



SMS4DC

Spectrum Management System for
Developing Countries

István Bozsóki
Head of Division
BDT/IEE/TND

INTRODUCTION

System
architecture

MSIP
Project

Functions

Versions

International
meeting

Administrative
functions

Projects

Further
Reading

INTRODUCTION

ITU Spectrum Management System for Developing Countries (SMS4DC)

- SMS4DC is software designed by ITU based on ITU recommendations
- Developed to assist the administrations of developing countries to undertake their spectrum management responsibilities more effectively;
- SMS4DC covers terrestrial fixed, mobile, sound and television broadcasting services in the bands above 30 MHz, including GE-OS as well as frequency coordination of Earth stations.



Computer aided spectrum management

- The use of computers in the spectrum management process has become crucial for most administrations that are faced with the ever-increasing use of the radio frequencies.
- Several aspects of this process, such as frequency coordination, administrative procedures (registration and issuing of licenses) and notifications of assignments to the ITU according to the Radio Regulations, are crucial in the establishment of a computer-automated process.
- ITU-R Handbook: Computer-aided Techniques for Spectrum Management (CAT) (2015) <http://www.itu.int/pub/R-HDB-01>

National Spectrum Management

Spectrum management is a combination of administrative and technical activities for efficient utilization of spectrum by users without causing harmful interference to other radio areas.



ITU Spectrum Management System for Developing Countries (SMS4DC)

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Elements of Spectrum Management National Spectrum Management

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29.05.2019

SM4DC

4



SMS4DC

Spectrum Management System for
Developing Countries

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INTRODUCTION

System
architecture

MSIP
Project

Functions

Versions

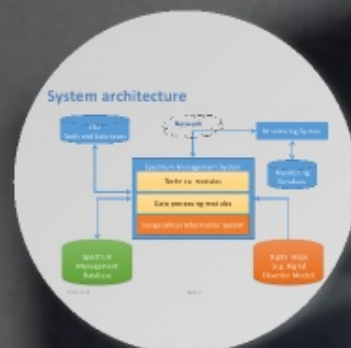
International
meeting

Administrative
functions

Projects

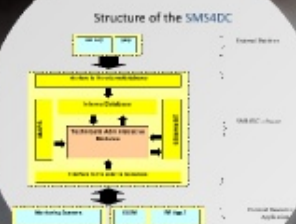
Further
Reading

System architecture

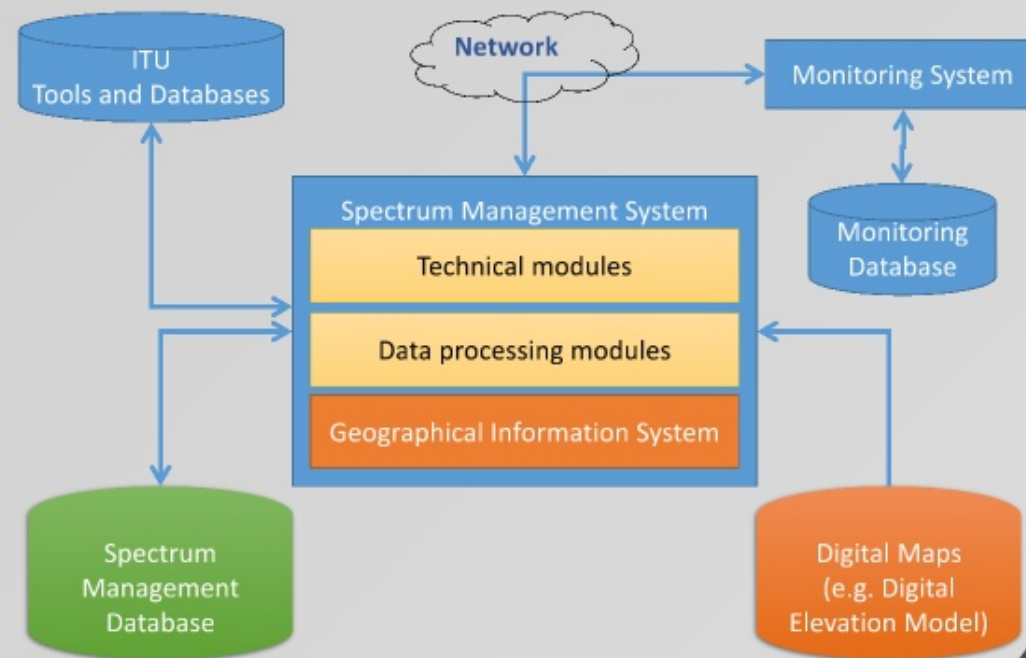


SMS4DC Development Cycle

- **2007:** SMS4DC Version 1.0
- **2008:** SMS4DC Version 2.0 (Addition of Digital TV planning tools (GE06))
- **2009:** SMS4DC Version 3.0 (Addition of Google Earth and monitoring interface)
- **2012:** SMS4DC Version 4.0 (link to ESMERALDA monitoring software of Thales and additional enhancements, French language)
- **2014:** SMS4DC Version 4.1 (Update of Article 5 according to WRC12, import from new BRIFC & interface with appendix 7)
- **2015:** SMS4DC Version 5.0 (Revised propagation models based on the latest version of P.452, P.530 and P. 1812, P.1546, Spanish language).
- **2017:** SMS4DC Version 5.1 (HCM, results of WRC-15: revision of the Radio Regulations Article 5 module, the international frequency allocation).
- **2019:** SMS4DC Version 5.2



