

A Proposal  
for a  
Way Forward  
on  
IMT-2020 Submissions

## Way Forward Proposal - Summary

WP 5D could agree to grant a one-time exception in the IMT-2020 process for the lacking information for the relevant candidate submissions in this situation, with the necessary information to be the summarily provided to WP 5D by 10 September 2019.

# Situation

- The submission window for candidate technologies submissions formally opened with WP 5D Meeting #28 (October 2017) and closed with a hard deadline on July 2, 2019 for WP 5D Meeting #32, as announced in Circular Letter 5/LCCE/59 (22 March 2016). A submission was to supply certain detailed information in a prescribed format and using certain technical evaluations and other published criteria as defined in ITU-R Reports and other IMT-2020 documents.
- For the WP 5D Meeting #32, one or more Proponents did not fully adhere to the IMT-2020 process elements for a candidate submission as required by the deadline of the 32<sup>nd</sup> Meeting of WP 5D.
- All candidate submissions were individually reviewed by WP 5D under the IMT-2020 process and those not fully adhering to the submission requirements were provided an opportunity by request of the meeting to bring additional and supplementary information to WP 5D during the course of the meeting.
- It is duly noted that the Proponents with candidate submissions that had missing or insufficient information did work to improve their submissions when requested by WP 5D during Meeting #32.
  - In some cases, it was indicated by the Proponent that providing the missing information required some additional time to perform the necessary simulations and calculations and ensue that the full scope of the technical impacts could be properly presented to WP 5D.

## The Details: It is therefore proposed that WP 5D could:

1. Grant that, on a one-time exceptional basis, the relevant materials necessary for the Proponent to provide a complete and satisfactory self-evaluation adhering in all ways to the IMT-2020 process and procedures in Document IMT-2020/2 Rev 1, and in particular with regard to the relevant Compliance Templates, could (must) be provided for consideration by WP 5D by a hard (non-waivable) deadline of no later than 10 September 2019 by 16:00 hours UTC to the Radiocommunications Bureau.
2. Those candidate submissions falling under this exception, will be carried forward as candidate submissions not yet having completed Step 3 from WP 5D Meeting #32, along with any already provided supplementary materials via the relevant IMT-2020/yyy document with the status of these submissions duly indicated. A decision shall then be taken in WP 5D Meeting #33.

(continued)

## The Details: It is therefore proposed that WP 5D could: (continued)

3. The Bureau is requested to as soon as possible after receipt, process such additional information received from a Proponent by the 10 September deadline into an input for the WP 5D Meeting #33 (December 2019) and to notify the WP 5D in a suitable manner.
4. In addition, the Bureau is requested to notify the External Independent Evaluations Groups (IEGs) of the availability of the additional information in order that the IEGs might take this into account in their evaluation activities.
5. It is acknowledged the candidate submission technologies operating under this exception would be able to be evaluated under Step 4, pending the decision in WP 5D #33 meeting.

# Rationale for the 10 September 2019 Deadline

- The date of September 10 is chosen because it is critical that the External Independent Evaluation Groups have the necessary information that would ordinarily have been supplied to them from Meeting #32, as the full sets of all candidate submissions assessed to be “complete” were to be liaised to the IEGs from Meeting #32.
- The IEGs (currently 12 have registered with ITU-R) are expected to provide interim evaluation reports to WP 5D for Meeting #33 in December 2019 and final evaluation reports in February 2020 to WP 5D Meeting #34. Some Proponents indicated that supplementary material could be provided by the end of August.
- Noting the complexity of the possible simulation work and other technical calculations, September 10 is prudent to ensure that WP 5D can receive the materials in good form. This is approximately an extra 2 weeks from the end of August.

## WP 5D Must Conclude the Decision at Meeting #33

- Working Party 5D reserves its right to make the final decision (on the respective submissions requested to provide further information) in regard to their proceeding forward in the IMT-2020 process at WP 5D Meeting #33 in December 2019.
- The final decision must and will be taken at WP 5D Meeting #33 and shall not be further postponed. Additional delays in the IMT-2020 process would not practically be able to be considered or granted.
- It is cautioned that these deviations to the IMT-2020 process should not be a precedent for the future of the IMT processes.