The ITU Radiocommunication Assembly,

\textit{considering}

\begin{itemize}
  \item[a)] that for the proper planning of trans-horizon radio-relay systems it is necessary to have appropriate propagation prediction methods and data;
  \item[b)] that methods have been developed that allow the prediction of most of the important propagation parameters affecting the planning of trans-horizon radio-relay systems;
  \item[c)] that as far as possible these methods have been tested against available measured data and have been shown to yield an accuracy that is both compatible with the natural variability of propagation phenomena and adequate for most present applications in system planning,
\end{itemize}

\textit{recommends}

that the prediction methods and other techniques set out in Annex 1 be adopted for planning trans-horizon radio-relay systems in the respective ranges of parameters indicated.

\textbf{ANNEX 1}

\section{Introduction}

The only mechanisms for radio propagation beyond the horizon which occur permanently for frequencies greater than 30 MHz are those of diffraction at the Earth’s surface and scatter from atmospheric irregularities. Attenuation for diffracted signals increases very rapidly with distance and with frequency, and eventually the principal mechanism is that of tropospheric scatter. Both mechanisms may be used to establish “trans-horizon” radiocommunication. Because of the dissimilarity of the two mechanisms it is necessary to consider diffraction and tropospheric scatter paths separately for the purposes of predicting transmission loss.

This Annex relates to the design of trans-horizon radio-relay systems. One purpose is to present in concise form simple methods for predicting the annual and worst-month distributions of transmission loss due to tropospheric scatter, together with information on their ranges of validity. Another purpose of this Annex is to present other information and techniques that can be recommended in the planning of trans-horizon systems.

\section{Transmission loss for diffraction paths}

For radio paths extending only slightly over the horizon, or for paths extending over an obstacle or over mountainous terrain, diffraction will generally be the propagation mode determining the field strength. In these cases, the methods described in Recommendation ITU-R P.526 should be applied.

\section{Transmission loss distribution on tropospheric scatter paths}

Signals received by means of tropospheric scatter show both slow and rapid variations. The slow variations are due to overall changes in refractive conditions in the atmosphere and the rapid fading to the motion of small-scale
irregularities. The slow variations are well described by distributions of the hourly-median transmission loss which are approximately log-normal with standard deviations between about 4 and 8 dB, depending on climate. The rapid variations over periods up to about 5 min are approximately Rayleigh distributed.

In determining the performance of trans-horizon links for geometries in which the tropospheric scatter mechanism is predominant, it is normal to estimate the distribution of hourly-median transmission loss for non-exceedance percentages of the time above 50%. A simple semi-analytical technique for predicting the distribution of average annual transmission loss in this range is given in § 3.1. A graphical technique for translating these annual time percentages to those for the average worst month is given in § 3.2. Finally, guidance is given in § 3.3 on estimation of the transmission loss distribution for small percentages of time for use in obtaining receiver dynamic ranges required. Appendix 1 includes additional supporting information on seasonal and diurnal variations in transmission loss, on frequency of rapid fading on tropospheric scatter paths and on transmission bandwidth.

3.1 Average annual median transmission loss distribution for time percentages greater than 50%

The following step-by-step procedure is recommended for estimating the average annual median transmission loss $L(q)$ not exceeded for percentages of the time $q$ greater than 50%. The procedure requires the link parameters of great-circle path length $d$ (km), frequency $f$ (MHz), transmitting antenna gain $G_t$ (dB), receiving antenna gain $G_r$ (dB), horizon angle $\theta_t$ (mrad) at the transmitter, and horizon angle $\theta_r$ (mrad) at the receiver:

Step 1: Decide on the appropriate climate for the link in question from the list of nine climates (commonly designated 1, 2, ..., 7a, 7b, 8) described below. In cases of uncertainty as to the appropriate climate, transmission loss calculations should be carried out for the two or three most likely possibilities and the most conservative results employed.