System architecture



29.05.2019

SM4DC

5

SMS4DC Development Cycle

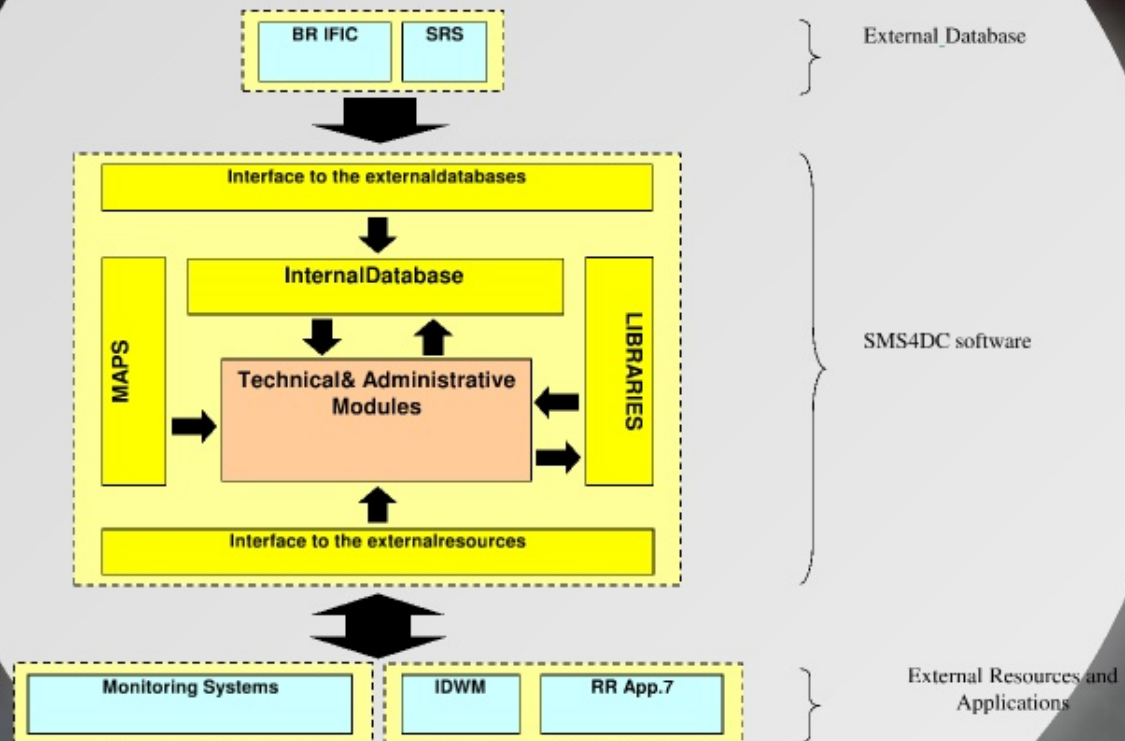
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29.05.2019

SM4DC

6

Structure of the SMS4DC





SMS4DC

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Developing Countries

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INTRODUCTION

System
architecture

MSIP
Project

Functions

Versions

International
meeting

Administrative
functions

Projects

Further
Reading

Functions

Broadcasting services

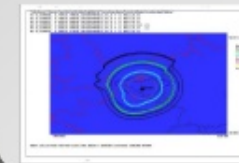
- co-ordination includes interference analysis and frequency coordination tools. Between Broadcasting Services and Engineering Services in order of the air or services (fixed and land mobile only) using the frequency tables in the ITU, CEPA, GCRB, and GCRS Agreements.
- interference analysis methods are in conformity with the relevant provisions of the Agreements.

