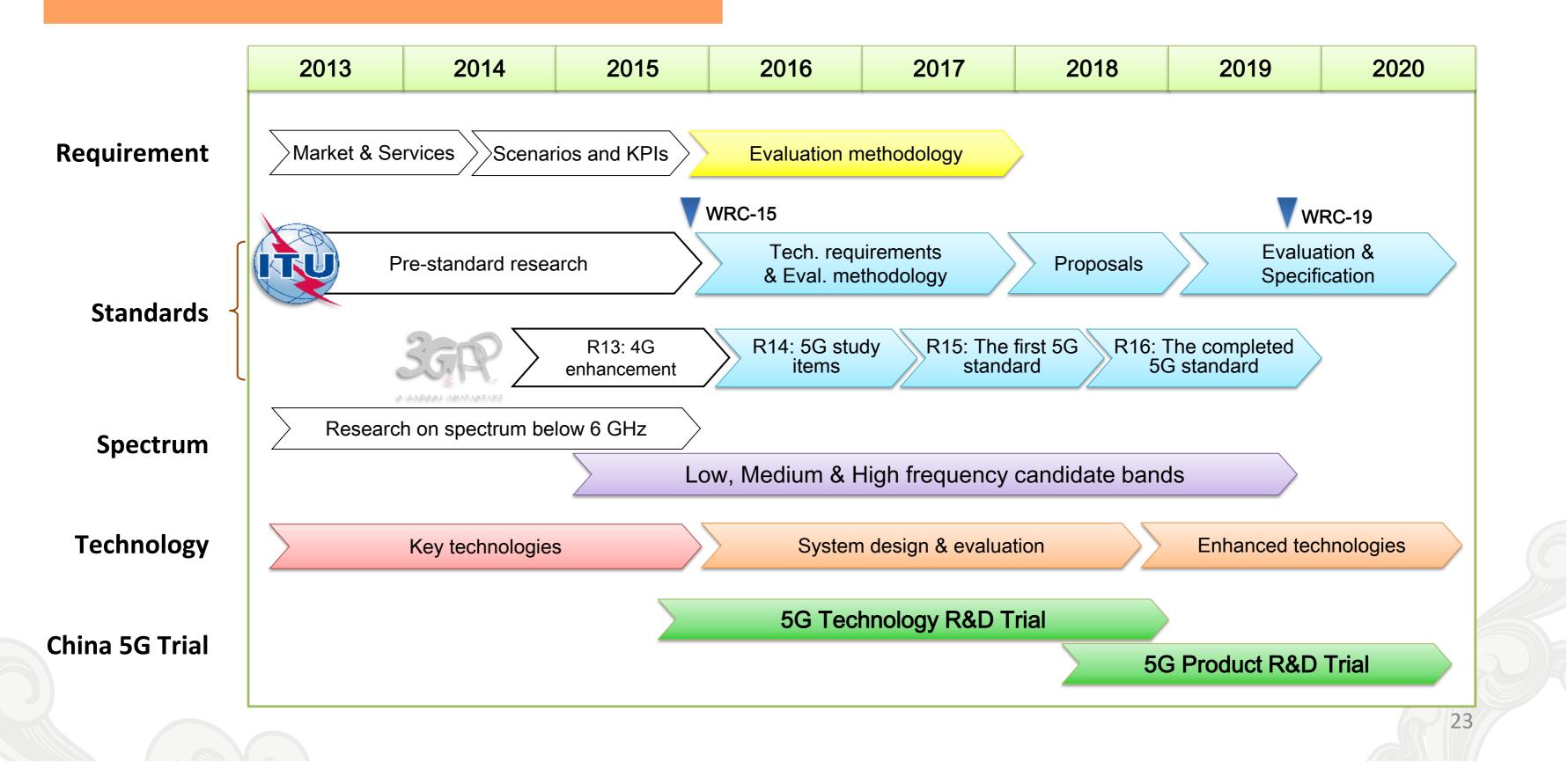




23Gbps of max throughput @800MHz, 2UEs. 7.7 /4.3 Gbps of average throughput in LOS/NLOS(Indoor).



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Introduction

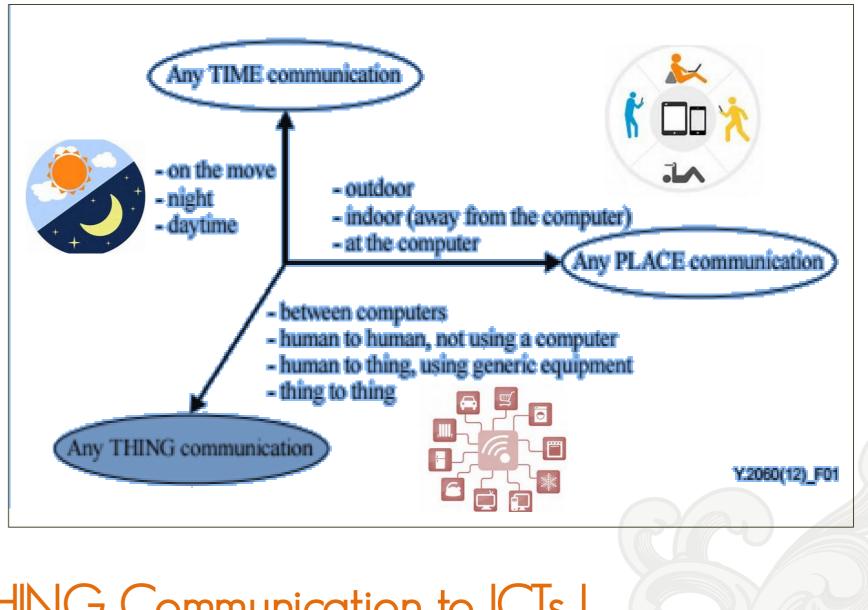
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## why IOT?

Meet the communication needs at

Any Time, Any Where with Any Device !





Added the dimension Any THING Communication to ICTs !





## what is INT?

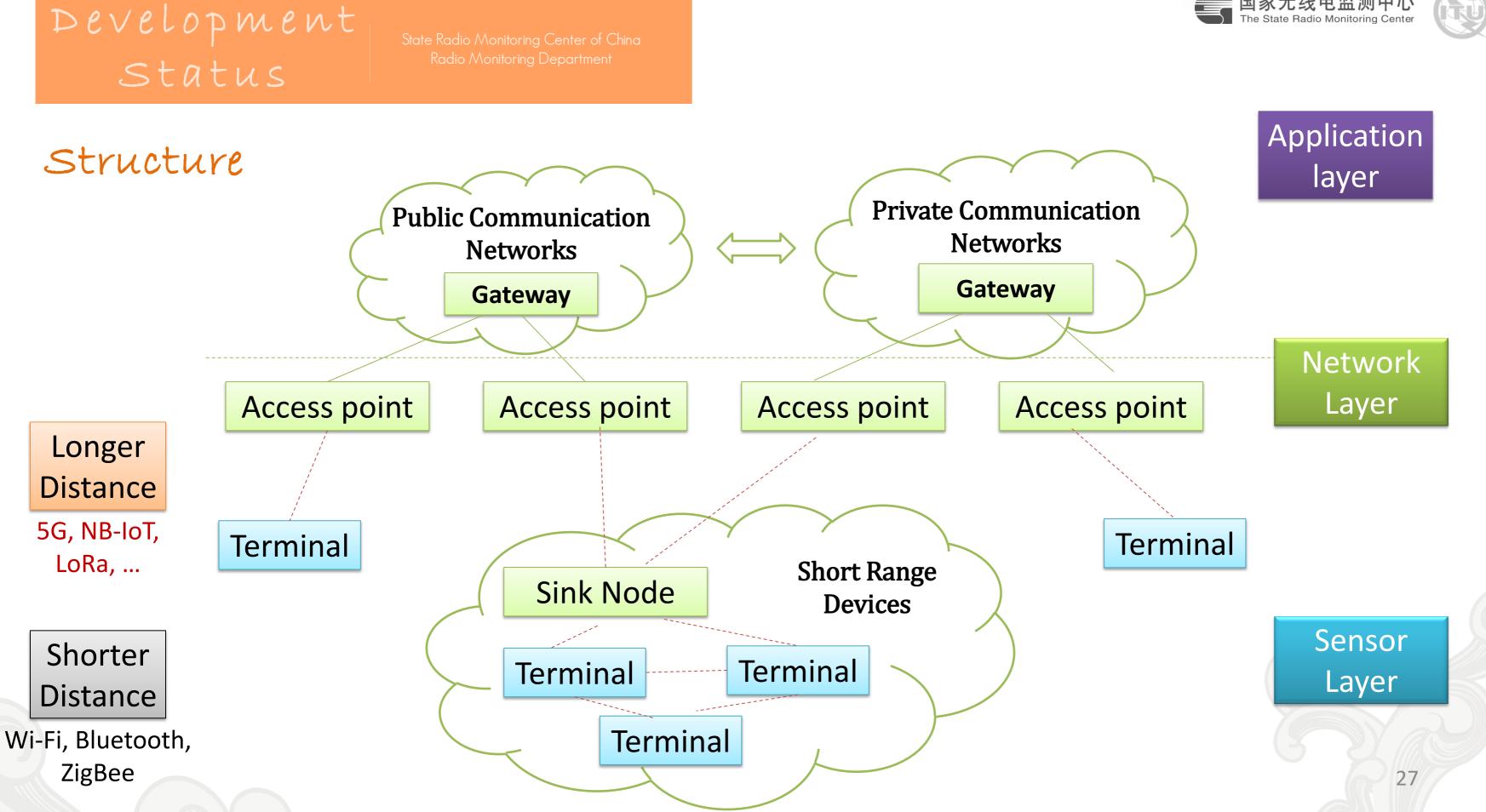
## Definition of Internet of things (IoT) in Recommendation ITU-T Y.2060 (06/2012)

A global infrastructure for the information society, enabling advanced services by interconnecting (physical and virtual) things based on existing and evolving interoperable information and communication technologies.