1. **Equatorial**: corresponds to the region between latitudes 10° N and 10° S. The climate is characterized by a slightly varying high temperature and by frequent heavy rains which sustain a permanent humidity. The annual mean value of $N_s$ (refractivity at the surface of the Earth = $(n – 1) \times 10^6$ where $n$ is the refractive index of the air) is about 360 $N$-units and the annual range of variations is 0 to 30 $N$-units.

2. **Continental sub-tropical**: corresponds to the regions between latitudes 10° and 20°. The climate is characterized by a dry winter and rainy summer. There are marked daily and annual variations of radio propagation conditions, with least attenuation in the rainy season. Where the land area is dry, radio ducts may be present for a considerable part of the year. The annual mean value of $N_s$ is about 320 $N$-units and the range of variation, throughout the year, of monthly mean values of $N_s$ is 60 to 100 $N$-units.

3. **Maritime sub-tropical**: also corresponds to the regions between latitudes 10° and 20° and is usually found on lowlands near to the sea. It is strongly influenced by the monsoon. The summer monsoon, which blows from sea to land, brings high humidity into the lower layers of the atmosphere. Although the attenuation of radio waves is relatively low at both the beginning and end of the monsoon season, during the middle of the monsoon the atmosphere is uniformly humid to great heights and the radio attenuation increases considerably despite a very high value of $N_s$. There is an annual mean $N_s$ of about 370 $N$-units with a range of variation over the year of 30 to 60 $N$-units.

4. **Desert**: corresponds to two land areas which are roughly situated between latitudes 20° and 30°. Throughout the year there are semi-arid conditions and extreme diurnal and seasonal variations of temperature. This climate is very unfavourable for forward-scatter propagation, particularly in summer. There is an annual mean value of $N_s$ of about 280 $N$-units and throughout the year monthly mean values may vary over a range of 20 to 80 $N$-units.

5. **Mediterranean**: corresponds to regions in both hemispheres on the fringe of desert zones, close to the sea, and lying between latitudes of 30° and 40°. The climate is characterized by a fairly high temperature which is reduced by the presence of the sea, and an almost complete absence of rain in the summer. Radio-wave propagation conditions vary considerably, particularly over the sea, where radio ducts exist for a large percentage of the time in summer.
6. **Continental temperate**: corresponds to regions between latitudes 30° and 60°. Such a climate in a large land mass shows extremes of temperature and pronounced diurnal and seasonal changes in propagation conditions may be expected to occur. The western parts of continents are influenced strongly by oceans, so that temperatures here vary more moderately and rain may fall at any time during the year. In areas progressively towards the east, temperature variations increase and winter rain decreases. Propagation conditions are most favourable in the summer and there is a fairly high annual variation in these conditions. The annual mean value of $N_s$ is about 320 $N$-units and monthly mean values may vary by 20 to 40 $N$-units throughout the year.

7a. **Maritime temperate, overland**: also corresponds to regions between latitudes of about 30° and 60° where prevailing winds, unobstructed by mountains, carry moist maritime air inland. Typical of such regions are the United Kingdom, the west coast of North America and of Europe and the northwestern coastal areas of Africa. There is an annual mean value of $N_s$ of about 320 $N$-units, with a rather small variation of monthly mean values over the year of 20 to 30 $N$-units. Although the islands of Japan lie within this range of latitudes, the climate is somewhat different and shows a greater annual range of monthly mean values of $N_s$ about 60 $N$-units. The prevailing winds in Japan have traversed a large land mass and the terrain is rugged. Climate 6 is therefore probably more appropriate to Japan than climate 7, but duct propagation may be important in coastal and adjacent oversea areas for as much as 5% of the time.

7b. **Maritime temperate, oversea**: corresponds to coastal and oversea areas in regions similar to those for climate 7a. The distinction made is that a radio propagation path having both horizons on the sea is considered to be an oversea path (even though the terminals may be inland): otherwise climate 7a is considered to apply. Radio ducts are quite common in occurrence for a small fraction of the time between the United Kingdom and the European continent and along the west coasts of the United States of America and Mexico.

8. **Polar**: corresponds approximately to the regions between latitudes 60° and the poles. This climate is characterized by relatively low temperatures and relatively little precipitation.

