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**ITU-T T.83x-series – Supplement on information
technology – JPEG XR image coding system –
System architecture**

ITU-T T-series Recommendations – Supplement 2



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**ITU-T T.83x-series – Supplement on information technology – JPEG XR image coding system
– System architecture**

Summary

Supplement 2 to ITU-T T-series Recommendations is technically aligned with ISO/IEC TR 29199-1 but is not published as identical text. It was drafted in collaboration with ISO/IEC JTC 1/SC 29/WG 1 (which is informally known as "JPEG").

This Supplement provides a technical overview and informative guidelines for applications of the JPEG XR image coding system as normatively specified in Recommendation ITU-T T.832 | ISO/IEC 29199-2, Recommendation ITU-T T.833 | ISO/IEC 29199-3, Recommendation ITU-T T.834 | ISO/IEC 29199-4, and Recommendation ITU-T T.835 | ISO/IEC 29199-5. The overview of JPEG XR coding technology includes a description of the supported image formats, the internal data processing hierarchy and data structures, the image tiling design supporting hard and soft tiling of images, the lapped bi-orthogonal transform, supported quantization modes, adaptive coding and scanning of coefficients, entropy coding, and finally the codestream structure. This overview provides a basic understanding of how a JPEG XR encoder works and the various modes it supports. It also compares the JPEG XR design with those of baseline JPEG (Recommendation ITU-T T.81 | ISO/IEC 10918-1) and JPEG 2000 (Recommendation ITU-T T.800 | ISO/IEC 15444-1). Following the overview is a discussion of the use of JPEG XR for high dynamic range (HDR) image coding. Clause 8 reviews various JPEG XR profiles and describes their target applications. Clause 9, encoding practices, provides general encoding guidelines and guidelines for encoding for providing random access functionality, including an analysis of tile size selection trade-offs. A decoding process functionality, clause 10, describes the decoding process and output colour conversions, and describes how to make use of JPEG XR scalability features in a decoding application. These scalability features include resolution, quality and spatial random access scalabilities. Finally, clause 11 describes codestream manipulations in the compressed domain. This clause describes methods for trimming a codestream to extract a smaller codestream, switching between spatial and frequency codestream modes, rotation and flipping of images, extraction of a region of interest in the compressed domain, switching between interleaved and planar alpha planes, and modifying the tile structure of an image. This Supplement is intended to help application developers to understand the JPEG XR design and to provide assistance in making effective use of its capabilities.

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FOREWORD

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Introduction

This Supplement provides a technical overview and informative guidelines for applications of the JPEG XR image coding system as normatively specified in Rec. ITU-T T.832 | ISO/IEC 29199-2, Rec. ITU-T T.833 | ISO/IEC 29199-3, Rec. ITU-T T.834 | ISO/IEC 29199-4, and Rec. ITU-T T.835 | ISO/IEC 29199-5.

ITU-T T.83x-series – Supplement on information technology – JPEG XR image coding system – System architecture

1 Scope

This Supplement provides a technical overview and informative guidelines for applications of the JPEG XR image coding system as normatively specified in Rec. ITU-T T.832 | ISO/IEC 29199-2, Rec. ITU-T T.833 | ISO/IEC 29199-3, Rec. ITU-T T.834 | ISO/IEC 29199-4, and Rec. ITU-T T.835 | ISO/IEC 29199-5. The overview of JPEG XR coding technology includes a description of the supported image formats, the internal data processing hierarchy and data structures, the image tiling design supporting hard and soft tiling of images, the lapped bi-orthogonal transform, supported quantization modes, adaptive coding and scanning of coefficients, entropy coding, and finally the codestream structure. This overview provides a basic understanding of how a JPEG XR encoder works and the various modes it supports. It also compares the JPEG XR design with those of baseline JPEG (Rec. ITU-T T.81 | ISO/IEC 10918-1) and JPEG 2000 (Rec. ITU-T T.800 | ISO/IEC 15444-1). Following the overview is a discussion of the use of JPEG XR for high dynamic range (HDR) image coding. Clause 8 reviews various JPEG XR profiles and describes their target applications. Clause 9, encoding practices, provides general encoding guidelines and guidelines for encoding for providing random access functionality, including an analysis of tile size selection trade-offs. A decoding process functionality, clause 10, describes the decoding process and output colour conversions, and describes how to make use of JPEG XR scalability features in a decoding application. These scalability features include resolution, quality and spatial random access scalabilities. Finally, clause 11 describes codestream manipulations in the compressed domain. This clause describes methods for trimming a codestream to extract a smaller codestream, switching between spatial and frequency codestream modes, rotation and flipping of images, extraction of a region of interest in the compressed domain, switching between interleaved and planar alpha planes, and modifying the tile structure of an image. This Supplement is intended to help application developers to understand the JPEG XR design and to provide assistance in making effective use of its capabilities.

2 Terms and definitions

For the purposes of this Supplement, the following terms and definitions apply:

- 2.1 adaptive coefficient normalization:** A parsing sub-process where *transform coefficients* are dynamically partitioned into a *VLC-coded part* and a *fixed-length coded part*, in a manner designed to control (i.e., "normalize") bits used to represent the *VLC-coded part*. The *fixed-length coded part of DC coefficients* and *low-pass coefficients* is called *FLC refinement* and the *fixed-length coded part of high-pass coefficients* is called *flexbits*.
- 2.2 adaptive inverse scanning:** A parsing sub-process where the *zigzag scan order* associated with a set of *transform coefficients* is dynamically modified, based on the statistics of previously-parsed *transform coefficients*.
- 2.3 adaptive VLC:** A parsing sub-process where the code table associated with *VLC parsing* of a particular *syntax element* is switched, among a finite set of fixed tables, based on the statistics of previously-parsed instances of this syntax element.
- 2.4 alpha image plane:** An optional secondary *image plane* associated with an *image*, of the same dimensions as the *luma component* of the *primary image plane*. The *alpha image plane* has one *component*, a *luma component*.
- 2.5 block:** An $m \times n$ array of *samples*, or an $m \times n$ array of *transform coefficients*.
- 2.6 block index:** An integer in the range 0 to 15, identifying, by its position in *raster scan order*, a particular 4×4 *block*, within a partition of a 16×16 *block* into 16 4×4 *blocks*.
- 2.7 byte:** A sequence of 8 bits.
- 2.8 chroma:** A *component* of the *primary image plane* with non-zero index, or the *transform coefficients* and sample values associated with this *component*.
- 2.9 codestream:** A sequence of bits contained in a sequence of *bytes* from which syntax elements are parsed, such that the most significant bit of the first *byte* is the first bit of the *codestream*, the next most significant bit of the first *byte* is the second bit of the *codestream*, and so on, to the least significant bit of the first *byte* (which is the eighth bit of the *codestream*), followed by the most significant bit of the second *byte* (which is the ninth bit of the *codestream*), and so on, up to and including the least significant bit of the last *byte* of the sequence of *bytes* (which is the last bit of the *codestream*).
- 2.10 component:** One of the arrays of samples associated with an *image plane*.

- 2.11 context:** A possible value of a specific instance of a *context variable*.
- 2.12 context variable:** A variable used in the *parsing process* to select which data structure is to be used for the *adaptive VLC* parsing of a given syntax element.
- 2.13 DC coefficient:** The first subset when the *transform coefficients*, which are contained in a specific *macroblock* and a specific *component*, are partitioned into 3 subsets.
- 2.14 DC-LP array:** The array of all *DC coefficients* and *low-pass coefficients*, for all *macroblocks* associated with a specific *component*.
- 2.15 decoder:** An embodiment of a *parsing process* and *decoding process*.
- 2.16 decoding process:** The process of computing output sample values from the parsed syntax elements of the *codestream*.
- 2.17 dequantization:** The process of rescaling the quantized *transform coefficients* after their value has been parsed from the *codestream* and before they are presented to the *inverse transform process*.
- 2.18 encoder:** An embodiment of an *encoding process*.
- 2.19 encoding process:** The process of converting source sample values into a *codestream*.
- 2.20 file:** A finite-length sequence of *bytes* that is accessible to a *decoder* in a manner such that the *decoder* can obtain access to the data at specified positions within the sequence of *bytes* (e.g., by storing the entire sequence of *bytes* in random access memory or by performing "position seek" operations to specified positions within the sequence of *bytes*).
- 2.21 file format:** A specified structure for the content of a *file*.
- 2.22 fixed-length code (FLC):** A code which assigns a finite set of allowable bit patterns to a specific set of values, where each bit pattern has the same length.
- 2.23 FLC refinement:** The *fixed-length coded* part of a *DC coefficient* or *low-pass coefficient* that is parsed using adaptive *fixed-length codes*.
- 2.24 flexbits:** The *fixed-length coded* part of the *high-pass coefficient* information which is parsed using adaptive *fixed-length codes*.
- 2.25 frequency band:** A collective term for one of the following three subsets of the *transform coefficients* for an *image*, which are separately parsed: *DC coefficients*, *low-pass coefficients*, and *high-pass coefficients*.
- 2.26 frequency mode:** A *codestream* structure mode where the DC, low-pass, high-pass and *flexbits frequency bands* for each *tile* are grouped separately.
- 2.27 hard tiles:** A *codestream* structure mode where the overlap operators are not applied across tile boundaries. Instead, boundary overlap operators are applied at tile boundaries.
- 2.28 high-pass coefficients:** The third subset, when the *transform coefficients* that are contained in a specific *macroblock* and a specific *component* are partitioned into 3 subsets.
- 2.29 image:** The result of the *decoding process*, consisting of a *primary image plane* and an optional *alpha image plane*.
- 2.30 image plane:** A collective term for a grouping of the *components* of the *image*.
- 2.31 internal colour format:** The colour format associated with the spatial-domain samples obtained through the *inverse transform process* and the *sample reconstruction process*, and distinguished from the *output colour format* associated with the *output formatting process*.
- 2.32 inverse core transform (ICT):** The two steps of the *inverse transform process* that involve processing of *transform coefficients* associated with each *macroblock* independently, with no *overlap filtering*.
- 2.33 inverse transform process:** The part of the *decoding process* by which a set of *dequantized transform coefficients* are converted into spatial-domain values.
- 2.34 inverse scanning:** The process of reordering an ordered set of parsed *syntax elements* from the *codestream* to form an array of *transform coefficients* associated with a specific *component* and *macroblock*.
- 2.35 low-pass coefficients:** The second subset, when the *transform coefficients* that are contained in a specific *macroblock* and a specific *component* are partitioned into 3 subsets.

