### ORBIT/SPECTRUM INTERNATIONAL REGULATORY FRAMEWORK

Challenges in the 21st century

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International Telecommunication Union ITU International Satellite Symposium 2015



### 1957 .. 1965

Development of communication satellites

### **SPUTNIK 1** (Спу́тник-1)

First artificial Earth satellite launched on 4th October 1957 with external radio antennas to broadcast radio pulses

### TELSTAR

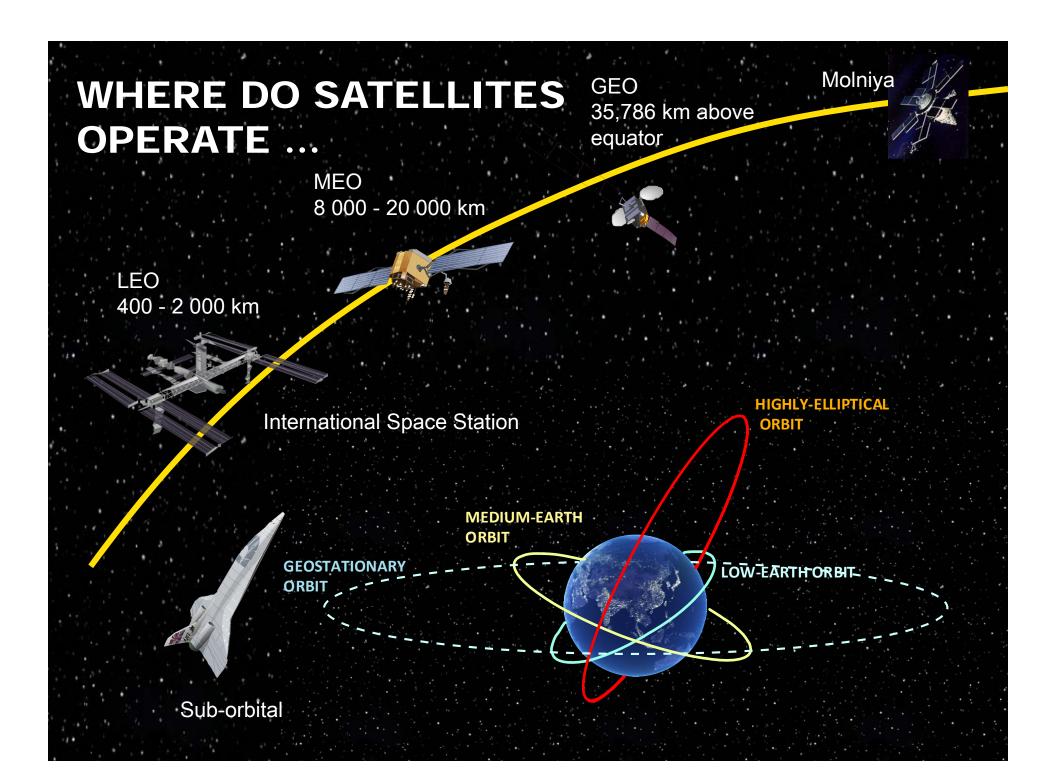
First television pictures, telephone calls, and fax images, and provided the first live transatlantic television feed