**Step 2:** Obtain the meteorological and atmospheric structure parameters $M$ and $\gamma$, respectively, from Table 1 for the climate(s) in question. For a Mediterranean climate (climate 5) and a polar climate (climate 8), the values for climates 4 and 7a should be respectively used.

**Step 3:** Calculate the scatter angle $\theta$ (angular distance) from

$$\theta = \theta_t + \theta_r + \theta_e \text{ mrad} \tag{1}$$

where $\theta_t$ and $\theta_r$ are the transmitter and receiver horizon angles, respectively, and

$$\theta_e = \frac{d \cdot 10^3}{ka} \text{ mrad} \tag{2}$$

with:

$d$: path length (km)

$a = 6370$ km radius of the Earth

$k$: effective earth radius factor for median refractivity conditions ($k = 4/3$ should be used unless a more accurate value is known);

**TABLE 1**

<table>
<thead>
<tr>
<th>Climate</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>6</th>
<th>7a</th>
<th>7b</th>
</tr>
</thead>
<tbody>
<tr>
<td>$M$ (dB)</td>
<td>39.60</td>
<td>29.73</td>
<td>19.30</td>
<td>38.50</td>
<td>29.73</td>
<td>33.20</td>
<td>26.00</td>
</tr>
<tr>
<td>$\gamma$ (km$^{-1}$)</td>
<td>0.33</td>
<td>0.27</td>
<td>0.32</td>
<td>0.27</td>
<td>0.27</td>
<td>0.27</td>
<td>0.27</td>
</tr>
</tbody>
</table>
Step 4: Estimate the transmission loss dependence $L_N$ on the height of the common volume from:

$$L_N = 20 \log(5 + \gamma H) + 4.34 \gamma h \quad \text{dB} \quad (3)$$

where:

$$H = 10^{-3} \theta d / 4 \quad \text{km} \quad (4)$$

$$h = 10^{-6} \theta^2 k a / 8 \quad \text{km} \quad (5)$$

and $\gamma$ is the atmospheric structure parameter obtained in Step 2.

Step 5: Estimate the conversion factor $Y(q)$ for non-exceedance percentages $q$ other than 50% from:

$$Y(q) = C(q) Y(90) \quad \text{dB} \quad (6)$$

Here $Y(90)$ is the conversion factor for $q = 90\%$ given by the following for the climate in question:

- $Y(90) = -2.2 - (8.1 - 2.3 \cdot 10^{-4} f) \exp(-0.137 h)$ dB for climates 2, 6 and 7a \( (7) \)
- $Y(90) = -9.5 - 3 \exp(-0.137 h)$ dB for climate 7b \( (8) \)
- $Y(90)$: value from the graph of Fig. 1 for climates 1, 3 and 4 where the abscissa $d_s$ of Fig. 1 is the difference between the path length and the sum of the distances from the antennas to the radio horizons given approximately by:

$$d_s = \theta k a / 1000 \quad \text{km} \quad (9)$$

In these equations $f$ is the frequency (MHz) and $h$ the height obtained from equation (5). The coefficient $C(q)$ for the non-exceedance percentage of time $q$ in question can be obtained from Table 2.
Step 6: Estimate the aperture-to-medium coupling loss $L_c$ from:

$$L_c = 0.07 \exp \left[0.055(G_t + G_r)\right] \text{ dB} \quad (10)$$

where $G_t$ and $G_r$ are the antenna gains.

Step 7: Estimate the average annual transmission loss not exceeded for $q\%$ of the time from:

$$L(q) = M + 30 \log f + 10 \log d + 30 \log \theta + L_N + L_c - G_t - G_r - Y(q) \text{ dB} \quad (11)$$

Note 1 – Equation (11) is an empirical formula based on data for the frequency range between 200 MHz and 4 GHz. It can be extended to 5 GHz with little error for most applications.

3.2 Average worst-month median transmission loss distribution for time percentages greater than 50%

For reasons of consistency with the average annual transmission loss distribution, this distribution is best determined from the average annual distribution by means of a conversion factor. The procedure is as follows:

Step 1: Obtain the average annual distribution for the non-exceedance percentages (50, 90, 99, 99.9) and climate(s) of interest using the technique in § 3.1.