- NOTE 1 Through the exploitation of identification, data capture, processing and communication capabilities, the IoT makes full use of things to offer services to all kinds of applications, whilst ensuring that security and privacy requirements are fulfilled.
- NOTE 2 From a broader perspective, the IoT can be perceived as a vision with technological and societal implications.





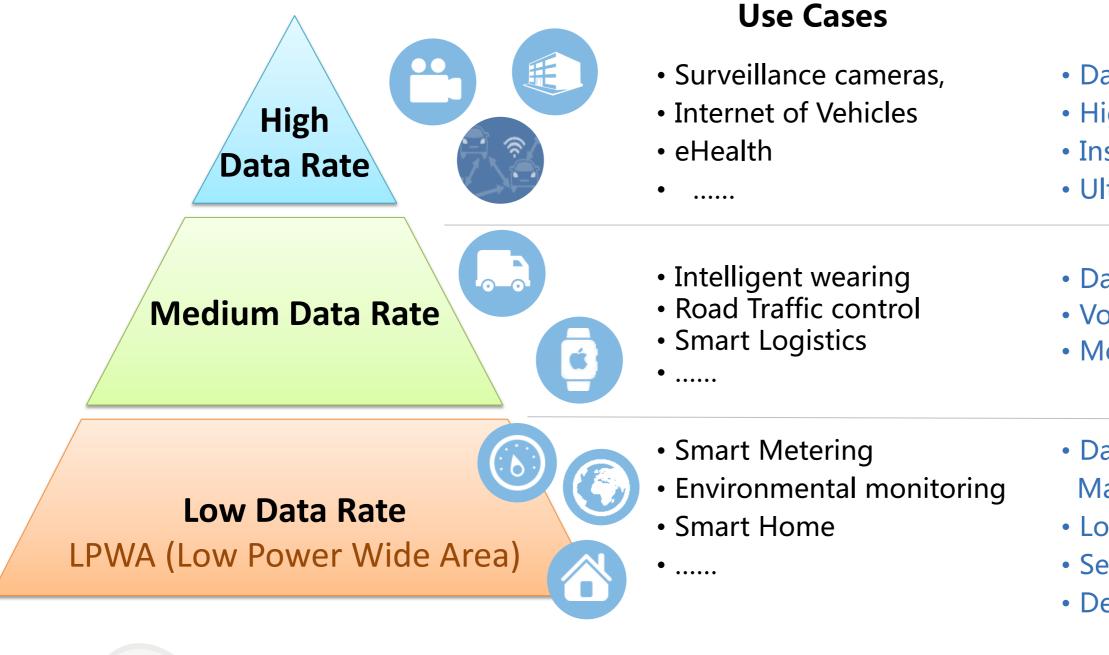






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## Use case and Requirements





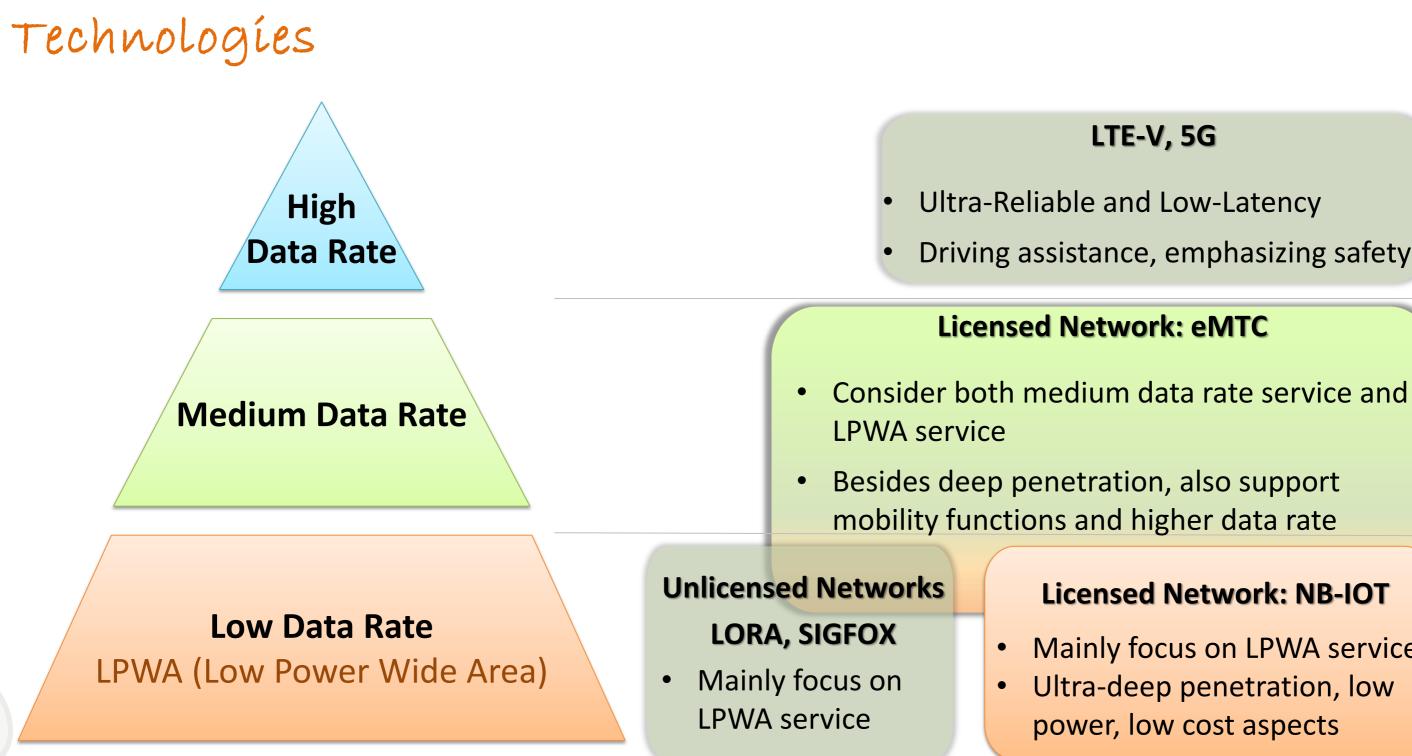


#### Requirements

Data Rate>1Mbps ,
High Traffic capacity
Insensitive to power consumption
Ultra-Reliable and Low-Latency

Data Rate : 100kbps~1Mbps
Voice Service
Mobility

Data Rate < 100kbps Mainly text data
Low traffic capacity
Sensitive to power consumption
Depth of coverage







#### LTE-V, 5G

- Driving assistance, emphasizing safety

#### Licensed Network: NB-IOT

Mainly focus on LPWA service Ultra-deep penetration, low power, low cost aspects

Technologies --- NB-10T

#### **Main simplification**



**Billions of connections 100K** per Cell



**20 dB better link budget** 

**Deep penetration** 

indoors & underground







Integrates into cellular system

**Easy** deployment







• Reduced data rate/bandwidth, mobility support and further protocol optimizations.

## Low data rate < 62.5Kbps

## Low cost devices

Low power operation

## **10+ years**

**Battery life** 

Technologies --- NB-10T

200k

200k

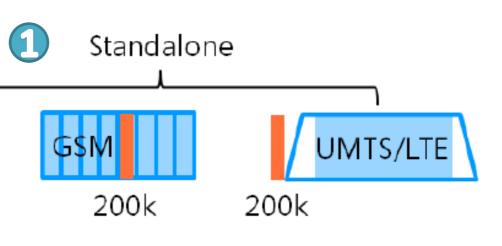
 $(\mathbf{2})$ 

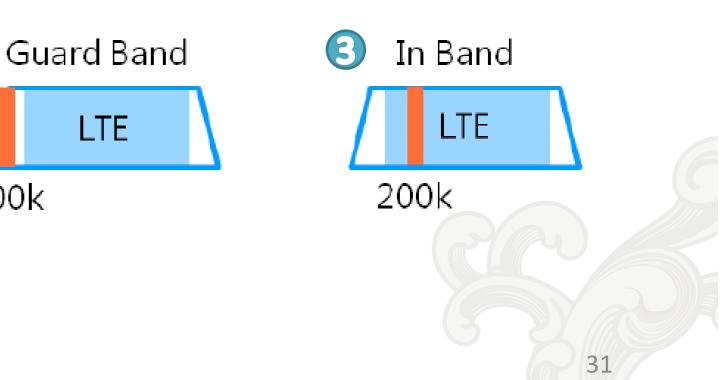
#### **Operation Characteristics**

- **3 operation modes** 
  - Standalone deployment mainly utilizes new bandwidth
  - Guard band deployment is done using the bandwidth reserved in the guard band of the existing LTE network
  - In Band makes use of the same resource block in the LTE carrier of the existing LTE network.
- Single Bandwidth: 180kHz
- FDD, half duplex(HD) modes







