

## RECOMMENDATION ITU-R SA.577-5

**PREFERRED FREQUENCIES AND NECESSARY BANDWIDTHS  
FOR SPACEBORNE ACTIVE REMOTE SENSORS**

(Question ITU-R 140/7)

(1982-1986-1990-1994-1995-1997)

The ITU Radiocommunication Assembly,

*considering*

- a) that certain bands have been allocated for spaceborne active microwave remote sensing;
- b) that spaceborne active microwave sensors can provide unique information on physical properties of the Earth;
- c) that the sensing of different physical properties requires the use of different frequencies;
- d) that the spatial resolution of the measurement determines the necessary bandwidth;
- e) that simultaneous measurements at a number of frequencies may be needed to distinguish between the various properties;
- f) that sharing is generally feasible between spaceborne active microwave sensors and terrestrial radars in bands of the radiolocation service (see Recommendation ITU-R SA.516),

*recommends*

**1** that for active sensing measurements of the Earth for:

- soil moisture,
- vegetation mapping,
- snow distribution, depth and water content,
- geological mapping,
- land use mapping,
- ice boundaries, depth, type and age,
- ocean wave structure,
- ocean wind speed and direction,
- mapping of ocean circulation (currents and eddies),
- oil spills,
- geodetic mapping,
- rain rates,
- cloud height and extent,
- surface pressure,
- measurement of biomass in tropical forests,

the following frequency bands be used:

- near 1 GHz,
- near 3 GHz,
- near 5 GHz,
- near 10 GHz,
- near 14 GHz,
- near 17 GHz,
- near 24 GHz,
- near 35 GHz,
- near 78 GHz or near 95 GHz,
- near 400 MHz;

- 2 that bandwidths of approximately 100 MHz are required for active sensor applications except for those covered in *recommends* 3 and 4;
- 3 that bandwidths of approximately 500 MHz are required near 5, 14 and 35 GHz for some applications with altimeters requiring spatial resolutions better than 50 cm;
- 4 that bandwidths of approximately 10 to 40 MHz are required near 400 MHz for applications using synthetic aperture radars for measurements of soil moisture and biomass;
- 5 that preferred frequencies and necessary bandwidths for satellite active sensing should be determined in accordance with Annex 1.

NOTE 1 – At present there are no allocations for EES (active) near 95 GHz, which is preferable for precipitation and cloud measurement to the allocated band near 78 GHz or near 400 MHz.

## ANNEX 1

### **Factors related to determination of preferred frequencies and necessary bandwidths for satellite active sensing**

#### **1 Introduction**

Active sensors differ from passive sensors in that they illuminate the object under observation and respond to the reflected energy.

There are 4 basic types of active sensors:

- scatterometers,
- altimeters,
- imagers,
- precipitation and cloud radars.

Radar scatterometers are useful for determining the roughness of large objects. When operating at frequencies higher than 300 MHz, the scatterometer measures the amount of backscatter from the surface roughness in broad categories ranging from smooth to very rough. At frequencies around 200 MHz, reflectivity depends upon the dielectric constant of the object; at lower frequencies, reflectivity depends primarily upon electrical conductivity. These lower frequencies can be used to penetrate the surface of the Earth to detect sub-surface structures.

Radar altimetry has yielded three possible operation concepts for practical systems. One of these techniques is based upon the use of a very narrow beamwidth (2 mrad) and a very short transmitted pulse (2 ns). Timing of the round-trip delay of the transmitted pulse leading edge, is used to provide altitude information. A technique that is similar to the short pulse system, is the pulse compression technique. A short impulse pulse generates a longer frequency modulated pulse and the return, which has a wide bandwidth, is compressed back to a short pulse which is then leading edge detected. The third technique requires moderate antenna size and spacecraft stabilization, with radar return from the nadir point obtained by a time-gating technique. In this system, altitude information is extracted by measuring the centroid of the early portion of the radar waveform rather than the leading edge of a very short pulse.

Radar imaging systems are employed to produce high resolution images required by users in such fields as geology, oceanography and agriculture. To achieve reasonable resolution from space, synthetic aperture focused radars will be employed for many applications as they have resolutions independent of range. In the area of meteorology scanning Doppler radars may also be employed.

Knowledge of the global rainfall and cloud distributions is required to understand and predict global climate change. Microwave sensors have a clear advantage over visible/infrared sensors in that they have the capability to penetrate the cloud cover, thereby providing direct information of rain and cloud volume. Active sensors are especially important because they are the only instruments which provide vertical rain and cloud structures, and therefore are essential to study large-scale atmospheric circulation and the radiation budget. Moreover, the active sensors can provide quantitative rain and cloud information independent of the microwave emission properties of background surfaces.