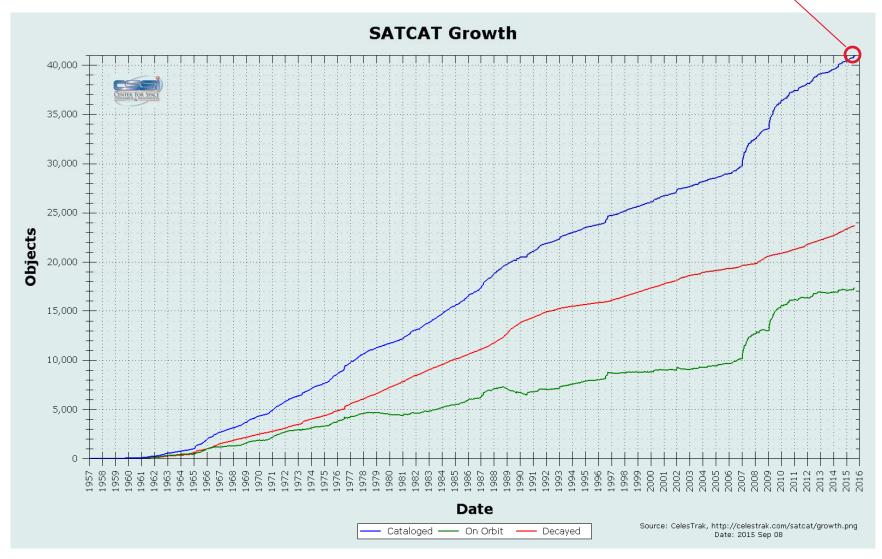
### **FREQUENCY SPECTRUM**

Limited natural resource

|        | maritime<br>navigation<br>signals    | navigational aids<br>(e.g., loran-C) | AM radio,<br>maritime radio | shortwaveradio,<br>radiotelephone | VHF television,<br>FM radio,<br>navigational aids | UHF television,<br>cellular phone,<br>global<br>positioning<br>system | space and satellite communications, microwave systems | radio astronomy,<br>radar landing<br>systems |
|--------|--------------------------------------|--------------------------------------|-----------------------------|-----------------------------------|---|---|---|--|
|        | VLF                                  | LF                                   | MF                          | HF                                | VHF   | UHF   | SHF   | EHF  |
| 100    | ) km 10                              | km 1 l                               | km 10                       | 0m 10                             | )m 1  | m 10  |   | cm 1 mm                                      |
| -      | <ul> <li>increasing wavel</li> </ul> | length                               |                             |                                   |   |   | increa  | sing frequency — 🗡                           |
| 31     | kHz 30                               | kHz 300                              | kHz 31                      | MHz 30                            | MHz 300   | MHz 3 (   | GHz 30  | GHz 300 GHz                                  |
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# 40,000 objects and growing



### GEOSTATIONARY SATELLITE ORBIT RESOURCE

265 000 km belt around Earth 36 000 km above Equator Data SIO, NOAA, U.S. Navy, NGA, GEBCO Image IBCAO ...YET CONGESTED

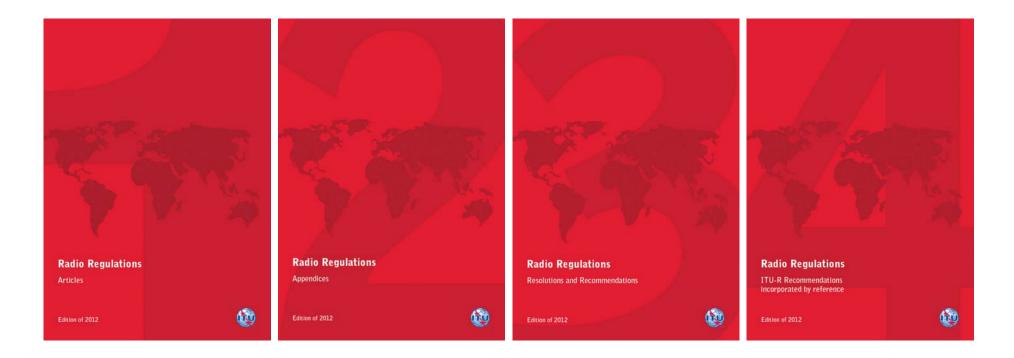
Google earth

40°15'51.80" N 96°30'01.41" E eye alt 33599.81 km 🔘



## 

Extraordinary Administrative Radio Conference to allocate frequency bands for space radiocommunication purposes



## TODAY

More than 2000 pages of Radio Regulations

### LEGAL FRAMEWORK FOR SPECTRUM ACCESS/USE

## **INTERNATIONAL TREATIES**

#### **1967 "Outer Space Treaty"**

Treaty on Principles Governing the Activities of States in Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies

#### 1968 "Rescue Agreement"

Agreement on the Rescue of Astronauts, the Return of Astronauts and the Return of Objects Launched into Outer Space

#### 1972 "Liability Convention"

Convention on International Liability for Damage Caused by Space Objects

#### 1975 "Registration Convention"

Convention on Registration of Objects Launched into Outer Space

#### 1979 "Moon Treaty"

Agreement Governing the Activities of States on the Moon and Other Celestial Bodies

