

I n t e r n a t i o n a l T e l e c o m m u n i c a t i o n U n i o n

ITU-T

TELECOMMUNICATION
STANDARDIZATION SECTOR
OF ITU

X.1164

(10/2012)

SERIES X: DATA NETWORKS, OPEN SYSTEM
COMMUNICATIONS AND SECURITY

Secure applications and services – Peer-to-peer security

**Use of service providers' user authentication
infrastructure to implement public key
infrastructure for peer-to-peer networks**

Recommendation ITU-T X.1164



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Recommendation ITU-T X.1164

Use of service providers' user authentication infrastructure to implement public key infrastructure for peer-to-peer networks

Summary

Peer entity authentication is a mandatory requirement for securing peer-to-peer communications. However, especially in pure peer-to-peer (P2P) networks, it is difficult for peers to authenticate corresponding peer entities because there is no central server for authentication they can rely on. In addition, the existing public key infrastructure (PKI) has little use for this purpose because those peer entities rarely have public key certificates issued by well-known certification authorities.

The purpose of Recommendation ITU-T X.1164 is to define mechanisms to utilize service providers' user authentication infrastructure to implement PKI for P2P networks, with which users who have a valid e-mail account managed by a service provider can issue certificates to their devices by themselves and make those certificates verifiable by corresponding peers in P2P networks.

History

Edition	Recommendation	Approval	Study Group
1.0	ITU-T X.1164	2012-10-14	17

Keywords

Peer entity authentication, P2P, peer to peer, PKI, public key infrastructure.

FOREWORD

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The approval of ITU-T Recommendations is covered by the procedure laid down in WTSA Resolution 1.

In some areas of information technology which fall within ITU-T's purview, the necessary standards are prepared on a collaborative basis with ISO and IEC.

NOTE

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Recommendation ITU-T X.1164

Use of service providers' user authentication infrastructure to implement public key infrastructure for peer-to-peer networks

1 Scope

Recommendation ITU-T X.1164 describes the mechanisms for utilizing service providers' user authentication infrastructure to implement public key infrastructure (PKI) used for securing peer-to-peer (P2P) networks. The described mechanisms allow a peer in P2P networks to verify public key certificates, issued by the owner (peer user), of a corresponding peer.

2 References

The following ITU-T Recommendations and other references contain provisions which, through reference in this text, constitute provisions of this Recommendation. At the time of publication, the editions indicated were valid. All Recommendations and other references are subject to revision; users of this Recommendation are therefore encouraged to investigate the possibility of applying the most recent edition of the Recommendations and other references listed below. A list of the currently valid ITU-T Recommendations is regularly published. The reference to a document within this Recommendation does not give it, as a stand-alone document, the status of a Recommendation.

[ITU-T X.509] Recommendation ITU-T X.509 (2008) | ISO/IEC 9594-8:2008, *Information technology – Open systems interconnection – The Directory: Public-key and attribute certificate frameworks*.

3 Definitions

3.1 Terms defined elsewhere

This Recommendation uses the following terms defined elsewhere:

3.1.1 authentication [b-ITU-T X.800]: See data origin authentication [defined in clause 3.1.3], and peer entity authentication [defined in clause 3.1.5].

3.1.2 certificate revocation list (CRL) [ITU-T X.509]: A signed list indicating a set of certificates that are no longer considered valid by the certificate issuer. In addition to the generic term CRL, some specific CRL types are defined for CRLs that cover particular scopes.

3.1.3 data origin authentication [b-ITU-T X.800]: The corroboration that the source of data received is as claimed.

3.1.4 peer [b-ITU-T X.1161]: Communication node on P2P network that functions simultaneously as both "client" and "server" to the other nodes on the network.

3.1.5 peer entity authentication [b-ITU-T X.800]: The corroboration that a peer entity in an association is the one claimed.

3.1.6 peer user [b-ITU-T X.1162]: A peer user is one who uses a computer system to access the P2P network. A peer user in a P2P network is similar to a user in the Internet, with slight differences. Specifically, peer users in the P2P network have a different operational context including personal interests, resource plans, security considerations, etc.

3.1.7 public key certificate (PKC) [ITU-T X.509]: The public key of a user, together with some other information, rendered unforgeable by digital signature with the private key of the certification authority (CA) which issued it.

3.1.8 public key infrastructure (PKI) [ITU-T X.509]: The infrastructure able to support the management of public keys able to support authentication, encryption, integrity or non-repudiation services.

3.2 Terms defined in this Recommendation

None.

4 Abbreviations and acronyms

This Recommendation uses the following abbreviations and acronyms:

CA	Certification Authority
CRL	Certificate Revocation List
FQDN	Fully Qualified Domain Name
HTTPS	Hypertext Transfer Protocol over Secure Socket layer
P2P	Peer-to-Peer
PDA	Personal Digital Assistant
PKC	Public Key Certificate
PKI	Public Key Infrastructure
S/MIME	Secure Multipurpose Internet Mail Extensions
URL	Uniform Resource Locator

5 Conventions

None.