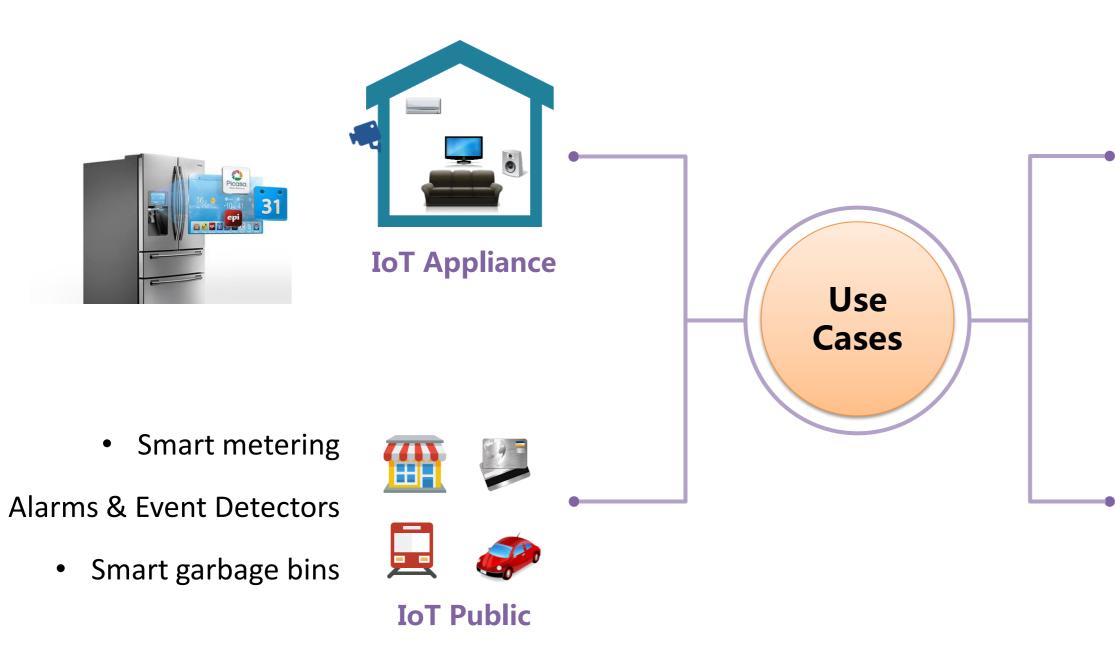


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## Technologies --- NB-10T

#### Use cases

•









**IoT Personal** 

- Wearable devices
- Smart bicycle
- Kids monitoring



**IoT Industry** 

- Logistics tracking
- Asset tracking
- Smart agriculture

itate Radio Monitoring Center of China Radio Monitoring Department Technologíes——eMTC



Billions of connections **100K** per Cell

**11 dB better link budget** 

## **Deep penetration**

indoors & underground







Integrates into cellular system

Easy deployment



**Battery life** 





## Medium to low data rate FDD < 1Mbps TDD < 200Kbps

### Low cost devices

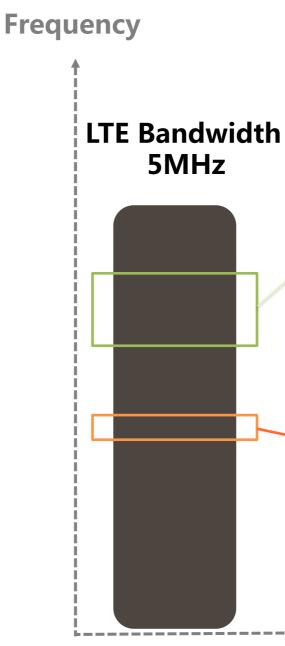
#### Low power operation

## **5-10 years**

itate Radio Monitoring Center of China Radio Monitoring Department Technologíes——eMTC

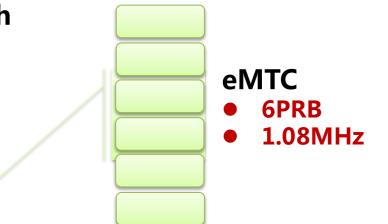
#### **Operation Characteristics**

- 1 mode only: In-Band LTE
  - Can be deployed in any LTE spectrum.
  - Coexist with other LTE services within the same bandwidth.
  - Reuse exiting LTE base stations with software update.
- Support FDD, TDD and half duplex(HD)
- Bandwidth 1.08 MHz
- Frequency hopping with narrowband retuning for frequency diversity.