- 2.36 luma:** The *component* of an *image plane* with index zero, and the *transform coefficients* and sample values associated with this *component*. Although this term is commonly associated with a signal that conveys perceptual brightness information, as used in this Supplement, the term is primarily an identifier of a particular array of samples or *transform coefficients* for an *image*.
- 2.37 macroblock:** The collection of *transform coefficients* or samples, across all *components*, that have the same indices *i* and *j* with respect to a *macroblock partition*.
- 2.38 macroblock partition:** The partitioning of each *component*, into 16×16, 8×8, or 16×8 *blocks*, depending on the *internal colour format*.
- 2.39 output bit depth:** The representation, including the number of bits and the interpretation of the bit pattern, used for the sample values of the output *image* that are the result of the *decoding process*.
- 2.40 output colour format:** The colour format associated with the output *image* that is the result of the *decoding process*.
- 2.41 output formatting process:** The process of converting the arrays of samples – that are the result of the *sample reconstruction process* – into the output samples that constitute the output of the *decoding process*. This specifies a conversion (if necessary) into the appropriate *output colour format* and *output bit depth*.
- 2.42 overlap filtering:** The steps of the *inverse transform process* that involve processing of *transform coefficients* across adjacent *blocks* and *macroblocks*.
- NOTE – When *overlap filtering* is applied, it is applied across *macroblock* boundaries as well as *block* boundaries. When the *codestream* uses *soft tiles*, the *overlap filtering* is also applied across *tile* boundaries. Otherwise, *overlap filtering* does not occur across *tile* boundaries.
- 2.43 parsing process:** The process of extracting bit sequences from the *codestream*, converting these bit sequences to syntax element values, and setting the values of global variables for use in the *decoding process*.
- 2.44 prediction:** The process of computing an estimate of the sample value or data element that is currently being decoded.
- 2.45 primary image plane:** The *image plane* that consists of all *image components* that are not a part of the *alpha image plane*.
- 2.46 quantization parameter (QP):** A value used to compute the scaling factor for the *dequantization* of a *transform coefficient*, before the *inverse transform process* is applied.
- 2.47 raster scan order:** The scan order in which a two-dimensional array of values is scanned row-wise from left to right, and the rows are scanned from the top row to the bottom.
- 2.48 refinement:** The process of modifying a predicted or partially-computed *transform coefficient*.
- 2.49 run:** The number of zero valued coefficient levels that precede a non-zero valued coefficient level in the *zigzag scan order* during the *inverse scanning process*.
- 2.50 sample reconstruction process:** The process of converting dequantized *transform coefficients* into samples of the *image*.
- 2.51 soft tiles:** A *codestream* structure mode where the overlap operators are applied across tile boundaries.
- 2.52 spatial mode:** A *codestream* structure mode where the *DC*, *low-pass*, *high-pass* and *flexbits frequency bands* for each specific *macroblock* are grouped together.
- 2.53 spatial transformation:** An element in the *codestream* indicating the preferred final displayed orientation of the decoded *image*, as specified in Rec. ITU-T T.832 | ISO/IEC 29199-2. The *spatial transformation* is only a suggestion, and *decoder conformance* is checked only for the decoded *image* prior to the application of this transformation (i.e., for orientation 0).
- 2.54 start code:** A bit pattern that specifies the beginning of a *tile packet* or other distinguished, contiguous set of syntax elements in the *codestream*.
- 2.55 tile:** The collection of *macroblocks* that have the same indices *i* and *j* with respect to a *tile partition*. Each *tile* corresponds to the *macroblocks* for a rectangular region of the *image*.
- 2.56 tile packet:** A contiguous subset of the *codestream*, which contains the coded *syntax elements* associated with a specific *tile*.
- 2.57 tile partition:** A partition of the *image* into rectangular arrays of *macroblocks*, as specified in Rec. ITU-T T.832 | ISO/IEC 29199-2.

2.58 transform coefficients: The values, associated with each specific *macroblock* and specific *component*, that – after *dequantization* – form the input arrays into the *inverse transform process*.

2.59 variable-length code (VLC): A code which assigns a finite set of allowable bit patterns to a specific set of values, where each bit pattern is potentially of a different length.

2.60 zigzag scan order: An adaptive ordering for the *inverse scanning* process, which assigns array indices to each subsequent *transform coefficient* parsed from the *codestream*.

3 Abbreviations

For the purposes of this Supplement, the following abbreviations apply:

CBP	Coded Block Pattern
CIE	<i>Commission Internationale de l'Eclairage</i> (International Commission on Illumination)
DCT	Discrete Cosine Transform
FLC	Fixed-Length Code
HDR	High Dynamic Range
HP	High-Pass
JPEG	Joint Photographic Experts Group
LBT	Lapped Bi-orthogonal Transform
LP	Low-Pass
QP	Quantization Parameter
ROI	Region of Interest
VLC	Variable-Length Code
XR	eXtended Range

4 JPEG XR image coding system

The JPEG XR image coding system enables the compressed representation of imagery for a broad range of applications, including support for an extended range of capabilities (e.g., relative to that of the baseline sequential JPEG encoding specified in Rec. ITU-T T.81 | ISO/IEC 10918-1) while minimizing computational resources and memory storage requirements.

The design includes support for a wide range of image representation formats, rapid local region access, and various scalability features including multi-resolution frequency scalability, a quality scalability enhancement layer at the highest resolution, and embedded codestream support for both lossy and lossless image representations using the same algorithmic processing elements. In particular, the JPEG XR design architecture includes support for requirements specific to high dynamic range imagery applications.

The design application focus for JPEG XR includes digital photography and associated workflows. However, the actual intended range of applications for the technology is broad. JPEG XR also has core codestream features that can be used to support usage scenarios such as interactive image usage in networked system environments.

The JPEG XR image coding system consists of the Specifications listed in Table 1.

Table 1 – Specifications of the JPEG XR image coding system

Subtitle	ITU-T Specification	ISO/IEC Specification	Normative? (Y/N)	Summary of content
System architecture	Supplement 2 to ITU-T T-series	29199-1	N	An overview of JPEG XR and its usage (this Supplement)
Image coding specification	ITU-T T.832	29199-2	Y	Core image coding specification including the codestream syntax, normative specified decoding process, informative example encoding process, and (optional) tag-based file format.
Motion JPEG XR	ITU-T T.833	29199-3	Y	Specification of file storage format and decoding process for timed sequences of images encoded using JPEG XR encoding.
Conformance testing	ITU-T T.834	29199-4	Y	Methods and test suite for conformance testing of JPEG XR encoders and decoders.
Reference software	ITU-T T.835	29199-5	Y	Example encoder and reference decoder software in C source code form

5 General overview of technical design

5.1 Basic technology structure

JPEG XR is a block transform based image coding technology. It shares many of the same basic processing elements as are found in typical prior image coding designs, including the following:

- colour conversion;
- rectangular region segmentation;
- frequency transformation of block-shaped spatial regions;
- sequential scanning of block transform coefficients;
- scalar quantization of transform coefficient values; and
- variable-length coding.

Additional features of the design that may not be found in some older image coding systems include the following:

- tile region segmentation;
- multi-resolution frequency band hierarchy;
- reversible integer-based colour conversion;
- reversible integer-based spatial frequency transformation;
- overlapped block processing for spatial frequency transformation;
- selectable degrees of overlap processing (or elimination of overlap processing) within tiles;
- selectable use of either "soft" (overlapped) or "hard" (non-overlapped) tile boundary processing;
- prediction of transform coefficient values;
- prediction of transform coded block patterns;
- integer processing of floating-point data representations;
- fixed-length coded "flexbits" coefficient fidelity refinement data;
- adaptive coefficient scanning order;
- adaptive switching of variable-length code tables;
- support of both lossless and lossy compression using the same signal processing steps; and
- exact specification of decoded image data values (for both lossless and lossy representations).

5.2 Supported image format types

JPEG XR supports the encoding of a variety of basic decoded output image formats as shown in Table 2. The design includes a distinction between the "internal colour format" (specified by the INTERNAL_CLR_FORMAT syntax element) that is used for the processing steps within the main part of the decoding process, and the intended decoded output format (specified by the OUTPUT_CLR_FORMAT and OUTPUT_BITDEPTH syntax elements) to which the

decoded image is converted prior to final output. Six types of internal colour format are supported (corresponding to the enumeration values YONLY, YUV420, YUV422, YUV444, YUVK, and NCOMPONENT). In all cases, the naming of a format type should not be interpreted as necessarily implying a particular relationship to visible light interpretations in the sense of a CIE colour space – for further detail on this subject, see Rec. ITU-T T.832 | ISO/IEC 29199-2 Annex C.

Table 2 – Supported image formats

Basic format type	Supported colour bit depths and representations
Grayscale	1, 8 and 16 bits per component unsigned integer 16 and 32 bits per component fixed point 16 and 32 bits per component floating point
RGB	8, 10, and 16 bits per component unsigned integer 16 and 32 bits per component fixed point 16 and 32 bits per component floating point 5-5-5- and 5-6-5 packed bits per component unsigned integer
RGB with Alpha channel	8 and 16 bits per component unsigned integer 16 and 32 bits per component fixed point 16 and 32 bits per component floating point
Shared-exponent RGBE	Four bytes: one for the red (R) mantissa, one for the green mantissa (G), one for the blue (B) mantissa, and one for a common exponent (E)
CMYK and CMYK with Alpha	8 and 16 bits per component unsigned integer
YUV 4:2:0 and YUV 4:2:0 with Alpha	8 bits per component unsigned integer
YUV 4:2:2 and YUV 4:2:2 with Alpha	8, 10 and 16 bits per component unsigned integer
YUV 4:4:4 and YUV 4:4:4 with Alpha	8, 10 and 16 bits per component unsigned integer 16 bits per component fixed point
n-Channel and n-Channel with Alpha	8 and 16 bits per component unsigned integer

5.3 Decoded image structure and interpretation

A decoded image may have multiple colour channels (also referred to as *components*). Each colour channel consists of a two-dimensional rectangular array of *sample* values. Each *sample* is a scalar-valued quantity which may represent either an integer or floating-point value.

