

# **Recommendation ITU-R P.1411-12**

## **(08/2023)**

P Series: Radiowave propagation

**Propagation data and prediction  
methods for the planning of short-range  
outdoor radiocommunication systems  
and radio local area networks in the  
frequency range 300 MHz to 100 GHz**

## Foreword

The role of the Radiocommunication Sector is to ensure the rational, equitable, efficient and economical use of the radio-frequency spectrum by all radiocommunication services, including satellite services, and carry out studies without limit of frequency range on the basis of which Recommendations are adopted.

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<b>BT</b>	Broadcasting service (television)
<b>F</b>	Fixed service
<b>M</b>	Mobile, radiodetermination, amateur and related satellite services
<b>P</b>	<b>Radiowave propagation</b>
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<b>RS</b>	Remote sensing systems
<b>S</b>	Fixed-satellite service
<b>SA</b>	Space applications and meteorology
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<b>SNG</b>	Satellite news gathering
<b>TF</b>	Time signals and frequency standards emissions
<b>V</b>	Vocabulary and related subjects

*Note: This ITU-R Recommendation was approved in English under the procedure detailed in Resolution ITU-R 1.*

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## RECOMMENDATION ITU-R P.1411-12

**Propagation data and prediction methods for the planning of short-range outdoor radiocommunication systems and radio local area networks in the frequency range 300 MHz to 100 GHz**

(Question ITU-R 211/3)

(1999-2001-2003-2005-2007-2009-2012-2013-2015-2017-2019-2021-2023)

**Scope**

This Recommendation provides guidance on outdoor short-range propagation over the frequency range 300 MHz to 100 GHz. Information is given on basic transmission loss models for line-of-sight (LoS) and non-line-of-sight (NLoS) environments, building entry loss, multipath models for both environments of street canyon and over roof-tops, number of signal components, polarization characteristics and fading characteristics. This Recommendation can also be used in compatibility studies.

**Keywords**

Basic transmission loss, delay spread, short range outdoor propagation in cluttered environments

**Abbreviations/Glossary**

ASA	Angular spread of arrival
ASD	Angular spread of departure
DS	Delay spread
EHF	Extremely high frequency
GIS	Geographic information system
GO	Geometrical optics
HH	Horizontal to horizontal
HPBW	Half-power-beamwidth
HRHD	High rise high density
HRLD	High rise low density
HRMD	High rise middle density
HV	Horizontal to vertical
KF	K-factor
LoS	Line-of-sight
LRHD	Low rise high density
LRLD	Low rise low density
LRMD	Low rise middle density
MRHD	Middle rise high density
MRLD	Middle rise low density
MRMD	Middle rise middle density
NLoS	Non-line-of-sight

r.m.s.	Root mean square
RLAN	Radio local area network
SF	Shadow fading
SHF	Super high frequency
STN	Station
UHF	Ultra high frequency
VH	Vertical to horizontal
VV	Vertical to vertical
XPD	Cross-polarization discrimination

### **Related ITU Recommendations, Reports**

Recommendation ITU-R P.1238

Recommendation ITU-R P.1407

Recommendation ITU-R P.2040

Report ITU-R P.2406

NOTE – The latest revision/edition of the Recommendation/Report should be used.

The ITU Radiocommunication Assembly,

*considering*

- a) that many new short-range (operating range less than 1 km) mobile and personal communication applications are being developed;
- b) that there is a high demand for radio local area networks (RLANs) and wireless local loop systems;
- c) that short-range systems using very low power have many advantages for providing services in the mobile and wireless local loop environment;
- d) that knowledge of the propagation characteristics and the interference arising from multiple users in the same area is critical to the efficient design of systems;
- e) that there is a need both for general (i.e. site-independent) models and advice for initial system planning and interference assessment, and for deterministic (or site-specific) models for some detailed evaluations,

*noting*

- a) that Recommendation ITU-R P.1238 provides guidance on indoor propagation over the frequency range 300 MHz to 450 GHz, and should be consulted for those situations where both indoor and outdoor conditions exist;
- b) that Recommendation ITU-R P.1546 provides guidance on propagation for systems that operate over distances of 1 km and greater, and over the frequency range 30 MHz to 6 GHz;
- c) that Recommendation ITU-R P.2040 provides guidance on the effects of building material properties and structures on radiowave propagation;
- d) that Recommendation ITU-R P.2109 provides statistical models for building entry loss;
- e) that Report ITU-R P.2406 provides additional background information on how the measurement data and models were obtained and derived in the Recommendation,

*recommends*

that the information and methods in Annex 1 should be used for the assessment of the propagation characteristics of short-range outdoor radio systems between 300 MHz and 100 GHz where applicable.

## Annex 1

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## 1 Introduction

Propagation over paths of length less than 1 km is affected primarily by buildings and trees, rather than by variations in ground elevation. The effect of buildings is predominant, since most short-path radio links are found in urban and suburban areas. The mobile terminal is most likely to be held by a pedestrian or located in a vehicle.

This Recommendation defines categories for short propagation paths, and provides methods for estimating basic transmission loss, delay spread, angular spread, and cross correlation over these paths.

The propagation models of these methods are symmetric in the sense that they treat radio terminals at both ends of a path in the same manner. From the model's perspective, it does not matter which terminal is the transmitter and which is the receiver. Hence the terms "Station 1" and "Station 2" are used to denote the terminals at the start and end of the propagation path, respectively.

## 2 Physical operating environments and definition of cell types

Environments described in this Recommendation are categorized solely from the radio propagation perspective. Radiowave propagation is influenced by the environment, i.e. building structures and heights, the usage of the mobile terminal (pedestrian/vehicular) and the positions of the antennas. Five different environments are identified, considered to be the most typical. Hilly areas, for example, are not considered, as they are less typical in metropolitan areas. Table 1 lists the five environments. Recognizing that there is a wide variety of environments within each category, it is not intended to model every possible case but to give propagation models that are representative of environments frequently encountered.

TABLE 1  
Physical operating environments – Propagation impairments

Environment	Description and propagation impairments of concern
Urban very high-rise	<ul style="list-style-type: none"> <li>– Busiest urban deep canyon, characterized by streets lined with high-density buildings with several tens of floors which results in an urban deep canyon</li> <li>– High dense buildings and skyscrapers interleave with each other which yields to the rich scattering propagation paths in NLoS</li> <li>– Rows of tall buildings provide the possibility of very long path delays</li> <li>– Heavy traffic vehicles and high flowrate visitors in the area act as reflectors adding Doppler shift to the reflected waves</li> <li>– Trees beside the streets provide dynamic shadowing</li> </ul>
Urban high-rise	<ul style="list-style-type: none"> <li>– Urban canyon, characterized by streets lined with tall buildings of several floors each</li> <li>– Building height makes significant contributions from propagation over roof-tops unlikely</li> <li>– Rows of tall buildings provide the possibility of long path delays</li> <li>– Large numbers of moving vehicles in the area act as reflectors adding Doppler shift to the reflected waves</li> </ul>
Urban low-rise/ Suburban	<ul style="list-style-type: none"> <li>– Building heights are generally less than three stories making diffraction over roof-top likely</li> <li>– Reflections and shadowing from moving vehicles can sometimes occur</li> <li>– Primary effects are long delays and small Doppler shifts</li> </ul>
Residential	<ul style="list-style-type: none"> <li>– Single and double storey dwellings</li> <li>– Roads are generally two lanes wide with cars parked along sides</li> <li>– Heavy to light foliage possible</li> <li>– Motor traffic usually light</li> </ul>
Rural	<ul style="list-style-type: none"> <li>– Small houses surrounded by large gardens</li> <li>– Influence of terrain height (topography)</li> <li>– Heavy to light foliage possible</li> <li>– Motor traffic sometimes high</li> </ul>

For each of the five different environments two possible scenarios for the mobile are considered. Therefore the users are subdivided into pedestrian and vehicular users. For these two applications the velocity of the mobile is quite different yielding different Doppler shifts. Table 2 shows typical velocities for these scenarios.

TABLE 2  
Physical operating environments – Typical mobile velocity

Environment	Velocity for pedestrian users (m/s)	Velocity for vehicular users
Urban very high-rise/ Urban high-rise	1.5	Typical downtown speeds around 50 km/h (14 m/s)
Urban low-rise/Suburban	1.5	Around 50 km/h (14 m/s) Expressways up to 100 km/h (28 m/s)
Residential	1.5	Around 40 km/h (11 m/s)
Rural	1.5	80-100 km/h (22-28 m/s)

The type of propagation mechanism that dominates depends also on the height of the base station antenna relative to the surrounding buildings. Table 3 lists the typical cell types relevant for outdoor short-path propagation.

TABLE 3  
Definition of cell types

Cell type	Cell radius	Typical position of base station antenna
Micro-cell	0.05 to 1 km	Outdoor; mounted above average roof-top level, heights of some surrounding buildings may be above base station antenna height
Dense urban micro-cell	0.05 to 0.5 km	Outdoor; mounted below average roof-top level
Pico-cell	Up to 50 m	Indoor or outdoor (mounted below roof-top level)

(Note that “dense urban micro-cell” is not explicitly defined in Radiocommunication Study Group 5 Recommendation.)

### 3 Path categories

#### 3.1 Definition of propagation situations

Three levels of the location of the station can be considered in this Recommendation. They are 1) over the roof-top (designated as L1 in Fig. 1); 2) below roof-top but above head level (L2); and 3) at or below head level (L3). Comprehensively, six different kinds of links can be considered depending on the locations of the stations, each of which may be LoS or NLoS.

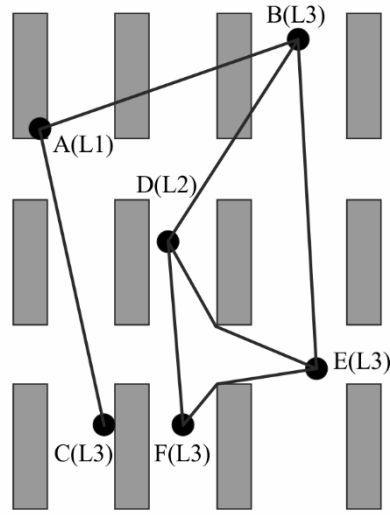
Typical propagation situations in urban or suburban areas are depicted in Fig. 1. When one station (A) is mounted above roof-top level and another station (B or C) is located at head level, the corresponding cell is a micro-cell. The path can be LoS (A to C) or NLoS (A to B). The propagation between the stations A and B is mainly over the roof-tops. When one station (D) is mounted below roof-top level but above head level and another station (E or F) is located at head level in an urban or suburban environment, the corresponding cell is a micro- or pico-cellular environment. In these cell types, propagation is mainly within street canyons. For mobile-to-mobile links, both ends of the link can be assumed to be at head level. The path can be LoS (B to E) or NLoS (E to F).

##### 3.1.1 Propagation over rooftops, non-line-of-sight (NLoS)

The typical NLoS case (link A-B in Fig. 1) is described in Fig. 2. In the following, this case is called NLoS1.

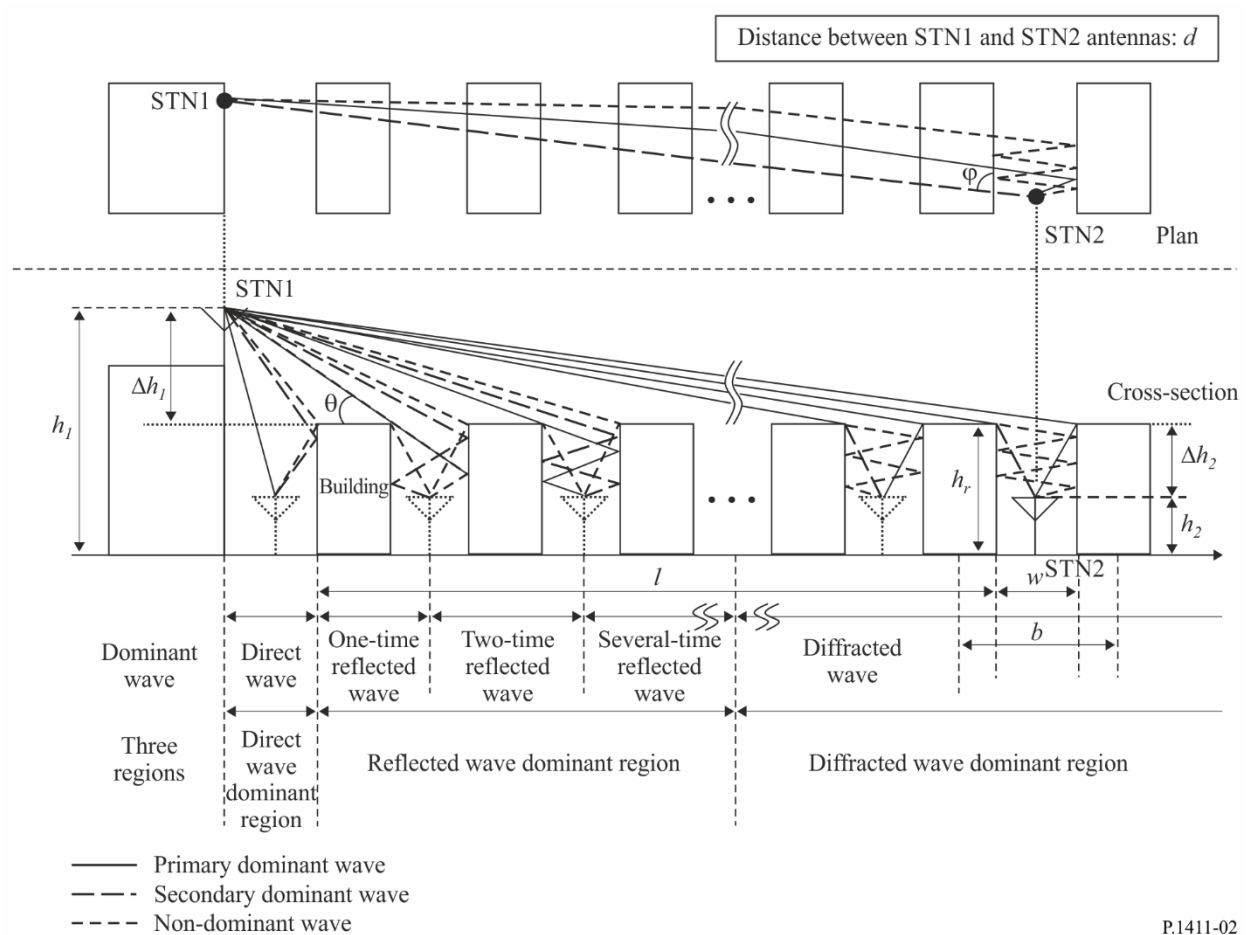


FIGURE 1  
Typical propagation situation in urban areas



P.1411-01

FIGURE 2  
Definition of parameters for the NLoS1 case



P.1411-02

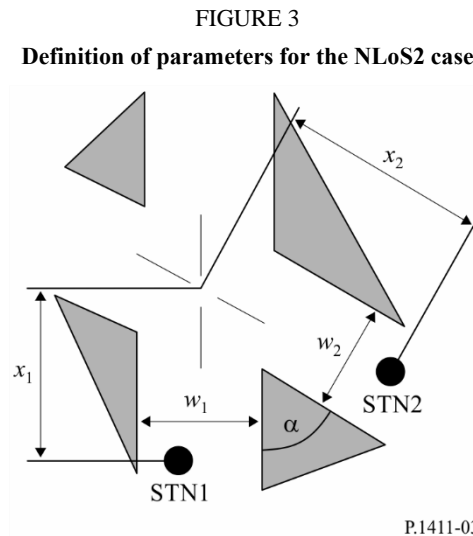
The relevant parameters for this situation are:

- $h_r$ : average height of buildings (m)
- $w$ : street width (m)
- $b$ : average building separation (m)
- $\varphi$ : street orientation with respect to the direct path (degrees)
- $h_1$ : Station 1 antenna height (m)
- $h_2$ : Station 2 antenna height (m)
- $l$ : length of the path covered by buildings (m)
- $d$ : distance from Station 1 to Station 2.

The NLoS1 case frequently occurs in residential/rural environments for all cell-types and is predominant for micro-cells in urban low-rise/suburban environments. The parameters  $h_r$ ,  $b$  and  $l$  can be derived from building data along the line between the antennas. However, the determination of  $w$  and  $\varphi$  requires a two-dimensional analysis of the area around the mobile. Note that  $l$  is not necessarily normal to the building orientation.

### 3.1.2 Propagation along street canyons, NLoS

Figure 3 depicts the situation for a typical dense urban micro-cellular NLoS-case (link D-E in Fig. 1). In the following, this case is called NLoS2.



The relevant parameters for this situation are:

- $w_1$ : street width at the position of the Station 1 (m)
- $w_2$ : street width at the position of the Station 2 (m)
- $x_1$ : distance Station 1 to street crossing (m)
- $x_2$ : distance Station 2 to street crossing (m)
- $\alpha$ : is the corner angle (rad).