6 Overview

6.1 Model of peer-to-peer communications between devices of peer users

A model of peer-to-peer communications between devices of peer users is shown in Figure 1.

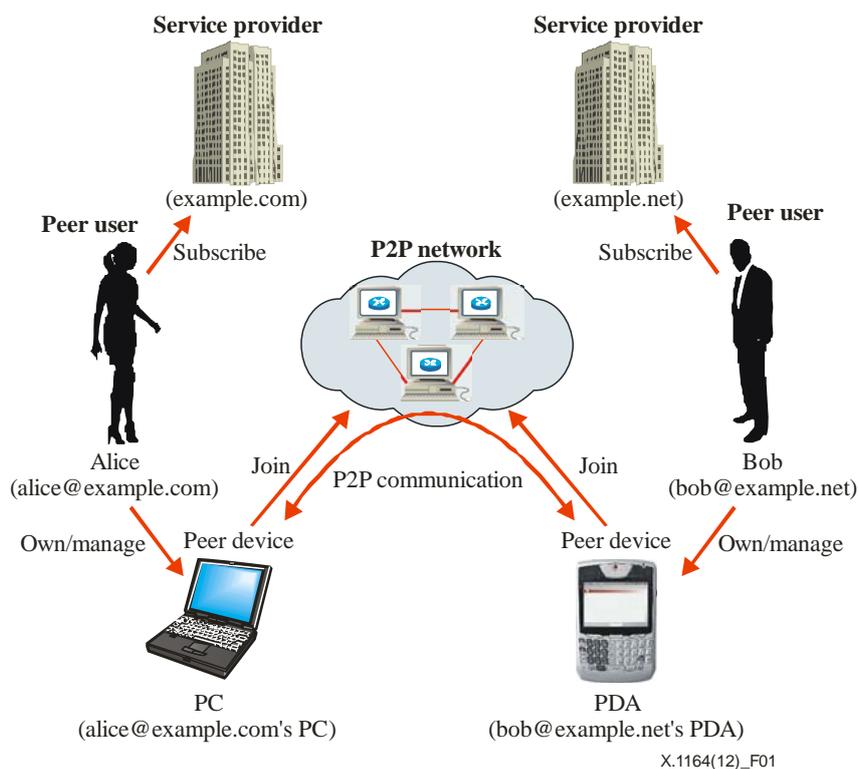


Figure 1 – Model of peer-to-peer communications between devices of peer users

There are four entities in this model: peer user, peer device, service provider and P2P network.

In this model, a peer user is identified by her/his e-mail address and the identity of the peer device is asserted by its owner (peer user). A service provider has the ability to authenticate its users and authorize them to upload their public key information, which can then be consulted by peers in P2P networks and is used for peer entity authentication. The purpose of peer entity authentication in this model is to prove the identity of a corresponding peer and its owner.

6.2 Overview of mechanisms used for implementing PKI for P2P networks

The purpose of the mechanisms described in this Recommendation is to make a public key certificate of a peer device issued by its owner verifiable by anyone. To achieve this, each user acts as a certification authority (CA) for managing public key certificates of her/his own devices. Service providers, then, play the key role in associating users' identity with their CA public key. A service provider which supports the PKI scheme described in this Recommendation has to implement the following two operations.

- Upload of CA certificate and certificate revocation list (CRL) of a user's CA:
 - Authenticate users and authorize them to upload their CA certificate and CRL.
- Retrieval of CA certificate and CRL of a user's CA:
 - Allow anyone to retrieve uploaded CA certificate and CRL in such a way that the binding between the retrieved information and its user's identity (i.e., e-mail address) can be guaranteed.

When utilizing this PKI scheme, a user first generates her/his own CA public key pair and uses it for issuing public key certificates to her/his devices used for P2P networking. The user uses her/his e-mail address as the identity of the certificate issuer. The user, then, uploads the CA certificate and CRL to her/his service provider.

When verifying a certificate of a corresponding peer, a peer retrieves a CA certificate and CRL from the certificate issuer's service provider and uses them to verify authenticity and validity of the certificate.

6.3 Usage scenarios

6.3.1 Building a closed P2P network with friends

By having a member list consisting of e-mail addresses, a P2P network can authenticate joining peers so that only peer devices that are owned by users in the member list will be permitted to join the network.

6.3.2 Access control for P2P resources and services

A peer can implement authentication and authorization mechanisms in order to allow only corresponding peers that are owned by certain users specified by their e-mail address to access its resources and services.

With the PKI scheme described in this Recommendation, peers do not have to share passwords or secret information beforehand to implement these security mechanisms.