NOTE 1 – Within the JPEG XR decoding process (or example encoding process), all processing is performed using integer arithmetic; however, the final result of the decoding process (or input to the example encoding process) actually represents a floating-point value in some use cases.

NOTE 2 – The term used here is *sample*, rather than the term *pixel* that is sometimes used in such contexts. However, in graphics terminology, the term *pixel* is most typically used to refer to the entire set of scalar-valued quantities for a location in an image (e.g., the intensity of Red, Green, and Blue for a location in an image). In some scenarios, this multi-component concept of a pixel is difficult to apply (such as for the YUV 4:2:2 and YUV 4:2:0 sampling structures supported in JPEG XR, for which the sampling density is different for different colour components). In informal usage, the term pixel may sometimes alternatively refer to the scalar value for a single colour component. For clarity, the term *pixel* has been avoided here in favour of the term *sample* as an unambiguous reference to a scalar-valued quantity.

One channel is referred to as the *luma* channel, and the remaining channels are called the *chroma* channels and (when present) the *alpha* channel.

The luma channel can typically be interpreted as a monochrome representation of the image content. It is often denoted by the symbol Y. Chroma channels are sometimes referred to as U and V channels.

A *monochrome* image has only a luma channel. A YUV image has a luma channel and two chroma channels (and may also have an alpha channel).

NOTE 3 – The use of the term luma or the symbol Y should not be interpreted as necessarily implying that the channel represents true luminance in the light representation sense (e.g., as in CIE specifications). Similarly, the use of the term chroma or the symbols U or V should not be interpreted as implying that the channels represent chromaticity in the light representation sense or that any particular colour space representation is used. When feasible, colour interpretation metadata should be associated with the JPEG XR coded image to specify the actual interpretation of the decoded colour channels.

An image may also have an alpha channel, in which each sample indicates the degree of transparency of a location in the image. Alpha channel support is important to many applications such as gaming and animation.

The colour channels are grouped into *image planes*. When present, the alpha channel may either be encoded together with the other channels or may be encoded separately. When encoded separately, the alpha channel is the only channel of the *alpha image plane*. The set of all other colour channels is referred to as the *primary image plane*. When encoded together with the other channels, the alpha channel is part of the primary image plane.

The decoding process of an alpha image plane is the same as that of a monochrome image.

Ordinarily, each colour channel represents an evenly-spaced rectangular sample grid of samples in which each sample represents the intensity of an associated measure. Generally, the array for every colour channel represents the same spatial region. However, the chroma channels may in some cases be encoded with half of the resolution of the associated luma channel, either horizontally (in the case associated with the internal colour format enumeration value YUV422 in the decoding process, which corresponds to using the YUV 4:2:2 sampling structure) or both horizontally and vertically (in the case associated with the internal colour format enumeration value YUV420 in the decoding process, which corresponds to using the YUV 4:2:0 sampling structure). In such YUV image cases, the intended positioning of the chroma channel sampling grids relative to the luma channel sampling grid can be indicated by the encoder using the codestream syntax elements CHROMA_CENTERING_X and CHROMA_CENTERING_Y.

Although the use of 1, 3, or 4 colour channels is expected to be the most typical, the JPEG XR codestream syntax supports up to 4111 colour channels. When stored using the tag-based file format of Rec. ITU-T T.832 | ISO/IEC 29199-2 Annex A, the maximum number of colour channels for a JPEG XR image is 9 (eight channels in the primary image plane plus an alpha image plane).

5.4 Data processing hierarchy and structures

There are five layers in the basic hierarchy of data structures used in the JPEG XR decoding process, as follows:

- sample or transform coefficient (a scalar valued quantity);
- block (a rectangular array of samples or transform coefficients);
- macroblock (the set of samples or transform coefficients for a 16×16 region of the luma component and any associated 16×16, 8×16 or 8×8 regions of other components);
- tile (the set of macroblocks corresponding to a particular separately-encoded rectangular region of an image plane);
- image or image plane.

This hierarchy is shown in Figure 1.

Because the dimensions of the represented image may not be exactly divisible by 16, some cropping (e.g., at the top and right edges) of the decoded image planes may be performed to produce the decoded image from the decoded tiles, as illustrated in Figure 1. In addition to supporting cropping for the top and right edges, JPEG XR also supports cropping at the left and bottom edges of the image when desired, which can be important for enabling some use cases – such as the compressed-domain transformation operations discussed in clause 11.

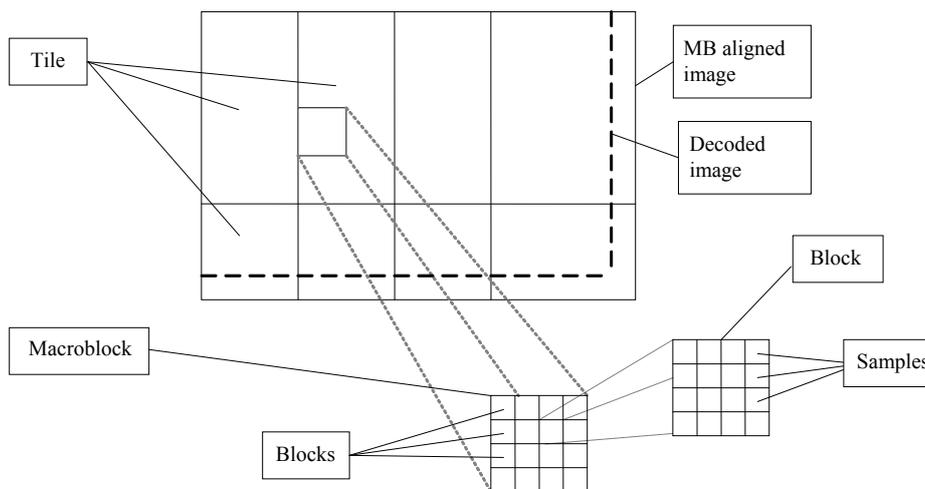


Figure 1 – Hierarchy of data structures used in the JPEG XR decoding process

An image may contain from 1 to 4096 columns of tiles spanning across the horizontal direction and from 1 to 4096 rows of tiles spanning the vertical direction. Image tiles are aligned in rows and columns, such that all tiles containing any subset of the image samples in a given horizontal row have the same height and all tiles containing any subset of the image samples in a given vertical column have the same width. However, tiles containing samples of different horizontal rows may have different heights, and tiles containing samples of different vertical columns may have different widths.

5.5 JPEG XR transform structure and hierarchy

The transform converts the spatial domain image data to frequency-domain information. JPEG XR uses a hierarchical two-stage lapped bi-orthogonal transform (LBT), with a low-complexity structure that is exactly invertible in integer arithmetic (also referred to as integer reversible). The transform is based on two basic operators: the core transform and the overlap filtering. The core transform is conceptually similar to the widely used discrete cosine transform (DCT), and can similarly exploit the spatial correlation within a block. The overlap filtering is designed to exploit the correlation across block boundaries and to mitigate blocking artifacts. Together the combined transform is equivalent to an LBT, and hence it offers state-of-the-art coding performance, both objectively and visually, while minimizing computational complexity. JPEG XR further improves the performance of the transform by adopting a two-stage hierarchical construction. The resulting hierarchical two-stage LBT effectively uses longer filters for lower frequencies and shorter filters for higher frequency detail. Thus, the transform has a better coding gain as well as reduced ringing and blocking artifacts when compared to traditional block transforms.

The overlap filtering is functionally independent of the core transform, and can be switched on or off, as chosen by the encoder. There are three options for overlap filtering: 1) disabled for both stages, 2) enabled for the first stage but disabled for the second stage, or 3) enabled for both stages. The overlap filtering option selected by the encoder is signalled to the decoder as part of the compressed codestream. The flexibility to enable or disable the overlap operators controls the effective filter length of the overall transform. Disabling the overlap filters at both levels can minimize ringing artifacts related to the use of long filters, as well as enable very low decoding complexity. Alternatively, applying the overlap filters at both levels can mitigate blocking artifacts at very low bit rates. However, the typical anticipated use is to enable the overlap for the first transform stage and disable it for the second, which provides a compromise setting with good compression performance over a broad range of bit rates, minimal blocking effects, and minimal ringing artifacts.

Each operation of the JPEG XR transform is designed to be exactly reversible to enable mathematically lossless encoding. JPEG XR implements reversible transforms using a lifting-based structure, which minimizes the dynamic range expansion of the input data, and thus reduces implementation complexity and maximizes lossless compression performance. As the transform lifting operations and all other operations of the decoding process use only integer arithmetic, the decoder output is bit-exact for any given compressed codestream.

The transform operates in a hierarchical manner as follows in the example encoding process for the luma component:

- Each 4x4 block within a component of a macroblock undergoes a first stage transform, yielding one DC coefficient and 15 first-stage AC coefficients for each of the 16 blocks in the 16x16 region corresponding to the macroblock.
- The 16 DC coefficients are then further collected into a single 4x4 block, and a second transform stage is applied to this block. This yields 16 new coefficients: one second-stage DC coefficient, and 15 second-stage AC coefficient for this block of first-stage DC coefficients. These coefficients are referred to, respectively, as the DC and lowpass (LP) coefficients of the original macroblock.
- The other 240 coefficients, i.e., the AC coefficients of the first-stage transform of the macroblock, are referred to as the highpass (HP) coefficients.

The transform coefficients are grouped into three sub-bands that are referred to using the above terminology – i.e., the DC band, LP band, and HP band.