Example for the Land Mobile service

Channel	Frequency	Power	Bandwidth	Modulation	Service	Priority
1	100.00	100.00	10.00	10.00	10.00	10.00
2	100.00	100.00	10.00	10.00	10.00	10.00
3	100.00	100.00	10.00	10.00	10.00	10.00
4	100.00	100.00	10.00	10.00	10.00	10.00
5	100.00	100.00	10.00	10.00	10.00	10.00
6	100.00	100.00	10.00	10.00	10.00	10.00
7	100.00	100.00	10.00	10.00	10.00	10.00
8	100.00	100.00	10.00	10.00	10.00	10.00
9	100.00	100.00	10.00	10.00	10.00	10.00
10	100.00	100.00	10.00	10.00	10.00	10.00

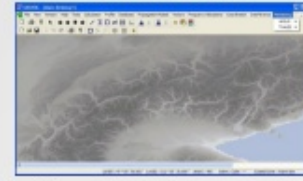
The image shows a mass border coordination agreement for the band 80-90 MHz among three administrations. Three sub-bands are established, one for each country, giving preferential assignments. The limits of the preferential rights are 28 dB above measured at 15 km across the border. For each station of receiving, a reference transmitter with a p.e. of 13 dBW is used.

Coordination comes around an earth station



SMS4DC's Engineering Functions

SMS4DC and monitoring software interface



SMS4DC's Engineering Functions

SMS4DC and monitoring software interface

Monitoring required to SMS4DC



Broadcasting services

- Co-ordination includes interference analysis and frequency co-ordination tools between Broadcasting Services and between Broadcasting Services and some of the other services (Fixed and Land Mobile only) sharing the frequency bands in the ST61, GE84, GE89, and GE06 Agreements.
- Interference analysis methods are in conformity with the relevant requirements of the Agreements

Example for the Land Mobile service

Agreements

Name: TUR/IRN/ARM 80-86 MHz Service: LM

Countries: ARM_IRN_TUR

Model: 2

Propagation models: REC-1546

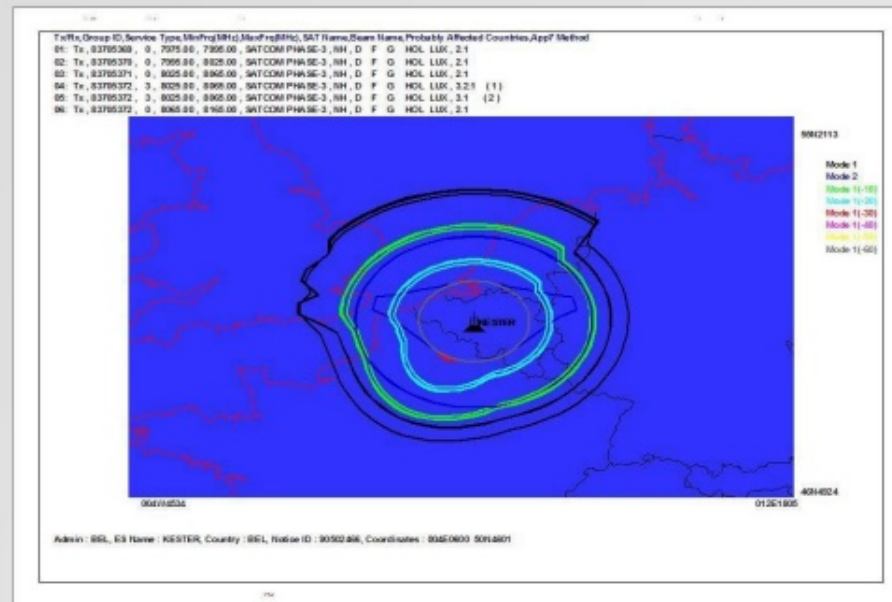
15 of 15

LoFreq (MHz)	HiFreq (MHz)	PrefCountries	PIFS(dBuV/m)	Xkm(km)	ERP(dBW)	Emergency
80.0	82.0	TUR	20.0	15.0	17.0	
82.0	84.0	IRN	20.0	15.0	17.0	
84.0	86.0	ARM	20.0	15.0	17.0	

+ Preferential Countries -

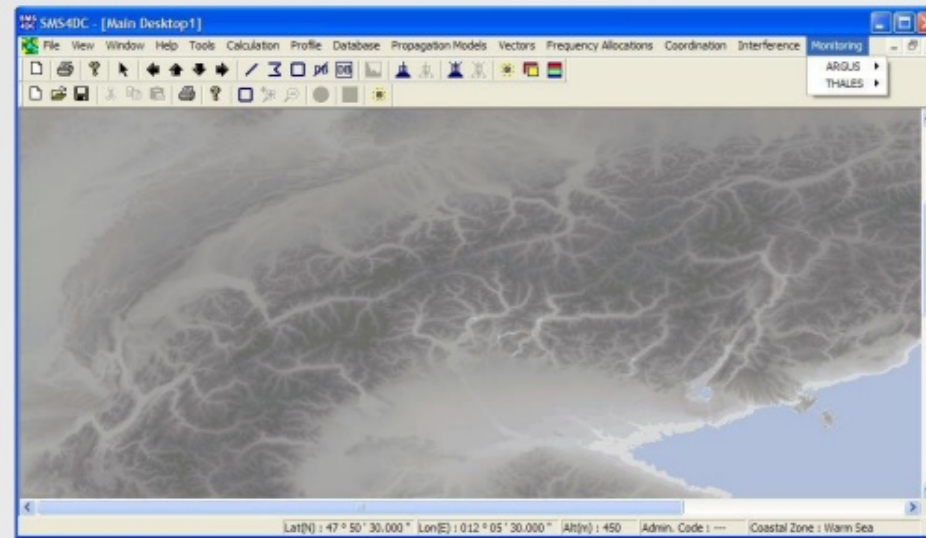
The example shows a cross border coordination agreement for the band 80-86 MHz among three administrations. Three sub-bands are established, one for each country, giving preferential assignment rights. The limits of the preferential rights are 20 dbuV/m measured at 15 km across the border. For coordination of receivers, a reference transmitter with e.r.p. of 17 dBW is used.