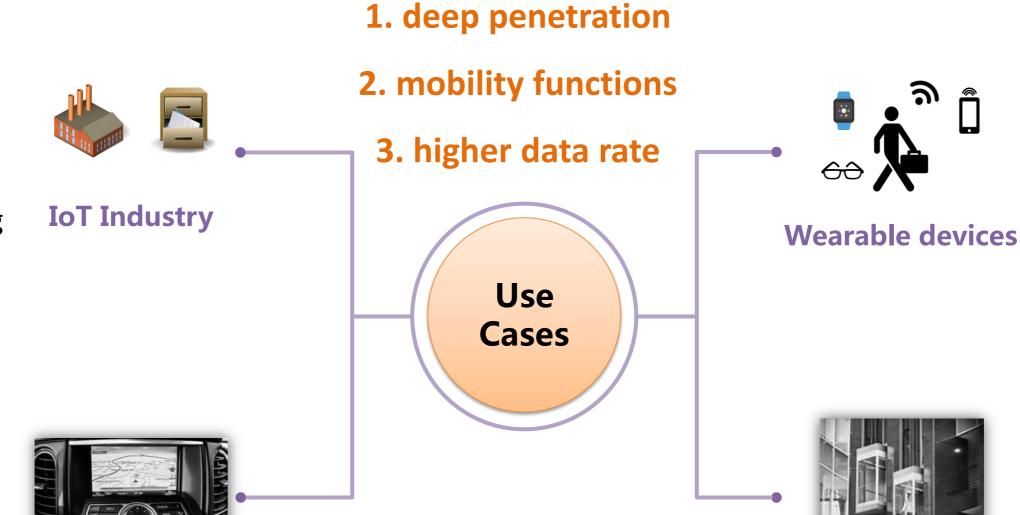


## Technologíes——eMTC

#### Use cases

#### Logistics tracking

- Shipping status
- Real-time monitoring •



- Location tracking •
- Driving status •







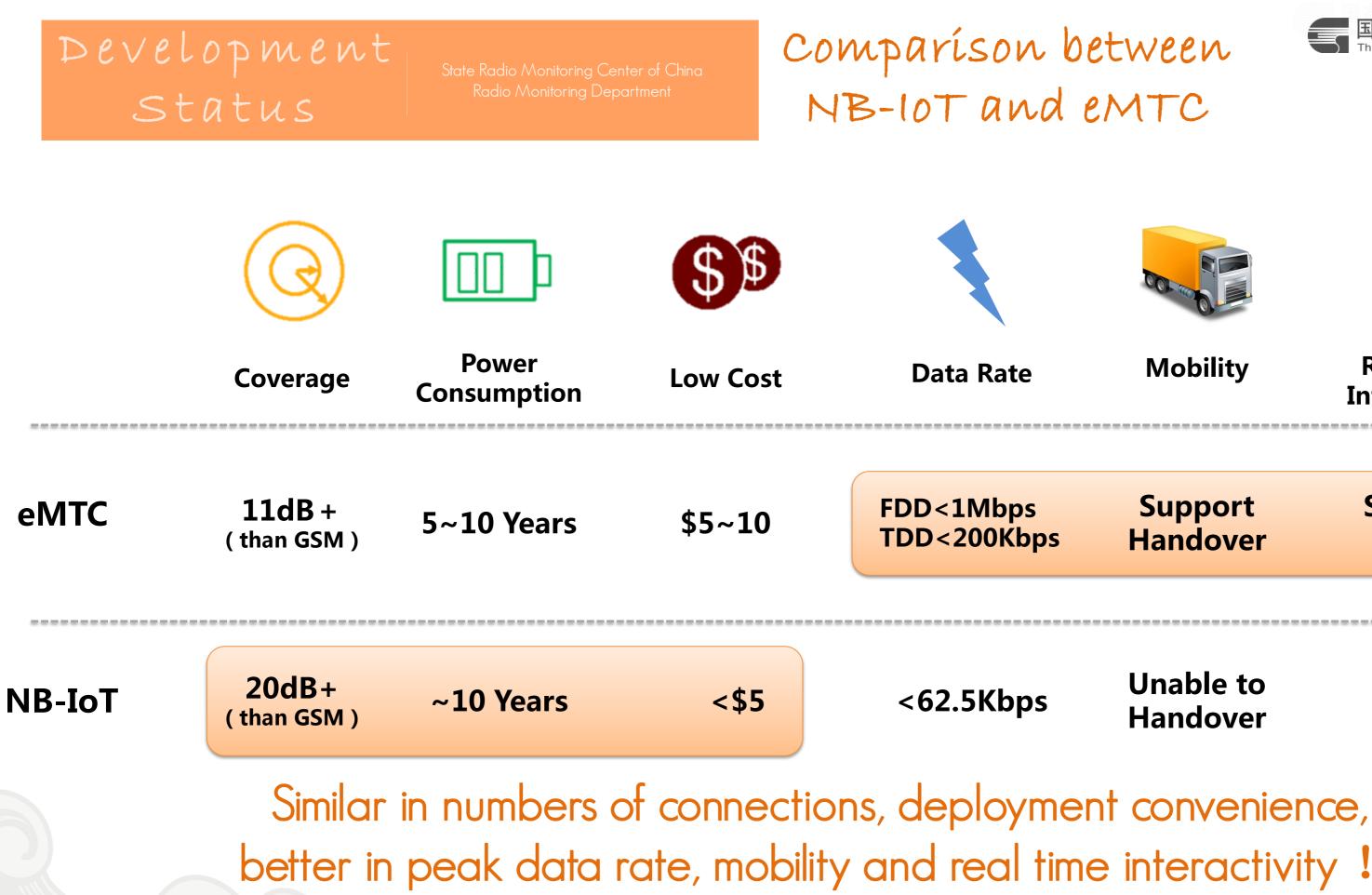


- Emergency call •
- Real-time Locating •



**Elevator guard** 

- **Emergency call** •
- **Real-time monitoring** •









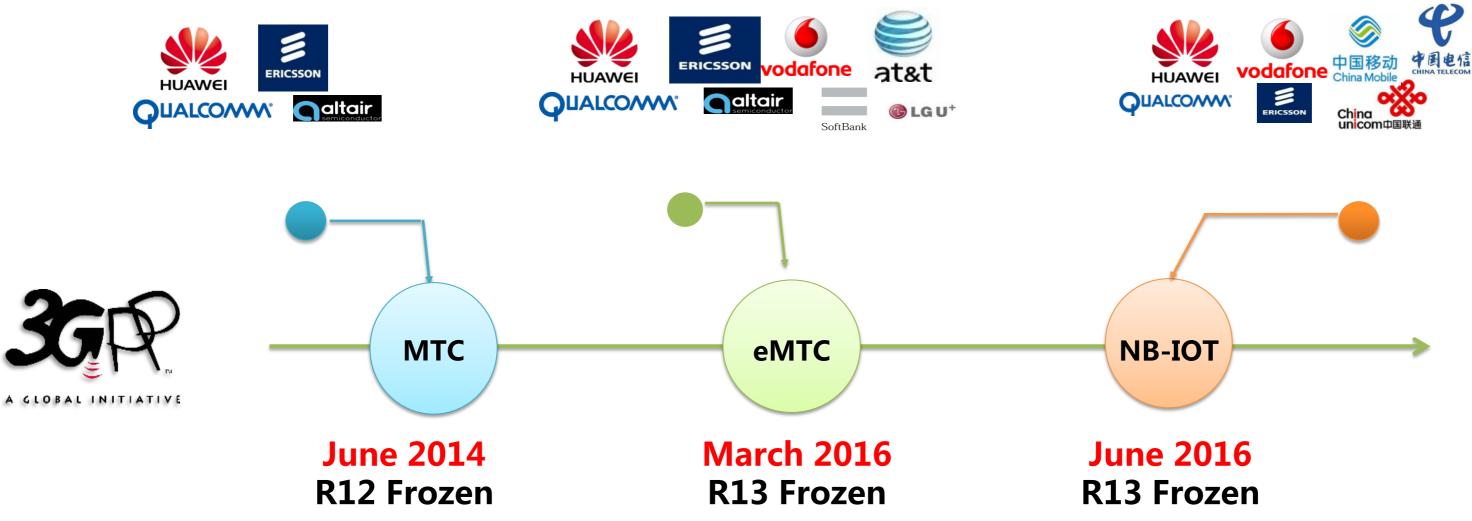
**Real Time** Interactivity

Support Handover Support Voice

Unable to Handover

Unable

### Comparíson between NB-10T and EMTC



3GPP NB-IoT and eMTC standardization now complete!





