

**Patent Statement and Licensing Declaration Form for ITU-T/ITU-R Recommendation |  
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**Patent Statement and Licensing Declaration  
for ITU-T/ITU-R Recommendation □ ISO/IEC Deliverable**

*This declaration does not represent an actual grant of a license*

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**Patent Holder:**

Legal Name Adaptive Spectrum and Signal Alignment Incorporated

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URL (optional) \_\_\_\_\_

**Document type:**

☒ ITU-T Rec. (\*)    ☐ ITU-R Rec. (\*)    ☐ ISO Deliverable (\*)    ☐ IEC Deliverable (\*)

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☐ **ISO/IEC Deliverable (\*)** (for ISO/IEC Deliverables, please return the form to both ISO and IEC)

(\*)Number G.997.1 Amendment 3

(\*)Title Physical layer management for digital subscriber line (DSL) transceivers  
Amendment 3

**Licensing declaration:**

The Patent Holder believes that it holds granted and/or pending applications for patents, the use of which would be required to implement the above document and hereby declares, in accordance with the Common Patent Policy for ITU-T/ITU-R/ISO/IEC, that (check one box only):

☐

1. The Patent Holder is prepared to grant a free of charge license to an unrestricted number of applicants on a worldwide, non-discriminatory basis and under other reasonable terms and conditions to make, use, and sell implementations of the above document.

Negotiations are left to the parties concerned and are performed outside the ITU-T, ITU-R, ISO or IEC.

Also mark here ☐ if the Patent Holder's willingness to license is conditioned on reciprocity for the above document.

Also mark here ☐ if the Patent Holder reserves the right to license on reasonable terms and conditions (but not free of charge) to applicants who are only willing to license their patent claims, whose use would be required to implement the above document, on reasonable terms and conditions (but not free of charge).

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3. The Patent Holder is unwilling to grant licenses in accordance with provisions of either 1 or 2 above.

In this case, the following information must be provided to ITU, and is strongly desired by ISO and IEC, as part of this declaration:

- granted patent number or patent application number (if pending);
- an indication of which portions of the above document are affected;
- a description of the patent claims covering the above document.

**Free of charge:** The words "free of charge" do not mean that the Patent Holder is waiving all of its rights with respect to the essential patent. Rather, "free of charge" refers to the issue of monetary compensation; *i.e.*, that the Patent Holder will not seek any monetary compensation as part of the licensing arrangement (whether such compensation is called a royalty, a one-time licensing fee, etc.). However, while the Patent Holder in this situation is committing to not charging any monetary amount, the Patent Holder is still entitled to require that the implementer of the above document sign a license agreement that contains other reasonable terms and conditions such as those relating to governing law, field of use, reciprocity, warranties, etc.

**Reciprocity:** As used herein, the word "reciprocity" means that the Patent Holder shall only be required to license any prospective licensee if such prospective licensee will commit to license its essential patent(s) or essential patent claim(s) for implementation of the same above document free of charge or under reasonable terms and conditions.

**Signature:**

Patent Holder

Adaptive Spectrum and Signal Alignment Incorporated

Name of authorized person

Peter Silverman

Title of authorized person

Director Standards and Technical Marketing

Signature

Place, Date

Redwood City California, USA 28 February 2008

<b>Patent Information</b> (desired but not required for options 1 and 2; required in ITU for option 3 (NOTE))				
<b>No.</b>	<b>Status</b> [granted/ pending]	<b>Country</b>	<b>Granted Patent Number or Application Number (if pending)</b>	<b>Title</b>
1				
2				
3				

*NOTE: For option 3, the additional minimum information that shall also be provided is listed in the option 3 box above.*

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