NLoS2 is the predominant path type in urban high-rise environments for all cell-types and occurs frequently in dense urban micro- and pico-cells in urban low-rise environments. The determination of all parameters for the NLoS2 case requires a two-dimensional analysis of the area around the mobile.

### 3.1.3 Line-of-sight (LoS) paths

The paths A-C, D-F, and B-E in Fig. 1 are examples of LoS situations. The same models can be applied for these types of LoS path.

## 3.2 Data requirements

For site-specific calculations in urban areas, different types of data can be used. The most accurate information can be derived from high-resolution data where information consists of:

- building structures;
- relative and absolute building heights;
- vegetation information.

Data formats can be both raster and vector. The location accuracy of the vector data should be of the order of 1 to 2 m. The recommended resolution for the raster data is 1 to 10 m. The height accuracy for both data formats should be of the order of 1 to 2 m.

If no high-resolution data are available, low-resolution land-use data (50 m resolution) are recommended. Depending on the definition of land-use classes (dense urban, urban, suburban, etc.) the required parameters can be assigned to these land-use classes. These data can be used in conjunction with street vector information in order to extract street orientation angles.

## 4 Basic transmission loss models

For typical scenarios in urban areas, some closed-form algorithms can be applied. These propagation models can be used both for site-specific and site-general calculations. The corresponding propagation situations are defined in § 3.1. The type of the model to be applied may depend also on the frequency range e.g. UHF, SHF and EHF (millimetre-wave). For site-specific calculations, different models have to be applied for UHF propagation and for millimetre-wave propagation. In the UHF frequency range, LoS and NLoS situations are considered. In mm-wave propagation, LoS is considered only. Additional attenuation by oxygen and hydrometeors should be considered in the millimetre-wave frequency range.

### 4.1 Models for propagation within street canyons

#### 4.1.1 Site-general model

This site-general model is applicable to situations where both the transmitting and receiving stations are located below-rooftop, regardless of their antenna heights. The median basic transmission loss is given by:

$$L_b(d, f) = 10\alpha \log_{10}(d) + \beta + 10\gamma \log_{10}(f) \quad \text{dB} \quad (1)$$

with an additive zero mean Gaussian random variable  $N(0, \sigma)$  with a standard deviation  $\sigma$  (dB),

where:

- $d$ : 3D direct distance between the transmitting and receiving stations (m)
- $f$ : operating frequency (GHz)
- $\alpha$ : coefficient associated with the increase of the basic transmission loss with distance
- $\beta$ : coefficient associated with the offset value of the basic transmission loss
- $\gamma$ : coefficient associated with the increase of the basic transmission loss with frequency.

For NLoS urban high-rise and urban low-rise/suburban Monte Carlo simulations, the excess basic transmission loss with respect to free-space basic transmission loss,  $L_{FS}$ , will not exceed  $10 \log_{10}(10^{0.1A} + 1)$  (dB), where  $A$  is a random variable with a normal distribution  $N(\mu, \sigma)$ ,  $\mu = L_b(d, f) - L_{FS}$ ,  $L_{FS} = 20 \log_{10}(4 \times 10^9 \pi d f / c)$ , and  $c$  is the speed of light in metres per second.

The recommended values for LoS (e.g. D-F in Fig. 1) and NLoS (e.g. D-E in Fig. 1) situations to be used for below-rooftop propagation in urban and suburban environments are provided in Table 4.

TABLE 4  
Basic transmission loss coefficients for below-rooftop propagation

Frequency range (GHz)	Distance range (m)	Type of environment	LoS/NLoS	$\alpha$	$\beta$	$\gamma$	$\sigma$
0.8-82	5-660	Urban high-rise, Urban low-rise/ Suburban	LoS	2.12	29.2	2.11	5.06
0.8-82	30-715	Urban high-rise	NLoS	4.00	10.2	2.36	7.60
10-73	30-250	Urban low-rise/ Suburban	NLoS	5.06	-4.68	2.02	9.33
0.8-73	30-170	Residential	NLoS	3.01	18.8	2.07	3.07

#### 4.1.2 Site-specific model for LoS situation

This situation is depicted as the paths between A and C, D and F, or B and E in Fig. 1.

##### *UHF propagation*

In the UHF frequency range, basic transmission loss, as defined by Recommendation ITU-R P.341, can be characterized by two slopes and a single breakpoint. An approximate lower bound  $L_{LoS,l}$  is given by:

$$L_{LoS,l} = L_{bp} + \begin{cases} 20 \log_{10} \left( \frac{d}{R_{bp}} \right) & \text{for } d \leq R_{bp} \\ 40 \log_{10} \left( \frac{d}{R_{bp}} \right) & \text{for } d > R_{bp} \end{cases} \quad (2)$$

where  $R_{bp}$  is the breakpoint distance in m and is given by:

$$R_{bp} \approx \frac{4h_1h_2}{\lambda} \quad (3)$$

where  $\lambda$  is the wavelength (m). The lower bound is based on the two-ray plane earth reflection model.

An approximate upper bound  $L_{LoS,u}$  is given by:

$$L_{LoS,u} = L_{bp} + 20 + \begin{cases} 25 \log_{10} \left( \frac{d}{R_{bp}} \right) & \text{for } d \leq R_{bp} \\ 40 \log_{10} \left( \frac{d}{R_{bp}} \right) & \text{for } d > R_{bp} \end{cases} \quad (4)$$

$L_{bp}$  is a value for the basic transmission loss at the break point, defined as:

$$L_{bp} = \left| 20 \log_{10} \left( \frac{\lambda^2}{8\pi h_1 h_2} \right) \right| \quad (5)$$

The upper bound has the fading margin of 20 dB. In equation (4), the attenuation coefficient before the breakpoint is set to 2.5 because a short distance leads to a weak shadowing effect.

According to the free-space basic transmission loss curve, a median value  $L_{LoS,m}$  is given by:

$$L_{LoS,m} = L_{bp} + 6 + \begin{cases} 20 \log_{10} \left( \frac{d}{R_{bp}} \right) & \text{for } d \leq R_{bp} \\ 40 \log_{10} \left( \frac{d}{R_{bp}} \right) & \text{for } d > R_{bp} \end{cases} \quad (6)$$

#### *SHF propagation up to 15 GHz*

At SHF, for path lengths up to about 1 km, road traffic will influence the effective road height and will thus affect the breakpoint distance. This distance,  $R_{bp}$ , is estimated by:

$$R_{bp} = 4 \frac{(h_1 - h_s)(h_2 - h_s)}{\lambda} \quad (7)$$

where  $h_s$  is the effective road height due to such objects as vehicles on the road and pedestrians near the roadway. Hence  $h_s$  depends on the traffic on the road. The  $h_s$  values given in Tables 5 and 6 are derived from daytime and night-time measurements, corresponding to heavy and light traffic conditions, respectively. Heavy traffic corresponds to 10-20% of the roadway covered with vehicles, and 0.2-1% of the footpath occupied by pedestrians. Light traffic is 0.1-0.5% of the roadway and less than 0.001% of the footpath occupied. The roadway is 27 m wide, including 6 m wide footpaths on either side.

TABLE 5

The effective height of the road,  $h_s$  (heavy traffic)

Frequency (GHz)	$h_1$ (m)	$h_s$ (m)	
		$h_2 = 2.7$	$h_2 = 1.6$
3.35	4	1.3	(2)
	8	1.6	(2)
8.45	4	1.6	(2)
	8	1.6	(2)
15.75	4	1.4	(2)
	8	(1)	(2)

(1) The breakpoint is beyond 1 km.

(2) No breakpoint exists.

TABLE 6

The effective height of the road,  $h_s$  (light traffic)

Frequency (GHz)	$h_1$ (m)	$h_s$ (m)	
		$h_2 = 2.7$	$h_2 = 1.6$
3.35	4	0.59	0.23
	8	(1)	(1)
8.45	4	(2)	0.43
	8	(2)	(1)
15.75	4	(2)	0.74
	8	(2)	(1)

(1) No measurements taken.

(2) The breakpoint is beyond 1 km.

When  $h_1, h_2 > h_s$ , the approximate values of the upper and lower bounds of basic transmission loss for the SHF frequency band can be calculated using equations (2) and (4), with  $L_{bp}$  given by:

$$L_{bp} = \left\lceil 20 \log_{10} \left\{ \frac{\lambda^2}{8\pi(h_1 - h_s)(h_2 - h_s)} \right\} \right\rceil \quad (8)$$

On the other hand, when  $h_1 \leq h_s$  or  $h_2 \leq h_s$  no breakpoint exists. When two terminals are close ( $d < R_s$ ), the basic transmission loss is similar to that of the UHF range. When two terminals are far, the propagation characteristic is such that the attenuation coefficient is cubed. Therefore, the approximate lower bound for  $d \geq R_s$  is given by:

$$L_{LoS,l} = L_s + 30 \log_{10} \left( \frac{d}{R_s} \right) \quad (9)$$

The approximate upper bound for  $d \geq R_s$  is given by:

$$L_{LoS,u} = L_s + 20 + 30 \log_{10} \left( \frac{d}{R_s} \right) \quad (10)$$

The basic transmission loss  $L_s$  is defined as:

$$L_s = \left| 20 \log_{10} \left( \frac{\lambda}{2\pi R_s} \right) \right| \quad (11)$$

$R_s$  in equations (9) to (11) has been experimentally determined to be 20 m.

Based on measurements, a median value is given by:

$$L_{LoS,m} = L_s + 6 + 30 \log_{10} \left( \frac{d}{R_s} \right) \quad (12)$$

#### *Millimetre-wave propagation*

At frequencies above about 10 GHz, the breakpoint distance  $R_{bp}$  in equation (3) is far beyond the expected maximum cell radius (500 m). This means that no fourth-power law is expected in this frequency band. Hence the power distance decay-rate will nearly follow the free-space law with a path-loss exponent of about 1.9-2.2.

With directional antennas, the basic transmission loss when the boresights of the antennas are aligned is given by:

$$L_{LoS} = L_0 + 10n \log_{10} \frac{d}{d_0} + L_{gas} + L_{rain} \quad \text{dB} \quad (13)$$

where  $n$  is the basic transmission loss exponent,  $d$  is the distance between Station 1 and Station 2 and  $L_0$  is the basic transmission loss at the reference distance  $d_0$ . For a reference distance  $d_0$  at 1 m, and assuming free-space propagation  $L_0 = 20 \log_{10} f - 28$  where  $f$  is in MHz.  $L_{gas}$  and  $L_{rain}$ , are attenuation by atmospheric gases and by rain which can be calculated from Recommendation ITU-R P.676 and Recommendation ITU-R P.530, respectively.

Values of basic transmission loss exponent  $n$  are listed in Table 7.

TABLE 7  
**Directional basic transmission loss coefficients  
for millimetre-wave propagation**

Frequency (GHz)	Type of environment	Half power beam width (degree)		Basic transmission loss exponent
		Tx Ant	Rx Ant	$n$
28	Urban very high-rise	30	10	2.21
	Urban low-rise	30	10	2.06
60	Urban low-rise	15.4	15.4	1.9

#### 4.1.3 Site-specific model for NLoS situations

This situation is depicted as the paths between D and E in Fig. 1.

##### 4.1.3.1 Frequency range from 800 to 2 000 MHz

For NLoS2 situations where both antennas are below roof-top level, diffracted and reflected waves at the corners of the street crossings have to be considered (see Fig. 3).

$$L_{NLoS2} = 10 \log_{10}(10^{-L_r/10} + 10^{-L_d/10}) \quad \text{dB} \quad (14)$$

where:

$L_r$ : reflection loss defined by:

$$L_r = 20 \log_{10}(x_1 + x_2) + x_1 x_2 \frac{f(\alpha)}{w_1 w_2} + 20 \log_{10}\left(\frac{4\pi}{\lambda}\right) \quad \text{dB} \quad (15)$$

where:

$$f(\alpha) = \frac{3.86}{\alpha^{3.5}} \quad \text{dB} \quad (16)$$

where  $0.6 < \alpha \text{ [rad]} < \pi$ .

$L_d$ : diffraction loss defined by:

$$L_d = 10 \log_{10}[x_1 x_2 (x_1 + x_2)] + 2D_a - 0.1 \left( 90 - \alpha \frac{180}{\pi} \right) + 20 \log_{10}\left(\frac{4\pi}{\lambda}\right) \quad \text{dB} \quad (17)$$

$$D_a = \left( \frac{40}{2\pi} \right) \left[ \arctan\left(\frac{x_2}{w_2}\right) + \arctan\left(\frac{x_1}{w_1}\right) - \frac{\pi}{2} \right] \quad \text{dB} \quad (18)$$

##### 4.1.3.2 Frequency range from 2 to 38 GHz

The propagation model for the NLoS2 situations as described in § 3.1.2 with the corner angle  $\alpha = \pi/2$  rad is derived based on measurements at a frequency range from 2 to 38 GHz, where  $h_1, h_2 < h_r$  and  $w_2$  is up to 10 m (or sidewalk). The basic transmission loss characteristics can be divided into two parts: the corner loss region and the NLoS region. The corner loss region extends for  $d_{corner}$  from the point which is 1 m down the edge of the LoS street into the NLoS street. The corner loss ( $L_{corner}$ ) is expressed as the additional attenuation over the distance  $d_{corner}$ . The NLoS region lies beyond the corner loss region, where a coefficient parameter ( $\beta$ ) applies. This is illustrated by the typical curve shown in Fig. 4. Using  $x_1$ ,  $x_2$ , and  $w_1$ , as shown in Fig. 3, the overall basic transmission loss ( $L_{NLoS2}$ ) beyond the corner region ( $x_2 > w_1/2 + 1$ ) is found using:

$$L_{NLoS2} = L_{LoS} + L_s + L_{att} \quad (19)$$

$$L_c = \begin{cases} \frac{L_{corner}}{\log_{10}(1 + d_{corner})} \log_{10}(x_2 - w_1/2) & w_1/2 + 1 < x_2 \leq w_1/2 + 1 + d_{corner} \\ L_{corner} & x_2 > w_1/2 + 1 + d_{corner} \end{cases} \quad (20)$$



$$L_{att} = \begin{cases} 10\beta \log_{10} \left( \frac{x_1 + x_2}{x_1 + w_1/2 + d_{corner}} \right) & x_2 > w_1/2 + 1 + d_{corner} \\ 0 & x_2 \leq w_1/2 + 1 + d_{corner} \end{cases} \quad (21)$$

where  $L_{LoS}$  is the basic transmission loss in the LoS street for  $x_1$  ( $> 20$  m), as calculated in § 4.1.2. In equation (20),  $L_{corner}$  is given as 20 dB in an urban environment and 30 dB in a residential environment. And  $d_{corner}$  is 30 m in both environments.

In equation (21),  $\beta = 6$  in urban and residential environments for wedge-shaped buildings at four corners of the intersection as illustrated in case (1) of Fig. 5. If a particular building is chamfered at the intersection in urban environments as illustrated in case (2) of Fig. 5,  $\beta$  is calculated by equation (22). Because the specular reflection paths from chamfered-shape buildings significantly affect basic transmission loss in NLoS region, the basic transmission loss for case (2) is different from that for case (1).

$$\beta = 4.2 + (1.4 \log_{10} f - 7.8)(0.8 \log_{10} x_1 - 1.0) \quad (22)$$

where  $f$  is frequency in MHz.