FDD

TDD

Comparíson between NB-10T and EMTC

### **Network equipment**

### **ΖΤΕ**中兴 ΝΟΚΙΑ

- Mainstream manufacturers worldwide
- Q4 2016

### **Terminal/Chipset**



altair

SEQUANS

- Chip manufacturers
- Q4 2016 ~ Q2 2017

Not yet a mature industry chain

### **NB-IoT**

eMTC



- Mainstream manufacturers worldwide
- Q3 2016



- Chip manufacturers
- Q3 2016





### **Operator**





### • America and Japan

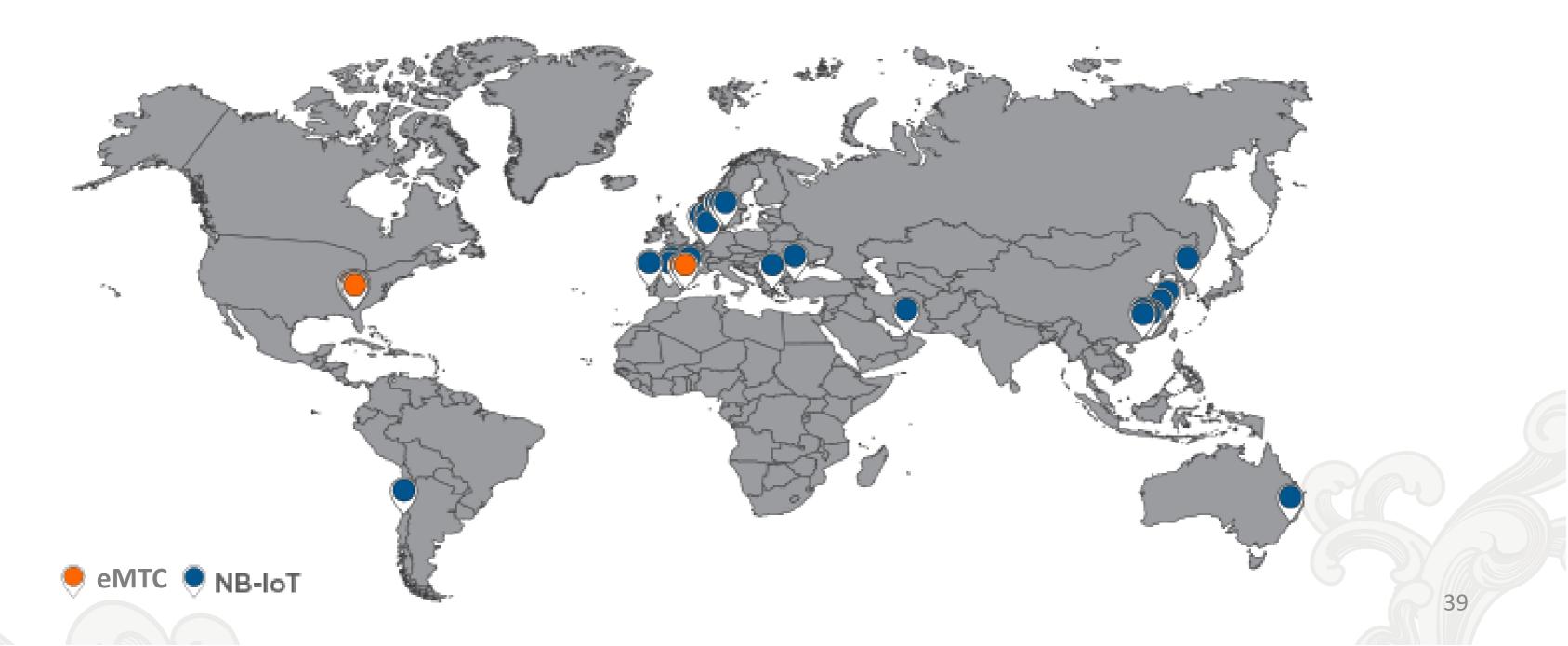




• Europe and Asia

Comparíson between NB-10T and EMTC

### Mobile IoT pilots: 11 eMTC Networks and 28 NB-IoT Networks







### **Bigger Coverage**

## 

### **Deep indoor coverage**

- **Greater than cellular** •
- **Star topology**





### Multi Usage

- **High capacity** •
- **Multi-tenant**
- **Public network**



>10x vs cellular M2M





### Technologies-LoRaWAN

### Low cost

### **Minimal infrastructure** Low cost end node **Open SW**

### Low power operation

### **10-20 years**

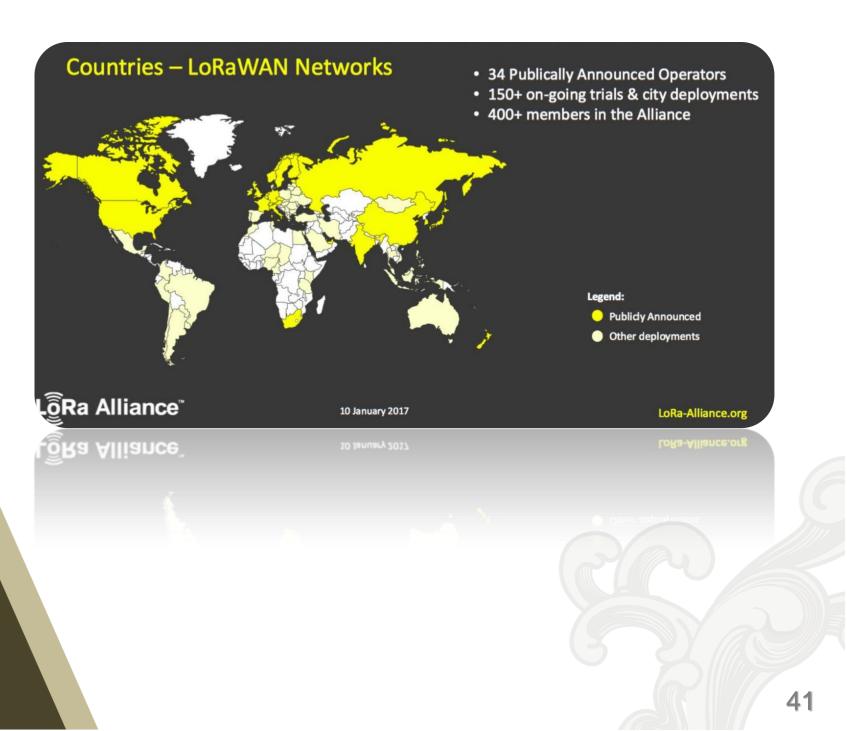


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### Technologies-LoraWAN



- The LoRa Alliance is an open, non-profit association of members.
- Alliance members collaborate to drive the global success of the LoRaWAN protocol.
- Mission: to standardize low Power Wide Area
   Networks.
- "Enable things to have a global voice"





Technologies——Sigfox

**Operates on sub-GHz, ISM bands** 

- 868MHz in Europe/ETSI
- 902MHz in the US/FCC.

### **Ultra Narrow Band radio modulation:**

- 200kHz bandwidth.
- Each message is 100Hz wide

- **Enables long range communications:**
- With a 162dB link budget, longer than GSM.

Light weight protocol

Small payload:

- Uplink messages is 12-bytes.
- Downlink messages is 8 bytes.

- Star network architecture:





• Tailored protocol to handle small messages.

 A device is not attached to a specific base station. Message received by any base station in the range.

Technologies——Sigfox

- An operated telecommunication network, dedicated to IoT.
- Launched in **2012**, First in **France**.
- Expansion in Europe, America, Middle East Africa, Asia-Pacific.
- Now presence in 60+ countries.

- **Bidirectional** communication. Initiated by the device.
- Designed for small messages.
- Energy efficiency.







### Spectrum

### Unlicensed spectrum

### **Characteristics**

- Low cost /no license fees •
- Regulatory limits (EIRP restrictions) •
- Non-guaranteed QoS •

### Disadvantages

- All devices can have access to spectrum, • subject to compliance with specified technical conditions
- Short range and delay-tolerant applications are typical use cases

### Licensed spectrum

### **Advantages**

- •
- Network Security •
- Reliability ۲

### Categories

•

Reuse cellular infrastructure and device eco-system for M2M/ IoT apps

**Dedicated Network** 

Private network customized for specific M2M/IoT apps.





### Better Inference management

### **Mobile operator Network**

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Technology	Standard	Spect
NB-IoT	3GPP	Band 1, 3, 5, 8, 12, 13, 17, 19,
eMTC	3GPP	Band 1, 2, 3, 4, 5, 7, 8, 11, 12, 13
LoRa	LoRa Alliance	EU: 863-870MHz, 43
Sigfox	Proposed by France	EU: 868MH
Bluetooth	IEEE 802.15.1	2400-2483.5M
ZigBee	IEEE 802.15.4	USA: 902-928MHz; E
RFID, WLAN		
5G	3GPP	



### trum bands

- 20, 26, 28 (FDD mode only for R13)
- 3, 18, 19, 20, 21, 26, 27, 28, 31, 39, 41
- 33MHz, USA: 902-928MHz
- Hz, USA: 902MHz
- MHz; 5725-5850MHz
- EU & China: 868-868.6MHz



Spectrum—Remarks

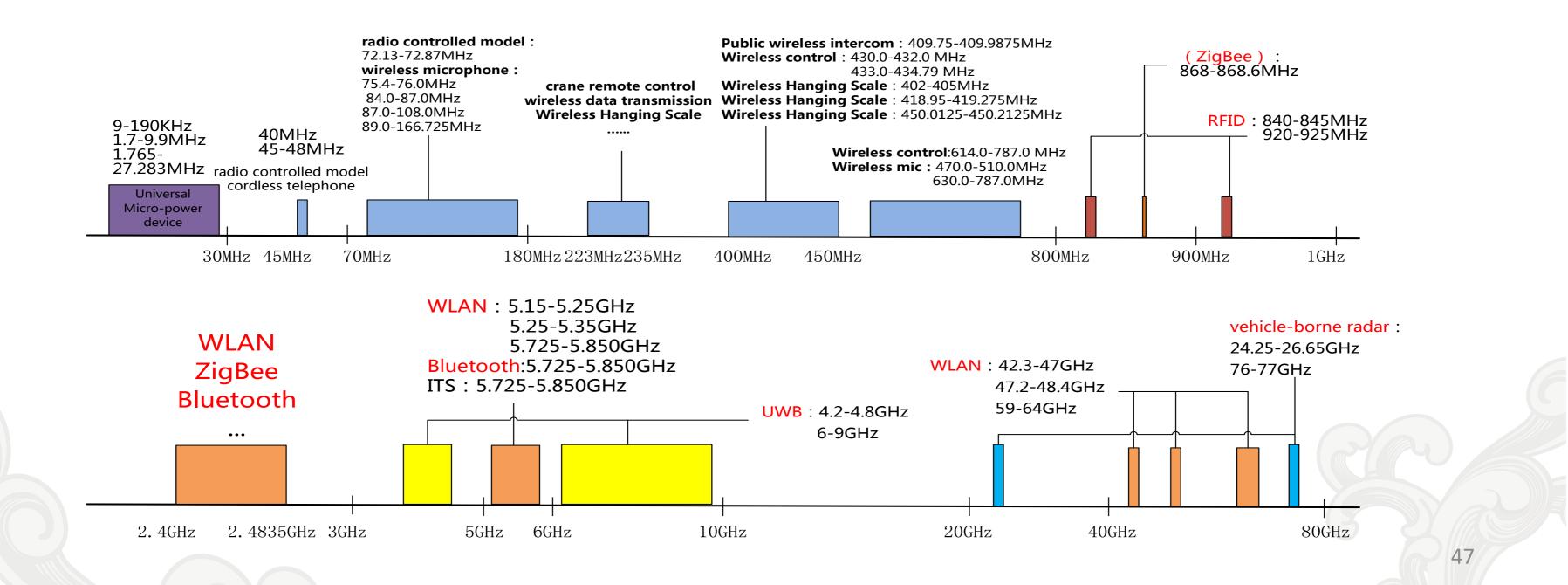
- $\succ$  Frequency bands for IoT are nearly the same:
  - Licensed Networks: based on 3GPP frequency bands, but various from country to country;
  - Unlicensed LPWA: Studies mainly in European countries and USA;
  - ✓ SRD: 433MHz, 868MHz, 915MHz, 2.4&5GHz, different in channel assignment for ZigBee and bandwidth for WLAN.





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### Spectrum for SRD in China