Active remote sensing in the microwave region offers several advantages over visible region sensors and passive microwave sensors. Besides being uniquely sensitive to several land/ocean/atmosphere variables (e.g. plant moisture and cloud height), active sensing can, for instance, penetrate the surface and vegetation, operate on an all-weather, day/night basis, attain high spatial resolution (synthetic aperture radar (SAR)) enhance features by changing the illumination angle, and operate over broad spectral ranges independently of emissions from narrow-band phenomena.

Active sensors illuminate the object under observation and respond to reflected energy. In order to gather information concerning the Earth's surface from space, the transmitted signal must traverse the atmosphere twice. As a result the electromagnetic absorption and scattering properties of the atmosphere play an important role in determining the spectral regions suitable for active remote sensors.

Severe atmospheric attenuation is confined to the shorter wavelengths, and for this reason, active sensors usually operate below the 60 GHz oxygen absorption region and also avoid the spectral region near the 22 GHz water vapour line.

Electromagnetic scattering by precipitation and clouds can present a more serious problem than atmospheric absorption. Echoes from water droplets increase with droplet diameter and decrease with increasing wavelength. Thus, at longer wavelengths clouds give little echo, but precipitation can give somewhat stronger echoes because of the larger particle diameters of the rain drops.

Several aspects of active sensor research, particularly as it relates to the choice of frequencies for measuring Earth-oriented variables from a space platform, are presented below. It should be noted in determining optimal frequencies that, due to the broad frequency response range of various phenomena of interest, there is often a need for simultaneous measurements at several frequencies so that contributions of the radar return from different sources can be separated.

The radar return from any surface is a function of radar frequency, surface roughness, surface dielectric properties, angle of incidence and aspect, and sub-surface microstructure. In each of the applications listed, the energy reflected back to a radar sensor is strongly affected by at least one backscattering mechanism related to the measured phenomenon. In general, these are: oceanic roughness (used in the study of ocean structure and winds over sea surfaces);  $O_2$  absorption (used in determining surface pressure over oceans); and surface roughness and dielectric constant variations (used in studies of ice, snow and land parameters).

## **2 Active sensing of ocean and ocean winds**

Oceanic active sensor studies are dominated by wave structure determination, sea-surface wind measurements and ocean current investigations. Generally the reflected microwave energy is due to ocean roughness: specifically, the radar return is a function of diffraction effects from both large gravity waves and small capillary, surface-tension ripples riding on large-scale waves, and foam. The amount of reflected radiation due to each of these effects observed by an active sensor depends on the sea state and the particular active measurement technique.

Work at several frequencies in band 10 has shown that the effects of large gravity waves dominate at near-normal incidence and those of capillary waves at incidence angles greater than  $20^\circ$ . Thus to sense sea roughness (a function of the very breeze-dependent ripples) and the size and direction of long-lived gravity waves (coarse sea structure), a two-way component concept is used. In the study of ocean surface winds (important in weather prediction models), the underlying principle is that ocean roughness is a gauge by which wind variables can be inferred, since the small

roughness elements which convey the transfer of momentum from the wind to the sea are in at least near equilibrium with the wind. Using variable frequencies, polarizations and incidence angles, investigators can infer details of ocean surface wind, significant wave height and mean square wave slopes, an accomplishment beyond the capabilities of passive sensing. Experiments have shown that good wind speed sensitivity is obtained at frequencies near 14 GHz and that there is a reduced sensitivity to wind speed at 1.3 GHz.

SARs have shown promise in coarse ocean structure measurements (average significant wave height). One design employs four frequency bands in the 1-10 GHz range and three polarizations with wide-swath and multiple-incidence angle capabilities. Ocean oil slicks suppress short-wavelength ocean waves and therefore the slick area can be discriminated from the surrounding clean surface by microwave imaging radars.

Altimeters have been used successfully from a number of satellites over the world's oceans. For oceanographic studies, an altimeter system having an overall range measurement precision better than 2 cm is required. To achieve 2 cm precision will require removal of the range errors due to ionospheric electron content which cause errors as great as 22 cm at 13.5 GHz. A two-frequency altimeter system can eliminate the range uncertainty due to the ionosphere. A two-frequency altimeter system can also provide accurate measurements of continuous swaths of the ionospheric electron content, measurements which are not available today over large regions of the Earth's oceans. A region of the spectrum, separated by more than an octave from the 13.4-14 GHz band, would be a suitable choice for the second frequency. The second frequency could be selected around 5 GHz, with the main frequency remaining near 14 GHz. It is thought that in the longer term, higher frequencies around 35 GHz could be used.