FIGURE 4  
Typical trend of propagation along street canyons with low station height  
for frequency range from 2 to 38 GHz

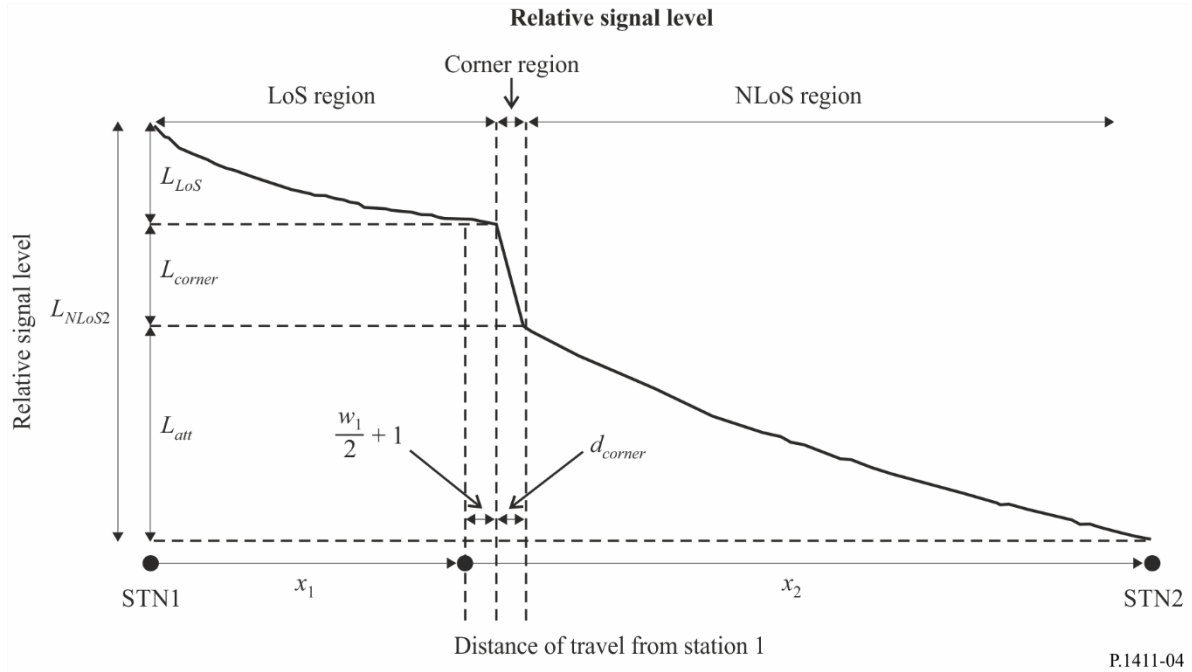
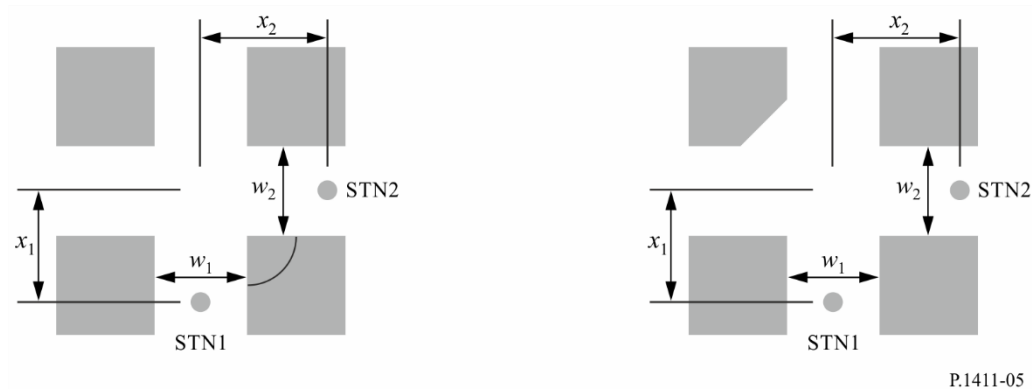


FIGURE 5

Case (1) Wedge shaped buildings layout  
Case (2) Chamfered shape buildings layout



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In a residential environment, the basic transmission loss does not increase monotonically with distance, and thus the coefficient parameter may be lower than the value in an urban environment, owing to the presence of alleys and gaps between the houses.

With a high base station antenna in the small macro-cell, the effects of diffraction over roof-tops are more significant. Consequently, the propagation characteristics do not depend on the corner loss.

## 4.2 Models for propagation over roof-tops

### 4.2.1 Site-general model

This site-general model is applicable to situations where one of the stations is located above-rooftop and the other station is located below-rooftop, regardless of their antenna heights. The site-general model is the same as equation (1) described for the site-general model for propagation below-rooftop (within street canyons).

The recommended values for LoS (e.g. A-C in Fig. 1) and NLoS (e.g. A-B in Fig. 1) situations to be used for above-rooftop propagation in urban and suburban environments are provided in Table 8.

TABLE 8

Basic transmission loss coefficients for above-rooftop propagation

Frequency range (GHz)	Distance range (m)	Type of environment	LoS/NLoS	$\alpha$	$\beta$	$\gamma$	$\sigma$
2.2-73	55-1200	Urban high-rise, Urban low-rise/ Suburban	LoS	2.29	28.6	1.96	3.48
2.2-66.5	260-1200	Urban high-rise	NLoS	4.39	-6.27	2.30	6.89

### 4.2.2 Site-specific model

NLoS signals can arrive at the station by diffraction mechanisms or by multipath which may be a combination of diffraction and reflection mechanisms. This section develops models that relate to diffraction mechanisms.

*Propagation for urban area*

Models are defined for the paths A ( $h_1$ ) to B ( $h_2$ ) and D ( $h_1$ ) to B ( $h_2$ ) as depicted in Fig. 1. The models are valid for:

- $h_1$ : 4 to 55 m
- $h_2$ : 1 to 3 m
- $f$ : 800 to 26 000 MHz  
2 to 16 GHz for  $h_1 < h_r$  and  $w_2 < 10$  m (or sidewalk)
- $d$ : 20 to 5 000 m.

(Note that although the model is valid up to 5 km, this Recommendation is intended for distances only up to 1 km.)

*Propagation for suburban area*

Model is defined for the path A ( $h_1$ ) to B ( $h_2$ ) as depicted in Fig. 1. The model is valid for:

- $h_r$ : any height m
- $\Delta h_1$ : 1 to 100 m
- $\Delta h_2$ : 4 to 10 (less than  $h_r$ ) m
- $h_1$ :  $h_r + \Delta h_1$  m
- $h_2$ :  $h_r - \Delta h_2$  m
- $f$ : 0.8 to 38 GHz
- $w$ : 10 to 25 m
- $d$ : 10 to 5 000 m.

(Note that although the model is valid up to 5 km, this Recommendation is intended for distances only up to 1 km.)

*Millimetre-wave propagation*

Millimetre-wave signal coverage is considered only for LoS and NLoS reflection situations because of the large diffraction losses experienced when obstacles cause the propagation path to become NLoS. For NLoS situations, multipath reflections and scattering will be the most likely signal propagation method. The frequency ranges ( $f$ ) of up to 26 GHz and 38 GHz are respectively applicable for the urban and suburban area propagation models (§ 4.2.2.1 and § 4.2.2.2).

**4.2.2.1 Urban area**

The multi-screen diffraction model given below is valid if the roof-tops are all about the same height. Assuming the roof-top heights differ only by an amount less than the first Fresnel-zone radius over a path of length  $l$  (see Fig. 2), the roof-top height to use in the model is the average roof-top height. If the roof-top heights vary by much more than the first Fresnel-zone radius, a preferred method is to use the highest buildings along the path in a knife-edge diffraction calculation, as described in Recommendation ITU-R P.526, to replace the multi-screen model.

In the model for transmission loss in the NLoS1-case (see Fig. 2) for roof-tops of similar height, the loss between isotropic antennas is expressed as the sum of free-space basic transmission loss,  $L_{bf}$ , the diffraction loss from roof-top to street  $L_{rts}$  and the reduction due to multiple screen diffraction past rows of buildings,  $L_{msd}$ .

In this model  $L_{bf}$  and  $L_{rts}$  are independent of the station antenna height, while  $L_{msd}$  is dependent on whether the station antenna is at, below or above building heights.

$$L_{NLoS1} = \begin{cases} L_{bf} + L_{rts} + L_{msd} & \text{for } L_{rts} + L_{msd} > 0 \\ L_{bf} & \text{for } L_{rts} + L_{msd} \leq 0 \end{cases} \quad (23)$$

The free-space basic transmission loss is given by:

$$L_{bf} = 32.4 + 20 \log_{10}(d/1\,000) + 20 \log_{10}(f) \quad (24)$$

where:

$d$ : path length (m)  
 $f$ : frequency (MHz).

The term  $L_{rts}$  describes the coupling of the wave propagating along the multiple-screen path into the street where the mobile station is located. It takes into account the width of the street and its orientation.

$$L_{rts} = -8.2 - 10 \log_{10}(w) + 10 \log_{10}(f) + 20 \log_{10}(\Delta h_2) + L_{ori} \quad (25)$$

$$L_{ori} = \begin{cases} -10 + 0.354\varphi & \text{for } 0^\circ \leq \varphi < 35^\circ \\ 2.5 + 0.075(\varphi - 35) & \text{for } 35^\circ \leq \varphi < 55^\circ \\ 4.0 - 0.114(\varphi - 55) & \text{for } 55^\circ \leq \varphi \leq 90^\circ \end{cases} \quad (26)$$

where:

$$\Delta h_2 = h_r - h_2 \quad (27)$$

$L_{ori}$  is the street orientation correction factor, which takes into account the effect of roof-top-to-street diffraction into streets that are not perpendicular to the direction of propagation (see Fig. 2).

The multiple screen diffraction loss from Station 1 due to propagation past rows of buildings depends on the antenna height relative to the building heights and on the incidence angle. A criterion for grazing incidence is the “settled field distance”,  $d_s$ :

$$d_s = \frac{\lambda d^2}{\Delta h_1^2} \quad (28)$$

where (see Fig. 2):

$$\Delta h_1 = h_1 - h_r \quad (29)$$

For the calculation of  $L_{msd}$ ,  $d_s$  is compared to the distance  $l$  over which the buildings extend. The calculation for  $L_{msd}$  uses the following procedure to remove any discontinuity between the different models used when the length of buildings is greater or less than the “settled field distance”.

The overall multiple screen diffraction model loss is given by:

$$L_{msd} = \begin{cases} -\tanh\left(\frac{\log(d) - \log(d_{bp})}{\chi}\right) \cdot (L1_{msd}(d) - L_{mid}) + L_{mid} & \text{for } l > d_s \text{ and } dh_{bp} > 0 \\ \tanh\left(\frac{\log(d) - \log(d_{bp})}{\chi}\right) \cdot (L2_{msd}(d) - L_{mid}) + L_{mid} & \text{for } l \leq d_s \text{ and } dh_{bp} > 0 \\ L2_{msd}(d) & \text{for } dh_{bp} = 0 \\ L1_{msd}(d) - \tanh\left(\frac{\log(d) - \log(d_{bp})}{\zeta}\right) \cdot (L_{upp} - L_{mid}) - L_{upp} + L_{mid} & \text{for } l > d_s \text{ and } dh_{bp} < 0 \\ L2_{msd}(d) + \tanh\left(\frac{\log(d) - \log(d_{bp})}{\zeta}\right) \cdot (L_{mid} - L_{low}) + L_{mid} - L_{low} & \text{for } l \leq d_s \text{ and } dh_{bp} < 0 \end{cases} \quad (30)$$

where:

$$dh_{bp} = L_{upp} - L_{low} \quad (31)$$

$$\zeta = (L_{upp} - L_{low}) \cdot \upsilon \quad (32)$$

$$L_{mid} = \frac{(L_{upp} + L_{low})}{2} \quad (33)$$

$$L_{upp} = L1_{msd}(d_{bp}) \quad (34)$$

$$L_{low} = L2_{msd}(d_{bp}) \quad (35)$$

and

$$d_{bp} = |\Delta h_1| \sqrt{\frac{1}{\lambda}} \quad (36)$$

$$\upsilon = [0.0417]$$

$$\chi = [0.1]$$

where the individual model losses,  $L1_{msd}(d)$  and  $L2_{msd}(d)$ , are defined as follows:

*Calculation of  $L1_{msd}$  for  $l > d_s$*

(Note this calculation becomes more accurate when  $l \gg d_s$ .)

$$L1_{msd}(d) = L_{bsh} + k_a + k_d \log_{10}(d/1000) + k_f \log_{10}(f) - 9 \log_{10}(b) \quad (37)$$

where:

$$L_{bsh} = \begin{cases} -18 \log_{10}(1 + \Delta h_1) & \text{for } h_1 > h_r \\ 0 & \text{for } h_1 \leq h_r \end{cases} \quad (38)$$

is a loss term that depends on the antenna height:

$$k_a = \begin{cases} 71.4 & \text{for } h_1 > h_r \text{ and } f > 2\,000 \text{ MHz} \\ 73 - 0.8\Delta h_1 & \text{for } h_1 \leq h_r, f > 2\,000 \text{ MHz and } d \geq 500 \text{ m} \\ 73 - 1.6\Delta h_1 d / 1\,000 & \text{for } h_1 \leq h_r, f > 2\,000 \text{ MHz and } d < 500 \text{ m} \\ 54 & \text{for } h_1 > h_r \text{ and } f \leq 2\,000 \text{ MHz} \\ 54 - 0.8\Delta h_1 & \text{for } h_1 \leq h_r, f \leq 2\,000 \text{ MHz and } d \geq 500 \text{ m} \\ 54 - 1.6\Delta h_1 d / 1\,000 & \text{for } h_1 \leq h_r, f \leq 2\,000 \text{ MHz and } d < 500 \text{ m} \end{cases} \quad (39)$$

$$k_d = \begin{cases} 18 & \text{for } h_1 > h_r \\ 18 - 15 \frac{\Delta h_1}{h_r} & \text{for } h_1 \leq h_r \end{cases} \quad (40)$$

$$k_f = \begin{cases} -8 & \text{for } f > 2\,000 \text{ MHz} \\ -4 + 0.7(f/925 - 1) & \text{for medium sized city and suburban} \\ & \text{centres with medium tree density and } f \leq 2\,000 \text{ MHz} \\ -4 + 1.5(f/925 - 1) & \text{for metropolit an centres and } f \leq 2\,000 \text{ MHz} \end{cases} \quad (41)$$

Calculation of  $L2_{msd}$  for  $l < d_s$

In this case a further distinction has to be made according to the relative heights of the antenna and the roof-tops:

$$L2_{msd}(d) = -10 \log_{10} (Q_M^2) \quad (42)$$

where:

$$Q_M = \begin{cases} 2.35 \left( \frac{\Delta h_1}{d} \sqrt{\frac{b}{\lambda}} \right)^{0.9} & \text{for } h_1 > h_r + \delta h_u \\ \frac{b}{d} & \text{for } h_1 \leq h_r + \delta h_u \text{ and } h_1 \geq h_r + \delta h_l \\ \frac{b}{2\pi d} \sqrt{\frac{\lambda}{\rho}} \left( \frac{1}{\theta} - \frac{1}{2\pi + \theta} \right) & \text{for } h_1 < h_r + \delta h_l \end{cases} \quad (43)$$

and

$$\theta = \arctan \left( \frac{\Delta h_1}{b} \right) \quad (44)$$

$$\rho = \sqrt{\Delta h_1^2 + b^2} \quad (45)$$

and

$$\delta h_u = 10^{-\log_{10} \left( \sqrt{\frac{b}{\lambda}} \right) - \frac{\log_{10}(d)}{9} + \frac{10}{9} \log_{10} \left( \frac{b}{2.35} \right)} \quad (46)$$

$$\delta h_l = \frac{0.00023b^2 - 0.1827b - 9.4978}{(\log_{10}(f))^{2.938}} + 0.000781b + 0.06923 \quad (47)$$

#### 4.2.2.2 Suburban area

A propagation model for the NLoS1-Case based on geometrical optics (GO) is shown in Fig. 2. This Figure indicates that the composition of the arriving waves at Station 2 changes according to the Station 1-Station 2 distance. A direct wave can arrive at Station 2 only when the Station 1-Station 2 distance is very short. The several-time (one-, two-, or three-time) reflected waves, which have a relatively strong level, can arrive at Station 2 when the Station 1-Station 2 separation is relatively short. When the Station 1-Station 2 separation is long, the several-time reflected waves cannot arrive and only many-time reflected waves, which have weak level beside that of diffracted waves from building roofs, arrive at Station 2. Based on these propagation mechanisms, the loss due to the distance between isotropic antennas can be divided into three regions in terms of the dominant arrival waves at Station 2. These are the direct wave, reflected wave, and diffracted wave dominant regions. The loss in each region is expressed as follows based on GO.

$$L_{NLoS1} = \begin{cases} 20 \cdot \log_{10} \left( \frac{4\pi d}{\lambda} \right) & \text{for } d < d_0 \quad (\text{Direct wave dominant region}) \\ L_{0n} & \text{for } d_0 \leq d < d_{RD} \quad (\text{Reflected wave dominant region}) \\ 32.1 \cdot \log_{10} \left( \frac{d}{d_{RD}} \right) + L_{d_{RD}} & \text{for } d \geq d_{RD} \quad (\text{Diffracted wave dominant region}) \end{cases} \quad (48)$$

where:

$$L_{0n} = \begin{cases} L_{d_k} + \frac{L_{d_{k+1}} - L_{d_k}}{d_{k+1} - d_k} \cdot (d - d_k) & \text{when } d_k \leq d < d_{k+1} < d_{RD} \\ & (k = 0, 1, 2, \dots) \\ L_{d_k} + \frac{L_{d_{RD}} - L_{d_k}}{d_{RD} - d_k} \cdot (d - d_k) & \text{when } d_k \leq d < d_{RD} < d_{k+1} \end{cases} \quad (49)$$

$$d_k = \sqrt{\left( \frac{B_k}{\sin \varphi} \right)^2 + (h_1 - h_2)^2} \quad (50)$$

$$L_{d_k} = 20 \cdot \log_{10} \left\{ \frac{4\pi d_{kp}}{0.4^k \cdot \lambda} \right\} \quad (51)$$

$$\begin{aligned} d_{RD}(f) = & (0.25 \cdot d_3 + 0.25 \cdot d_4 - 0.16 \cdot d_1 - 0.35 \cdot d_2) \cdot \log_{10}(f) \\ & + 0.25 \cdot d_1 + 0.56 \cdot d_2 + 0.10 \cdot d_3 + 0.10 \cdot d_4 \\ & (0.8 \text{ GHz} \leq f \leq 38 \text{ GHz}) \end{aligned} \quad (52)$$

$$L_{d_{RD}} = L_{d_k} + \frac{L_{d_{k+1}} - L_{d_k}}{d_{k+1} - d_k} \cdot (d_{RD} - d_k) \quad (d_k \leq d_{RD} \leq d_{k+1}) \quad (53)$$

$$d_{kp} = \sqrt{\left( \frac{A_k}{\sin \varphi_k} \right)^2 + (h_1 - h_2)^2} \quad (54)$$

$$A_k = \frac{w \cdot (h_1 - h_2) \cdot (2k + 1)}{2 \cdot (h_r - h_2)} \quad (55)$$

$$B_k = \frac{w \cdot (h_1 - h_2) \cdot (2k + 1)}{2 \cdot (h_r - h_2)} - k \cdot w \quad (56)$$

$$\varphi_k = \tan^{-1} \left( \frac{A_k}{B_k} \cdot \tan \varphi \right) \quad (57)$$

### 4.3 Models for propagation between terminals located from below roof-top height to near street level

The models described below are intended for calculating the basic transmission loss between two terminals of low height in urban or residential environments. This situation is depicted as the paths between D and F, D and E, B and E, or E and F in Fig. 1. The site-general model in urban environments is described in § 4.3.1. The site-specific model within street canyon is described in § 4.3.2 and the model in residential environments is in § 4.3.3. These models are recommended for propagation between low-height terminals where both terminal antenna heights are near street level well below roof-top height, but are otherwise unspecified. It is reciprocal with respect to transmitter and receiver.