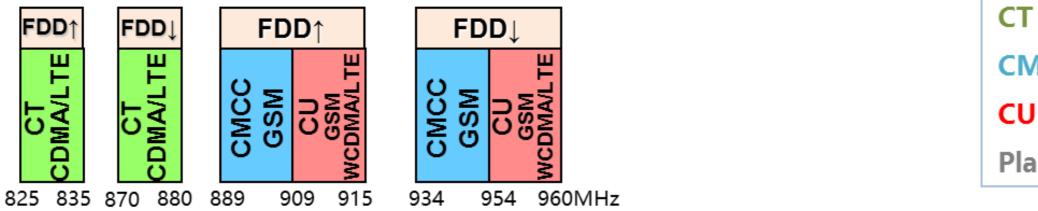


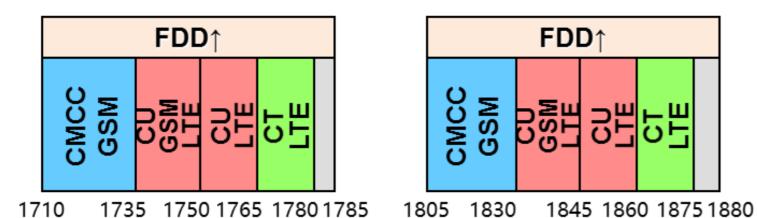


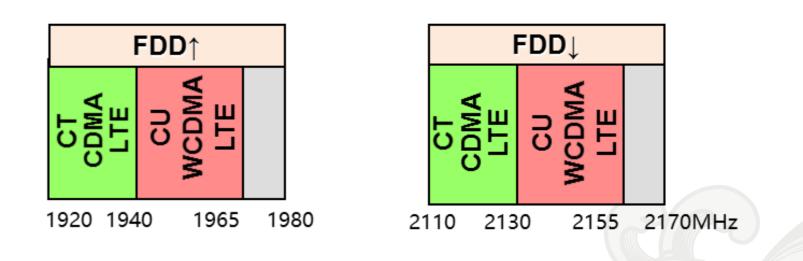




### Candidate Spectrum for NB-10T (FDD) in China





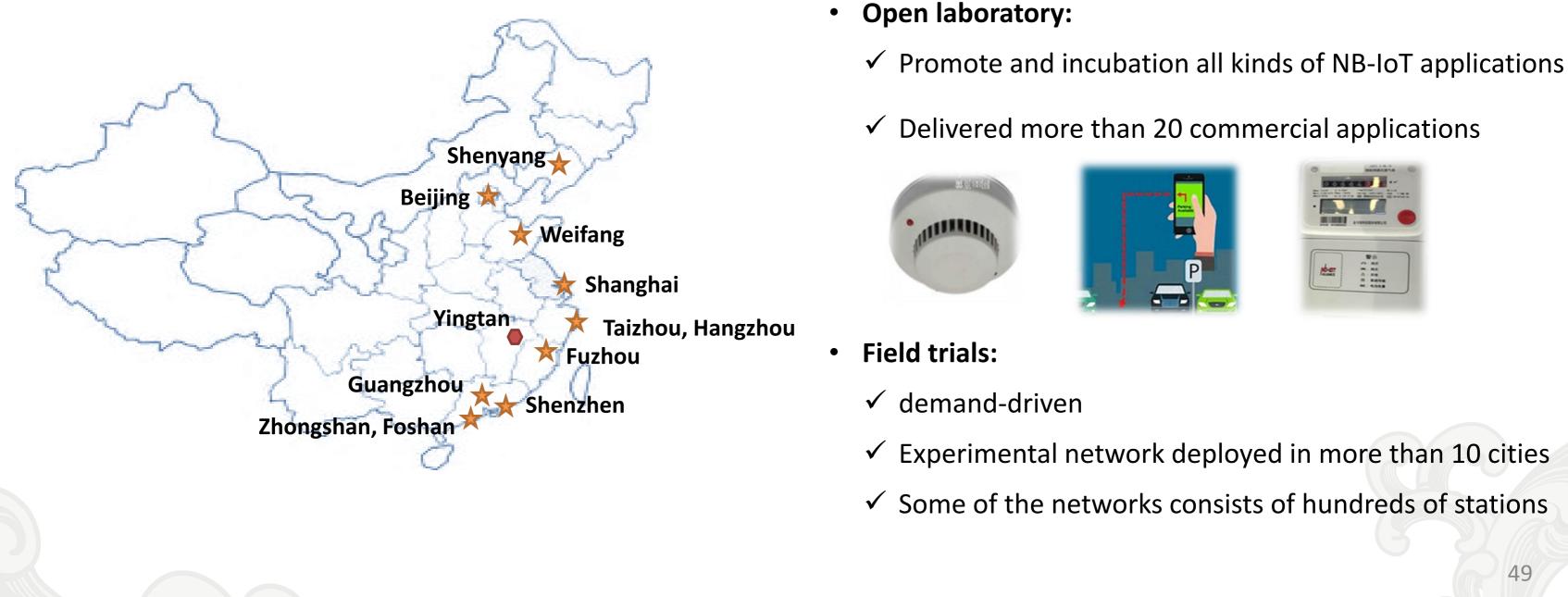






### **China Telecom China Mobile** CMCC **China Unicom** Planned, unassigned

### NB-10T field trial and pre-commercial in China









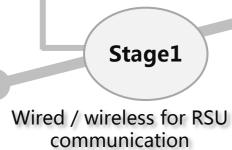


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traffic information collection and communication

Traffic information collection, monitoring and publishing



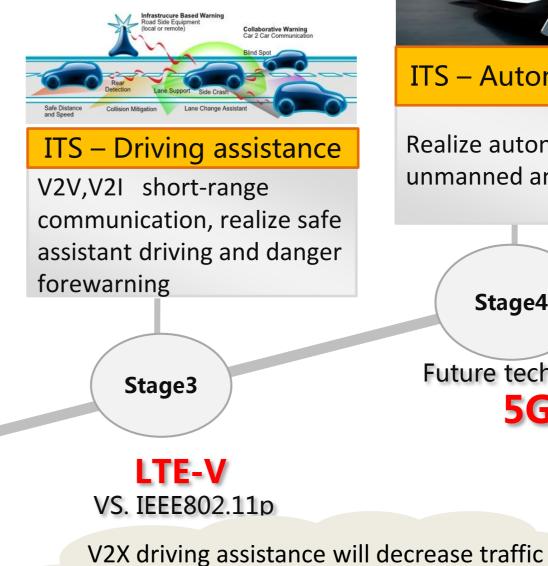


online navigation and remote fault diagnosis



2G/3G/4G Cellular

for RSU tion

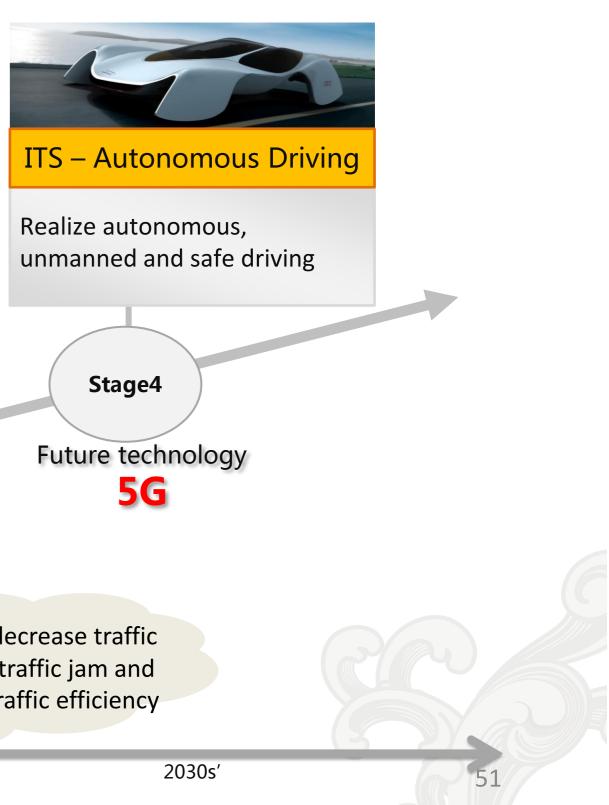


v2X driving assistance will decrease traffic accidents by 80%, reduce traffic jam and pollution, greatly improve traffic efficiency

1990s'







Radio Monitoring Department

### Why V2X?

### **Objectives**

Enable the exchange of information between vehicles and other parties like road network infrastructure, road users, etc.



- Improve road safety •
- Increase traffic flow efficiency ٠
- Reduce the environmental impact of traffic •
- Provide better traveler information services



### NOT SATISFIED BY simple voice communication or broadcast radio receiver installed in cars





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### what is v2x?





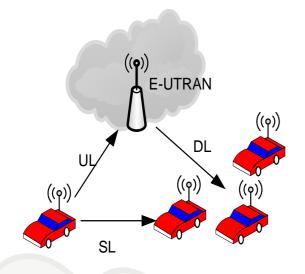


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### V2V (Vehicle-to-Vehicle)



- Covering wireless communication between vehicles.
- Driverless vehicles, advanced driver assistance, collision avoidance.

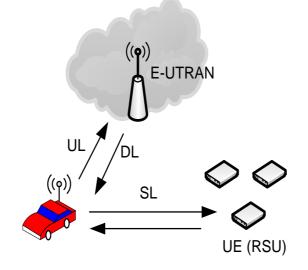


### V2I/V2N (Vehicle-to-Infrastructure)





- Covering wireless
   communication between vehicle
   and a roadside unit/network.
- Traffic management, speed
   regulation, safety notification.



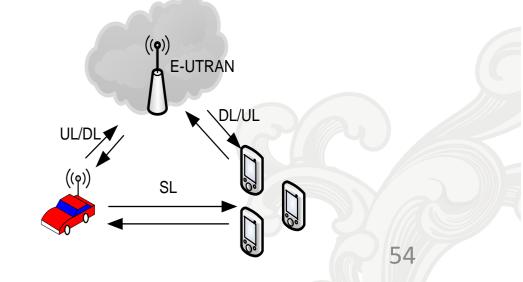






 Covering wireless communication between a vehicle and a device carried by an individual.

(e.g. handheld terminal carried by a pedestrian, cyclist, driver or passenger).