Coordination contours around an Earth station



SMS4DC's Engineering Functions

SMS4DC and monitoring software interface



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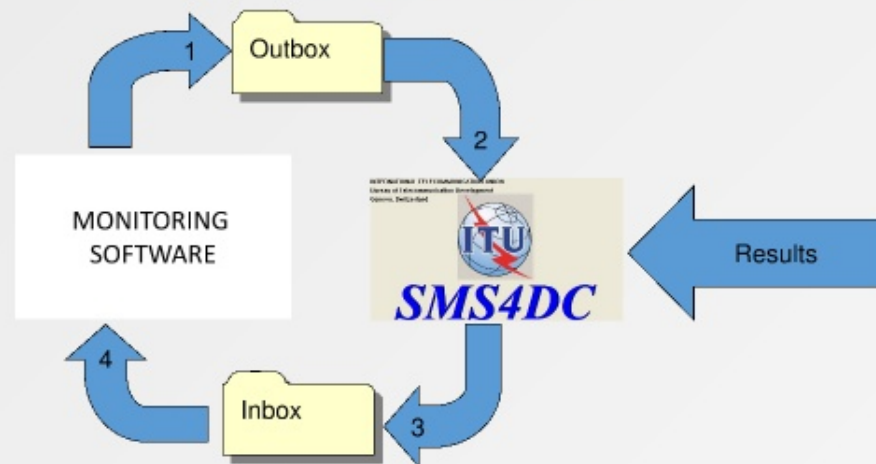
SM4DC

17

SMS4DC's Engineering Functions

SMS4DC and monitoring software interface

Monitoring request to SMS4DC



29.05.2019

SM4DC

18



SMS4DC

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INTRODUCTION

System
architecture

MSIP
Project

Functions

Versions

International
meeting

Administrative
functions

Projects

Further
Reading

MSIP Project

MSIP (Republic of Korea) and ITU project

VS released at the end of 2015

- Adding propagation models based on the latest version of
 - R.552(-16), prediction procedure for the evaluation of interference between stations in the surface of the Earth at frequencies above about 0.1 GHz
 - P.530(-16), Propagation data and prediction methods required for the design of terrestrial line-of-sight systems
 - P.1546 (-5) Method for point to area prediction for terrestrial services in frequency range 30 MHz to 3000 MHz
 - P.1812 (-4) Japan specific propagation prediction method for point-to-area terrestrial services in VHF and UHF bands;
- Intermodulation: calculating interference caused by intermodulation products up to 7th order by using (ITU-R SM4134-1 and other resources
- General interface between SMS4DC and monitoring software (based on the guidelines prepared for and presented to ITU-R WP1C of the SG3)
- Further development of built-in and user specified administrative reports;
- Preparation of a general method to import data to SMS4DC
 - Spanish language added
- Preparation of time limited version as a demo tool which can be used for introduction of SMS4DC;
- Preparation of the training material for assisting self-learning training of the software.
- Train-the-trainer workshop 24 November-2 December 2016, Addis, for around 10 new trainers (English, French and Arabic speaking), in close cooperation with the AHI office

MSIP (Republic of Korea) and ITU project

V5 released at the end of 2015

- Adding propagation models based on the latest version of
 - P.452(-16), Prediction procedure for the evaluation of interference between stations on the surface of the Earth at frequencies above about 0.1 GHz
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 - P.1546 (-5) (Method for point to area prediction for terrestrial services in frequency range 30 MHz to 3000 MHz)
 - P. 1812 (-4) (A path specific propagation prediction method for point-to-area terrestrial services in VHF and UHF bands);
- Intermodulation: calculating interference caused by intermodulation products up to 7th order by using ITU-R SM1134-1 and other resources
- General interface between SMS4DC and monitoring software (based on the guidelines prepared for and presented to ITU-R WP1C of the SG1)
- Further development of built-in and user specified administrative reports;
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SMS4DC