#### 4.3.1 Site-general model

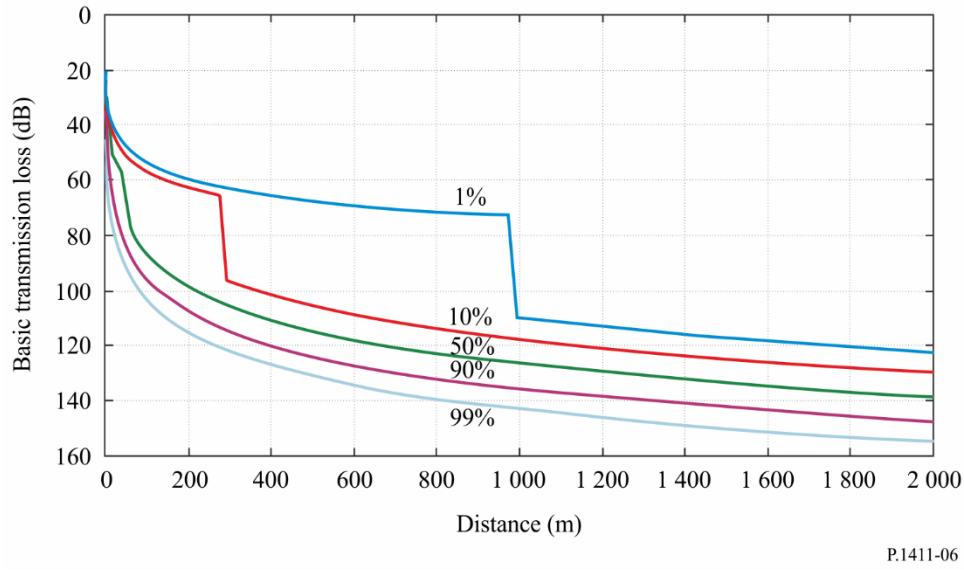
The model includes both LoS and NLoS regions, and models the rapid decrease in signal level noted at the corner between the LoS and NLoS regions. The model includes the statistics of location variability in the LoS and NLoS regions, and provides a statistical model for the corner distance between the LoS and NLoS regions. Figure 6 illustrates the LoS, NLoS and corner regions, and the statistical variability predicted by the model.

The model is valid for frequencies in the 300-3 000 MHz range. The model is based on measurements made with antenna heights between 1.9 and 3.0 m above ground, and transmitter-receiver distances up to 3 000 m.



FIGURE 6

Curves of basic transmission loss not exceeded for 1, 10, 50, 90 and 99% of locations  
(frequency = 400 MHz, suburban)



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The parameters required are the frequency  $f$  (MHz) and the distance between the terminals  $d$  (m).

*Step 1:* Calculate the median value of the line-of-sight loss:

$$L_{LoS}^{median}(d) = 32.45 + 20 \log_{10} f + 20 \log_{10}(d/1\,000) \quad (58)$$

*Step 2:* For the required location percentage,  $p$  (%), calculate the LoS location correction:

$$\Delta L_{LoS}(p) = 1.5624\sigma(\sqrt{-2 \ln(1 - p/100)} - 1.1774) \quad \text{with } \sigma = 7 \text{ dB} \quad (59)$$

Alternatively, values of the LoS correction for  $p = 1, 10, 50, 90$  and  $99\%$  are given in Table 9.

*Step 3:* Add the LoS location correction to the median value of LoS loss:

$$L_{LoS}(d, p) = L_{LoS}^{median}(d) + \Delta L_{LoS}(p) \quad (60)$$

*Step 4:* Calculate the median value of the NLoS loss:

$$L_{NLoS}^{median}(d) = 9.5 + 45 \log_{10} f + 40 \log_{10}(d/1\,000) + L_{urban} \quad (61)$$

$L_{urban}$  depends on the urban category and is 0 dB for suburban, 6.8 dB for urban and 2.3 dB for dense urban/high-rise.

*Step 5:* For the required location percentage,  $p$  (%), add the NLoS location correction:

$$\Delta L_{NLoS}(p) = \sigma N^{-1}(p/100) \quad \text{with } \sigma = 7 \text{ dB} \quad (62)$$

$N^{-1}(\cdot)$  is the inverse normal cumulative distribution function. An approximation to this function, good for  $p$  between 1 and 99% is given by the location variability function  $Q_i(x)$  of Recommendation ITU-R P.1546. Alternatively, values of the NLoS location correction for  $p = 1, 10, 50, 90$  and 99% are given in Table 9.

TABLE 9  
Table of LoS and NLoS location variability corrections

$p$ (%)	$\Delta L_{LoS}$ (dB)	$\Delta L_{NLoS}$ (dB)	$d_{LoS}$ (m)
1	-11.3	-16.3	976
10	-7.9	-9.0	276
50	0.0	0.0	44
90	10.6	9.0	16
99	20.3	16.3	10

Step 6: Add the NLoS location correction to the median value of NLoS loss:

$$L_{NLoS}(d, p) = L_{NLoS}^{median}(d) + \Delta L_{NLoS}(p) \quad (63)$$

Step 7: For the required location percentage,  $p$  (%), calculate the distance  $d_{LoS}$  for which the LoS fraction  $F_{LoS}$  equals  $p$ :

$$\begin{aligned} d_{LoS}(p) &= 212[\log_{10}(p/100)]^2 - 64 \log_{10}(p/100) & \text{if } p < 45 \\ d_{LoS}(p) &= 79.2 - 70(p/100) & \text{otherwise} \end{aligned} \quad (64)$$

Values of  $d_{LoS}$  for  $p = 1, 10, 50, 90$  and 99% are given in Table 9. This model has not been tested for  $p < 0.1\%$ . The statistics were obtained from two cities in the United Kingdom and may be different in other countries. Alternatively, if the corner distance is known in a particular case, set  $d_{LoS}(p)$  to this distance.

Step 8: The basic transmission loss at the distance  $d$  is then given as:

- a) If  $d < d_{LoS}$ , then  $L(d, p) = L_{LoS}(d, p)$
- b) If  $d > d_{LoS} + w$ , then  $L(d, p) = L_{NLoS}(d, p)$
- c) Otherwise linearly interpolate between the values  $L_{LoS}(d_{LoS}, p)$  and  $L_{NLoS}(d_{LoS} + w, p)$ :

$$\begin{aligned} L_{LoS} &= L_{LoS}(d_{LoS}, p) \\ L_{NLoS} &= L_{NLoS}(d_{LoS} + w, p) \\ L(d, p) &= L_{LoS} + (L_{NLoS} - L_{LoS})(d - d_{LoS})/w \end{aligned}$$

The width  $w$  is introduced to provide a transition region between the LoS and NLoS regions. This transition region is seen in the data and typically has a width of  $w = 20$  m.

#### 4.3.2 Site-specific model in urban environments

This site-specific model consists of LoS, 1-Turn NLoS, and 2-Turn NLoS situations in rectilinear street grid environments. This model is based on measurement data at frequencies: 430, 750, 905, 1 834, 2 400, 3 705 and 4 860 MHz with antenna heights between 1.5 and 4.0 m above ground. The maximum distance between terminals is up to 1 000 m.

#### 4.3.2.1 LoS situation

This situation is depicted as the path between B and E, or D and F in Fig. 1. The propagation loss is the same to that in § 4.1.2.

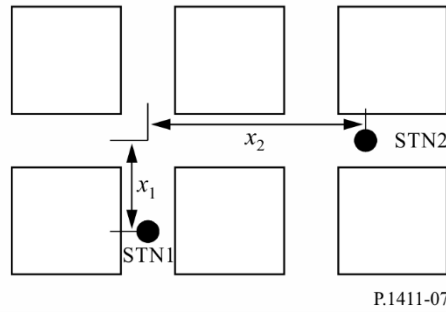
#### 4.3.2.2 NLoS situations

NLoS conditions correspond to the E-F and D-E paths with L2 and L3 antenna heights in urban environments.

##### *1-Turn NLoS propagation*

A 1-Turn NLoS situation between Station 1 and Station 2 is depicted in Fig. 7 due to a corner along the route between Station 1 and Station 2. The distance between the corner and Station 1 is denoted by  $x_1$  and the distance between the corner and Station 2 is denoted by  $x_2$ .

FIGURE 7  
1-Turn NLoS Link between Station 1 and Station 2



The basic transmission loss in this situation can be calculated by:

$$L_{1-Turn} = L_{LoS} + 10 \log_{10} \frac{x_1 x_2}{x_1 + x_2} - 20 \log_{10} S_1 \text{ (dB)}, \quad x_2 > \max(S_1^2, d_{corner}) \quad (65)$$

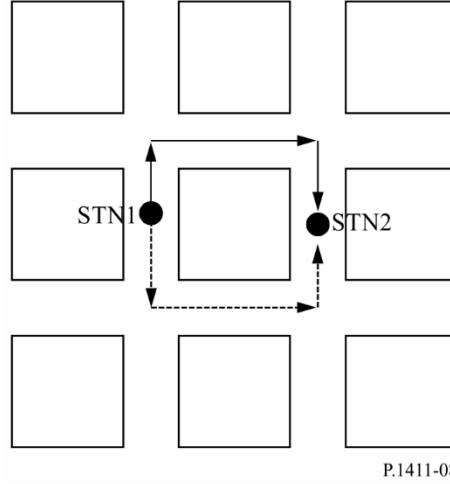
where  $L_{LoS}$  is the basic transmission loss with distance  $d = x_1 + x_2$ , as calculated in § 4.1.1, and  $S_1$  is a scattering/diffraction parameter calculated by:

$$S_1 = (3.45 \times 10^4) f^{-0.46} \quad (66)$$

with an operating frequency  $f$  in Hz. This relationship between  $S_1$  and  $f$  is obtained by a regression fitting with measurement data at frequency ranging from 430 MHz to 4 860 MHz.  $d_{corner}$  is an environmental variable determined by street layouts (including street widths and LoS interval length  $x_1$ ) to account for a lower bound of valid distance range for equation (65). As an example in § 4.1.3.2, 30 m can be used for urban areas. The basic transmission loss for the corner transition interval, i.e.  $0 \leq x_2 \leq \max(S_1^2, d_{corner})$ , can be determined by interpolation between the basic transmission loss at the LoS ending position (i.e.  $x_2 = 0$ ) and that at  $x_2 = \max(S_1^2, d_{corner})$ .

### 2-Turn NLoS propagation

FIGURE 8  
Two travel paths (solid line and dashed line) for a 2-turn NLoS link



P.1411-08

Unlike LoS and 1-Turn NLoS links, it is possible to establish multiple travel route paths for a 2-Turn NLoS link, e.g. shown in Fig. 8. Thus, the received signal power gain (from Station 1 to Station 2) is calculated considering all 2-Turn route paths. Since received power gain and basic transmission loss are logarithmically and inversely related, the received power gain can be written by:

$$\frac{1}{10^{L_{2-Turn}/10}} = \sum_n \frac{1}{10^{L_{2-Turn,n}/10}} \quad (67)$$

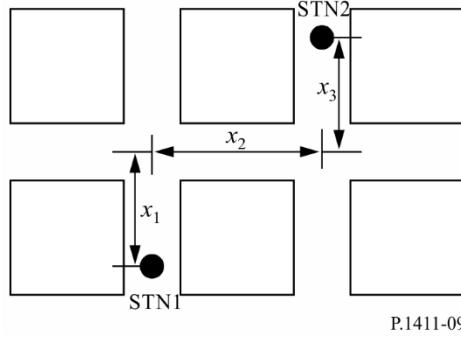
where  $L_{2-Turn}$  is the overall pass loss from Station 1 and Station 2, and  $L_{2-Turn,n}$  denotes the basic transmission loss along with the  $n$ th 2-Turn route path. Therefore,

$$L_{2-Turn} = -10 \log_{10} \sum_n \frac{1}{10^{L_{2-Turn,n}/10}} \quad \text{dB} \quad (68)$$

To calculate the basic transmission loss along the  $n$ th route path, i.e.  $L_{2-Turn,n}$  in equation (68), we consider a 2-Turn NLoS situation is depicted in Fig. 9. This link path situation is characterized by three distance components:  $x_1$ ,  $x_2$  and  $x_3$ , where:

- $x_1$  denotes the distance between Station 1 and the first corner,
- $x_2$  denotes the distance between the first corner and the second corner,
- $x_3$  denotes the distance between the second corner and Station 2.

FIGURE 9  
2-Turn NLoS link between Station 1 and Station 2



Then, the basic transmission loss between Station 1 and Station 2 is calculated by:

$$L_{2-Turn,n} = L_{LoS} + 10 \log_{10} \frac{x_{1,n} x_{2,n} x_{3,n}}{x_{1,n} + x_{2,n} + x_{3,n}} - 20 \log_{10} S_1 - 20 \log_{10} S_2 \quad x_{3,n} > \max(S_2^2, d_{corner}) \quad (69)$$

where  $L_{LoS}$  is the path loss with distance  $d = x_{1,n} + x_{2,n} + x_{3,n}$ , as calculated in § 4.1.2.  $S_1$  is a scattering/diffraction parameter for the first corner turn obtained by (66), and  $S_2$  is a parameter for the second corner turn effect calculated by:

$$S_2 = 0.54 f^{0.076} \quad (70)$$

Like  $S_1$ , the relationship between  $S_2$  and  $f$  (in Hz) is obtained by a regression fitting with measurement data at frequency ranging from 430 MHz to 4 860 MHz.  $d_{corner}$  can be similarly determined as in 1-Turn NLoS situations. The path loss in the corner transition interval, i.e.  $0 \leq x_{3,n} \leq \max(S_2^2, d_{corner})$ , can be also determined by interpolation between the path loss at the 1-Turn NLoS ending position (i.e.  $x_{3,n}=0$ ) and that  $x_{3,n}=\max(S_2^2, d_{corner})$ .

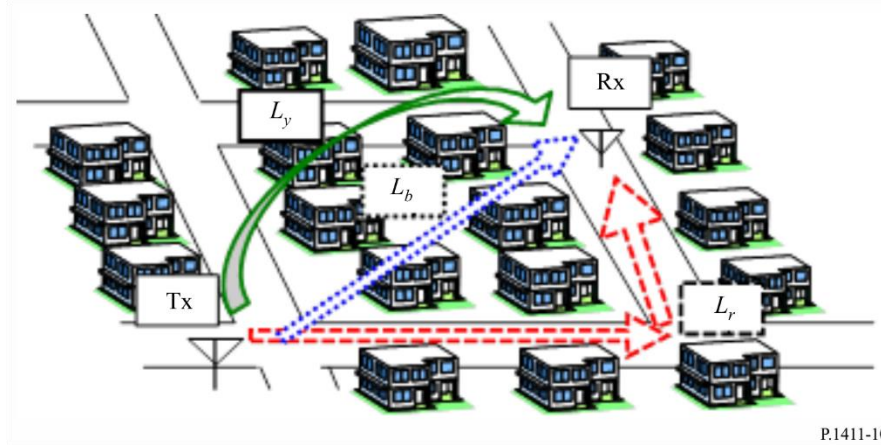
### 4.3.3 Site-specific model in residential environments

Figure 10 describes a propagation model that predicts whole path loss  $L$  between two terminals of low height in residential environments as represented by equation (71) by using path loss along a road  $L_r$ , path loss between houses  $L_b$ , and over-roof basic transmission loss  $L_v$ .  $L_r$ ,  $L_b$ , and  $L_v$  are respectively calculated by equations (72)-(74), (75), and (76)-(81). Applicable areas are both LoS and NLoS regions that include areas having two or more corners. The path loss along a road  $L_r$  is dominant at a relatively nearby transmitter where there are only a few corners and the path loss between houses  $L_b$  becomes dominant as the distance between terminals increases because  $L_r$  increases as the number of corners increases. The over-roof basic transmission loss  $L_v$  becomes dominant relatively far from the transmitter where  $L_b$  increases by multiple shielding of the buildings and houses.