#### ITU Constitution/Convention of 1982 listed under other agreements ITU is recognized as the specialized agency responsible for telecommunication issues



## UN OUTER SPACE TREATY (1967)

- Outer space free for exploitation and use by all states in conformity with international regulations
- States retain jurisdiction and control over objects launched into outer space
- States shall be liable for damage caused by their space objects

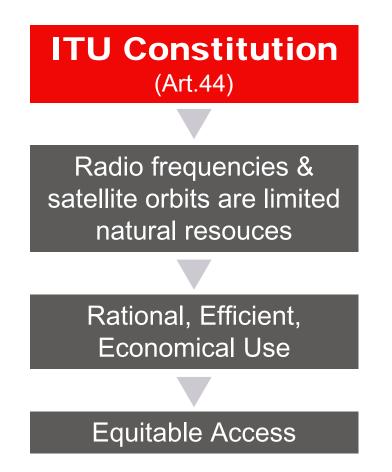


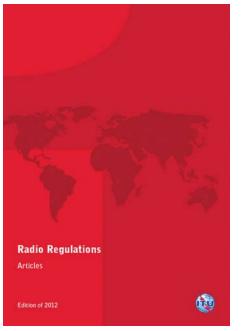
## ITU RECOGNIZED AS SPECIALIZED AGENCY RESPONSIBLE FOR

- Principles of use of orbit/spectrum
- Allocation of frequency bands
- Procedures, Plans, operational measures
- Instruments (Constitution, Convention, Radio Regulations, Rules of Procedures, Recommendations)

# ITU CONSTITUTION (Art.1)

ITU shall effect allocation of bands of the radio-frequency spectrum, the allotment of radio frequencies and the registration of radiofrequency assignments and, for space services, of any associated orbital position in the geostationary-satellite orbit or of any associated characteristics of satellites in other orbits, in order to avoid harmful interference between radio stations of different countries





## **RADIO REGULATIONS**

- Intergovernmental Treaty governing the use of spectrum/orbit resources by administrations
- Define the rights and obligations of Member States in respect of the use of these resources
- Recording of a frequency assignment in the Master Register (MIFR) provides international recognition

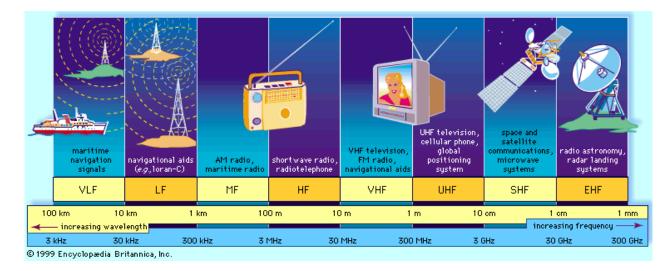
### **RADIO REGULATIONS**

Updated every 3-4 years by World Radiocommunication Conference (WRC) Complemented by Rules of Procedure, revised by Radio Regulations Board (RRB)

| UN  | ITU   |
|---|---|
| Outer Space instruments                             | Instruments   |
| on space objects                                    | on radio frequencies  |
| Free "exploration & use" under<br>international law | Equitable access & rational use of spectrum under international law |
| States  | States  |
| Responsibility & licensing                          | Must license trans. radio stations                                  |
| Jurisdiction & control                              | Shall not cause harmful interf.                                     |
|   |   |
| States  | No liability clause   |
| Liable for damage                                   |   |