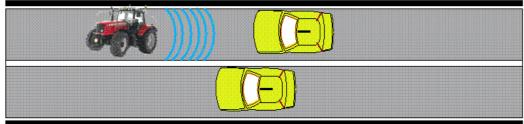
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### Applications

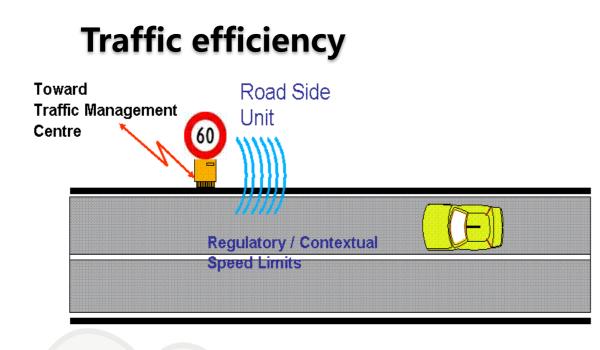
### **Road safety**

### **Slow Vehicle**

Warning



- Forward collision warning
- Emergency vehicle warning
- Emergency stop •
- Road safety services
- Pedestrian road safety awareness



- Cooperative adaptive cruise control •
- Queue warning •
- Automated parking system
- Wrong way driving warning

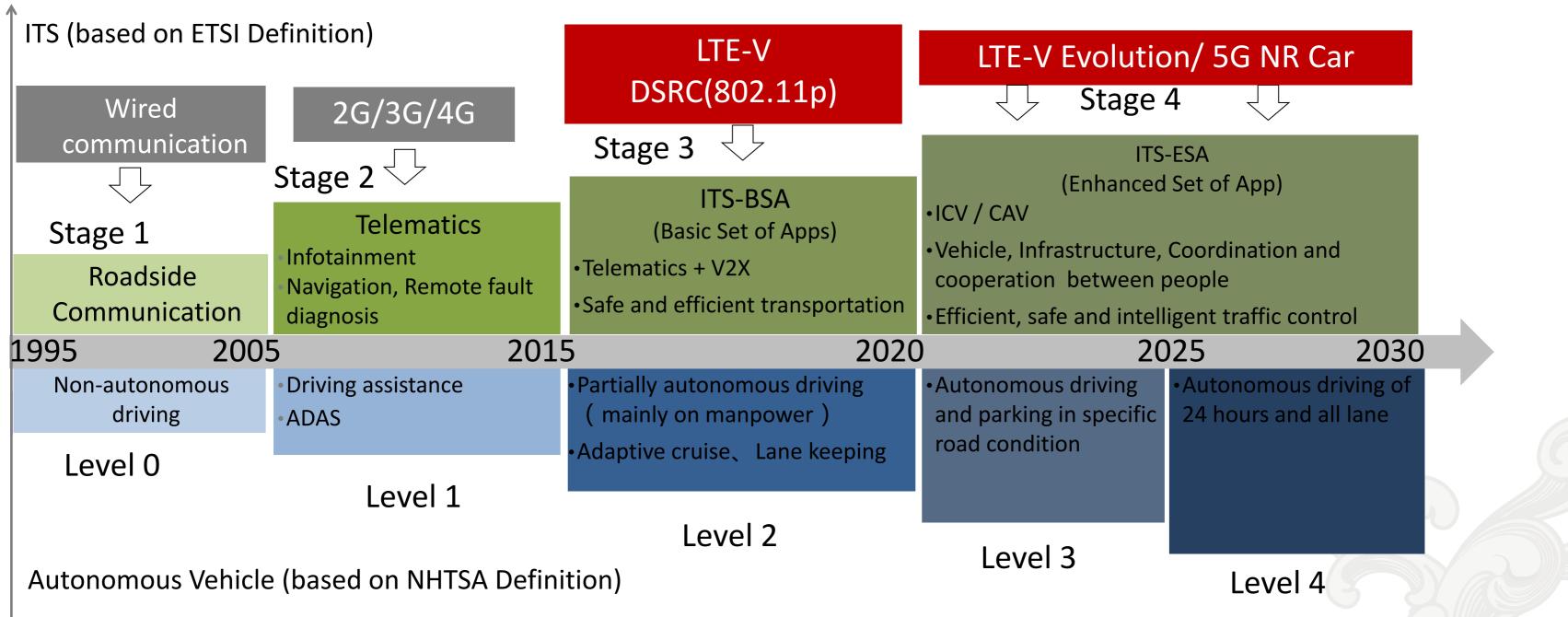






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### Technologíes



NHTSA: National Highway Traffic Safety Administration





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### LTE V2X

Builds upon existing, ubiquitous LTE infrastructure	DS
• 5G will standardize post 2020, but LTE V2X here today	<ul> <li>Multiple field trials, DOT cert.</li> </ul>
<ul> <li>MNOs play critical leading roles</li> </ul>	Vehicle OEMs / Tran
<ul> <li>Enhanced range over 802.11p, from 300m to several km</li> </ul>	<ul> <li>No evolution path for reliability</li> </ul>
<ul> <li>High throughput suitable for connected car applications (entertainment, navigation, etc.)</li> </ul>	<ul> <li>Limited high-speed</li> </ul>
<ul> <li>Could leverage DSRC PKI standards for security &amp; privacy, service and application layers</li> </ul>	<ul> <li>Lack of standards ad fully automated veh</li> </ul>
<ul> <li>Support V2V/V2I/V2P/V2N</li> </ul>	Some apps need ub
<ul> <li>Velocity :500km/h .250km/h</li> </ul>	• Velocity :





### 802.11P

### SRC (based on 802.11p)

/ 10 years testing, auto industry support,

nsport Agencies playing leading role

for PHY/MAC layer range, robustness,

mobility support

ctivity for more advanced use cases such as

hicles

piquitous RSEs support V2V/V2I

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### Requirements

### 1. Speed requirement

Support dynamic mobility and high relative velocities between transmitter and receiver

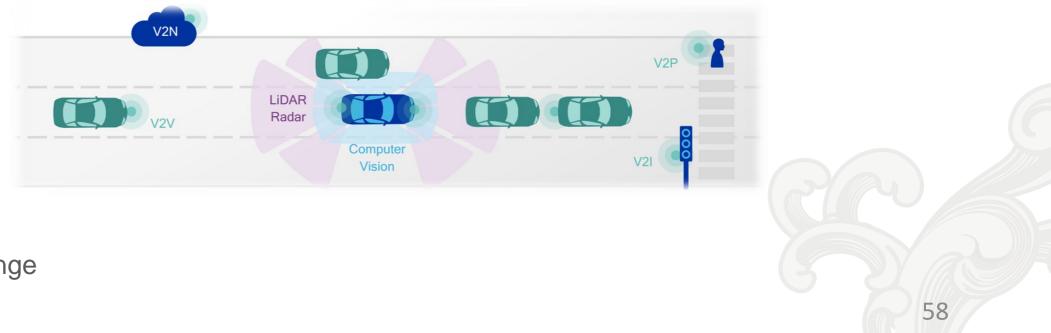
- □ Appreciable Doppler shift
- □ Use case at superhighway need to support high speed
- Support for High vehicular speeds

(absolute velocity 250km/h, relative velocity 500km/h)

### 2. Latency/Reliability requirement

(High reliability and availability)

- Extremely low-latency
- ADAS, situational awareness, safety apps
  - $\rightarrow$  require extremely low latency
- □ Messaging across different MNOs presents a challenge









Up to 280 km/h relative speeds - Included LTE Rel.14 (160 km/h absolute vehicle speeds)

Up to 500 km/h relative speeds - 5G target

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### 3. Security Requirements

Bulletproof security and privacy

□ Support high reliability without requiring application-layer message retransmissions.

□ Support integrity protection of the transmission for a V2X application.

### 4. Message size requirement

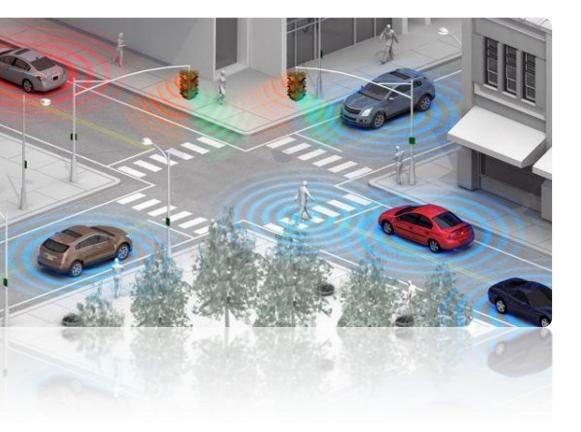
High capacity (multi Gbps) for high message volume

### 5. Range requirement

Higher link budget(coding gain, transmit power, transmission period) for hundreds of meters.