This model is recommended for frequencies in the 2-26 GHz range. The maximum distance between terminals  $d$  is up to 1 000 m. The applicable road angle range is 0-90 degrees. The applicable range of the terminal antenna height is set at from 1.2 m to  $h_{Bmin}$ , where  $h_{Bmin}$  is the height of the lowest building in the area (normally 6 m for a detached house in a residential area).

FIGURE 10

Propagation model for paths between terminals located below roof-top height



$$L = -10 \log(1/10^{(L_r/10)} + 1/10^{(L_b/10)} + 1/10^{(L_y/10)}) \quad (71)$$

$$L_r = \begin{cases} L_{rbc} & (\text{before corner}) \\ L_{rac} & (\text{after corner}) \end{cases} \quad (72)$$

$$L_{rbc} = 20 \log(4\pi d / \lambda) \quad (73)$$

$$L_{rac} = L_{rbc} + \sum_i (7.18 \log(\theta_i) + 0.97 \log(f) + 6.1) \cdot \left\{ 1 - \exp\left(-3.72 \cdot 10^{-5} \theta_i x_{1i} x_{2i}\right) \right\} \quad (74)$$

$$L_b = 20 \log(4\pi d / \lambda) + 30.6 \log(d / R) + 6.88 \log(f) + 5.76 \quad (75)$$

$$L_v = 20 \log(4\pi d / \lambda) + L_1 + L_2 + L_c \quad (76)$$

$$L_1 = 6.9 + 20 \log\left(\sqrt{(v_1 - 0.1)^2 + 1} + v_1 - 0.1\right) \quad (77)$$

$$L_2 = 6.9 + 20 \log\left(\sqrt{(v_2 - 0.1)^2 + 1} + v_2 - 0.1\right) \quad (78)$$

$$v_1 = (h_{bTx} - h_{Tx}) \sqrt{\frac{2}{\lambda} \left( \frac{1}{a} + \frac{1}{b} \right)} \quad (79)$$

$$v_2 = (h_{bRx} - h_{Rx}) \sqrt{\frac{2}{\lambda} \left( \frac{1}{b} + \frac{1}{c} \right)} \quad (80)$$

$$L_c = 10 \log \left[ \frac{(a+b)(b+c)}{b(a+b+c)} \right] \quad (81)$$

The relevant parameters for this model are:

–  $d$ : distance between two terminals (m)

- $\lambda$ : wavelength (m)
- $f$ : frequency (GHz)
- $\theta_i$ : road angle of  $i$ -th corner (degrees)
- $x_{1i}$ : road distance from transmitter to  $i$ -th corner (m)
- $x_{2i}$ : road distance from  $i$ -th corner to receiver (m)
- $R$ : mean visible distance (m)
- $h_{bTx}$ : height of nearest building from transmitter in receiver direction (m)
- $h_{bRx}$ : height of nearest building from receiver in transmitter direction (m)
- $h_{Tx}$ : transmitter antenna height (m)
- $h_{Rx}$ : receiver antenna height (m)
- $a$ : distance between transmitter and nearest building from transmitter (m)
- $b$ : distance between nearest buildings from transmitter and receiver (m)
- $c$ : distance between receiver and nearest building from receiver (m).

Figures 11 and 12 below respectively describe the geometries and the parameters. The mean visible distance  $R$  is calculated by equations (82)-(85). In the equations,  $n$  is the building density (buildings/km<sup>2</sup>),  $m$  is the average building height of the buildings with less than 3 stories (m),  $l$  is the lowest building's height, which is normally 6 (m), and  $l_3$  is the height of a 3 storey building, which is normally 12 (m).

$$R = \frac{1\,000\gamma}{nw_p(1-e^{-\gamma})} \exp\left[\frac{h_{Rx}-l}{m-l}\right] \quad (82)$$

$$w_p = \frac{4}{\pi} w_0 \left\{ 1 - \frac{\alpha(1-e^{-\delta\gamma})}{\delta^2(1-e^{-\gamma})} \exp[-\beta h_{Rx}] \right\} \quad (83)$$

$$\gamma = \frac{l_3 - h_{Rx}}{m - l}, \quad \delta = 1 + \beta(m - l) \quad (84)$$

$$w_0 = 15 [m], \quad \alpha = 0.55, \quad \beta = 0.18 [m^{-1}] \quad (85)$$

FIGURE 11  
Road geometry and parameters (example for two corners)

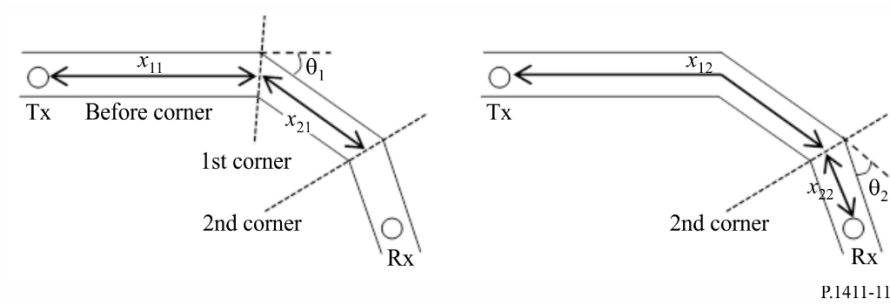
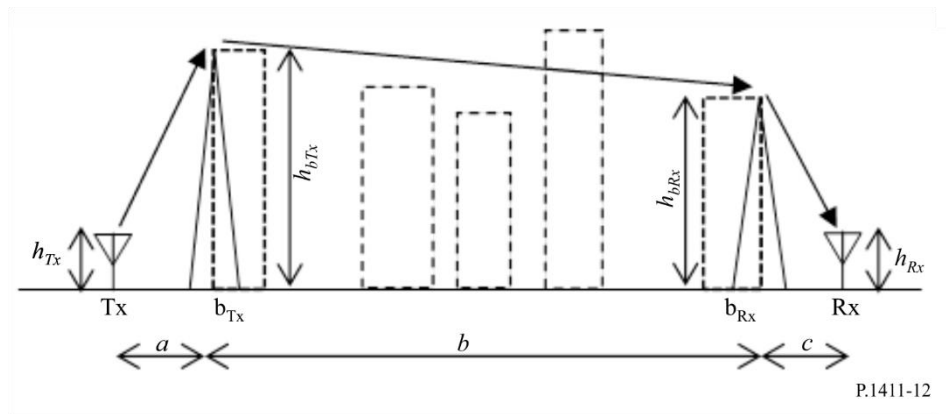


FIGURE 12  
Side view of building geometry and parameters



#### 4.4 Default parameters for site-general calculations

If the data on the structure of buildings and roads are unknown (site-general situations), the following default values are recommended:

$$\begin{aligned}
 h_r &= 3 \times (\text{number of floors}) + \text{roof-height (m)} \\
 \text{roof-height} &= 3 \text{ m for pitched roofs} \\
 &= 0 \text{ m for flat roofs} \\
 w &= b/2 \\
 b &= 20 \text{ to } 50 \text{ m} \\
 \varphi &= 90^\circ.
 \end{aligned}$$

#### 4.5 Additional losses

##### 4.5.1 Influence of vegetation

The effects of propagation through vegetation (primarily trees) are important for outdoor short-path predictions. Two major propagation mechanisms can be identified:

- propagation through (not around or over) trees;
- propagation over trees.

The first mechanism predominates for geometries in which both antennas are below the tree tops and the distance through the trees is small, while the latter predominates for geometries in which one antenna is elevated above the tree tops. The attenuation is strongly affected by multipath scattering initiated by diffraction of the signal energy both over and through the tree structures. For propagation through trees, the specific attenuation in vegetation can be found in Recommendation ITU-R P.833. In situations where the propagation is over trees, diffraction is the major propagation mode over the edges of the trees closest to the low antenna. This propagation mode can be modelled most simply by using an ideal knife-edge diffraction model (see Recommendation ITU-R P.526), although the knife-edge model may underestimate the field strength, because it neglects multiple scattering by tree-tops, a mechanism that may be modelled by radiative transfer theory.

##### 4.5.2 Building entry loss

Building entry loss should be considered when evaluating the radio coverage from an outdoor system to an indoor terminal. It is also important for considering interference problems between outdoor systems and indoor systems.



Definitions, theoretical models and empirical results relating to building entry loss can be found in Recommendations ITU-R P.2109 and ITU-R P.2040.

## 5 Multipath models

A description of multipath propagation and definition of terms are provided in Recommendation ITU-R P.1407.

### 5.1 Delay profile

#### 5.1.1 Delay spread for over roof-tops propagation environments

Characteristics of multipath delay spread for both LoS and NLoS case in an urban high-rise environment for micro-cells (as defined in Table 3) have been developed based on measured data at 1 920-1 980 MHz, 2 110-2 170 MHz and 3 650-3 750 MHz using omnidirectional antennas. The median r.m.s. delay spread  $S$  in this environment is given by:

$$S_u = \exp(A \cdot L + B) \quad \text{ns} \quad (86)$$

where both  $A$  and  $B$  are coefficients of r.m.s. delay spread and  $L$  is basic transmission loss (dB). Table 10 lists the typical values of the coefficients for distances of 100 m – 1 km based on measurements made in urban areas.

TABLE 10  
Typical coefficients for r.m.s. delay spread

Measurement conditions			Coefficients of r.m.s. delay spread	
Area	Frequency (MHz)	Range (m)	A	B
Urban	3 650-3 750	100-1 000	0.031	2.091
	1 920-1 980 , 2 110-2 170	100-1 000	0.038	2.3

The distributions of the multipath delay characteristics for the 3.7 GHz band in an urban environment with Station 1 antenna height of 40 m and 60 m, and Station 2 antenna height of 2 m were derived from measurements. The distributions of the multipath delay characteristics for the 3.7 GHz and 5.2 GHz band in a suburban environment with Station 1 antenna height of 20 m, and Station 2 antenna height of 2.0 m and 2.8 m were derived from measurements. Table 11 lists the measured r.m.s. delay spread for frequencies from 1.9 to 73 GHz for cases where the cumulative probability is 50% and 95%. For r.m.s. delay spread calculation, threshold level of 20 dB was used, unless otherwise noted.

TABLE 11  
Typical r.m.s. delay spread values

Measurement conditions										r.m.s. delay spread (ns)	
Area	Scenario	$f$ (GHz)	$h_1$ (m)	$h_2$ (m)	Range (m)	Tx beam-width (degree)	Rx beam-width (degree)	Time delay resolution (ns)	Polarization	50%	95%
Urban very high-rise	LoS	2.5	100	2	100-1000	ULA <sup>(4)</sup>	UCA <sup>(5)</sup>	10	VV	208 <sup>(1)</sup>	461 <sup>(1)</sup>
	NLoS	2.5	100	2	100-1000	ULA <sup>(4)</sup>	UCA <sup>(5)</sup>	10	Dual <sup>(6)</sup>	407 <sup>(1)</sup>	513 <sup>(1)</sup>
Urban high-rise	LoS	3.7	60	2	100-1000	omni	omni	10	VV	232 <sup>(1)</sup>	408 <sup>(1)</sup>
			40	2	100-1000	omni	omni	10	VV	121 <sup>(1)</sup>	357 <sup>(1)</sup>
		25.5-28.5	20	1.6	54-142	33	omni	0.5	VV	2.2	6.9
									HV	9.8	28.1
		51-57	18.2	1.6	50-180	56.3	18.4	0.5	VV/HH	1.6 <sup>(2)</sup>	40.2 <sup>(2)</sup>
									VH/HV	2.7 <sup>(2)</sup>	37.9 <sup>(2)</sup>
									VV/HH	7.5 <sup>(3)</sup>	92.1 <sup>(3)</sup>
									VH/HV	4.8 <sup>(3)</sup>	81.9 <sup>(3)</sup>
		67-73	18.2	1.6	50-180	40	14.4	0.5	VV/HH	1.7 <sup>(2)</sup>	31.3 <sup>(2)</sup>
									VH/HV	2 <sup>(2)</sup>	19.2 <sup>(2)</sup>
									VV/HH	6 <sup>(3)</sup>	78.7 <sup>(3)</sup>
		67-73	20	1.6	54-142	40	omni	0.5	VV	2	9.8
	NLoS	1.9-2.1	46	1.7	100-1000	omni	omni	16.6	VV	490 <sup>(1)</sup>	1490 <sup>(1)</sup>
		25.5-28.5	20	1.6	61-77	33	omni	0.5	VV	74.5	159.1
Suburban	LoS	2.5	12	1	200-1000	30	omni	100	VV	158	469
		3.5	12	1	200-1000	30	omni	100	VV	161	493
		3.7	20	2	100-1000	omni	omni	10	VV	125 <sup>(1)</sup>	542 <sup>(1)</sup>
		5.2	20	2.8	100-1000	omni	omni	18.3	VV	189 <sup>(1)</sup>	577 <sup>(1)</sup>
		5.8	12	1	200-1000	120	omni	100	VV	168	415

<sup>(1)</sup> Threshold value of 30 dB was used for r.m.s. delay spread calculation.

<sup>(2)</sup> Receiver antenna rotated around 360 degrees. The values represent when the bore-sight of receiver antenna is aligned to the direction of transmitter.

<sup>(3)</sup> Receiver antenna rotated in a step of 5 degrees around 360 degrees. The value represents a directional delay spread when the bore-sight of receiver antenna is not aligned to the direction of transmitter.

<sup>(4)</sup> Uniform Linear-array Antenna.

<sup>(5)</sup> Uniform Circular-array Antenna.

<sup>(6)</sup> Mean value of VV, VH, HV and HH.

## 5.1.2 Delay spread for below roof-tops propagation environments

### 5.1.2.1 Omnidirectional antenna case

Characteristics of multipath delay spread for the LoS omnidirectional antenna case in a residential and an urban high-rise environment for dense urban micro-cells and pico-cells (as defined in Table 3) have been developed based on measured data at frequencies from 0.781 to 28.5 GHz. The r.m.s. delay spread  $S$  at distance of  $d$  m follows a normal distribution with the mean value given by:

$$a_s = C_a d^{\gamma_a} \quad \text{ns} \quad (87)$$

and the standard deviation given by:

$$\sigma_s = C_\sigma d^{\gamma_\sigma} \quad \text{ns} \quad (88)$$

where  $C_a$ ,  $\gamma_a$ ,  $C_\sigma$  and  $\gamma_\sigma$  depend on the antenna height and propagation environment. Table 12 lists some typical values of the coefficients for distances of 50-400 m based on measurements made in urban and residential areas. The values of the coefficients at 28.5 GHz were obtained from measurements taken at distances of 5-38 m in a residential area.

TABLE 12  
Typical coefficients for the distance characteristics of r.m.s. delay spread  
for omnidirectional antenna case

Measurement conditions				$a_s$		$\sigma_s$	
Area	$f$ (GHz)	$h_1$ (m)	$h_2$ (m)	$C_a$	$\gamma_a$	$C_\sigma$	$\gamma_\sigma$
Urban <sup>(1)</sup>	0.781	5	5	1 254.3	0.06	102.2	0.04
Urban <sup>(2)</sup>	2.5	6.0	3.0	55	0.27	12	0.32
	3.35-15.75	4.0	2.7	23	0.26	5.5	0.35
			1.6	10	0.51	6.1	0.39
	3.35-8.45		0.5				
Residential <sup>(2)</sup>	8.05	5	2.5	0.97	0.78	1.42	0.52
	3.35	4.0	2.7	2.1	0.53	0.54	0.77
	3.35-15.75		1.6	5.9	0.32	2.0	0.48
Residential <sup>(3)</sup>	28.5	2.5	1.6	3.58	0.68	0.17	0.42

<sup>(1)</sup> Threshold value of 20 dB is used for r.m.s. delay spread calculation.

<sup>(2)</sup> Threshold value of 30 dB is used for r.m.s. delay spread calculation.

<sup>(3)</sup> Threshold value of 25 dB is used for r.m.s. delay spread calculation.

From the measured data at 2.5 GHz, the average shape of the delay profile was found to be:

$$P(t) = P_0 + 50(e^{-t/\tau} - 1) \quad \text{dB} \quad (89)$$

where:

$P_0$ : peak power (dB)

$\tau$ : decay factor

and  $t$  is in ns.

From the measured data, for an r.m.s. delay spread  $S$ ,  $\tau$  can be estimated as:

$$\tau = 4S + 266 \quad \text{ns} \quad (90)$$

A linear relationship between  $\tau$  and  $S$  is only valid for the LoS case.

From the same measurement set, the instantaneous properties of the delay profile have also been characterized. The energy arriving in the first 40 ns has a Rician distribution with a  $K$ -factor of about 6 to 9 dB, while the energy arriving later has a Rayleigh or Rician distribution with a  $K$ -factor of up to about 3 dB. (See Recommendation ITU-R P.1057 for definitions of probability distributions.)