### REGULATION OF RADIO SPECTRUM AND SATELLITE ORBIT IN PRACTICE

### **ALLOCATION OF SPECTRUM**

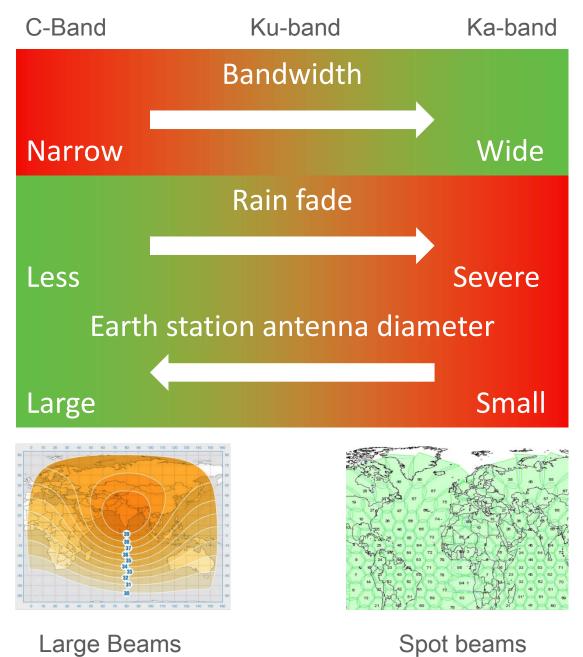


| 1.467 GHz   | 1.518 GHz   | 1.97 GHz   | 3.4 GHz  | 10.7 GHz  | 17.3 GHz  |
|---|---|--|--|---|---|
| to 1.492 GHz  | to 1.675 GHz  | to 2.69 GHz  | to 7.025 GHz   | to 14.5 GHz   | to 30 GHz   |
| Satellite Audio<br>Broadcasting to<br>fixed and mobile<br>units | Civilian Mobile-<br>Satellite<br>Services (two-<br>way) | Satellite television<br>& radio<br>broadcasting<br>to mobiles + two-<br>way mobile<br>services | Fixed-Satellite<br>television,<br>& data services<br>(including<br>broadcasting) | Fixed-Satellite<br>television<br>& data services<br>(including<br>broadcasting) | Fixed-Satellite<br>television<br>& data services<br>(including<br>broadcasting) |

### **Satellite Frequencies and Services**

| L-band  | 1.0-2.0 GHz                   | Mobile Satellite Service (MSS)<br>Radionavigation Satellite Service |
|---------|-------------------------------|---|
| S-band  | 2-4 GHz                       | Radars, MSS,<br>Broadcasting Satellite<br>Space Research            |
| C-band  | 3.4-7 GHz                     | Fixed Satellite Service (FSS), VSATs<br>Direct-To-Home (DTH)        |
| X-band  | 7-10 GHz                      | Radars, Satellite Imaging<br>Space Research                         |
| Ku-band | 10-15 GHz                     | FSS, VSAT<br>Broadcasting Satellite, MSS                            |
| Ka-band | 17.7 - 21.2,<br>27.5 – 31 GHz | FSS "broadband", inter-satellite links,<br>MSS                      |

23" SCSL Summer Course on Space Law & Policy



Large Beams

### INTERNATIONAL REGULATIONS

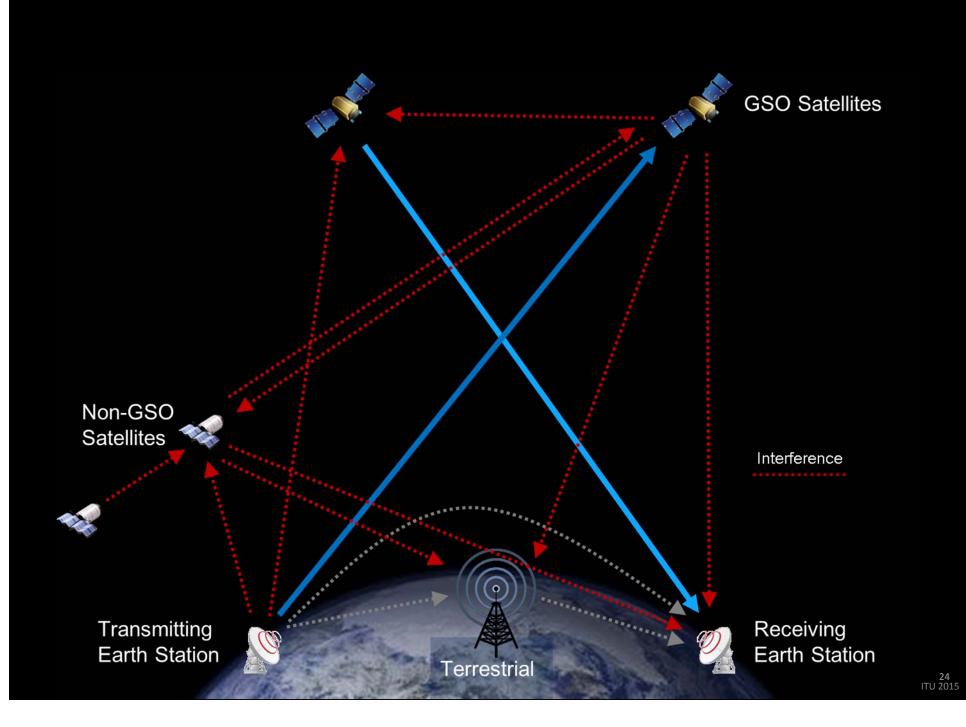
Equitable access Rational, efficient, economical use Operation without harmful interference