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INTRODUCTION

System
architecture

MSIP
Project

Functions

Versions

International
meeting

Administrative
functions

Projects

Further
Reading

Versions

Version 5.1

- V5.1 of SMS4DC released in 2017 (3rd Quarter)
 - Inclusion of Harmonised Calculation Method (HCM);
 - Implementation of the WRC-15 results

HCM in SMS4DC

- V5 released at the end of 2015
- V5.1 of SMS4DC development finished
 - HCM calculations included
 - WRC-15: Article 5 of the RR
- HCM4A.dll will be developed by the African experts and when ready, it will be added



Overview

- In order to further develop the tool, it is necessary to collect the opinions and expectations of users and potential users. In this end, the meeting will be organized with the following aims:
 - summarizing why computer-aided spectrum management is required;
 - analyzing the main functions of the SMSADC;
 - preparing for the developments;
 - not devising the needs, proposals and impairments of targeted users in order to meet their requirements.
- The meeting will focus on:
 - highlighting the main functions and structure of spectrum management organization and necessary information for efficient spectrum management;
 - the role of computer-aided spectrum management;
 - overview of the SMSADC, including its structure, main features and different functions;
 - practical examples:
 - country presentations from SMSADC users: how to use the tool and description of their experiences;
 - requirements, needs, proposals and remarks for further developing the tool.

Version 5.1

- V5.1 of SMS4DC released in 2017 (3rd Quarter)
 - Inclusion of Harmonised Calculation Method (HCM);
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International Meeting of SMS4DC Users

http://www.itu.int/en/ITU-D/Spectrum-Broadcasting/Pages/International-SMS4DC-Users-Meeting_Geneva_December16.aspx

Overview

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- summarizing why computerized spectrum management is required;
- analysing the main functions of the SMS4DC;
- proposing further developments;
- understanding the needs, proposals and experiences of targeted users in order to meet their requirements.

The meeting will focus on:

- highlighting the main functions and structure of spectrum management organization and necessary information for efficient spectrum management;
- the role of computer-aided spectrum management;
- overview of the SMS4DC, including its structure, main features and different functions;
- practical examples;
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- requirements, needs, proposals and remarks for further developing the tool.



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Developing Countries

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INTRODUCTION

System
architecture

Functions

MSIP
Project

Versions

International
meeting

Administrative
functions

Projects

Further
Reading

International meeting



International Meeting of
SMS4DC Users

SMS4DC subscriptions before the Workshop



Participants

Around 80 participants (registered 50) from 25 countries.
Presentations: J. BDO, R&S, ITU Sales, experience of a former.
Country presentations: Hungary, Switzerland (including HCM), Burundi, Colombia, Myanmar, Sudan.
Dial presentations: Timor-Leste, Bhutan, Cameroon, PNG, IS.
Comments by e-mail: experiences of Pacific islands.

Participants

Participating countries/entities of the Workshop:
Afghanistan, Albania, Bhutan, Burkina Faso, Burundi, Cameroon, Chad, Cuba, Djibouti, Egypt, Haiti, Hungary, Lao PDR, Libya, Mali, Moldova, Mongolia, Myanmar, Switzerland, Timor-Leste, Togo, Senegal, Germany, Colombia, Sudan.

Final conclusions

The participants expressed their view on the usefulness of the software but it can be even better with some improvements. In addition they supported the idea to have this type of meeting once per year and if possible, have also regional meetings of the users.

29.05.2019

International Telecommunication Union

SPECTRUM MANAGEMENT SYSTEM FOR DEVELOPING COUNTRIES
SYSTÈME DE GESTION DU SPECTRE POUR LES PAYS EN DÉVELOPPEMENT
SISTEMA DE GESTION DEL ESPECTRO PARA PAISES EN DESARROLLO

SMS4DC

Version 5.0

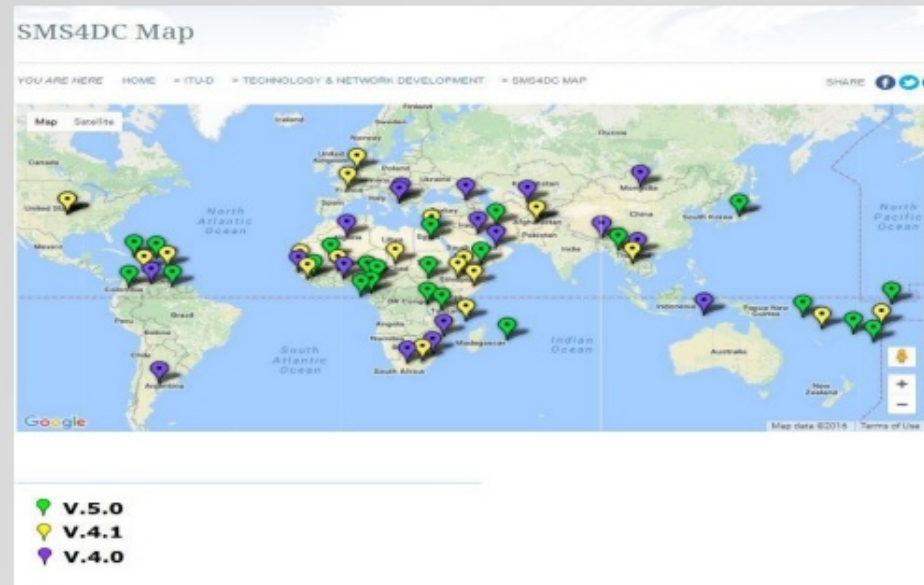
● english
● français
● spanish

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International Meeting of
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SMS4DC subscriptions before the Workshop