#### 5.1.2.2 Directional antenna case

In fixed wireless access systems and communications between the access points of wireless mesh network systems, directional antennas are employed as transmitter and receiver antennas. A typical effect of the use of directional antennas is given hereafter. Arriving delayed waves are suppressed by the antenna pattern using directional antennas as the transmitter and receiver antennas. Therefore, the delay spread becomes small. In addition, the received power increases with the antenna gain, when directional antennas are employed as the transmitter and receiver antennas. Based on these facts, the directional antenna is used in wireless systems. Therefore, it is important to understand the effect of antenna directivity in multipath models.

Millimetre-wave radio systems are expected to use directional antennas with single polarisation or dual polarisation. Table 13 gives r.m.s. delay spread values obtained from 25 to 73 GHz with either dual polarised antennas or single polarised antennas at Station 1 and Station 2. For r.m.s. delay spread calculation, threshold level of 20 dB was used.

TABLE 13  
Typical r.m.s. delay spread values

Measurement conditions										r.m.s. delay spread (ns)	
Area	Scenario	$f$ (GHz)	$h_1$ (m)	$h_2$ (m)	Range (m)	Tx beam-width (degree)	Rx beam-width (degree)	Time delay resolution (ns)	Polarization	50%	95%
Urban low-rise	LoS	25.5-28.5	3	1.6	18-140	33	Omni	0.5	VV	3.5	43.6
									HV	8.7	57
		28	4	1.5	100-400	30	10	2	VV	1.9 <sup>(1)</sup>	5.9 <sup>(1)</sup>
		29.3-31.5	3	1.3	6-60	35	35	0.45	VV/HH	1.5 <sup>(1)</sup>	5 <sup>(1)</sup>
									VH/HV	6 <sup>(1)</sup>	14.3 <sup>(1)</sup>
		38	4	1.5	50-400	30	10	2	VV	1.2 <sup>(1)</sup>	4.8 <sup>(1)</sup>
		51-57	3	1.6	11-180	56.3	18.4	0.5	VV/HH	0.74 <sup>(1)</sup>	3 <sup>(1)</sup>
									VH/HV	1.7 <sup>(1)</sup>	7.5 <sup>(1)</sup>
									VV/HH	11.2 <sup>(2)</sup>	72.9 <sup>(2)</sup>
									VH/HV	8.5 <sup>(2)</sup>	40.9 <sup>(2)</sup>
		58.7-63.1	2.4	1.5	20-200	15.4	15.4	0.22	VV	0.6 <sup>(1)</sup>	1.2 <sup>(1)</sup>
			3	1.6	6-60	15.4	2.2	0.9	VV	6.6 <sup>(2)</sup>	40.7 <sup>(2)</sup>
		67-73	3	1.6	11-180	40	14.4	0.5	VV/HH	0.6 <sup>(1)</sup>	3.5 <sup>(1)</sup>
									VH/HV	1.6 <sup>(1)</sup>	5.9 <sup>(1)</sup>
									VV/HH	8.9 <sup>(2)</sup>	80 <sup>(2)</sup>
									VH/HV	5 <sup>(2)</sup>	39.8 <sup>(2)</sup>
			3	1.6	18-140	40	Omni	0.5	VV	2.6	36
	NLoS	25.5-28.5	3	1.6	40-84	33	Omni	0.5	VV	13.4	30.3
		28	4	1.5	90-350	30	10	2	VV	48.5 <sup>(3)</sup>	112.4 <sup>(3)</sup>
		38	4	1.5	90-250	30	10	2	VV	25.9 <sup>(3)</sup>	75.0 <sup>(3)</sup>
		67-73	3	1.6	40-84	40	Omni	0.5	VV	10	23.7
Residential	NLoS	25.5-28.5	3	1.6	37-167	33	Omni	0.5	VV	5.3	13.6
									HV	9.1	15.5
		67-73	3	1.6	37-167	40	Omni	0.5	VV	7.4	15.4
Urban very high-rise	LoS	28	4	1.5	50-350	30	10	2	VV	1.7 <sup>(1)</sup>	7.8 <sup>(1)</sup>
		38	4	1.5	20-350	30	10	2	VV	1.6 <sup>(1)</sup>	7.4 <sup>(1)</sup>
	NLoS	28	4	1.5	90-350	30	10	2	VV	67.2 <sup>(3)</sup>	177.9 <sup>(3)</sup>
		38	4	1.5	90-350	30	10	2	VV	57.9 <sup>(3)</sup>	151.6 <sup>(3)</sup>

<sup>(1)</sup> Receiver antenna was rotated around 360 degrees in measurements. The value represents a directional delay spread when the bore-sight of receiver antenna is aligned to the direction of transmitter.

<sup>(2)</sup> Receiver antenna was rotated in a step of 5 degrees around 360 degrees in measurements. The value represents a directional delay spread when the bore-sight of receiver antenna is not aligned to the direction of transmitter.

<sup>(3)</sup> Receiver antenna was rotated around 360 degrees in measurements. The value represents a directional delay spread regardless of antenna alignment.

### 5.1.3 Delay spread for propagation between terminals located at street level

Multipath delay spread characteristics for propagation between terminals located at street level have been developed based on measured data. Table 14 lists the measured r.m.s. delay spread values for

cases where the cumulative probability is 50% and 95%. The distribution of the multipath delay characteristics for the LoS and NLoS cases at distance from 1 to 250 m were derived from measurements in urban very high-rise, high-rise and low-rise areas at a 3.7 GHz frequency band.

TABLE 14  
Typical r.m.s. delay spread values

Measurement conditions						r.m.s. delay spread (ns)	
Area	Scenario	Frequency (GHz)	Antenna height		Range (m)	50%	95%
			$h_1$ (m)	$h_2$ (m)			
Urban very high-rise <sup>(1)</sup>	LoS	3.7	1.9	1.9	1-250	29	87
	NLoS					247	673
Urban high-rise <sup>(1)</sup>	LoS					24	153
	NLoS					145	272
Urban low-rise <sup>(1)</sup>	LoS					15	131
	NLoS					64	89

<sup>(1)</sup> Threshold value of 20 dB was used for r.m.s. delay spread calculation.

## 5.2 Angular profile

### 5.2.1 Angular spread for below roof-tops propagation environments

The r.m.s. angular spread as defined in Recommendation ITU-R P.1407 in the azimuthal direction in a dense urban micro-cell or picocell environment in an urban area was obtained from the measurement made at a frequency of 8.45 GHz. The receiving station had a parabolic antenna with a half-power beamwidth of 4 degrees.

The measurement was also performed at the dense urban micro-cell environment in an urban area. Angular spread coefficients are introduced based on measurements in urban areas for distances of 10~1 000 m, under the LoS cases at a frequency of 0.781 GHz. Four elements omnidirectional linear array with Bartlett beam-forming method is used for deriving the angular profile.

The measurements were also performed in urban low-rise and residential environments in LoS and NLoS conditions at 28.5 GHz. The r.m.s. angular spread coefficients were obtained in the azimuthal and elevation direction.

The coefficients for r.m.s. angular spread were obtained as shown in Table 15.

TABLE 15  
Typical r.m.s. angular spread values

Measurement conditions				Mean (degree)	s.t.d (degree)	Remark
Area	$f$ (GHz)	$h_1$ (m)	$h_2$ (m)			
Urban	0.781	5	1.5	28.15 <sup>(1)</sup>	13.98	LoS
Urban	8.45	4.4	2.7	30 <sup>(1)</sup>	11	LoS
Urban	8.45	4.4	2.7	41 <sup>(1)</sup>	18	NLoS
Urban	28.5	2.5	1.6	28.48 <sup>(1)</sup> 5.15 <sup>(2)</sup>	8.68 1.93	LoS
Urban	28.5	2.5	1.6	33.05 <sup>(1)</sup> 6.31 <sup>(2)</sup>	10.52 2.84	NLoS
Residential	28.5	2.5	1.6	20.47 <sup>(1)</sup> 7.61 <sup>(2)</sup>	7.18 8.31	LoS

<sup>(1)</sup> Angular spread in the azimuthal direction.

<sup>(2)</sup> Angular spread in the elevation direction.

### 5.2.2 Angular spread for propagation between terminals located at street level

Angular spread characteristics for propagation between terminals located at street level have been developed based on measured data. Table 16 lists the measured r.m.s. angular spread values for cases where the cumulative probability is 50% and 95%. The distribution of the multipath azimuthal characteristics for the LoS and NLoS cases at distance from 1 to 250 m were derived from measurements in urban very high-rise, high-rise and low-rise areas at a 3.7 GHz frequency band. An 8-element uniform circular array antenna is used for both transmitter and receiver to derive the angular profile.

TABLE 16  
Typical r.m.s. angular spread values

Measurement conditions						r.m.s. angular spread (degree)	
Area	Scenario	Frequency (GHz)	Antenna height		Range (m)	50%	95%
			$h_1$ (m)	$h_2$ (m)			
Urban very high-rise <sup>(1)</sup>	LoS	3.7	1.9	1.9	1-250	17	46
	NLoS					31	50
Urban high-rise <sup>(1)</sup>	LoS					12	37
	NLoS					33	61
Urban low-rise <sup>(1)</sup>	LoS					12	40
	NLoS					25	55

<sup>(1)</sup> Threshold value of 20 dB was used for r.m.s. angular spread calculation.

### 5.3 Effect of antenna beamwidth

Millimetre-wave radio systems are expected to use highly directional antennas and/or various beamforming techniques using large antenna arrays to overcome relatively high propagation loss and establish reliable communication links. Since multipath propagation components have an angle-of-arrival distribution, those components outside the antenna beamwidth are spatially filtered out by the use of a directional antenna, so that the delay spread and angular spread can be reduced.

#### 5.3.1 Received power loss due to antenna beamwidth

When signals are received with a certain antenna beamwidth, the number of multipath signal components becomes smaller compared with an omnidirectional receiving antenna. This leads to an additional power loss, which can be calculated by:

$$L^{\text{beamforming}}(d, f, W_\phi) = L^{\text{omni}}(d, f) + \Delta L(W_\phi) \quad (\text{dB}) \quad (91)$$

where  $L^{\text{omni}}$  is an omnidirectional basic transmission loss and  $\Delta L$  can be calculated as:

$$\Delta L(W_\phi) = \eta \left( \frac{1}{W_\phi} - \frac{1}{360^\circ} \right) \quad (\text{dB}), \quad 10^\circ \leq W_\phi \leq 360^\circ \quad (92)$$

where  $W_\phi$  is the half-power-beamwidth (HPBW) of a directional antenna (beamforming). Table 17 lists the values for  $\eta$ , which are obtained from 28 GHz and 38 GHz measurements collected in urban high-rise environments.

TABLE 17

**Constant  $\eta$  for the additional power loss due to  $W_\phi$ -beamwidth beamforming**

Environment	Frequency (GHz)	Link type	$\eta$
Urban high-rise	28	LOS	17.70
		NLOS	64.03
	38	LOS	16.44
		NLOS	46.49

#### 5.3.2 Delay spread and angular spread characteristics

Characteristics of the multipath delay spread for the LoS directional antenna case in an urban high-rise environment for dense urban micro-cells and pico-cells (as defined in Table 3) were developed based on measured data in the 5.2 GHz band at distances from 10 to 500 m. The antennas were configured such that the direction of the maximum antenna gain of one antenna faced that of the other. Table 18 lists equation for deriving coefficients relative to the antenna half power beamwidth for equation (87) for distances of 10-500 m based on measurements in an urban area. These equations are only depending on the antenna half power beamwidth and effective to any width of the road.



TABLE 18

**Typical coefficients for the distance characteristics of r.m.s. delay spread  
for directional antenna case**

Measurement conditions				$a_s$	
Area	$f$ (GHz)	$h_1$ (m)	$h_2$ (m)	$C_a$	$\gamma_a$
Urban	5.2	3.5	3.5	$9.3 + 1.5 \log(\theta)$	$3.3 \times 10^{-2} + 4.6 \theta \times 10^{-2}$

NOTE 1 – Threshold value of 20 dB is used for r.m.s. delay spread calculation.

Here,  $\theta$  represents antenna half-power beamwidth at both transmitting and receiving antenna and the unit is radian. Note that  $\theta$  should be set to  $2\pi$  when an omnidirectional antenna is applied to both transmitting and receiving antenna.

The prediction methods of multipath delay and angular spread with respect to antenna beamwidth have been developed based on measurements in typical urban and residential environments at 28, 28.5 and 38 GHz. To derive the delay and angular spreads from narrow to wide antenna beamwidths, channel impulse responses collected using an array of horn antennas or rotating a narrow-beam horn antenna were combined in power, delay and angle domains.

The r.m.s. delay spread  $DS$  depends on half-power beamwidth of antenna  $\theta$  (degree):

$$DS(\theta) = \alpha \times \log_{10} \theta \quad \text{ns} \quad (93)$$

where  $\alpha$  is a coefficient of r.m.s. delay spread and the range of  $\theta$  is defined as  $1^\circ \leq \theta \leq 360^\circ$ . Table 19 lists the typical values of the coefficients and standard deviation  $\sigma$  based on each measurement condition. The coefficients of delay spread represent cases when the boresights of antennas were aligned to have maximum receiving power in LoS and NLoS situations, respectively.

TABLE 19

**Typical coefficients for r.m.s. delay spread**

Measurement conditions								Coefficients of r.m.s. delay spread	
$f$ (GHz)	Environment	Scenario	$h_1$ (m)	$h_2$ (m)	Range (m)	Tx beamwidth (degree)	Rx beamwidth (degree)	$\alpha$	$\sigma$ (ns)
28	Urban low-rise	LoS	4	1.5	20-400	30	$10^{(2)}$	$2.32^{(1)}$	5.83
		NLoS			20-300			$35.1^{(1)}$	43
	Urban very high-rise	LoS			40-300			$3.67^{(1)}$	7.07
		NLoS			80-340			$43.19^{(1)}$	38.62
28.5	Urban low-rise	LoS	2.5	1.6	6-26	omni	$45^{(3)}$	5.64	3.28
		NLoS			58-112			12.13	4.55
	Residential	LoS			5-38			3.98	2.47

TABLE 19 (*end*)

Measurement conditions								Coefficients of r.m.s. delay spread	
$f$ (GHz)	Environment	Scenario	$h_1$ (m)	$h_2$ (m)	Range (m)	Tx beamwidth (degree)	Rx beamwidth (degree)	$\alpha$	$\sigma$ (ns)
38	Urban low-rise	LoS	4	1.5	20-400	30	$10^{(2)}$	$2.14^{(1)}$	7.3
		NLoS			20-200			$30.01^{(1)}$	35.51
	Urban very high-rise	LoS			20-340			$1.61^{(1)}$	3.15
		NLoS			80-210			$26.93^{(1)}$	27.95

<sup>(1)</sup> The value is valid when the range of  $\theta$  is  $10^\circ \leq \theta \leq 120^\circ$ .

<sup>(2)</sup>  $10^\circ$  horn antenna was rotated around 360 degrees at the receiver.

<sup>(3)</sup> An array of 16 horn antennas, each with a  $45^\circ$  azimuth beamwidth was used at the receiver.

The r.m.s. angular spread  $AS$  depends on half power beamwidth of antenna  $\theta$  (degree):

$$AS(\theta) = \alpha \times \theta^\beta \quad \text{degree} \quad (94)$$

where  $\alpha$  and  $\beta$  are coefficients of r.m.s. angular spread and the range of  $\theta$  is defined as  $10^\circ \leq \theta \leq 120^\circ$ . Table 20 lists the typical values of the coefficients and standard deviation  $\sigma$  based on each measurement condition. The coefficients of angular spread represent cases when the boresights of antennas are aligned to have maximum receiving power in LoS and NLoS situations, respectively.

TABLE 20

## Typical coefficients for r.m.s. angular spread

Measurement conditions								Coefficients of r.m.s. angular spread		
$f$ (GHz)	Environment	Scenario	$h_1$ (m)	$h_2$ (m)	Range (m)	Tx beamwidth (degree)	Rx beamwidth (degree)	$\alpha$	$\beta$	$\sigma$ (degree)
28	Urban low-rise	LoS	4	1.5	20-400	30	$10^{(1)}$	1.84	0.39	2.1
		NLoS			20-300			0.42	0.84	3.42
	Urban very high-rise	LoS			40-300			1.98	0.34	1.45
		NLoS			80-340			0.38	0.89	2.47

TABLE 20 (*end*)

Measurement conditions								Coefficients of r.m.s. angular spread		
$f$ (GHz)	Environment	Scenario	$h_1$ (m)	$h_2$ (m)	Range (m)	Tx beamwidth (degree)	Rx beamwidth (degree)	$\alpha$	$\beta$	$\sigma$ (degree)
38	Urban low-rise	LoS	4	1.5	20- 400	30	10 <sup>(1)</sup>	1.76	0.36	1.5
		NLoS			20- 200			0.33	0.91	3.39
	Urban very high-rise	LoS			20- 340			1.7	0.38	1.95
		NLoS			80- 210			0.23	1.03	3.3

<sup>(1)</sup> 10-degree horn antenna was rotated around 360 degrees at the receiver.