Step 2: Obtain the basic transmission loss difference between the average annual distribution and the average worst-month distribution from the curves of Fig. 2. Since curves are not available for climate 2, the curves for climate 3 should be used for climate 2 instead.

Step 3: Add the difference in Step 2 to the corresponding average annual values obtained in Step 1 to obtain the average worst-month transmission losses for the non-exceedance percentages (50, 90, 99, 99.9).

Step 4: Average worst-month transmission losses not exceeded for 99.99% of the time can be estimated from the values above by logarithmic extrapolation (i.e. extrapolating from a plot on normal probability paper).

3.3 Average annual median transmission loss distribution for time percentages less than 50%

For percentages of time between about 20% (as low as 1% in some dry climates over land) and 50%, the average annual transmission loss distribution can be considered symmetrical and the transmission loss values estimated from the corresponding values above the median, i.e.

$$L(20\%) = L(50\%) - \left[ L(80\%) - L(50\%) \right] \quad (12)$$

However, for dynamic range calculations requiring estimates of the distribution for lower time percentages, pure tropospheric scatter cannot be assumed. The transmission loss values not exceeded for very small percentages of time will be determined by the duct propagation mechanism. These values are best estimated by the technique given in Recommendation ITU-R P.452.

4. Diversity reception

The deep fading occurring with tropospheric scatter propagation severely reduces the performance of systems using this propagation mode. The effect of the fading can be reduced by diversity reception, using two or more signals which fade more or less independently owing to differences in scatter path or frequency. Thus, the use of space, angle, or frequency diversity is known to decrease the percentages of time for which large transmission losses are exceeded. Angle diversity, however, can have the same effect as vertical space diversity and be more economical.
FIGURE 2
Curves giving the difference between worst-month basic transmission loss and annual basic transmission loss

- **a)** Equatorial climate (climate 1)

- **b)** Humid tropical climate (climate 3)

- **c)** Desert climate (climate 4)

- **d)** Temperate climate (climates 7a and 7b)
4.1 Space diversity

Diversity spacing in the horizontal or vertical can be used depending on whatever is most convenient for the location in question. Adequate diversity spacings $\Delta h$ and $\Delta v$ in either the horizontal or vertical, respectively, for frequencies greater than 1 000 MHz are given by the empirical relations

$$\Delta h = 0.36 \left( D^2 + 4 I_h^2 \right)^{\frac{1}{2}} \text{ m}$$  \hspace{1cm} (13)

$$\Delta v = 0.36 \left( D^2 + I_v^2 \right)^{\frac{1}{2}} \text{ m}$$  \hspace{1cm} (14)

where $D$ is the antenna diameter in metres and $I_h = 20 \text{ m}$ and $I_v = 15 \text{ m}$ are empirical scale lengths in the horizontal and vertical directions, respectively.

4.2 Frequency diversity

For installations where it is desired to employ frequency diversity, an adequate frequency separation $\Delta f$ (MHz) is given for frequencies greater than about 1 000 MHz by the relation:

$$\Delta f = \left( \frac{1.44 f / \theta d}{\theta d} \right) \left( D^2 + I_v^2 \right)^{\frac{1}{2}} \text{ MHz}$$  \hspace{1cm} (15)

where:

- $f$: frequency (MHz)
- $D$: antenna diameter (m)
- $\theta$: scatter angle (mrad) obtained from equation (1)
- $I_v = 15 \text{ m}$ the scale length noted above.

4.3 Angle diversity

Vertical angle diversity can also be used in which two or more antenna feeds spaced in the vertical direction are employed with a common reflector. This creates different vertically-spaced common volumes similar to the situation for vertical space diversity. The angular spacing $\Delta \theta_r$ required to have approximately the same effect as the vertical spacing $\Delta v$ (m) in equation (14) on an approximately symmetrical path is:

$$\Delta \theta_r = \arctan \left( \frac{\Delta v}{500d} \right)$$  \hspace{1cm} (16)

where $d$ is the path length (km).