7 Information denoted in a peer device certificate

A peer device certificate has to contain the following information in order to make it verifiable.

- The e-mail address of the issuer:
 - The issuer field of the ITU-T X.509 certificate must contain the e-mail address of the issuer as the emailAddress attribute.
e.g., issuer: CN=Alice/emailAddress=alice@example.com
- The uniform resource locator (URL) of the issuer's CA certificate:
 - The issuerAltName field of the ITU-T X.509 certificate must contain the URL of the issuer's CA certificate.
 - The URL of the issuer's CA certificate must take the form:
https://usercert.<domain>:<port>/<username>.cer
where <domain> is exactly the same as the domain part of the issuer's e-mail address (e.g., example.com), and <username> is the same as the local part of the e-mail address (e.g., alice). ":<port>" can be omitted.
e.g., issuerAltName: https://usercert.example.com/alice.cer
- The URL of the CRL:
 - The cRLDistributionPoints field of the ITU-T X.509 certificate must contain the URL of the CRL.
 - The URL of the CRL must take the form:
https://usercert.<domain>:<port>/<username>.crl
where <domain> is exactly the same as the domain part of the issuer's e-mail address (e.g., example.com), and <username> is the same as the local part of the e-mail address (e.g., alice). ":<port>" can be omitted.
e.g., cRLDistributionPoints: https://usercert.example.com/alice.crl

The correspondence between the e-mail address of the issuer and URLs for CA certificate and CRL must be obvious and HTTPS must be used in URLs.

In the above example, one can be sure that `alice@example.com`'s CA certificate and CRL are published by `alice@example.com`'s service provider (i.e., `example.com`), and not by another service provider such as `example.net`, and the integrity of those data is guaranteed by the HTTPS protocol used for retrieval. With this scheme, the CA certificate and CRL URLs for `alice@example.com` and `alice@subdomain.example.com` are different, and thus `usercert.subdomain.example.com` is only authorized to publish CA certificates and CRLs of `<user>@subdomain.example.com`. It cannot publish CA certificates and CRLs of `<user>@example.com`.

8 Operations provided by the service provider

8.1 Upload of CA certificate and CRL

A service provider has to provide its users an upload operation by which a user can authenticate herself/himself and upload and/or update her/his CA certificate and CRL.

8.2 Retrieval of CA certificate and CRL

A service provider has to make its users' CA certificate and CRL available for download by a URL which is constructed from the user's e-mail address and uses HTTPS as the protocol.

9 Peer entity authentication procedure

Peer entity authentication should follow the following procedures:

- 1) Receive a public key certificate from the corresponding peer.
- 2) Check the identity (e-mail address) of the issuer of the certificate and URLs for obtaining a CA certificate and CRL.
- 3) Verify the correspondence between the issuer's e-mail address and URLs for CA certificate and CRL. (The correct URLs assure the authenticity of the CA certificate and CRL.)
- 4) Retrieve the CA certificate and CRL. (The HTTPS protocol used for the retrieval guarantees the integrity of the CA certificate and CRL.)
- 5) Verify the authenticity and validity of the corresponding peer's certificate using the CA certificate and CRL downloaded in the previous step.
- 6) Perform a public key-based authentication protocol to verify that the corresponding peer possesses the private part of the key pair which is certified in its certificate.

10 Security considerations

10.1 Authenticity and integrity of CA certificates

Because the authenticity of a CA certificate is derived from its URL and its integrity is assured by the HTTPS protocol used for retrieval, peers should only trust freshly downloaded CA certificates. Local caches or copies may not be trusted.

10.2 Scope of peer devices' name

The distinguished name of the subject in a peer device certificate must not be interpreted in a global scope. For example, if a peer device owned by a user `alice@example.com` has a certificate whose subject field is `"CN=www.example.com"`, it must not be interpreted as a valid fully qualified domain name (FQDN) of a server on the Internet. The scope of the name must be local to `alice@example.com`'s perspective.

10.3 Trustworthiness of service providers

As service providers can forge their users' CA certificate, they can set up peer devices that impersonate a user's device. Therefore, service providers must be trustworthy. However, this issue is not specific to this PKI scheme. In the current Internet PKI, some well-known certification authorities issue S/MIME certificates to users after successful exchanges of a couple of e-mail messages, which enables a service provider to obtain a valid S/MIME certificate of any user of its e-mail service.

Bibliography

- [b-ITU-T X.800] Recommendation ITU-T X.800 (1991), *Security architecture for Open Systems Interconnection for CCITT applications.*
- [b-ITU-T X.1161] Recommendation ITU-T X.1161 (2008), *Framework for secure peer-to-peer communications.*
- [b-ITU-T X.1162] Recommendation ITU-T X.1162 (2008), *Security architecture and operations for peer-to-peer networks.*

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