The chroma components are processed similarly; however, in the case of the YUV422 and YUV420 internal colour formats, the chroma arrays for a macroblock are 8x16 and 8x8, respectively, so the processing performed in the second stage of transformation is adjusted to use a smaller block size. In the YUV420 and YUV422 cases, the chroma component for a macroblock has 60 and 120 HP coefficients, respectively.

The LP band of a macroblock is composed of all the AC coefficients (15 coefficients for the luma and full-resolution chroma cases, 7 for YUV422 chroma channels and 3 for YUV420 chroma channels) of the second stage transform.

Figure 2 illustrates this frequency hierarchy for a macroblock.

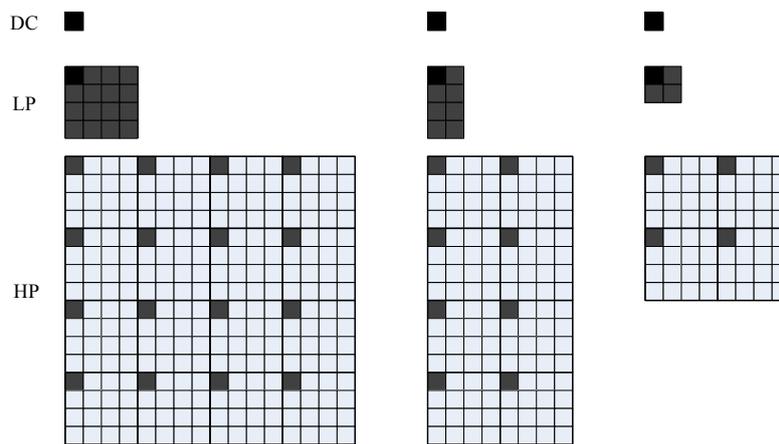


Figure 2 – Frequency hierarchy for a macroblock
(left: luma and full-resolution chroma, center: YUV422 chroma, right: YUV420 chroma)

For the decoding process, this sequence of operations is basically reversed to perform inverse transformation.

NOTE – The discussion has focused on the encoding process since the transform design tends to be conceptually easier to understand from that perspective. However, only the decoding process is normatively specified in the JPEG XR image coding standard.

5.6 Handling of image and tile boundaries

Adjustments are made to the transform processing around the edges of the image when overlapping is enabled, in order to match the DC gain of the processing that is applied in other regions, so that near-flat images do not produce substantial non-DC transform coefficient values and artifacts are minimized near the image boundaries.

JPEG XR supports two tile types of tile boundary handling which can be selected by the encoder:

- "soft" tiling, in which the transform overlapping stages cross over the tile boundaries; and
- "hard" tiling, in which the transform overlapping is applied only within each individual tile, and the boundaries of tiles are treated in the same manner as the extreme boundaries of the image.

When the overlap mode selected by the encoder is set to disable all overlap filtering within the tiles, all tile boundaries are naturally "hard". However, when overlap processing is enabled within tiles and "soft" tile boundary handling is selected, proper decoding of the samples in areas very close to the tile boundaries that are not image boundaries requires access to data from more than one tile. The selection of "hard" tile boundary handling by the encoder can eliminate this cross-tile decoding dependency, although it may induce some block artifacts at low bit rates in a manner similar to that of an ordinary non-overlapped block transform.

5.7 Quantization and lossless representation

5.7.1 Overall quantization design concepts

The purpose of quantization is to reduce the entropy of each transform coefficient value by (in actuality or as a conceptual analogy to the processing technique which may be more sophisticated) dividing its value by a scale factor and rounding the result to an integer value. The same scaling factor is then applied during the decoding process to amplify the quantized integer values in order to perform an approximate inversion of the encoder's quantization process. This scaling factor is referred to as the quantization step size (as the scaling factor governs the size of the increment between adjacent selectable decoded coefficient reconstruction values), and the process of applying the scaling factor is referred to as inverse quantization, dequantization, or value reconstruction (although, strictly speaking, quantization is not an invertible process). This type of quantization and inverse quantization processing is often referred to as scalar quantization, uniform scalar quantization, mid-tread scalar quantization, or uniform scalar quantization with a dead-zone, although the use of such terms sometimes incorrectly implies certain restrictions on the way the encoder operates.

A key example of encoding process for scalar quantization is to divide the true coefficient value by the step size and round the result to an integer value. The "mid-tread" and "dead-zone" terms refer to the region of coefficient values that are mapped to a zero-valued representation, and a biasing of the rounding operation may be used to make this region larger than the region of input coefficient values mapped to other reconstruction values – as a way to reduce the entropy of the result. When such a biasing is used, the "uniform scalar quantization" term is not completely accurate (because

the expanded dead-zone indicates that the quantization steps are not uniform in size), and when more sophisticated techniques are applied in the encoder, the "scalar quantization" term may also not be completely accurate (because the quantization process may involve more than just a deterministic mapping of scalar input to a quantized output). The JPEG XR standard actually only normatively specifies the decoding process, while leaving encoder designers the freedom to design encoding algorithms that may be more sophisticated than ordinary scalar quantization.

The selection of the quantization step size provides the encoder with a mechanism to trade off the quality of the encoded image with the bit rate required to represent it in compressed form. For JPEG XR encoding, since the true transform coefficient values prior to quantization are integers (because of the lifting-based invertible design of the JPEG XR transform processing steps), dividing the coefficient values by a quantization step size equal to 1 is equivalent to skipping the quantization operation, and allows the coefficient values to be represented exactly without approximation error. In this manner, the JPEG XR design enables the encoded representation of the source image to either be completely mathematically lossless or to be lossy while keeping the decoding process the same in either case.

A parameter referred to as the quantization parameter (QP) is used to select the step size. When the QP value is small, a small change of QP results in a small change of the quantization step size; for larger values of QP the same incremental difference results in a larger difference in the quantization step size.

JPEG XR provides several ways of controlling quantization parameters on a spatial region, frequency band, and image component basis. This flexibility enables the encoders to deploy various bit allocation techniques to improve the quality of encoded image according to their desired criteria.

5.7.2 Quantization control on a spatial region basis

In the spatial dimension, JPEG XR allows the following types of QP control:

- A QP value can be selected in the image plane header.
- A QP value can be selected in the tile header, allowing different tiles within the image to use different QPs.
- Different macroblocks within a tile can use different QP values.

In the last case, JPEG XR allows the tile header to define up to 16 different QP values for the LP and HP bands of that tile. For each macroblock, an index is sent as part of the macroblock information that specifies which of these QP values is to be applied for decoding that macroblock. The index specifying the QP is variable-length coded to minimize the signalling overhead. (However, only the QP for the LP and HP bands can vary on a macroblock basis in this manner; the quantization parameter for the DC band for all macroblocks in the tile must be identical.)

5.7.3 Quantization control on a frequency band basis

JPEG XR allows the QP to depend on the frequency bands in the following ways:

- All frequency bands may share the same QP value.
- The coefficients in the DC and LP bands may use the same QP value, while the coefficients in the high pass band use a different QP.
- The coefficients in the LP and HP bands may use the same QP value, while the DC coefficients use a different QP, or
- Each frequency band can use a different QP value.

5.7.4 Quantization control on a colour plane component basis

The relationship between the QP of the different colour planes can be established in the following modes:

- In the uniform mode, the QP value for all the colour planes is the same.
- In the mixed mode, the QP value for the luma colour plane is set to one value, while the QP for all other colour planes is set to a different value.
- In the independent mode, the QP value for each colour plane can be specified separately.

5.7.5 Quantization control type combinations

JPEG XR also allows for a combination of the above flexibilities. For example, one tile could have different QP values for the different colour planes, but the same QP applied to different bands. Another tile could have different QP values for the different frequency bands of the luma component, but use the same QP for all bands of the chroma components. Thus, the quantization control can be tuned for a rate-distortion optimized bit allocation as well as to support features such as region of interest (ROI) emphasis. The quantity of "overhead" data used to signal the QP values for the most common application scenarios is minimized in various ways in the syntax design.

5.8 Prediction of transform coefficients and coded block patterns

JPEG XR uses inter-block prediction of the transform coefficients and coded block patterns (CBPs) to achieve additional coding efficiency. There are four types of inter-block prediction in JPEG XR as follows:

- Prediction of DC coefficient values across macroblocks within tiles.
- Prediction of LP coefficient values across macroblocks within tiles.
- Prediction of HP coefficient values across first-stage transform blocks within macroblocks.
- Prediction of coded block patterns (CBPs) across first-stage transform blocks within macroblocks and across macroblocks within tiles.

As the overlap transform already removes some amount of inter-block redundancy, prediction is used only when the inter-block correlation has a strong and dominant orientation. For any block of transform coefficients that is encoded using prediction, only the DC coefficient or one row or column of the transform coefficient values is encoded using prediction.

When an image contains more than one tile, the prediction processes operate only within each individual tile, to enable independent entropy decoding of the tiles.

5.9 Adaptive ordering of coefficient scanning pattern

Coefficient scanning is the process of converting each 2-D block of transform coefficients into a 1-D list of symbols for entropy coding. In some designs, this process is referred to as zigzag scanning. In JPEG XR, the coefficient scan pattern is adapted dynamically based on the local statistics of the preceding coded coefficients in the tile. Adjacent members of the scan order may not be horizontally or vertically neighbouring in their 2-D block index values. The adaptation process adjusts the scan pattern so that coefficients with a higher probability of non-zero values are scanned earlier in the scanning order.

5.10 Entropy coding of transform coefficients

Coded transform coefficients typically account for a high percentage of the bit usage. Therefore, the efficient coding of transform coefficients is critical to the overall performance of an image codec.

A traditional approach is based on forming run-level symbols which each jointly represent a run of zeros between non-zero quantized transform coefficient values together with the value of the next non-zero coefficient, and then entropy coding the run-level symbols using a variable-length code (VLC) table. Often a single VLC table is used for each type of coefficient.