29.05.2019

SM4DC

24

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Switzerland, Timor-Leste, Togo, Senegal, Germany,
Colombia, Sudan*

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INTRODUCTION

System
architecture

MSIP
Project

Functions

Versions

International
meeting

Administrative
functions

Projects

Further
Reading

Administrative functions

Proposals for improvements, additions

- Administrative functions
- Engineering
- Graphical
- Training
- Support
- Software
- Promotion

Administrative functions

- Making easier transfer from Anonymous to licensed station
- Improvement of designing license and invoice form
- Using copy function in data entry
- Export to/from Excel and Word for reporting
- Search for stations based on name/ID
- Status of license, step-by-step follow up of the licensing process
- Export/import between SMS4DC-SMS4DC
- Licensing request via web/on-line application form
- Upload printed license/invoice (or at least link to them)
- Reporting on e.g. number of licenses, stations
- Automatic renewal of frequency licenses
- Making microwave link entries easier
- Supporting management information system

Engineering

- Equipment, filter database
- Tower database
- Higher resolution terrain
- Fee calculation

Graphical

- Revise the graphical interface
- Icons to add/move/remove stations
- Frequency allocation chart

Training

- Preliminary questionnaire to participants
- Preparing more training materials
- Background presentations, video on the functions
- More spectrum management training is required
- Training curriculum
- Starting/tutorial/basic information
- Training on YouTube
- Modular trainings (e.g. engineering/data entry/licensing)
- Small demo on the functions for a smaller area

Promotion

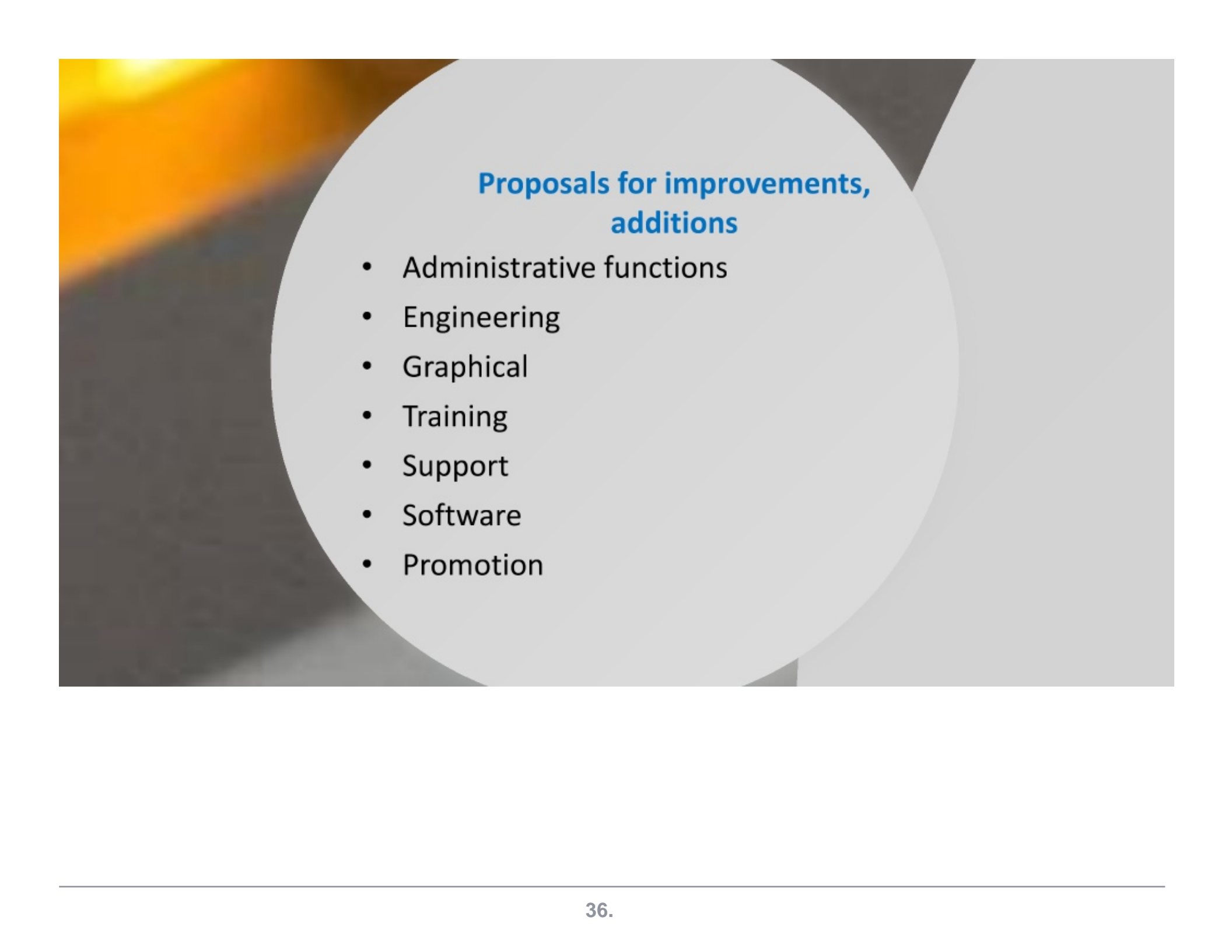
- Preparation of training materials
- Web page
- Designing of Report/Operations
- Training materials
- Training together with other system management
- Software
- Working information about licensing system usage
- Accessibility for individual or multi-media user interface
- Register operators in system based on their other software
- Information on using with mobile