It can thus be seen that several frequencies have proven useful for the remote active sensing of ocean-wave structure. Due to high wind speed dynamic range and the relative absence of atmospheric effects, wind speed measurement technology has converged on the 10-15 GHz region.

### **3 Active sensing of ice-covered surfaces**

Investigations indicate that the following types of ice variables are amenable in varying degrees to active microwave sensing: ice-type (young, old, etc.), surface roughness, concentration, floe size and number, water openings, drift, surface topology, pressure characteristics, thickness and changes in nature and in distribution of types. Based on these studies, a frequency in band 10 appears to be the best for determining sea-ice types. Band 9 radar is useful in resolving ambiguities resulting from measurements of thin ice, especially when utilized in conjunction with band 10 radars. Higher frequencies are under study.

The most important spaceborne active microwave sensors for sea-ice application are the SAR, radar altimeter and radar scatterometer. Satellite research on sea-ice has been primarily carried out by SAR at 1.3 GHz. Airborne synthetic aperture radar imagery (1.3 and 9.1 GHz) has shown that in some cases, including sea-ice mapping, the higher frequency channel is preferable. Although the interpretability of sea-ice imagery does improve with higher frequencies, there is no question of the usefulness of the product at 1.3 GHz. Altimeters have been used to map sea-ice parameters and the height of the Greenland ice-cap.

### **4 Meteorological and climatological observations**

The knowledge gained in ground-based and airborne measurement of rainfall, storm features and pressure fields in weather prediction models is being extended to spaceborne systems. The techniques are based upon changes in clear atmosphere refractive index due to rain-related features or differential reflectivities of multi-frequency echoes. Studies carried out with orthogonally polarized radars and multiple, narrow-beam coverage at several frequencies between 2 and 37.5 GHz have been able to measure precipitation rate, intensity, spatial distribution, drop size and surface pressure over oceans and wind movements within storms. The results of several airborne radar experiments for rainfall observation indicate the potential of spaceborne sensors for global mapping of rainfall. There are several factors constraining

frequency choices. A combination of bands must be chosen to match minimum sensitivity and spatial resolution, yet not be swamped by Earth echo at needed viewing angles. Only downward-looking scanning pencil beams (as opposed to azimuthal or cross-track fan beams) have the capacity to infer rainfall intensity from altimeter estimates of the freezing layer. A Ku-band spaceborne rain radar for tropical rainfall measurement is under development. Single and multi-frequency approaches to the measurement of rain attenuation as well as radar reflectivities have the potential for quantitative retrieval of vertical precipitation profiles from satellites.

A frequency between 92 and 95 GHz is preferred for spaceborne cloud profiling radars based upon the following factors: minimum detectable cloud reflectivity, propagation and scattering, resolution, antenna beam interference, previous work and technology. The reflectivity of marine stratus clouds, which are very important to the determination of the Earth's radiation budget, may be as low as  $-30$  dBZ, a level 70 dB below the reflectivity of rain (10 mm/h). The objective of a spaceborne cloud profiling mission would be to measure the reflectivity profile for all clouds within the field of view having a reflectivity as low as  $-30$  dBZ. A frequency near 95 GHz is needed to measure this reflectivity level while also meeting along track resolution objectives. A large amount of experimental work in the form of ground-based and airborne radar systems development and data collection has already been done at 95 GHz. Along with this hardware development has been computational work aimed at studying the behaviour of non-Rayleigh scatterers at 95 GHz.

## 5 Active sensing of vegetation cover and soil moisture

Interest in active sensing of soil moisture arose due to the limited spatial resolution of passive sensors. The amount of reflected radar power from the soil depends on soil roughness and dielectric constant, vegetation cover and incidence of the transmitted microwave beam. Early laboratory studies showed that soil moisture affects reflectivity of the soil due to changes in soil dielectric constant. Incidence angles less than  $45^\circ$  can help distinguish roughness returns from moisture returns. Research, which utilized 4.7, 5.9 and 13.3 GHz, indicates that a satellite scatterometer system operated at 4.7 GHz with  $5^\circ$  to  $17^\circ$  incidence angles could adequately distinguish soil moisture returns from those of vegetation cover and roughness. However, additional frequencies are needed when vegetation cover is a factor or when sub-soil measurements are required.