Another well-known entropy coding is that of context-adaptive binary arithmetic encoding, in which the symbols to be encoded are decomposed into binary symbol representations, each with an associated context selection based on neighbouring symbol values, and then the binary symbols are encoded with an arithmetic coding engine using a context-specific probability estimate, and the probability estimate is updated after encoding each binary symbol. Such a method can provide very good coding efficiency, but has substantial requirements for computational complexity and involves a high degree of serial computational dependencies.

The entropy coding in JPEG XR differs from these other designs in the following respects:

- VLC-based coding is applied rather than arithmetic coding, in order to minimize computational complexity and serial computational dependencies. However, a more sophisticated and adaptive VLC encoding technique is applied to provide enhanced coding efficiency.
- Coefficient values are normalized so that only their most-significant bits are encoded using a VLC and so that no more than one quarter of these encoded bits is likely to be non-zero. The least significant bits dropped as a result of the normalization are coded using fixed-length codes.
- A joint symbol signals a non-zero transform coefficient value together with the run of zeros after the coefficient rather than before it. This approach, referred to as "3½D-2½D" coding, provides some improvement in coding efficiency over the older technique of "2D" run-level paired symbol coding.
- Multiple contexts are defined for the joint symbols to be encoded, based on the values of neighbouring previously-encoded data. The use of these contexts exploit non-stationary statistics of the coefficients so as to tune the encoding adaptively to the individualized local context.
- The choice of the employed VLC table among a set of predefined ones is selected in an adaptive manner based on local statistics of previously coded symbols for the same selected context.
- The VLC table size is minimized so as to reduce memory footprint.

This entropy coding design achieves enhanced compression capability relative to traditional designs while minimizing the computational complexity and memory footprint.

When the image sample values have a high dynamic range, the dynamic range of the transform coefficients is even higher. With lossless coding or small quantization step sizes, the range of quantized transform coefficients will then also be relatively high. If VLC entropy coding were directly applied to coefficient values with such a high dynamic range, it could lead to a substantial increase of computational and memory complexity both at the encoder and the decoder.

Adaptive coefficient normalization is a step which processes such transform coefficients so as to render them suitable for efficient entropy coding using smaller VLC tables. In adaptive coefficient normalization, a statistical measure of the variance of the coefficients is computed to group the coefficients into magnitude categories that each contain a number of possible coefficient values that is a power of two. Each magnitude category is referred to as a "bin". The coefficient is then located within its respective category by its in-bin address which is the fixed-length code that indicates which member of the bin category is indicated. Thus, the bin identifier and the in-bin address uniquely determine the encoded quantized coefficient value. Instead of coding the coefficient directly (e.g., using a large VLC table), its representation is decomposed into the bin identifier and in-bin address.

The bin identifier is compressed using an efficient entropy code constructed by context-adaptive selection of a table from a small set of VLC tables. The in-bin address is quite random, to the degree that entropy coding would not substantially help in compressing this address. Therefore, a fixed-length code (using the number of bits equal to the base-two logarithm of the number of values in the associated bin) is used to encode the in-bin address. For lossless compression of 8-bit per sample source images, the fixed-length code portion of the encoded codestream may account for more than 50% of the total bits, and the fraction may be even higher for source images with a wider dynamic range.

In the JPEG XR frequency-mode codestream structure (described in 5.11), the fixed-length in-bin address bits of the HP coefficients are separated from the entropy coded bin identifiers and are grouped together into a separate portion of the codestream. This portion of codestream is known as the "flexbits". These flexbits form a fidelity enhancement layer which can be used to improve the quality of the decoded image as produced without use of the flexbits. This layer may alternatively be omitted or truncated to reduce the size of the compressed image. Thus, JPEG XR enables the use of progressive layered decoding where a coarse reconstruction of the image may be obtained even if the flexbits are unavailable or if they are only partially available at the decoder. A special case of this approach is where an exact lossless reconstruction of the source image can be obtained by using the flexbits, and a lossy reconstruction can be formed without them.

5.11 Codestream structure

There are two fundamental modes of codestream structuring that are supported in JPEG XR – the spatial and frequency modes. In both modes, the codestream is laid out with an image header and image plane header followed by an index table. The index table indicates the location of the data for each tile. The two types of codestream structure are illustrated for an example image in Figure 3.

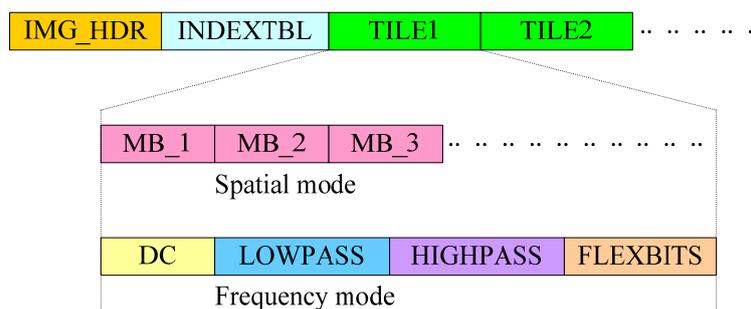


Figure 3 – JPEG XR codestream structure

Although in this illustration the data for different tiles are shown as being positioned contiguously and consecutively in tile order, the actual relative positioning of data for different tiles may be arbitrary within the codestream, and there may be gaps between the data for different tiles. The actual locations of the sections of data for each tile are indicated in the index table. Also, although in this illustration the data for each tile is shown as a contiguous section of codestream data, this is not necessarily the case in the frequency mode of operation.

In the spatial mode, the coded data for a tile is laid out in a single contiguous section of the codestream data, in macroblock order. The compressed bits pertinent to each macroblock are located together, and the data for different macroblocks of a tile are concatenated in raster scan order, i.e., scanning left to right and then from top to bottom within each tile.

In the frequency mode, the relevant codestream data is separated into four frequency bands. The encoded data for the DC coefficients of all macroblocks within the tile are collected together in raster scan order, the encoded data for the LP coefficients of all macroblocks within the tile are collected together in raster scan order, and the encoded data for the HP coefficients of all macroblocks within the tile are collected together in raster scan order, such that the data for each of these frequency bands is found at a separate position in the codestream. This forms three sub-band structured data groups. Tile sub-bands may be ordered arbitrarily, although may typically be desirable to serialize DC, LP and HP sub-bands in that order for ease of progressive access. In addition, a fourth "band" consisting of the flexbits, comprising information pertaining to the low order bits of the HP band coefficients is formed. The flexbits can account for a significant fraction of the overall codestream size in the case of lossless or high-fidelity encoding, while for large values of quantization step size, the flexbits may be absent.

6 JPEG XR design in relation to baseline JPEG and JPEG 2000

6.1 General

The baseline JPEG (Rec. ITU-T T.81 | ISO/IEC 10918-1), JPEG 2000 (Rec. ITU-T T.800 | ISO/IEC 15444-1), and JPEG XR (Rec. ITU-T T.832 | ISO/IEC 29199-2) core imaging coding technologies are all based on frequency-domain coding. Frequency-domain coding techniques combine frequency decomposition with some quantization and entropy coding scheme. The frequency decomposition stage organizes the spectral content of an image into distinct frequency coefficients. The coefficients are then quantized and entropy coded to form the compressed codestream.

The original JPEG standard employs a frequency decomposition that is confined within the borders of 8×8 blocks. Such a scheme is referred to as a block transform. Block transforms are relatively simple to implement in both software and hardware. However, the choice of block size in such a design requires a compromise between having sufficiently long frequency analysis basis functions to capture the essential low frequencies of an image when coding at low bit rates, and having sufficiently short basis functions to adapt to brief transitory high-frequency phenomena. Excessively large block sizes can tend to lead to blurriness and "ringing" artifacts near edges in visual scenes, while insufficiently large block sizes can fail to provide the necessary "theoretical coding gain" advantage of capturing very-low-frequency characteristics into very few transform coefficients. Additionally, in block-based coding schemes, the edges of the decoded blocks can become visible due to the different quantization errors at neighbouring blocks, thereby producing the well-known visually annoying phenomenon of "blocking artifacts". The original JPEG design did not support the separation of images into separately-encoded tile regions.

The JPEG 2000 standard addresses these shortcomings of the original JPEG design by employing a wavelet transform decomposition within each of its tile regions. In such a wavelet decomposition, the basic functions of the lower frequencies are made longer than those of the higher frequencies, such that the number of resulting transform coefficients that represent the low frequencies becomes correspondingly smaller than the number of coefficients representing high frequencies. Such a scheme additionally avoids the production of blocking artifacts since hard-edged segmentation boundaries are avoided. Region segmentation independence is supported by optionally segmenting pictures into rectangular tiles and applying the wavelet transform only within the boundaries of each tile (with the choice of tile size being selected by the encoder). Tile boundary handling in JPEG 2000 always uses "hard" tile boundaries, such that all samples corresponding to the area for each tile can be decoded without cross-tile dependencies (and tile boundary block edge effects may become evident at low bit-rates).

As discussed in 5.5, JPEG XR image coding uses a frequency decomposition scheme that is a hybrid between the JPEG and JPEG 2000 approaches. Like the original JPEG standard, its frequency decomposition uses block-based transformations, which eases the implementation. However, an overlapping processing stage is employed to lengthen the basic functions and thus enhance theoretical coding gain beyond what would be provided by an ordinary block transform of the same basic block size. Additionally, the block transform is re-applied in a hierarchical fashion, which gives its sub-band decomposition some substantial similarities to that of a wavelet transform. Specifically, a high degree of localized precision is enabled by the use of a relatively short basic transform block size (4×4 , with extension to an 8×8 region of support by the use of overlapping operators when such operators are applied by the encoder), while repeated application of the overlapping and core transform stages extends the lowest-frequency basis function to a length of 16×16 , 20×20 , or as much as 36×36 , depending on the degree of overlapping selected by the encoder. The number of coefficients for each frequency is disseminated in a hierarchical fashion as in wavelet decomposition, such that the number of frequency coefficients for the lowest and middle frequency bands are related to those of the highest frequencies by factors of 256 and 16, respectively, with the highest-frequency coefficients having relatively short basis

functions. Moreover, a tiling segmentation feature, conceptually similar to the one found in JPEG 2000, is also supported in JPEG XR.