Software

- Checking other operational system than Windows
- Mobile/tablet application
- Pre-defined workflow (like e.g. in the Executive overview)
- Modular utilization
- Checking the possibility of other solution than dongle for authorized utilization

Support

- Training for help request
- Available online support
- FAQ
- Website for users and forum for developers



Proposals for improvements, additions

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Promotion

- Presentations during workshops
- Web page
- During meetings of Regional Organizations
- Flyers, brochures
- Packing together with other spectrum management assistance
- Distributing information video/tutorial by a BDT Circular
- Using BRIFICs for distribution of information about SMS4DC
- Regional roadshows (1-2 days, back-to-back with other workshops)
- Presentations during WRS and RRS



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INTRODUCTION

System
architecture

MSIP
Project

Functions

Versions

International
meeting

Administrative
functions

Projects

Further
Reading

Projects

PIRRC project (Pacific Islands)

PIRRC project (Pacific Islands) is a project of the Pacific Islands Regional Radio Communication Commission (PIRRC) for the Pacific Islands. The project is aimed at improving the efficiency of the PIRRC's operations and enhancing the quality of its services. The project is being implemented by the PIRRC's Information and Communication Technology (ICT) Unit. The project is being implemented in the following areas:

1. Improving the efficiency of the PIRRC's operations.
2. Enhancing the quality of the PIRRC's services.
3. Improving the PIRRC's financial management.
4. Improving the PIRRC's human resources management.
5. Improving the PIRRC's legal and regulatory framework.
6. Improving the PIRRC's public relations and communication.
7. Improving the PIRRC's information management.
8. Improving the PIRRC's security and safety.
9. Improving the PIRRC's environmental management.
10. Improving the PIRRC's overall management.

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- Improving the PIRRC's overall management.

Additional experts for development

New experts can be involved in developing and/or maintaining the software. These experts will be added to the software by the existing experts. For example, the software developed by an expert from Lithuania and licensed to the SW by the project experts.

Republic of Korea and ITU project

Project activities

To improve administrative function and user interface for spectrum management, functions below listed should be newly made or improved:

- Improvement of designing license and invoice of fee form
- Adding copy function in data entry to avoid repeating same data
- Improvement of data export and import function to Excel, Word and other commercial software
- Adding search function for stations based on name or ID
- Export and import data between SMS4DC – SMS4DC
- To make on-line license application possible, set up sample license web pages and link applicant's data to SMS4DC database
- Upload of printed license or invoice(pdf or jpg format) to SMS4DC database or provide a link function to the documents saved in separate place
- Macro function or simplified process for repeated similar stations' licensing

Republic of Korea and ITU project - new

For better radio communication engineering and easy work for licensing, functions below listed should be newly made or improved:

- Based on the user country's request, provision of non-commercial higher resolution(around 90 m) map based on freely available data
- Adding new database of filter, tower and other available commercial products database of radio communication equipment
- To calculate licensing fee, adding formula configuration and calculation function for licensing fee or importing formula function from other program i.e. Excel, based on the country's law and regulation
- Improving graphical user interface, i.e. add icon of linking and removing linked stations etc.
- For data protection, adding automatic back up menu to separate storage device

Republic of Korea and ITU project - new

To closely support users and exchange useful information and experiences of users

- closed on-line forum should be operated and this forum may include FAQ, bulletin board and other necessary functions for users. To facilitate this forum, the developers and experts of SMS4DC should participate in it and timely provide answers for users' questions.