#### SATELLITES

Wide coverage Cross national borders Facilitate connectivity

### ORBIT/ SPECTRUM

Limited Global/Natural/Public resource



### PROPAGATION OF RADIO



Laws of physics Radio waves do not stop at national borders

### INTERFERENCE



Possible between radio stations of different countries High risk in Space Radiocommunications

### RADIO REGULATIONS



One of its main purposes: Interference-free operation of Radiocommunications

### ALLOCATION

Frequency separation of stations of different services

### COORDINATION

between Administrations to ensure interference-free operations conditions

## CONTROL OF INTERFERENCE

### **POWER LIMITS**

PFD to protect TERR services / EIRP to protect SPACE services / EPFD to protect GSO from Non-GSO

## RADIO REGULATIONS

### RECORDING

In the Master International Frequency Register (MIFR) International recognition

### MONITORING

International monitoring system

### SHARING ORBIT/SPECTRUM RESOURCE

### 1. COORDINATION APPROACH

- First come, first served
- Rational, Efficient, Economical Use
- Rights acquired through coordination with administrations concerning actual usage
- Efficient spectrum/orbit management
- Dense/irregular orbital distribution of space stations

### 2. PLANNING APPROACH

- Plan for future use
- Equitable Access
- Congestion of GSO
- Frequency/orbital position plans
- For future use by all countries
- Predetermined orbital position & frequency spectrum

### INTERNATIONAL REGULATIONS

Lengthy & complex procedures Lack of incentive to review underused spectrum/orbital positions

### CONSEQUENCE

Difficulty to coordinate Multiple filings Operation without coordination Fait-accompli approach Fictitious recorded assignments

### ORBIT/ SPECTRUM

Scarcity due to thousands of filings

ITU Constitution (Art.44)

Radio frequencies & satellite orbits are limited natural resouces

> Rational, Efficient, Economical Use

**Equitable Access** 

Opportunity to resolve interference before operation

Prevents loss of investment, customers & revenue by minimizing unusable capacity due to interference

# PLENIPOTENTIARY CONFERENCE 2014

#### **RESOLUTION 86 (REV. MARRAKESH, 2002)**

NOC Advance publication, coordination, notification and recording procedures for frequency assignments pertaining to satellite networks

#### **RESOLUTION 186 (BUSAN, 2014)**

Strengthening the role of ITU with regard to transparency and confidence-building measures in outer space activities

# RESOLUTION 186 (BUSAN, 2014)

#### invites ITU Council

to consider and review any proposed cooperation agreements on the use of satellite monitoring facilities

#### instructs BR Director

to promote access to information, upon request by administrations concerned, related to satellite-monitoring facilities, in order to address cases of harmful interference in accordance with Article 15 of the Radio Regulations, through cooperation agreements

to continue taking action to maintain a database on cases of harmful interference, reported in accordance with relevant provisions of the Radio Regulations and in consultation with Member States concerned

#### invites Member States and Sector Members

to participate in the activities related to the implementation of this resolution

# **KEY POINTS**

- Natural limited resources to be shared and regulated: orbit & radiofrequency spectrum
- Legal framework: UN Outer Space Treaty, ITU CS/CV, RR, RoP, Recs
- ITU CS/Arts. 44 & 45:
  - To avoid harmful interference
  - To ensure efficient, rational, equitable economical use
- Radio Regulations: Allocation, registration, interference free operation
- Radio Regulations constantly being improved

"With a concerted effort, we can reduce, and to the extent possible remove, all obstacles impeding the development and bringing into operation of new satellite networks"

"Think carefully about how we can continue to use and improve satellite access to help connect the unconnected, and make the world a better and a fairer place for all"

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