Thus all three of these core coding technologies apply two basic signal processing building blocks: image area partitioning (whether block or tile based) and frequency decomposition. The following clauses provide further information about these two schemes and how they can be viewed in a more general manner.

6.2 Image area partitions

Both JPEG 2000 and JPEG XR share a core concept called "tiling" which allows a specification of rectangular regions that subdivide the image. JPEG XR can partition an image into a regular or irregular grid (in rows and columns) of tiles. Each tile is independently encoded. This subdivision into tiles is utilized in a number of ways; to specify a context for image coding; or to allow areas of the image called "regions of interest" to be manipulated by the system-level architecture. The flexibility of the tiling segmentation feature is further enhanced in JPEG XR by support of both the "hard" and "soft" modes of tile boundary handling.

6.3 Image fidelity refinement

JPEG 2000 and JPEG XR also use frequency sub-band representation of an image. Frequency sub-band decomposition is useful for partitioning the spectral content of an image in a manner that allows for scenarios that benefit from progressive resolution refinement of the image. JPEG 2000 support an arbitrary number of dyadic decompositions of the image during the encoding process, while in JPEG XR, the input image is decomposed by two levels of factor-of-four transform decomposition in each image dimension, and therefore JPEG XR natively provides three levels of spatial frequency resolution in each dimension (ratios of 1/16, 1/4, and 1 in each dimension, or 1/256, 1/16, and 1 in two-dimensional sampling ratios).

JPEG 2000 uses bit-plane coding of transform coefficients. Therefore, its codestream can be progressively decoded in bit-plane order and can provide a continuous degree of quality refinement. In JPEG XR, the quality refinement at the decoding time can be provided at two distinct levels: decoding the codestream without the flexbits, and decoding the codestream with the flexbits.

7 High dynamic range (HDR) image coding

7.1 HDR formats supported in JPEG XR

The support of high dynamic range (HDR) imagery is an important feature of the JPEG XR design. In this discussion, we refer to sample dynamic ranges beyond 8-10 bits per sample as high dynamic range imagery.

JPEG XR can support compression of various HDR image formats, as illustrated below in Table 3. Further, JPEG XR can enable lossless compression of many of these HDR formats, including such formats as 16-bit signed and unsigned integer and fixed-point representations, and 16-bit floating-point representation. The fixed-point and floating-point representations can be useful for the encoding of values beyond the nominal range from reference black to reference white, which can assist in performing image post-processing such as exposure and white balance adjustments.

Table 3 – High dynamic range imagery types supported in JPEG XR

Supported HDR format	Remarks
16-bit unsigned integer and signed fixed point	Fixed-point encoding can assist in encoding values beyond the nominal range from reference black to reference white. Mathematically, lossless encoding is supported for these formats.
32-bit signed fixed point	Fixed-point encoding can assist in encoding values beyond the nominal range from reference black to reference white.
16-bit floating point	Conceptually similar to IEC 60559 (IEEE 754) floating point: 1 sign bit, 5 exponent bits and 10 mantissa bits. Mathematically, lossless encoding is supported for this format.
32-bit floating point	IEC 60559 (IEEE 754) 32-bit single-precision floating point: 1 sign bit, 8 exponent bits and 23 mantissa bits.
32-bit shared-exponent RGBE	32-bit RGBE includes four bytes: one for the red (R) mantissa, one for the green (G) mantissa, one for the blue (B) mantissa, and one for a shared exponent (E).

7.2 HDR signal processing design in JPEG XR

JPEG XR uses signal processing operations that are performed entirely in the integer domain for the specified decoding process and the anticipated encoding process. However, the design actually supports the coding of floating-point image content by use of a conversion process which enables the manipulation of floating-point image data as integer values within the encoding and decoding processes.

JPEG XR handles the HDR image formats consistently using only integer processing during the encoding and decoding processes. Lossless and lossy coding is possible when the data ranges allow for this to happen with a 32-bit signal processing wordlength. Further, the amount of loss is in line with expectations – with a probabilistically bounded loss for a certain quantization parameter, and avoiding major artifacts such as rollover (where a dark sample "rolls over" to a bright value or vice versa). The representation of the data in a JPEG XR compressed file and the core signal processing steps of the decoding process are consistent across the different supported formats, in the sense that these aspects are essentially independent of the represented source format. At the end of the decoding process, there are specified (simple) conversion operations that take place to convert the decoded image data to its final form for image interpretation by the application.

7.3 Examples of HDR applications for JPEG XR

Some examples of HDR applications for JPEG XR image coding are as follows:

- HDR picture capture, storage, transmission, printing, and display.
- HDR picture editing with compression at intermediate stages of workflow.
- Interactive network access to HDR photo archives.
- Converting uncompressed raw images to JPEG XR to reduce storage size requirements or increase transfer speed.

8 JPEG XR profiles and levels

8.1 Overview of profiles and levels

In order to achieve interoperability between different encoder and decoder implementations in various applications, coding standards such as JPEG XR define a set of predefined constraints on their syntax and values using definitions of "profiles" and "levels". Such sets of constraints limit the required resources needed for a decoder implementation and therefore enable implementation of decoders with limited resources, while ensuring interoperability for any codestream that conforms to the profile(s) and level(s) that are relevant to the requirements of the application.

The 2010 edition of the JPEG XR image coding specification defines four profiles as shown in Table 4: the Sub-Baseline, Baseline, Main, and Advanced. Profiles define the constraints on the bit depth and types of image coding features that are supported and therefore on the computational complexity derived from these aspects of image decoding. Levels, on the other hand, define the memory requirement of the decoder by limiting parameters such as image size and the maximum number of tiles.

Table 4 – JPEG XR profiles

Profile	Supported features	Superset of
Sub-Baseline	8-bit Grayscale Up to 10-bit per channel RGB	N/A
Baseline	Up to 16-bit integer and fixed-point Grayscale Up to 16-bit integer and fixed-point per colour RGB	Sub-Baseline
Main	Grayscale, RGB, CMYK, n-Channel Alpha channel Up to 32-bit integer and fixed point 16-bit and 32-bit floating point	Sub-Baseline and Baseline
Advanced	All supported image formats in JPEG XR	Sub-Baseline, Baseline, and Main

8.2 Sub-baseline profile

This profile defines the constraints for a very basic JPEG XR decoder of the lowest complexity in both computational and memory requirements. This profile is limited to support decoding of grayscale images with bit depth up to 8 bits unsigned integer and decoding RGB images with bit depth up to 10 bits unsigned integer per colour component.

8.3 Baseline profile

This profile defines the constraints for a basic JPEG XR decoder of relatively low complexity in both computational and memory requirements. This profile is limited to support decoding of grayscale images with bit depth up to 16 bits with either unsigned integer or fixed-point signed integer, and decoding RGB images with bit depth up to 16 bits with either unsigned integer or fixed-point signed integer per colour component. It is primarily intended for applications in which images are captured and processed with moderate extended colour range support. Examples of such applications are embedded devices with image capture capability such as mobile phone and light compact digital cameras.

8.4 Main profile

This profile supports many of the image formats that are supported in the JPEG XR image coding specification. This profile supports Grayscale images, RGB, CMYK, and n-Channel, each with or without an alpha channel. This profile supports bit depth up to 32 bits with unsigned integer, fixed-point signed integer, float, or half formats. Mainstream software-based decoders are good application examples of such profile.

8.5 Advanced profile

This profile supports all image formats that are supported in the 2010 edition of the JPEG XR image coding specification. It is intended for decoder implementations which can decode all possible image formats within the JPEG XR design. Software-based decoders can be an example of such a decoder. For instance, an image viewer or editing software on a personal computer may support the Advanced profile. The specification of this profile ensures that there is some defined profile that can be identified as supporting any arbitrary expressible syntax for a JPEG XR image codestream.

8.6 Levels

The JPEG XR image coding specification defines seven levels for each profile. Levels constrain the image width and height, the number of tiles horizontally and vertically, the size of tiles horizontally and vertically, and the number of bytes needed to store the decoded image.

9 JPEG XR encoding practices

9.1 General encoding guidelines

The following practices are suggested as general encoding guidelines:

- **Overlap filter mode:** It is recommended to switch off overlap filters for both stages of the transform hierarchy when images are encoded losslessly or at high bit rates. Turning off overlap filters also tends to provide the best results for encoding HDR images with floating-point output formats. For encoding images at medium bit rates, applying the overlap filter only for the first transform stage tends to provide the best results in most cases. Finally, it is sometimes beneficial to switch on the overlap filters of both stages for images that are encoded at very low bit rates.
- **Spatial versus frequency mode codestream format:** The JPEG XR codestream supports two basic representation modes: the spatial and frequency modes. In the spatial mode, the bits representing different sub-bands of each tile are packed together in one single data packet that is parsed macroblock-by-macroblock. In the frequency mode, the codestream bits for each tile are packed into separate sections – one for each sub-band. In the frequency mode, each sub-band can be decoded without needing to access the data for higher frequency sub-bands. The selected codestream format should be chosen by the encoder according to whether the spatial scalability features of the design are expected to be used by the decoder and according to the data buffering capabilities of the encoder.
- **Tile size selection:** Guidelines for tile size selection are provided in 9.3.
- **Internal colour format:** For typical three-component images, it is recommended to use YUV444 internal colour format to obtain the best quality at medium and high bit-rates. The YUV422 or YUV420 internal colour formats may be more suitable at low bit rates.

9.2 Encoding for random access

A JPEG XR encoder can partition an image horizontally and vertically into rows and columns of tiles. Each tile is entropy decoded independently, although when "soft" (transform-overlapped) tile boundary operation is selected, proper reconstruction of the image areas near the edges of the tile may require some information from neighbouring tiles. Tiling is the main mechanism provided in JPEG XR for fast local region access.

