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| **Council 2019Geneva, 10-20 June 2019** |  |
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| **Agenda item: PL 2.4** | **Document C19/67-E** |
| **25 May 2019** |
| **Original: English** |
| Note by the Secretary-General |
| CONTRIBUTION FROM THE FEDERATIVE REPUBLIC OF BRAZIL, canada and the republic of paraguayestablishing ETHICAL PRINCIPLES FOR CAMPAIGN ACTIVITIES PRIOR TO itu PLENIPOTENTIARY CONFERENCEs |

I have the honour to transmit to the Member States of the Council a contribution submitted by the Federative Republic of Brazil, Canada and the Republic of Paraguay.

 Houlin ZHAO

 Secretary-General

CONTRIBUTION FROM THE FEDERATIVE REPUBLIC OF BRAZIL, canada and the republic of paraguay

ESTABLISHING ETHICAL PRINCIPLES FOR CAMPAIGN ACTIVITIES PRIOR TO ITU PLENIPOTENTIARY CONFERENCE

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| SummaryBrazil, Canada and Paraguay propose the establishment of ethical principles for certain campaign activities prior to ITU Plenipotentiary Conferences. These ethical principles were endorsed by the 2018 Session of the ITU Council and observed by candidates prior to the 2018 ITU Plenipotentiary Conference.Action requiredCouncil should **adopt** the draft Decision in the Annex to this contribution.References[*Document C17/130-E*](https://www.itu.int/md/S17-CL-C-0130/en) *(Summary record of the eighth plenary meeting)*[*Document C18/5-E*](https://www.itu.int/md/S18-CL-C-0005/en) *(“Annex 1 - Guidelines: Ethical aspects of certain campaign activities prior to the 2018 Plenipotentiary Conference”)*[*Document C18/109-E*](https://www.itu.int/md/S18-CL-C-0109/en) *(Summary record of the fifth plenary meeting)*[*PP-18 Document 155-E*](https://www.itu.int/md/S18-PP-C-0155/en) *(“Report by the chairman of Committee 5”)*[*PP-18 Document 173-E*](https://www.itu.int/md/S18-PP-C-0173/en) *(“Minutes of the sixteenth Plenary Meeting”)* |

**Background**

The 2017 Session of the ITU Council decided in its 8th Plenary Meeting to invite the Secretary-General to produce ethics guidelines for internal candidates, for discussion and adoption at the 2018 Session of Council. The 2018 Session of Council adopted at its 5th Plenary Meeting the guidelines for ethical aspects of certain campaign activities prior to the 2018 Plenipotentiary Conference as drafted by the ITU Ethics Office in Annex 1 to Document C18/5-E.

The adoption of those guidelines as general guidelines for all future ITU Plenipotentiary Conferences was discussed in Committee 5 (COM 5) of the 2018 ITU Plenipotentiary Conference. COM 5 forwarded Recommendation 6 to the PP-18 Plenary, and it was adopted at the 16th Plenary Meeting. Item 5 of Recommendation 6 reads:

*Recommendation 6: Committee 5 recommends that the Plenary* ***instructs the Council*** *to:*

*5.* ***adopt*** *standard guidelines on ethical aspects of campaign activities for future elections, based on the provisions of “Ethical aspects of certain campaign activities prior to the 2018 Plenipotentiary Conference”, as adopted by the 2018 Session of the Council, with possible improvements, as appropriate.*

**Proposal**

Brazil, Canada and Paraguay propose that the ITU Council discuss and adopt the Draft Decision in Annex on “Ethical aspects of campaign activities prior to ITU Plenipotentiary Conferences,” in accordance with instructions from the 2018 ITU Plenipotentiary Conference.

**Annex: 1**

ANNEX

Draft New Decision (Council 19)

Ethical aspects of campaign activities prior to ITU Plenipotentiary Conferences

The Council,

recognizing

a) the ITU Ethics office Guidance Note on “Ethical Aspects of Certain Campaign Activities Prior to the 2018 Plenipotentiary Conference” in Annex 1 to [Document C18/5](https://www.itu.int/md/S18-CL-C-0005/en) adopted by the 2018 Session of Council;

b) discussions held by Committee 5 of the 2018 ITU Plenipotentiary Conference (PP-18) on this issue and particularly Recommendation 6 forwarded to the Plenary;

c) the approval by the 16th Plenary Meeting of PP-18 of item 5 of Committee 5’s Recommendation 6 that instructs the Council to “adopt standard guidelines on ethical aspects of campaign activities for future elections, based on the provisions of “Ethical aspects of certain campaign activities prior to the 2018 Plenipotentiary Conference”, as adopted by the 2018 Session of the Council, with possible improvements, as appropriate,”

decides

to adopt the Guidelines for ethical aspects of certain campaign activities prior to ITU Plenipotentiary Conferences as contained in Annex to this Decision.

**ANNEX 1**

**Guidelines:**

**Ethical Aspects of Campaign Activities Prior to ITU Plenipotentiary Conferences**

 The guidance provided in the document is based on the current framework and practice. [[1]](#footnote-1) It is relevant primarily to candidates with a current role in the Union—this includes appointed staff members as well as Elected Officials.

 In addition to basic principles such as fairness, equity, transparency, good faith, dignity and mutual respect, individuals who must balance an existing role in the Union with a candidature should be particularly mindful of general principles in the following three key areas, described further below: (A) Use of Union resources; (B) Campaign activities around Union events; and (C) Engagement with Member State representatives.