5. Effect of the siting of stations

The siting of transmission links requires some care. The antenna beams must not be obstructed by nearby objects and the antennas should be directed slightly above the horizon. The precise optimum elevation is a function of the path and atmospheric conditions, but it lies within about 0.2 to 0.6 beamwidths above the horizon.

Measurements made by moving the beam of a 53 dB gain antenna away from the great-circle horizon direction of two 2 GHz transmitters, each 300 km distant, demonstrated an apparent rate-of-decrease of power received of 9 dB per degree. This occurred with increases of scattering angle over the first three degrees, in both azimuth and elevation, for each path, and for a wide range of time percentages.
Additional supporting material

1. Seasonal and diurnal variations in transmission loss

In temperate climates, transmission loss varies annually and diurnally. Monthly median losses tend to be higher in winter than in summer. The range is 10 to 15 dB on 150-250 km overland paths but diminishes as the distance increases. Measurements made in the European parts of the Russian Federation on a 920 km path at 800 MHz show a difference of only 2 dB between summer and winter medians. Diurnal variations are most pronounced in summer, with a range of 5 to 10 dB on 100-200 km overland paths. The greatest transmission loss occurs in the afternoon, and the least in early morning. Oversea paths are more likely to be affected by super-refraction and elevated layers than land paths, and so give greater variation. This may also apply to low, flat coastal regions in maritime zones.

In dry, hot desert climates attenuation reaches a maximum in the summer. The annual variations of the monthly medians for medium-distance paths exceed 20 dB, while the diurnal variations are very large.

In equatorial climates, the annual and diurnal variations are generally small.

In monsoon climates where measurements have been carried out (Senegal, Barbados), the maximum values of $N_s$ occur during the wet season, but the minimum attenuation is between the wet and dry seasons.

2. Frequency of rapid fading on tropospheric scatter paths

The rapid fading has a frequency of a few fades per minute at lower frequencies and a few hertz at UHF. The superposition of a number of variable incoherent components would give a signal whose amplitude was Rayleigh distributed and this is found to be nearly true when the distribution is analysed over periods of up to 5 min. If other types of signal form a significant part of that received, there is a modification of this distribution. Sudden, deep and rapid fading has been noted when a frontal disturbance passes over a link. Reflections from aircraft can give pronounced rapid fading.

The frequency of the rapid fading has been studied in terms of the time autocorrelation function, which provides a "mean fading frequency" for short periods of time for which the signal is stationary. The median value of the mean fading frequency was found to increase nearly proportionally to path length and carrier frequency, and to decrease slightly with increasing antenna diameter.

Measurements have also shown that the rapidity of fading is greatest when the hourly median transmission loss is greater than the long-term median. In general it was found that the fading rate decreased with decreasing transmission loss below the long-term median, the lowest fading rates occurring for events in which duct propagation was predominant.

It is the most rapid fading for hourly-median transmission loss values larger than the long term median that is most important, and the few measurements available (at 2 GHz) give median fading rates between about 20 and 30 fades/min.
3. **Transmissible bandwidth**

The various discontinuities which give rise to scatter propagation, create propagation paths which may vary in number and in transmission time. Accordingly, the transmission coefficients for two adjacent frequencies are not entirely correlated, which leads to a distortion of the transmitted signal. The transmissible bandwidth is the bandwidth within which the distortion caused by this phenomenon is acceptable for the transmitted signal. This bandwidth therefore depends both on the nature of the transmitted signal (multiplex telephony, television picture, etc.) and on the acceptable distortion for this signal. Studies carried out in France show that:

- increasing the antenna gain widens the transmissible bandwidth to the extent where the gain degradation increases also (i.e. for gains exceeding approximately 30 dB);

- all other things being equal, the transmissible bandwidth depends on the atmospheric structure and hence on the climatic zone in question;

- the transmissible bandwidth becomes narrower as the distance increases, but this is governed by a law which is not the same for all climates;

- the transmissible bandwidth becomes narrower when there are positive angles of departure, and wider when these angles are negative.