## 5.4 Number of signal components

For the design of high data rate systems with multipath separation and synthesis techniques, it is important to estimate the number of signal components (that is, a dominant component plus multipath components) arriving at the receiver. The number of signal components can be represented from the delay profile as the number of peaks whose amplitudes are within  $A$  dB of the highest peak and above the noise floor, as defined in Recommendation ITU-R P.1407.

### 5.4.1 Over-rooftops propagation environments

Table 21 shows the results for the number of signal components for over-rooftops environments from measurements in different scenarios such as type of environments, frequency bands and antenna heights.

TABLE 21  
Maximum number of signal components for over-rooftops environments

Type of environment	Time delay resolution (ns)	Frequency (GHz)	Antenna height (m)		Range (m)	Maximum number of components					
			$h_1$	$h_2$		3 dB		5 dB		10 dB	
						80 %	95 %	80 %	95 %	80 %	95 %
Urban	200	1.9-2.1	46	1.7	100-1 600	1	2	1	2	2	4
	20	3.35	55	2.7	150-590	2	2	2	3	3	13
	20	8.45	55	2.7	150-590	2	2	2	3	3	12
Suburban	175	2.5	12	1	200-1 500	1	2	1	2	2	4
	175	3.5	12	1	200-1 500	1	2	1	2	1	5
	50	3.67	40	2.7	0-5 000	1	2	1	3	3	5
	100	5.8	12	1	200-1 500	1	2	3	5	4	5

For the measurements described in § 5.1.1, the differential time delay window for the strongest four components with respect to the first arriving component and their relative amplitude is given in Table 22.

TABLE 22

**Differential time delay window for the strongest four components  
with respect to the first arriving component and their relative amplitude**

Type of environment	Time delay resolution	Frequency (GHz)	Antenna height (m)		Range (m)	Excess time delay (μs)							
			$h_1$	$h_2$		1 <sup>st</sup>		2 <sup>nd</sup>		3 <sup>rd</sup>		4 <sup>th</sup>	
						80%	95%	80%	95%	80%	95%	80%	95%
Urban	200 ns	1.9-2.1	46	1.7	100-1 600	0.5	1.43	1.1	1.98	1.74	2.93	2.35	3.26
Relative power with respect to strongest component (dB)						0	0	-7.3	-9	-8.5	-9.6	-9.1	-9.8

### 5.4.2 Below-rooftops propagation environments

Table 23 shows the results of the number of signal components for below-rooftops environments from measurements in different scenarios such as type of environments, frequency bands and antenna heights.

TABLE 23

Maximum number of signal components for below-rooftops environments

Type of environment	Time delay resolution (ns)	Frequency (GHz)	Antenna height (m)		Range (m)	Maximum number of components					
			$h_1$	$h_2$		3 dB		5 dB		10 dB	
						80%	95%	80%	95%	80%	95%
Urban	20	3.35	4	1.6	0-200	2	3	2	4	5	6
					0-1 000	2	3	2	4	5	9
	20	8.45	4	1.6	0-200	1	3	2	3	4	6
					0-1 000	1	2	2	4	4	8
	20	15.75	4	1.6	0-200	1	3	2	3	4	5
					0-1 000	2	3	2	4	6	10
Residential	20	3.35	4	2.7	0-480	2	2	2	2	2	3

### 5.5 Fading characteristics

The fading depth, which is defined as the difference between the 50% value and the 1% value in the cumulative probability of received signal levels, is expressed as a function of the product ( $2\Delta f\Delta L_{max}$  MHz·m) of the received bandwidth  $2\Delta f$  MHz and the maximum difference in propagation path lengths  $\Delta L_{max}$  m as shown in Fig. 13.  $\Delta L_{max}$  is the maximum difference in propagation path lengths between components whose level is larger than the threshold, which is 20 dB lower than the highest level of the indirect waves as shown in Fig. 14. In this Figure,  $a$  in decibels is the power ratio of the direct to the sum of indirect waves, and  $a = -\infty$  dB represents a NLoS situation. When  $2\Delta f\Delta L_{max}$  is less than 10 MHz·m, the received signal levels in LoS and NLoS situations follow Rayleigh and Nakagami-Rice distributions, corresponding to a narrow-band fading region. When it is larger than 10 MHz·m, it corresponds to a wideband fading region, where the fading depth becomes smaller and the received signal levels follow neither Rayleigh nor Nakagami-Rice distributions.

FIGURE 13

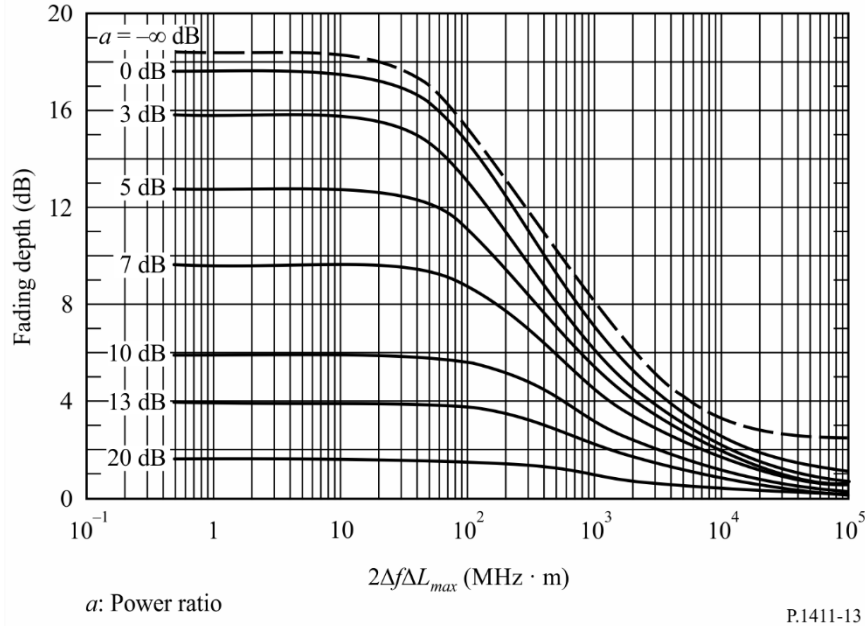
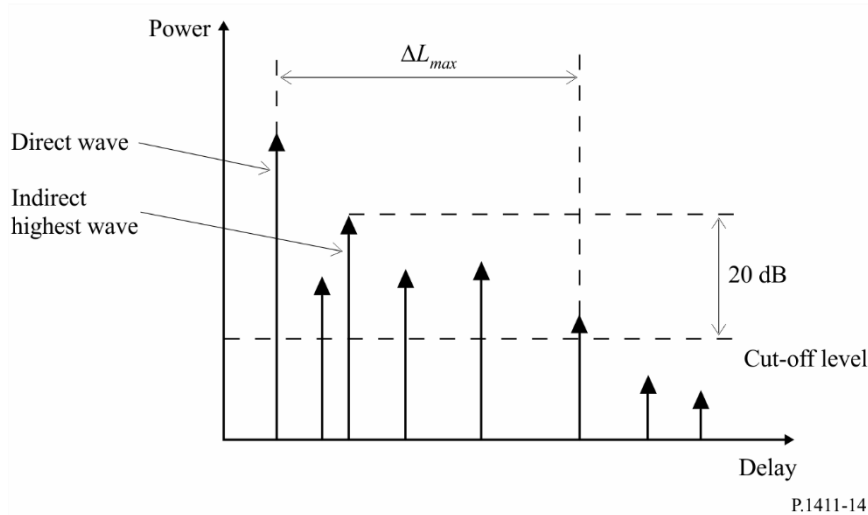
Relationship between fading depth and  $2\Delta f\Delta L_{max}$ 

FIGURE 14

Model for calculating  $\Delta L_{max}$ 

## 6 Polarization characteristics

Cross-polarization discrimination (XPD), as defined in Recommendation ITU-R P.310, differs between LoS and NLoS areas in an SHF dense urban micro-cellular environment. Measurements indicate a median XPD of 13 dB for LoS paths and 8 dB for NLoS paths, and a standard deviation of 3 dB for LoS paths and 2 dB for NLoS paths at SHF. The median XPD values at SHF for open and urban areas are consistent with the UHF values in Recommendation ITU-R P.1406. In Report ITU-R P.2406, the measured XPD for the millimetre bands 51-57 GHz and 67-73 GHz in a low-rise urban environment has a median value of 16 dB for the LoS component with 3 dB variance and 9 dB in the NLoS paths with 6 dB variance.

## 7 Propagation data and prediction methods for the path morphology approach

### 7.1 Classification of path morphology

In the populating area except rural area, the path morphology for wireless channels can be classified into 9 categories as shown in Table 24. The classification is fully based on real wave-propagation environment, by analysing building height and density distribution for various representative locations using GIS (Geographic Information System) database.

TABLE 24  
Classification of path morphologies for the MIMO channel

Path morphology		density
High rise (above 25 m)	High density (HRHD)	above 35%
	Middle density (HRMD)	20 ~ 35%
	Low density (HRLD)	below 20%
Middle rise (12 m ~ 25 m)	High density (MRHD)	above 35%
	Middle density (MRMD)	20 ~ 35%
	Low density (MRLD)	below 20%
Low rise (below 12 m)	High density (LRHD)	above 35%
	Middle density (LRMD)	20 ~ 35%
	Low density (LRLD)	below 20%

### 7.2 Statistical modelling method

Usually the measurement data are very limited and not comprehensive. Therefore, for specific morphologies and specific operating frequencies, the following method can be used to derive the parameters for the MIMO channel model. Measurements of channel characteristics for 9 typical morphologies at 3.705 GHz have shown good statistical agreement when compared against modelling method.

Models are defined for the situation of  $h_1 > h_r$ . Definitions of the parameters  $f$ ,  $d$ ,  $h_r$ ,  $h_1$ ,  $\Delta h_1$  and  $h_2$  are described in Fig. 2, and  $B_d$  represents building density. The path morphology approach is valid for:

- $f$ : 800 to 6 000 MHz
- $d$ : 100 to 800 m
- $h_r$ : 3 to 60 m
- $h_1$ :  $h_r + \Delta h_1$
- $\Delta h_1$ : up to 20 m
- $h_2$ : 1 to 3 m
- $B_d$ : 10 to 45%.

In the statistical modelling, the buildings are generated in a fully random fashion. It is well known that the distribution of building height  $h$  is well fitted statistically by Rayleigh distribution  $P(h)$  with the parameter  $\mu$ .

$$P(h) = \frac{h}{\mu^2} \exp\left(\frac{-h^2}{2\mu^2}\right) \quad (95)$$



To derive the statistical parameters of the Rayleigh distribution for a given morphology, the use of available GIS database is recommended. For the horizontal positions of buildings, it can be assumed to be uniformly distributed.

The wave-propagation calculation is performed for each realization of building distribution using the ray tracing method. Fifteen times reflection and two times diffraction are recommended for simulation. Penetration through buildings is also important. It is recommended to set up the receiving power threshold properly to consider the building penetration. To obtain the model parameters, simulations should be performed for enough number of realizations for each morphology. At least four times realization is recommended. For each realization, enough number of receivers should be put in the calculation region, in order to obtain statistically meaningful data. It is recommended that at least 50 receivers are available at each 10 m sub-interval of distance. The transmitting antenna height and the receiving antenna should be set at the appropriate values. It is recommended that the values of dielectric constant and conductivity are set at  $\epsilon_r = 7.0$ ,  $\sigma = 0.015$  S/m for buildings, and  $\epsilon_r = 2.6$ ,  $\sigma = 0.012$  S/m for grounds.

The parameter values of building height distribution for typical cases are given in Table 25. Building sizes are  $30 \times 20$  m<sup>2</sup>,  $25 \times 20$  m<sup>2</sup>, and  $20 \times 20$  m<sup>2</sup> for high, middle and low rise. Building densities are 40%, 30%, and 20% for high, middle and low density.

TABLE 25  
Parameters of building height distribution for statistical modelling

Path morphology	Rayleigh parameter $\mu$	Range of building height distribution (m)	Average building height (m)
HRHD	18	12.3~78.6	34.8
HRMD		12.5~70.8	34.4
HRLD		13.2~68.0	34.2
MRHD	10	7.3~41.2	19.5
MRMD		7.2~39.0	19.6
MRLD		7.4~40.4	19.4
LRHD	6	2.1~23.1	9.1
LRMD		2.5~22.2	9.4
LRLD		2.5~23.5	9.5

### 7.3 Basic transmission loss model

The basic transmission loss model in this Recommendation is given by:

$$L_b = L_0 + 10 \cdot n \cdot \log_{10}(d) + S \quad (\text{dB}) \quad (96)$$

$$L_0 = -27.5 + 20 \cdot \log_{10}(f) \quad (\text{dB}) \quad (97)$$

where  $n$  is the basic transmission loss exponent.  $S$  is a random variable representing the random scatter around the regression line with normal distribution, and the standard deviation of  $S$  is denoted as  $\sigma_s$ . The units of  $f$  and  $d$  are MHz and metres, respectively.

The basic transmission loss parameters for typical cases of nine path morphologies from statistical modelling at 3.705 GHz are summarized in Table 26. The values in the Table are fitted for all receivers at the height of 2 m located along the path at distances from 100 m to 800 m.

TABLE 26

**Basic transmission loss parameters for nine path morphologies at 3.705 GHz**

Path morphology	Transmitting antenna height (m)	Average building density (%)	$n$	$\sigma_s$
HRHD	50	40	3.3	9.3
HRMD	50	30	2.9	6.3
HRLD	50	20	2.5	3.6
MRHD	30	40	2.8	4.7
MRMD	30	30	2.6	4.9
MRLD	30	20	2.3	2.7
LRHD	20	40	2.4	1.3
LRMD	20	30	2.3	1.8
LRLD	20	20	2.2	1.8

**7.4 Delay spread model**

The r.m.s. delay spread can also be modelled as a function of distance. The r.m.s. delay spread along NLoS-dominant paths at distances from 100 m to 800 m can be modelled as a distance- dependent model given by:

$$DS = A \cdot d^B \quad (\text{ns}) \quad (98)$$

The delay spread parameters for typical cases of nine path morphologies from statistical modelling at 3.705 GHz are summarized in Table 27. The receiver heights are 2 m, and outliers are properly removed to obtain the fitted parameters.

TABLE 27

**Delay spread parameters for nine path morphologies at 3.705 GHz**

Path morphology	Transmitting antenna height (m)	Average building density (%)	Delay spread (ns)	
			$A$	$B$
HRHD	50	40	237	0.072
HRMD	50	30	258	0.074
HRLD	50	20	256	0.11
MRHD	30	40	224	0.095
MRMD	30	30	196	0.12
MRLD	30	20	172	0.19
LRHD	20	40	163	0.18
LRMD	20	30	116	0.23
LRLD	20	20	90	0.29

### 7.5 Angular spread model

The angular spread of departure (ASD) and arrival (ASA) along the paths at distances from 100 m to 800 m can be modelled as a distance-dependent model given by:

$$ASD = \alpha \cdot d^{\beta} \quad (\text{degrees}) \quad (99)$$

$$ASA = \gamma \cdot d^{\delta} \quad (\text{degrees}) \quad (100)$$

The parameters of ASD and ASA for typical cases of nine path morphologies from statistical modelling at 3.705 GHz are summarized in Tables 28 and 29.

TABLE 28

ASD parameters for nine path morphologies at 3.705 GHz

Path morphology	Transmitting antenna height (m)	Average building density (%)	$\alpha$	$\beta$
HRHD	50	40	107	−0.13
HRMD	50	30	116	−0.18
HRLD	50	20	250	−0.31
MRHD	30	40	115	−0.22
MRMD	30	30	232	−0.33
MRLD	30	20	264	−0.37
LRHD	20	40	192	−0.33
LRMD	20	30	141	−0.29
LRLD	20	20	113	−0.24

TABLE 29

ASA parameters for nine path morphologies at 3.705 GHz

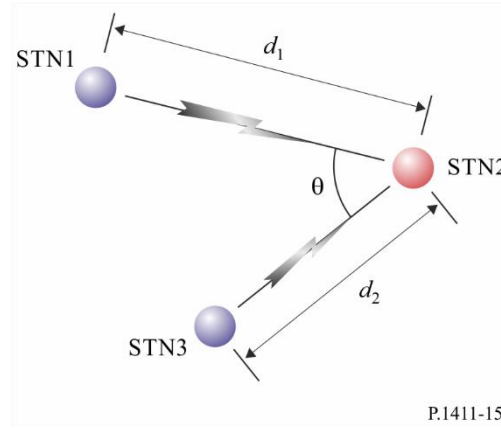
Path morphology	Transmitting antenna height (m)	Average building density (%)	$\gamma$	$\delta$
HRHD	50	40	214	−0.27
HRMD	50	30	147	−0.17
HRLD	50	20	140	−0.14
MRHD	30	40	127	−0.15
MRMD	30	30	143	−0.16
MRLD	30	20	132	−0.13
LRHD	20	40	109	−0.09
LRMD	20	30	124	−0.11
LRLD	20	20	94	−0.06

## 8 Cross-correlation model of multi-link channel

### 8.1 Definition of parameters

A cross-correlation model of multi-link channel in a residential environment has been developed based on measurement data at frequency 3.7 GHz at distances from 50 to 600 m. Figure 15 depicts a geometrical diagram of multi-link channel. For geometrical modelling of the multi-link channel, the following two parameters, i.e. the angle of separation and the relative distance are used.