A smaller tile size provides a finer granularity of fast local access. However, using small tile sizes has some undesired consequences. In particular, the compression efficiency may be reduced when choosing a smaller tile size. Several factors contribute to this issue, as reviewed below:

- JPEG XR entropy coding is adaptive. The entropy coding engine is reset to an initial state at the beginning of a tile. Generally the initial state is not optimal. If the tile is small, the coding engine cannot adapt well to the statistics of the encoded data, resulting in a higher percentage of macroblocks that are not coded optimally, which hurts compression efficiency.
- Each macroblock needs some information from its top and left neighbours in the same tile to predict DC/LP coefficients and coded block patterns (CBPs). Those neighbours are unavailable for the top left macroblock, and only one neighbour is available for any other macroblock on the top or left boundary of the tile. This reduces the coding efficiency. For smaller tile sizes, the penalty is higher.
- The encoder has to spend some bytes on tile header information (such as start codes and quantization information) for each tile. The relative cost of the header is higher for the smaller tiles.
- Each tile requires some bytes on index table offsets to identify where the data packets (one packet for the spatial mode codestream layout and up to four packets for the frequency mode codestream layout) of this tile are in the codestream. Again, the relative cost of these addresses is higher for the small tiles. Also the frequency mode codestream layout has even higher cost in this regard.

Usually, the first factor contributes the most to the coding efficiency loss. The second factor dominates the efficiency loss for very small tile sizes. At low bit rates, the third and fourth factors may also be significant.

9.3 Guidelines for tile size selection

Table 5 illustrates the general trend of bit-rate increases as tile size is varied. Each entry provides the average percentage bit-rate increase for a given QP and tile size combination as measured experimentally for a set of sample images. Each image was encoded with 64 different QP and tile size combinations. For each image and each QP value, the bit rate for the single tiled case is used as the reference for calculating the bit-rate increase percentage for each other tile size selection.

Table 5 – Example average bit-rate increase percentage as a function of tile size

Tile size	QP=1	QP=8	QP=16	QP=24	QP=32	QP=48	QP=64	QP=80
1088×896	0.06%	0.01%	-0.13%	-0.22%	-0.33%	-0.22%	-0.08%	0.31%
496×528	0.12%	-0.03%	-0.20%	-0.31%	-0.41%	-0.17%	0.31%	1.46%
256×256	0.32%	0.08%	-0.07%	-0.09%	-0.12%	0.62%	2.26%	6.06%
128×128	1.18%	0.62%	0.77%	1.12%	1.49%	4.18%	10.45%	25.34%
64×64	4.45%	2.67%	3.91%	5.60%	7.49%	17.15%	40.31%	96.52%
32×32	16.71%	10.21%	15.05%	21.45%	28.77%	63.83%	149.69%	363.72%
16×16	52.26%	37.00%	49.65%	66.80%	86.51%	178.40%	399.48%	941.25%

The following observations are evident in these experiment results:

- Using small tile sizes incurs a greater coding efficiency penalty for lower bit rates. The main reason for this is that for a lower bit rate, more coefficients are quantized to zero, and thus fewer non-zero samples are entropy coded. This results in less of an opportunity for the entropy coding process to adapt to the image data statistics. Another reason is the cost for tile header and index table offsets become more burdensome for low bit rates.
- For large tile sizes, reducing the tile size often slightly improves the compression efficiency. This is because different regions of an image may have different statistics. Partitioning the image into tiles so that each tile is more statistically homogeneous improves the entropy coding efficiency.
- Tile sizes of 512×512 or larger rarely have any significant compression efficiency loss (bit-rate increase greater than 1%).

- Tile sizes of 256×256 or larger typically have a negligible compression efficiency impact (bit-rate increase less than 1%), except at low bit rates (less than 0.2 bits per sample).
- Tile sizes of 128×128 or larger typically have a negligible impact (bit-rate increase less than 1%) for higher bit rates (greater than 0.6 bits per sample) and are ordinarily acceptable (bit-rate increase less than 5%) for intermediate bit rates (0.2 – 0.6 bits per sample).
- Tile sizes of 64×64 or larger are typically acceptable (bit-rate increase less than 5%) for higher bit rates (above 1 bit per sample).
- Tile sizes smaller than 64×64 can cause substantial bit-rate increases.

Although the above experiments were performed for a relatively few 8-bit per sample RGB images of a certain size, these conclusions should also hold approximately true for other image formats and sizes.

The following general guidelines are therefore suggested:

- Tile sizes of 512×512 and above should have essentially no impact on compression.
- Tile sizes of 256×256 or larger can ordinarily be employed with a negligible penalty for rates above 0.2 bits per sample.
- Tile sizes of 128×128 or larger can ordinarily be employed with a negligible penalty for rates above 0.6 bits per sample.
- Tile sizes of 64×64 or larger have reasonable coding efficiency for very high bit rates (at or above 1 bit per sample).
- Tile sizes below 64×64 should generally be avoided.

10 JPEG XR decoding process functionality

10.1 JPEG XR decoding process structure

The structure of the JPEG XR decoding process is illustrated in Figure 4.

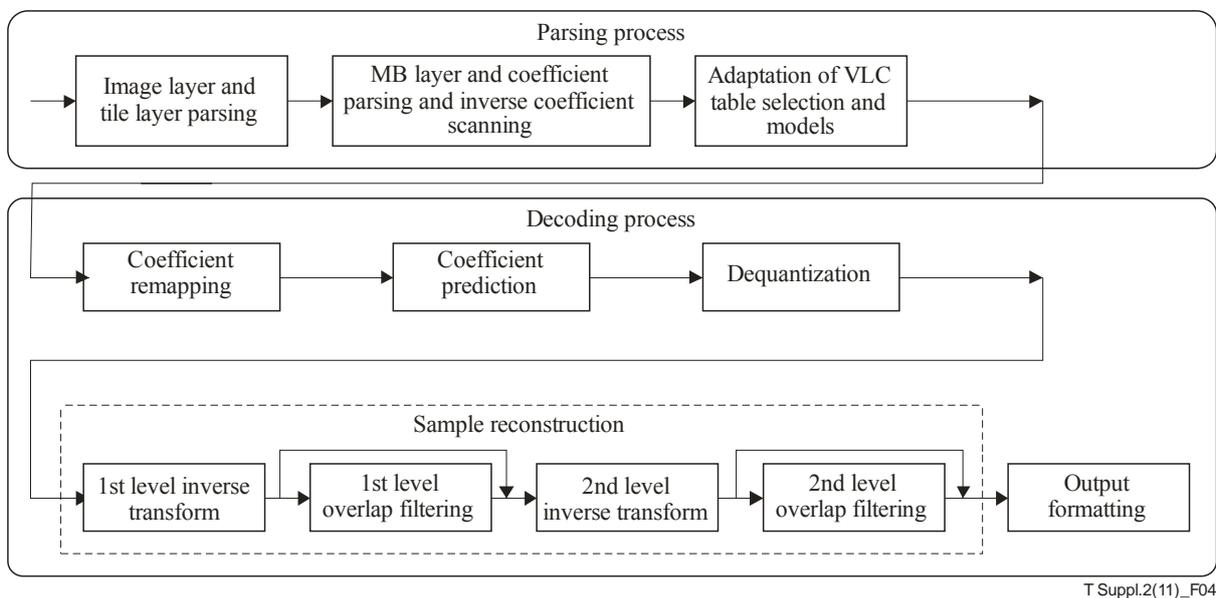


Figure 4 – JPEG XR decoding process structure

The complete decoding process consists of three main stages: parsing the codestream, transform coefficient decoding, and finally applying the inverse LBT and constructing the decoded image.

At the parsing stage, the image, tile, and macroblock layers of the codestream are parsed consecutively. The adaptive inverse scanning process and the adaptive VLC and FLC entropy decoding are used to parse the syntax elements at the macroblock layer. Based on the values of the previously-parsed symbols, an adaptation process for VLC table selection is applied in this stage.

The transform coefficient decoding stage consists of performing coefficient prediction according to the detected prediction modes based on previously-decoded data and performing inverse quantization according to the encoded quantization parameters.

The inverse LBT stage includes a two-level inverse lapped transform. At the first stage of the inverse transform, an inverse core transform is applied to each 4×4 block corresponding to the reconstructed DC and LP coefficients arranged in an array known as the DC-LP array. Next an overlap filter operation, if required, is applied to 4×4 areas straddling across the boundaries of the blocks in the DC-LP array. For images with soft tiles, this filter is applied to all such blocks except near the extreme edges of the image. For images with hard tiles, the tile edges are treated like image edges and this filter is applied only to the interior of tiles. Further, an overlap filter is applied to the boundary 2×4 and 4×2 areas and the four 2×2 corner areas of the image. For images with hard tiles, the tile boundaries are treated in the same manner as the image boundaries. The resulting array contains coefficients of the 4×4 blocks corresponding to the input to the second stage of the forward transform. These coefficients are then combined with the reconstructed HP coefficients into a larger array. For the second stage of the inverse transform, the inverse core transform is applied to each 4×4 block. An overlap filter operation, if required, is applied to 4×4 areas evenly straddling the blocks in the DC-LP array. For images with soft tiles, this filter is applied to all such blocks except near the extreme edges of the image. For images with hard tiles, this filter is applied only to the interior of the tiles. Furthermore, an overlap filter is applied to the boundary 2×4 and 4×2 areas and the four 2×2 corner areas of the image. For images with hard tiles, the tile boundaries are treated in the same manner as the image boundaries. The final result returns the image to a full-resolution representation in the spatial domain.

10.2 Output colour conversion

JPEG XR codestream defines the decoding internal colour format and desired decoder output colour format. The decoder uses these data fields to convert the decoded image into the desired output colour format. The processing in this stage is specified in clause 9.10 of Rec. ITU-T T.832 | ISO/IEC 29199-2.

10.3 Resolution scalability at decoder

10.3.1 General

JPEG XR directly supports resolution scalability. The decoding of lower resolution images can be performed by partial decoding of a JPEG XR codestream, as further detailed below.

As described in 5.5, the transform partitions the frequency coefficients into DC, LP, and HP bands for each component (or colour channel) in the image. Thus, the native resolutions found in the JPEG XR encoded representation can be enumerated as follows: the DC Band corresponds to a 1/256 size image (1/16 size both horizontally and vertically); the DC and LP bands together correspond to a 1/16 size image (1/4 size both horizontally and vertically); and using the DC, LP and HP bands together corresponds to a full resolution image.