1. Use of Union Resources

**General Principle:**

* **The resources of the Union may only be used for the purpose of delivering the organization’s mandate and advancing its best interests**.

Application: Candidates should be mindful not to use any Union resources or their current position—including personnel support, official travel and expense reimbursement, or any office resources—for the purpose of advancing their candidature. Doing so may provide those with access to such resources an undue advantage and allow them to improperly draw a personal benefit from resources that are intended for official use only.

*For example*:

* Official travel for a mission or event should not be undertaken or authorized if the traveler would not typically attend such event in the normal course of their official duties for ITU. Conversely, if a staff member in active service would typically attend an event in the course of their official duties, their candidature should not preclude attendance. For further guidance on conduct while at an official event or during a mission, see below.
* There should be no use of any Union symbols (i.e., the ITU flag and/or emblem or the logos for specific conferences arranged by ITU) in any materials to promote a candidacy. This may improperly create the appearance of an official endorsement of a candidate. The ETO is available to review draft materials and advise on any specific cases.
* ITU Computers, printers, communications channels—including, ITU social media accounts—and ITU letterhead should not be used for any campaign activities. A private email account (not on the ITU corporate system) should be used for correspondence relating to campaign activities. To the extent an individual is contacted on their ITU corporate account regarding their candidature, the correspondence should be forwarded to the private account and further correspondence should occur through that channel.
* Candidates should not seek the support of ITU staff responsible for communications activities of the Union (or any other ITU staff) to prepare any promotional materials for their candidature. This includes requests for advice, pictures, assistance or feedback with any promotional materials.
1. Campaign Activities Around Union Events

**General Principle:**

* **Campaign-related activities should not interfere with the conduct of Union affairs at official events.**

Application: Union events—and the informal and social occasions that surround these events—are an opportunity to engage with Member State representatives. Some candidates may be in attendance at such events due to their official responsibilities with ITU. Others may be in attendance as they currently serve on the delegation of a Member State. Still others may not have an official reason to attend the event, but wish to use the opportunity of stakeholders being convened in a single location.

 The basic principles of fairness and equity suggest that candidates should have an equal opportunity to engage with Member State representatives when they are convening for such events. At the same time, it should be noted that the purpose of these events is to advance the Union’s work in a specific area, not to serve as a forum for campaigning. Thus, individuals should refrain from engaging with Member State representatives to promote their candidature during the course of a Union event. Informal or social occasions surrounding these events—not in the event space itself—may be used by candidates for campaign activities. Overall, candidates should refrain from behavior that would suggest that the fullest care for Union affairs is being displaced by attention to campaign-related activities.

*For example*:

* *Actively* engaging Member State representatives to discuss a candidature during the course of official proceedings may detract from the ability to conclude official matters during that event. Thus, it would be best to avoid actively engaging Member State representatives on election issues during a Union event. Candidates who are approached during a Union event to discuss their candidacy should consider inviting the relevant party to discuss further outside of the official meetings. Preferably, this would be at a meeting scheduled after proceedings for the day have concluded rather than during a coffee or lunch break (which are frequently otherwise used to continue discussions on official matters).
* During ITU events, candidates are discouraged from using the event space for any campaign activities. This includes refraining from delivering any candidate campaign statements during event break sessions sponsored by the Member States advancing their candidacy. As noted above, candidates may engage in campaign activities at informal or social occasions (such as receptions hosted by Member States) outside of the ITU event space itself.
* Individuals travelling to the site of an event to promote their candidature—who would not otherwise attend the event in the normal course of their official duties—should do so while on leave from the Union. Furthermore, as noted above, costs for attendance at an event for such individuals should not be borne by the Union. Individuals are strongly encouraged to contact the ETO to inform of planned presence at an ITU event in a private capacity such that specific aspects of the relevant campaign activities can be discussed.
1. Engagement with Member States

**General Principle:**

* **The fundamental values of independence, loyalty to the Union and impartiality should be respected even while coordinating a candidature with a Member State.**

Application: A candidature will inevitably involve some level of coordination with a Member State. This type of engagement with a Member State should not jeopardize the independence and impartiality of the individual as an international civil servants and loyalty to the Union. Respect for these principles requires scrupulous attention to the possible perception that behavior taken in an official capacity will be viewed as reflecting the interests of a particular Member State and/or primarily intended to advance a candidature rather than serve the interests of the Union. There should be no suggestion that official acts on behalf of the Union—whether current or future—are, or will be, unduly influenced in exchange for support with a candidature.

*For example:*

* The coordination of a candidature should not involve sharing information with the Member State promoting the candidature that is not otherwise available to all Member States or not appropriate for public disclosure. This includes information related to the workplace performance of other candidates.
* Events organized by Member States to promote the candidature of an individual may involve a public speech by the candidate to outline their vision for the Union. There may also be written materials that are prepared in this regard. Those already serving the Union should endeavor to express their strictly personal views as candidate without calling into question their independence from Member States, impartiality, and loyalty to the Union. A message that would reasonably appear to be focused on criticizing the Union and/or any other candidates rather than presenting a positive vision would be inconsistent with the basic principles of good-faith, dignity, and mutual respect and may be contrary to the obligations of international civil servants to remain loyal to the Union and refrain from publicly airing grievances. In light also of provisions in the legal framework regulating outside activities (including statements to the press and submission of materials for publication which relate to the purpose, activities or interests of the Union), the ETO is available, on a confidential basis, to review in advance and advise on any public remarks or publication materials.

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1. In principle, ETO considers that campaign activities of candidates should be limited until the steps to formalize a candidature have taken place. [↑](#footnote-ref-1)