FIGURE 15  
Diagram of multi-link



The angle of separation  $\theta$  is the angle between the direct link of STN1-STN2 and the direct link of STN3-STN2. Relative distance  $\tilde{d}$  is defined as:

$$\tilde{d} = \log_{10} \frac{d_1}{d_2} \quad (101)$$

where  $d_1$  and  $d_2$  represent respectively the distance between Station 1 and Station 2 as well as between Station 3 and Station 2. When the Station 2 is away from the Station 1 and the Station 3 with the same distance,  $\tilde{d} = 0$ .

The range of  $\theta$  and  $\tilde{d}$  are defined as,

$$0^\circ < \theta < 180^\circ, \quad -0.3 \leq \tilde{d} \leq 0.3 \quad (102)$$

### 8.2 Cross-correlation of the long-term time-spatial parameters

The long-term time-spatial parameters for the cross-correlation model include:

- Shadow fading (SF)
- K-factor (KF)
- Delay spread (DS)
- Angle spread of arrival (ASA)
- Angle spread of departure (ASD).

Cross-correlation models of the long-term time-spatial parameters between the link STN1-STN2 and the link STN3-STN2 are given by the following equations.

The cross-correlation models ( $\rho$ ) of SF, KF, DS, ASA and ASD between two links with respect to the angle of separation are defined as follows,

$$\rho_{(SF,KF,DS,ASA)}(\theta) = A \cdot \exp(-\theta^2/B) \quad (103)$$

$$\rho_{(ASD)}(\theta) = A \cdot \ln(\theta) + B \quad (104)$$

The typical coefficients of each cross-correlation model with respect to the angle of separation are obtained based on measurements in typical residential environments at 3.7 GHz respectively as shown in Table 30.

TABLE 30

**Typical coefficients for cross-correlation models of the long-term time-spatial parameters with respect to the angle of separation**

Parameter	Area	Frequency (GHz)	Antenna height		Cross-correlation coefficients			
			$h_1$ and $h_3$ (m)	$h_2$ (m)	$A$		$B$	
					<i>mean</i>	<i>s.t.d</i>	<i>mean</i>	<i>s.t.d</i>
Shadow fading	Residential	3.7	25	2	0.749	$4.3 \times 10^{-2}$	619	89
K-factor					0.295	$4.9 \times 10^{-3}$	2 129	6
Delay spread					0.67	$7.0 \times 10^{-2}$	1 132	119
Angle spread of arrival					0.582	$2.1 \times 10^{-3}$	1 780	484
Angle spread of departure					-0.0989	$9.2 \times 10^{-4}$	0.483	0.016

The cross-correlation models ( $\rho$ ) of SF, KF, DS, ASA and ASD between two links with respect to the relative distance are defined as follows:

$$\rho_{(SF,KF,DS,ASA)}(\tilde{d}) = A \cdot \exp(-|\tilde{d}|/B) \quad (105)$$

$$\rho_{ASD}(\tilde{d}) = A \cdot |\tilde{d}| + B \quad (106)$$

The typical coefficients of each cross-correlation model with respect to the relative distance are obtained based on measurements in typical residential environments at 3.7 GHz respectively as shown in Table 31.

TABLE 31

**Typical coefficients for cross-correlation models of the long-term time-spatial parameters with respect to the relative distance**

Parameter	Area	Frequency (GHz)	Antenna height		Cross-correlation coefficients			
			$h_1$ and $h_3$ (m)	$h_2$ (m)	A		B	
					mean	s.t.d	mean	s.t.d
Shadow fading	Residential	3.7	25	2	0.572	$1.4 \times 10^{-2}$	0.38	$4.9 \times 10^{-2}$
K-factor					0.429	$2.8 \times 10^{-3}$	0.27	$7.1 \times 10^{-3}$
Delay spread					0.663	$4.6 \times 10^{-2}$	0.38	$1.6 \times 10^{-1}$
Angle spread of arrival					0.577	$1.1 \times 10^{-2}$	0.38	$2.1 \times 10^{-2}$
Angle spread of departure					0.51	$1.9 \times 10^{-1}$	0.196	$4.2 \times 10^{-2}$

The cross-correlation model ( $\rho$ ) of SF, KF, DS, ASA and ASD between two links with respect to the angle of separation and relative distance are given by:

$$\rho_{(SF,KF,DS,ASA,ASD)}(\theta, \tilde{d}) = A \cdot \exp\left(-\frac{\theta^2}{B}\right) \cdot \exp\left(-\frac{\tilde{d}^2}{C}\right) \quad (107)$$

The typical coefficients of the cross-correlation model with respect to the angle of separation and relative distance are obtained based on measurements in typical residential environments at 3.7 GHz as shown in Table 32.

TABLE 32

**Typical coefficients for cross-correlation model of the long-term time-spatial parameters with respect to the angle of separation and relative distance**

Parameter	Area	Frequency (GHz)	Antenna height		Cross-correlation coefficients					
			$h_1$ and $h_3$ (m)	$h_2$ (m)	A		B		C	
					mean	s.t.d	mean	s.t.d	mean	s.t.d
Shadow fading	Residential	3.7	25	2	0.53	$7.1 \times 10^{-3}$	29.31	4.6	0.42	$9.2 \times 10^{-2}$
K-factor					0.28	$6.4 \times 10^{-2}$	22.48	5.9	0.21	$4.2 \times 10^{-2}$
Delay spread					0.46	$9.2 \times 10^{-2}$	29.31	3.7	0.21	$7.1 \times 10^{-5}$
Angle spread of arrival					0.49	$4.9 \times 10^{-2}$	29.31	0.15	0.21	$2.1 \times 10^{-2}$
Angle spread of departure					0.34	$6.4 \times 10^{-2}$	29.31	2.5	0.21	$2.1 \times 10^{-2}$

### 8.3 Cross-correlation of short-term fading in delay domain

The cross-correlation of the link STN1-STN2 channel impulse response  $h_i(\tau_i)$  at the delay  $\tau_i$  and the link STN3-STN2 channel impulse response  $h_j(\tau_j)$  at the delay  $\tau_j$  can be calculated as:

$$c_{h_i h_j}(\tau_i, \tau_j) = \text{Real}\{E[(h_i(\tau_i) - \bar{h}_i(\tau_i))(h_j(\tau_j) - \bar{h}_j(\tau_j))^*]\} \quad (108)$$

where  $\bar{(\bullet)}$  represents the expectation of the given argument. Notice that only the delay samples of the channel impulse responses with power belonging to the dynamic range (5 dB) are considered to be the components for computing the cross-correlation. Furthermore, the cross-correlation coefficients, with the values from  $-1$  to  $1$  are obtained by normalization, i.e.

$$c_{h_i h_j}(\tau_i, \tau_j) = \text{Real}\left\{ \frac{E[(h_i(\tau_i) - \bar{h}_i(\tau_i))(h_j(\tau_j) - \bar{h}_j(\tau_j))^*]}{\sqrt{E[(h_i(\tau_i) - \bar{h}_i(\tau_i))^2]} \sqrt{E[(h_j(\tau_j) - \bar{h}_j(\tau_j))^2]}} \right\} \quad (109)$$

The following three parameters are considered for modelling the cross-correlation of short-term fading  $c_{h_i h_j}(\tau_i, \tau_j)$ :

- The maximum of cross-correlation of short-term fading  $c_{h_i h_j}(\tau_i, \tau_j)$

$$\rho_{Fmax} = \max\{c_{h_i h_j}(\tau_i, \tau_j)\} \quad (110)$$

- The minimum of cross-correlation of short-term fading  $c_{h_i h_j}(\tau_i, \tau_j)$

$$\rho_{Fmin} = \min\{c_{h_i h_j}(\tau_i, \tau_j)\} \quad (111)$$

- The standard deviation of cross-correlation of short-term fading  $c_{h_i h_j}(\tau_i, \tau_j)$

$$\rho_{Fstd} = \sqrt{\frac{1}{T_i T_j} \int (c_{h_i h_j}(\tau_i, \tau_j) - c_{h_i h_j, \text{mean}})^2 d\tau_i d\tau_j} \quad (112)$$

where  $T_i$  and  $T_j$  represent duration of  $\tau_i$  and  $\tau_j$ , respectively. And  $c_{h_i h_j, \text{mean}}$  represents the mean value of cross-correlation of short-term fading. It is close to zero with a small variance regardless of the angle of separation and relative distance.

The cross-correlation models ( $\rho_F$ ) of the small-scale fading between two links with respect to the angle of separation are given by:

$$\rho_F(\theta) = A \cdot \ln(\theta) + B \quad (113)$$

The typical coefficients of each cross-correlation model with respect to the angle of separation are obtained based on measurements in typical residential environments at 3.7 GHz as shown in Table 33.

TABLE 33

**Typical coefficients of cross-correlation models for the short-term fading  
with respect to the angle of separation**

Parameter	Area	Frequency (GHz)	Antenna height		Cross-correlation coefficients			
			$h_1$ and $h_3$ (m)	$h_2$ (m)	A		B	
					mean	s.t.d	mean	s.t.d
Maximum	Residential	3.7	25	2	$-1.09 \times 10^{-2}$	$2.5 \times 10^{-3}$	0.635	$3.5 \times 10^{-3}$
Minimum					$1.62 \times 10^{-2}$	$6.4 \times 10^{-4}$	-0.659	$1.1 \times 10^{-2}$
Standard deviation					$-9.71 \times 10^{-3}$	$7.1 \times 10^{-5}$	0.417	$7.1 \times 10^{-5}$

The cross-correlation model of short-term fading between two links with respect to the relative distance is given by:

$$\rho_F(\tilde{d}) = A \cdot \exp(-|\tilde{d}|/B) \quad (114)$$

The typical coefficients of each cross-correlation functions with respect to the relative distance are obtained based on measurements in typical residential environments at 3.7 GHz as shown in Table 34.

TABLE 34

**Typical coefficients of cross-correlation model for the short-term fading  
with respect to the relative distance**

Parameter	Area	Frequency (GHz)	Antenna height		Cross-correlation coefficients			
			$h_1$ and $h_3$ (m)	$h_2$ (m)	A		B	
					mean	s.t.d	mean	s.t.d
Maximum	Residential	3.7	25	2	0.628	$2.8 \times 10^{-3}$	5.1	$7.1 \times 10^{-5}$
Minimum					-0.626	$5.7 \times 10^{-3}$	3.75	$1.0 \times 10^{-1}$
Standard deviation					0.401	$7.1 \times 10^{-4}$	5.1	$7.1 \times 10^{-5}$

## 9 Propagation characteristics for high Doppler environments

The distance over which the average power delay profile and related channel parameters such as r.m.s. delay spread can be estimated is related to the speed of the vehicle and the measurement bandwidth.

### 9.1 Scenarios for high-speed trains

In high-speed train scenarios, trains travel at high speeds leading to high Doppler shifts corresponding to speeds of around 200 km/h (55 m/s) or more due to scatterers and short distances over which the link stochastic properties can be considered stationary. In the millimetre-wave frequency range,



significantly larger Doppler shifts and smaller stationary distances than lower frequency bands may occur even at a slower train speed.

Radio links to trains consist of direct links where the antenna is inside the train or relay links where the antenna is on top of the train. Radio channel measurements conducted along the railway line in Beijing, China at 2 650 MHz and 1 890 MHz using the 18 MHz resolution of the Gold code transmitted by the radio communication network or a channel sounder with 50 MHz bandwidth at 2 350 MHz were analysed to estimate the distance over which the channel can be considered stationary and the corresponding channel parameters. Additionally, channel measurements were performed along a railway test track in Osong, Korea, at 28 GHz by using a 500 MHz-bandwidth channel sounder and were analysed to estimate the stationary distance and the corresponding channel parameters.

Trains have a number of special scenarios which include viaduct (a bridge for railway line), cutting (a narrow semi-closed structure covered with vegetation on steep walls either side of the train), hilly terrain, train station, and tunnels.

Table 35 gives the distance over which the channel was estimated to be stationary for viaduct, cutting and tunnel scenarios for direct links and relay links and the corresponding average distance.

TABLE 35  
Stationary distance for high-speed train scenarios

Measurement scenario	Coverage scheme	Frequency (MHz)	Train speed (km/h)	Stationary distance (m)	Average distance (m)
Viaduct	Direct link	2 650 <sup>(1)</sup>	285	3.4-5	4.2
	Relay link	1 890 <sup>(1)</sup>	285	1.9-3.5	2.8
		28 000 <sup>(3)</sup>	170	0.05-0.24	0.11
Cutting	Relay link	2 350 <sup>(2)</sup>	200	0.51	0.51
Tunnel	Relay link	28 000 <sup>(3)</sup>	170	0.06-0.39	0.17

<sup>(1)</sup> Bandwidth of measurement was 18 MHz.

<sup>(2)</sup> Bandwidth of measurement was 50 MHz.

<sup>(3)</sup> Bandwidth of measurement was 500 MHz.

The measurements were used to evaluate the r.m.s. delay spread values for 20 dB threshold, and the small-scale K-factor as listed in Table 36 for the viaduct and the cutting scenarios.

TABLE 36

**r.m.s. delay spread and K-factor for high-speed train scenarios**

Measurement conditions						r.m.s. delay spread (ns)		K-factor (dB)	
Scenario	Coverage scheme	Frequency (MHz)	Antenna height		Range (m)	50%	95%	50%	95%
			$h_1$ (m)	$h_2$ (m)					
Viaduct	Direct link	2 650	30	10	200-1 000	101	210	4	9
	Relay link	1 890	30	10	200-1 000	29	120	8	15
		28 000	5	4.2	1-450	22.4	104.3	14.5	16.3
Cutting	Relay link	2 350	14	3	100-1 000	38	171	4	11
Tunnel	Relay link	28 000	5	4.2	1-450	70.4	190.5	10.6	13.3

**9.2 Scenarios for high-speed vehicles**

In expressway environments, vehicles can travel at around 100 km/h (27.8 m/s). Many such high-speed vehicles act as moving scatterers impacting on high Doppler shifts.

Like high-speed trains, radio links in the vehicle-to-infrastructure communication scenario can be direct or relay depending on whether the antenna is inside the vehicle or on top of the vehicle, respectively. In the vehicle-to-vehicle communication scenario, there are cases when vehicles are moving in the same direction and when other vehicles in opposite lanes are facing each other or passing behind. The Doppler shifts with a relative velocity of  $\pm 200$  km/h can be generated when the receiving vehicle is driving at a speed of 100 km/h and the transmitting vehicle is moving in the opposite direction at the same speed.

Table 37 gives the distance over which the channel was estimated to be stationary for a relay link in an expressway environment.

The measurements were performed at an expressway environment in Yeosu, the Republic of Korea at 5.9 GHz (with 100 MHz bandwidth) and 28 GHz (with 500 MHz bandwidth). It should be noted that these two frequency band measurements were separate and independent.

TABLE 37

**Stationary distance for high-speed vehicles scenarios**

Measurement scenario	Coverage scheme	Frequency (GHz)	Vehicle speed (km/h)	Stationary distance (m)	Average distance (m)
Vehicle to Infrastructure	Relay link	5.9 <sup>(1)</sup>	100	0.38-1.68	0.86
		28 <sup>(2)</sup>	100	0.06-0.25	0.12
Vehicle to Vehicle	Relay link	5.9 <sup>(1)(3)</sup>	100	0.13-1.20	0.30

<sup>(1)</sup> Bandwidth of measurement was 100 MHz.

<sup>(2)</sup> Bandwidth of measurement was 500 MHz

<sup>(3)</sup> Transmitter and receiver travel in opposite directions, respectively.

For the 5.9 GHz measurements, the threshold was 20 dB. For the 28 GHz measurements, the threshold for the r.m.s. delay spread was 25 dB. The r.m.s. delay spreads with the small-scale K-factors are listed in Table 38.

TABLE 38  
r.m.s. delay spread and K-factor for high-speed vehicles scenarios

Measurement conditions						r.m.s. delay spread (ns)		K-factor (dB)	
Scenario	Coverage scheme	Frequency (MHz)	Antenna height		Range (m)	50%	95%	50%	95%
			$h_1$ (m)	$h_2$ (m)					
Vehicle to Infrastructure	Relay link	5.9	7	1.7	30-1 000	13	552	7.5	15.7
		28	11	2	100-500	6.3	293.5	10.2	13.1
Vehicle to Vehicle	Relay link	5.9 <sup>(1)</sup>	1.7	1.7	30-1 000	19	742	8.6	16.2

<sup>(1)</sup> Transmitter and receiver travel in opposite directions, respectively.