Preparation of additional training materials

- Making video with e.g. recording of training classes for SMS4DC software and uploading the videos to YouTube and other sharing site for learners
- Preparation of the training videos as a multimedia DVD and releasing it for assisting self-learning users

Final approval test of the revised version of SMS4DC software package:

- Preparation of a protocol for testing and test the revised version of the SMS4DC software
- Execution of the approval test of the SMS4DC software on the basis of such test protocol, with the participation of the SMS4DC developers, experts and trainers, and ITU staff from BR and BDT

PIRRC project (Pacific Islands)

While most of the smaller islands are considering or have procured the SMS4DC systems only few have implemented it as their spectrum management system.

The problems include the lack of the basic like:

- 1) Absence of a national frequency allocation table;
- 2) Absence of resources for systematic spectrum management;
- 3) Lack of training.
- 4) All countries who have responded to the survey indicate that while they will adopt SMS4DC they need additional training and more importantly training material that would allow them to work and learn on the system with limited supervision.

The PIRRC Project will be conducting additional training in the first quarter of 2017 and will include the preparation of training aids for the users.

Direct beneficiaries of PIRRC are FSM; Kiribati; Marshall Islands; PNG; Samoa; Solomon Islands; Tonga; Tuvalu and Vanuatu.

Countries that are not are beneficiaries Cook Islands; Fiji; Nauru; Niue; Tokelau and Palau.

Purchased SMS4DC for 10 users

Provided higher resolution map (in 2018)

Republic of Korea and ITU project

Project activities

To improve **administrative function** and user interface for spectrum management, functions below listed should be newly made or improved:

- Improvement of designing license and invoice of fee form
- Adding copy function in data entry to avoid repeating same data
- Improvement of data export and import function to Excel, Word and other commercial software
- Adding search function for stations based on name or ID
- Export and Import data between SMS4DC – SMS4DC
- To make on-line license application possible, set up sample license web pages and link applicant's data to SMS4DC database
- Upload of printed license or invoice(pdf or jpg format) to SMS4DC database or provide a link function to the documents saved in separate place
- Macro function or simplified process for repeated similar stations' licensing

Republic of Korea and ITU project - new

For better radio communication **engineering** and easy work for **licensing**, functions below listed should be newly made or improved:

- Based on the user country's request, provision of non-commercial higher resolution(around 90 m) map based on freely available data
- Adding new database of filter, tower and other available commercial products database of radio communication equipment
- To calculate licensing fee, adding formula configuration and calculation function for licensing fee or importing formula function from other program i.e. Excel, based on the country's law and regulation
- Improving graphical user interface, i.e. add icon of linking and removing linked stations etc.
- For data protection, adding automatic back up menu to separate storage device

Republic of Korea and ITU project - new

To closely **support users** and **exchange useful information** and experiences of users

- closed on-line forum should be operated and this forum may include FAQ, bulletin board and other necessary functions for users. To facilitate this forum, the developers and experts of SMS4DC should participate in it and timely provide answers for users' questions.

Preparation of additional **training materials**

- Making video with e.g. recording of training classes for SMS4DC software and uploading the videos to You Tube and other sharing site for learners
- Preparation of the training videos as a multimedia DVD and releasing it for assisting self-learning users

Final approval test of the revised version of SMS4DC software package:

- Preparation of a protocol for testing and test the revised version of the SMS4DC software
- Execution of the approval test of the SMS4DC software on the basis of such test protocol, with the participation of the SMS4DC developers, experts and trainers, and ITU staff from BR and BDT

Additional experts for development

- New experts can be involved in developing stand-alone modules
- These modules will be added to the software by the existing experts
 - Example: HCM module developed by an expert from Lithuania and inserted to the SW by the present experts.



SMS4DC

Spectrum Management System for
Developing Countries

István Bozsóki
Head of Division
BDT/IEE/TND

INTRODUCTION

System
architecture

Functions

MSIP
Project

Versions

International
meeting

Administrative
functions

Projects

Further
Reading

For further reading:

- **ITU Handbook - Computer-Aided Techniques for Spectrum Management (CAT), 2015**
- **ITU Handbook on National Spectrum Management, 2015**
- **SMS4DC 5.0 User Guide**
- **ITU Handbook on Spectrum Monitoring, 2011**
- **Recommendation ITU-R SM.1370-2 (08/2013)**
 - Design guidelines for developing automated spectrum management systems
- **Recommendation ITU-R SM.1537 (08/2013)**
 - Automation and integration of spectrum monitoring systems with automated spectrum management
- **Recommendation ITU-R SM.1604 (02/2003)**
 - Guidelines for an upgraded spectrum management system for developing countries



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Reading



THANK YOU

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**Head Telecommunication Networks and
Spectrum Management**



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System
architecture

Functions

MSIP
Project

Versions

International
meeting

Administrative
functions

Projects

Further
Reading