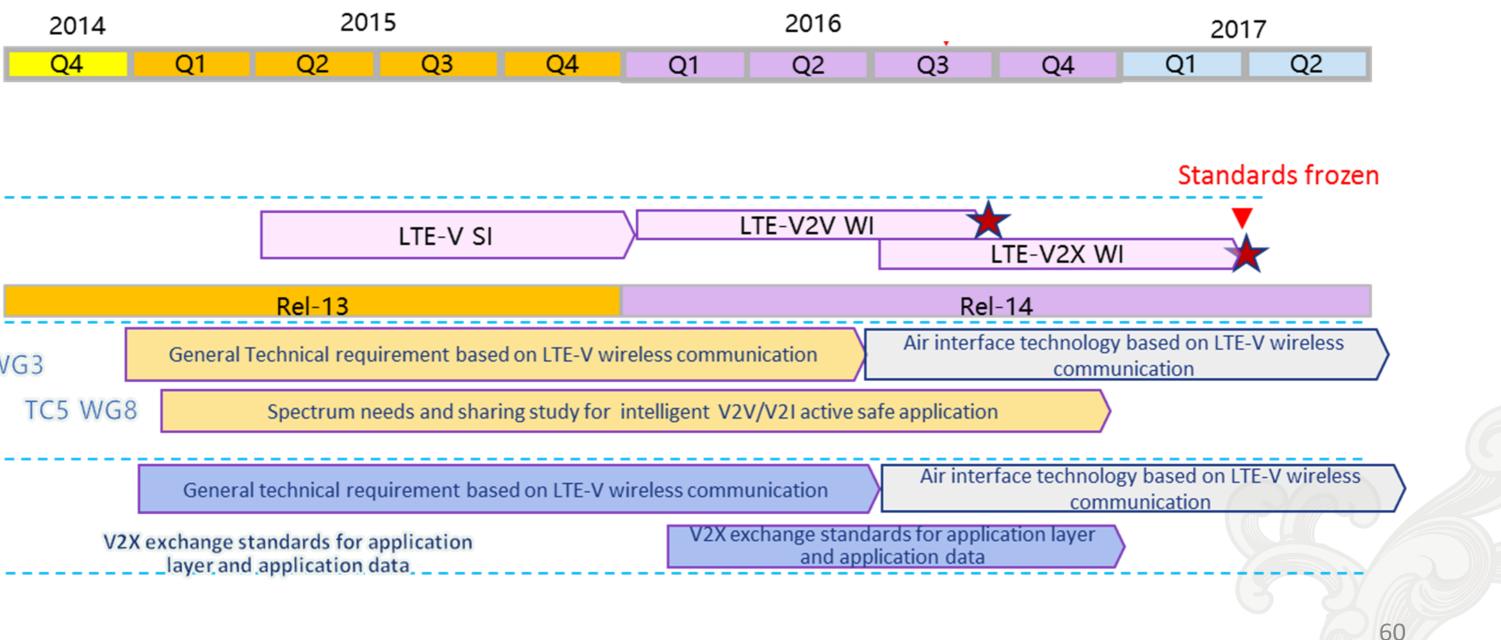


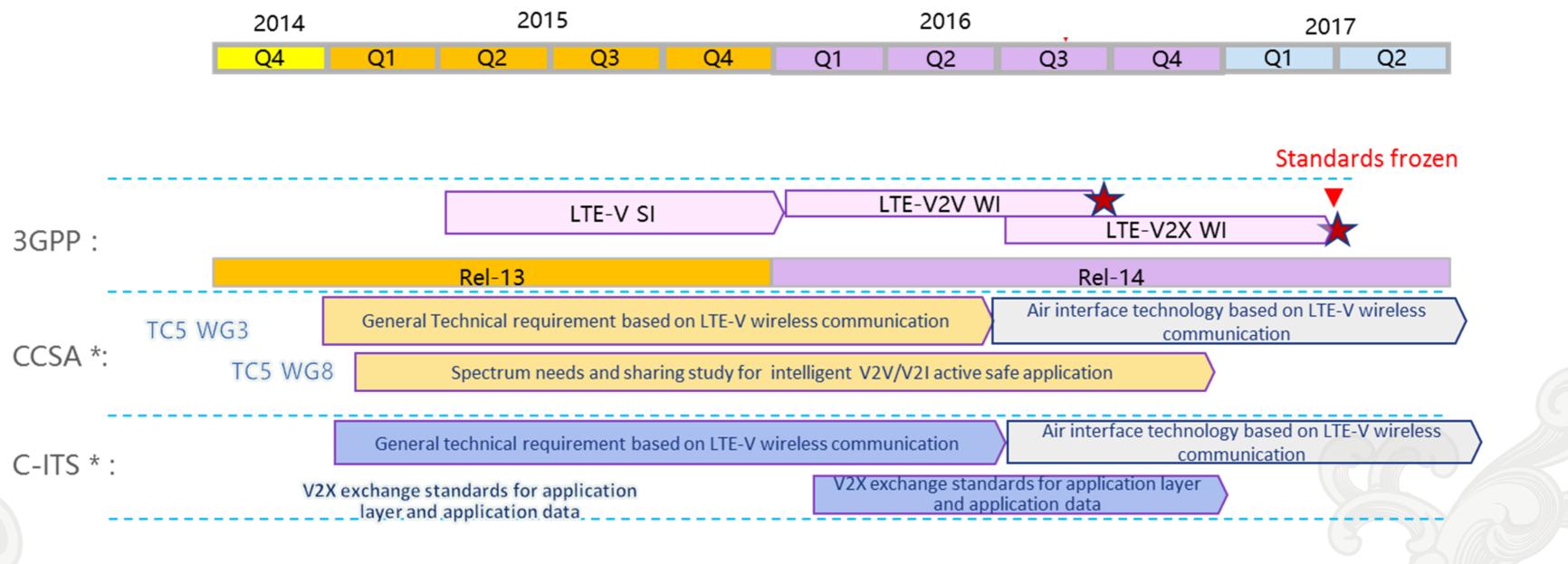




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### Standardízation





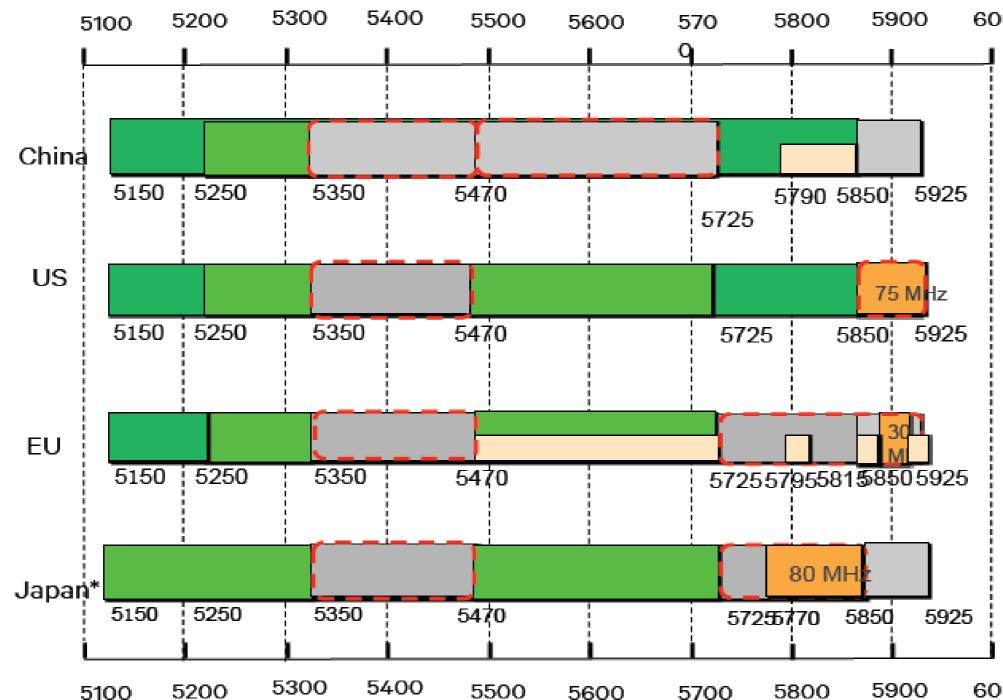




### Spectrum

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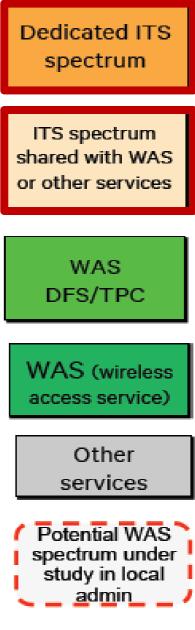
### **Global V2X key frequency bands: 5.9GHz**







### 6000 MHz



### Spectrum

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### Domestic studies

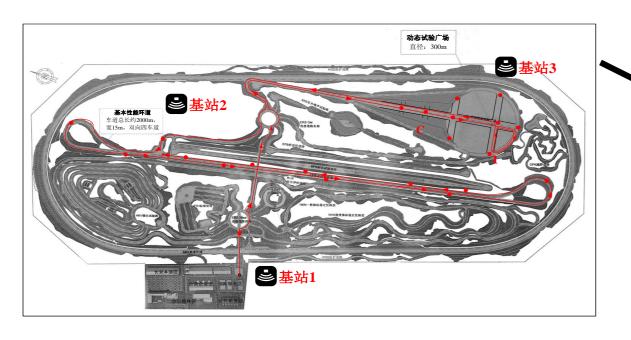
LTE V2X

**Sensor Sharing**)

**Broadcast-based** 

### LTE-V2X/5G V2X is an important URLLC application

- Officially approval of LTE-V trial in **5905-5925**MHz;
- Trial cities: Beijing, Shanghai, Chongqing, Hangzhou, Wuhan, Changchun;
- Trial task: key technologies and compatibility;
- Approval of **77-81GHz** vehicle ranging radar, to promote ITS development.







### 5G V2X (Sectored/beamformingbased driving negotiation, shorter TTI, round trip)

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- Four stages for ITS
  - ✓ Intelligent transportation system (ITS) is now developing to stage 3, driving assistance, using LTE based V2X and DSRC technologies.
  - $\checkmark$  Intelligent transportation system (ITS) will develop to stage 4, Autonomous driving, using LTE-V Evolution/ 5G NR.
- Global V2X key frequency bands: 5.9GHz.
- LTE V2X/5G V2X is an important URLLC application







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