On the other hand, vegetation cover has been studied as an objective, particularly in crop identification experiments, where soil returns become an obscuring factor. Both imagers and scatterometers have been used with the reflected power from vegetation being related to vegetation roughness, moisture and dielectric constant, and viewing angle. Results of these investigations indicate that satellites can be useful in active sensor identification of crops and forests, of land use patterns (range, forest, etc.) and of watershed parameters. Multi-spectral, multi-polarization, multi-temporal schemes of observation at high incidence angles (to minimize soil returns) have yielded promising results in research at 1.3, 5.9, 9.0, 9.4, 13, 16, and 35 GHz. Crop classification can be improved by taking growing periods into account, by employing several frequencies and by repeating measurements over several weeks.

Because of the increased penetration into dense vegetation near 400 MHz and increased scattering information, near 400 MHz airborne radars have been used in tropical areas, where vegetation tends to be densest, to aid measuring woody biomass in the tropical forests. These radars have been applied to not only analysing deforestation and reforestation, but also to measure above-ground woody biomass. In several sites of boreal and temperate forests, near 400 MHz horizontal-horizontal, horizontal-vertical and vertical-vertical polarization data produced estimates of total above-ground dry woody biomass within 12% to 27% of the actual biomass, depending on forest complexity. In tropical forests, near 400 MHz radar can assist in estimating their forest biomass and provide results unachievable by any other means, even though biomass levels largely exceed  $20 \text{ kg/m}^2$ .

Surface penetration near 400 MHz is deeper than for 1 250 MHz by a factor of 8 to 10, and is thus most favourable for Earth penetration studies. It may be possible, using near 400 MHz imaging radars, to document geologic history and climate change within the world's larger deserts using maps of buried fluvial systems and their surrounding topography and to model regional-scale tectonics in semi-arid regions of the world using maps of surface and buried geologic structures, such as faults, fractures, synclines, and anticlines.

## 6 Bandwidth requirements for active sensing

Bandwidth requirements for active sensors vary with the type of sensor, i.e. SAR, real aperture radar, scatterometer, altimeter or rain and cloud radar. In all cases, the bandwidth is determined by the required range resolution and is equal to:

$$B = \frac{1}{\tau} = \frac{c}{2\Delta R} \quad (1)$$

where:

$B$ : bandwidth (Hz)

$\tau$ : pulse duration (equivalent to the inverse of the pulse compression bandwidth) (s)

$c$ : speed of light (m/s)

$\Delta R$ : range resolution along the radar beam (m).

It is noted that the range resolution on the surface (or cross-track resolution) of side-looking radars is given by  $\Delta R/\cos \theta_d$ , where  $\theta_d$  is the depression angle from satellite, or equivalently, arrival angle at the Earth. In the case of rain and cloud radars where a large number of independent samples should be obtained in a short dwell time at each scan position, the frequency agility technique may be employed. In this case, the total bandwidth of the radar will require  $B \times N_f$  or more ( $N_f$  is the number of frequency channels in the frequency agility system), considering the frequency separation to keep necessary isolation between the radar receiver channels.

In conclusion, a bandwidth of 100 MHz would be compatible with a large majority of applications envisaged by scientists for spaceborne active microwave sensors except altimeters.

## 7 Summary of preferred frequencies and necessary bandwidths for active sensing

Although active microwave sensing technology is advancing rapidly and much still needs to be learned, a set of preferred frequencies can be defined which satisfy measurement requirements and provide for multi-frequency measurements needed to separate signal contributions from different sources. Sharing considerations dictate that specific frequency bands for active sensors should be in bands shared with the radiolocation service. Thus, preferred frequencies for active spaceborne sensor measurements fall near 400 MHz and near 1, 3, 5, 10, 14, 17, 24, 35 and 78 or 95 GHz. A bandwidth of 100 MHz is appropriate for most applications using active sensor instruments other than altimeters. Altimeter measurements may need up to 500 MHz bandwidth to satisfy accuracy requirements, but, at present, this requirement can be accommodated only in the band allocated near 14 GHz for active sensing. A second frequency band, with 500 MHz bandwidth would achieve 2 cm precision for the application of altimeters to oceanography. Two frequency bands which would be useful for achieving this precision are, for instance, around 5 and 35 GHz. A bandwidth of approximately 10 to 40 MHz near 400 MHz would be sufficient for applications using SAR for measurements of soil moisture and biomass and for documentation of geologic history and climate change.

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