To derive representations of the image at intermediate sizes, the next larger native JPEG XR resolution can be decoded and then reduced to the desired resolution by resampling.

The frequency mode codestream layout is best for enabling resolution scalability. When the codestream uses the spatial mode codestream layout, all frequency components of the transform are concatenated together at the macroblock level, such that some data would need to be discarded if only a reduced-resolution decoding process is to be performed. The following subclauses focus on reduced-resolution decoding for the frequency mode codestream layout.

10.3.2 DC-only image decoding

To obtain a 1/256 size image from a frequency mode codestream, only the DC data packets need to be decoded. The reduced-resolution image can be obtained as follows:

- Entropy decode all the DC data packets.
- Apply prediction operations for the DC coefficients.
- Inverse quantize the DC coefficients.
- Scale down the result by the square of the inverse of the single-stage forward transform DC gain.

10.3.3 DC plus LP image decoding

To obtain a 1/16 size image from a frequency mode codestream, only the DC and LP data packets need to be decoded. The reduced-resolution image can be obtained as follows:

- Entropy decode all the DC and LP data packets.
- Apply prediction operations for the DC and LP coefficients.

- Inverse quantize the DC and LP coefficients.
- Apply the first stage inverse transform to the DC and LP coefficients.
- Scale down the result by the inverse of the single-stage forward transform DC gain.

10.4 Quality scalability at decoder

JPEG XR provides a form of quality refinement scalability at full resolution. The granularity of this feature is coarse compared to JPEG 2000 quality scalability, but it can effectively address the needs of many applications. The entropy coding architecture of JPEG XR includes an operation called adaptive coefficient normalization whereby transform coefficients are partitioned into a variable-length coded (VLC) part and a fixed-length coded (FLC) part, in a manner designed to provide effective entropy coding while minimizing the computational complexity and enabling the quality scalability feature.

In the frequency mode of codestream structuring the FLC refinement data for the HP band is placed in a separate section of the codestream. This data is referred to as the flexbits. Including this data when decoding provides the maximum decoded fidelity for a given encoded image, and removing it provides a less precise approximation that uses less data and requires less decoder processing.

A form of quality scalability can also be achieved indirectly by using the resolution scalability feature of JPEG XR. In this method, the full inverse transform is applied to the DC or DC and LP coefficient bands together with zero-values for the missing LP or LP and HP coefficients in order to produce the intermediate quality scales. Alternatively, a reduced-resolution decoding process can be performed as described in 10.3.2 or 10.3.3, with the result upsampled in the spatial domain.

10.5 Spatial random access at decoder

In JPEG XR, the spatial random access feature at the decoding time is provided by the tile structure, meaning that in order to access a region of interest (ROI) of an image from the codestream, the decoder can decode only the portions of the codestream which represent the tiles covering that region. The granularity of random access is limited by the tile size, meaning that the use of large tile sizes reduces the random access granularity. Considering that the region of interest may include border regions affected by "soft" tile boundaries and may span across the borders of several tiles in some cases, the decoding access complexity (the amount of data needing to be decoded vs the size of the region of interest) varies depending on the shape and location of the region(s) of interest and the tile sizes. Aside from potential "soft" tile boundary effects, the decoding of a ROI area nearer to the top or left side of a tile region may require the parsing of less data than the decoding of a ROI area nearer to the bottom or right side of the tile, due to the raster-scan ordering of the macroblock data within each tile (since it may not be necessary to reconstruct the entire tile region in order to decode an ROI area within it).

11 JPEG XR codestream compressed-domain manipulation

11.1 General

A JPEG XR codestream can be manipulated in various ways to change the properties of the codestream or the image that it represents. For instance, by eliminating some or all of the flexbits, one can produce a smaller codestream which represents a lower quality version of the original image. Alternatively by elimination of the HP band in the codestream, one can create a smaller codestream that effectively represents an image with 1/16 size of the original image. With elimination of HP and LP bands, the new codestream would represent a "thumbnail" of the original with 1/256 of its size. In this subclause, methods for relatively simple codestream manipulations are presented that avoid the need to perform the inverse transformation and subsequent forward transformation steps that would normally be associated with a complete decoding process followed by a complete re-encoding process.

11.2 Flexbits trimming

The flexbits represent a refinement layer in the codestream that can be used to improve the quality of the decoded image. They may also be omitted or truncated to further reduce the size of the compressed image. A special case of this approach is where an exact lossless reconstruction of the source image can be obtained using flexbits, and a lossy reconstruction can be obtained otherwise.

In order to trim some flexbits from the codestream, the TRIM_FLEXBITS syntax element can be used to indicate that the modified codestream does not include them. Then a specified number of least significant bits the flexbits can be eliminated to create the new smaller codestream.

The TRIM_FLEXBITS syntax element is specified at the tile level, so a different amount of flexbits trimming can be applied to different tiles when desired.

11.3 Flexbits and HP band elimination

Removing both the flexbits and the HP band requires changing the BANDS_PRESENT codestream indicator to the appropriate value. Then the entire portions of codestream corresponding to the flexbits and HP coefficient band can be eliminated. The resulting codestream would only include the DC and LP coefficients.

11.4 Flexbits and HP and LP band elimination

Removing flexbits, the HP band, and the LP band requires changing the BANDS_PRESENT codestream indicator to the appropriate value. Then, similar to the above, the entire portions of codestream corresponding to flexbits and the HP and LP bands can be eliminated. The resulting codestream would only include the DC coefficients.

11.5 Spatial versus frequency codestream mode switching

A codestream can be generated in either the spatial or frequency layout mode. The difference between these two modes is that in spatial mode tiles are laid out in macroblock order, while in frequency mode tiles are laid out as set of band-specific packets. For switching from one mode to other, as the entropy coding of the coefficients is order dependent, the codestream is entropy decoded, and then the quantized coefficients can be entropy encoded in the desired mode and packed into the new codestream.

11.6 Rotation and flip

The JPEG XR codestream includes a flag that can recommend a preferred spatial transformation for the decoded image. The flag supports rotation by horizontal and vertical flip as well as rotation by 90 degrees or some certain combinations of these operations.

Due to use of the LBT transform in JPEG XR, the rotation or flip operation in image domain is approximately equivalent to a sign change of every other coefficient and horizontal/vertical rotation of the transformed blocks. The sign change operation varies depending on the amount of rotation (90, 180 or 270 degrees) and the choice of vertical/horizontal flip. Since JPEG XR uses a two-stage transform, these operations need to be applied at both transform stages.

11.7 Compressed-domain region of interest extraction

One type of compressed-domain operation is to extract a region of interest (ROI) from the codestream and create a new codestream without decoding the entire original codestream. The desired ROI may reside within a single tile or may cover regions of several tiles, and may be affected by overlap operations across "soft" tile boundaries. In order to create a new codestream, first one can entropy-decode the tiles containing the ROI. Next, an extended bounding box can be defined containing the ROI. The size of extension would depend on whether the first and second stage overlap filters are enabled or disabled and whether the tile boundaries are "hard" or "soft". A new codestream can be created by applying prediction and entropy encoding to the coefficients within the bounding box. Image cropping parameters can be used to specify where the actual region lies within the modified encoded area.

11.8 Switching between interleaved and planar alpha planes

JPEG XR allows an encoding of an alpha plane in a manner interleaved with the primary image components or as a separate codestream. In the interleaved mode, the alpha plane information is embedded in the same image codestream. For switching from the interleaved alpha plane mode to a planar one, one can entropy decode the original codestream and then entropy encode image components and the alpha component separately, creating two new codestreams. Alternatively, for creating an interleaved codestream from a planar one, one would entropy-decode the original image and alpha plane codestream and then entropy-encode them together. Neither operation requires performing the inverse LBT operations.

11.9 Compressed-domain retiling

Tiling provides a spatial random access functionality for the codestream, meaning that by decoding one or several tiles over and around a region of interest, the image region corresponding to that area can be decoded without decoding the entire image codestream. However, since the size of tile is decided at the encoding time, the granularity of this functionality is established by the encoder for a given encoded image. It is often useful to retiling the image in the compressed domain to optimize for a different access granularity. For instance, if a regular tile structure with a tile size

of 1024×1024 was chosen during the encoding, and later a decoder is requested to view only areas of size 256×256 , a codestream with an average of four times the necessary quantity of data may need to be accessed repeatedly (although the inverse transform steps can be skipped for some areas). In such cases, if this frequently happens, it may be more efficient for the codestream to be retiled from the 1024×1024 tile structure to some structure using smaller tiles. Note that the compression efficiency of JPEG XR may somewhat depend on the tile size, and therefore this needs to be considered in choosing the retiling size (see 9.3).

JPEG XR supports two tile types of tile boundary handling: 1) soft tiling in which the transform overlapping stage crosses the tile boundaries; and 2) hard tiling in which the transform is applied independently within the individual tiles. (Also note that the overlap filters of the two transform can be turned off or on by the encoder within the tiles.)

When the overlap filters are disabled within the tiles, all existing and modified tile boundaries are naturally "hard" and tiles can be easily subdivided into smaller tiles in the compressed domain. Similarly, when the overlap filters are applied within the tiles and the tile boundaries are encoded as "soft" boundaries, the tiles can be subdivided into smaller tiles without difficulty.

However, if overlap processing is enabled within tiles but hard tile boundary handling is applied for the boundaries between tiles, then retiling may require full decoding of the areas near the tile boundaries. This may prevent the retiling operation from being able to be performed entirely in the compressed domain, although the inverse transform processing necessary in such a case may be confined to the boundary areas.

Retiling may, in general, involve combining areas from different original tiles together into the same new tile. However, JPEG XR allows only a limited number of quantization step sizes to be defined for each tile, and requires all coefficients of the DC band of each tile to use the same quantization step size. In some cases, a desired retiling operation sometimes cannot be performed in the compressed domain for this reason. In such cases, tiles may need to remain separated at their existing boundary or a full decoding and re-encoding may need to be performed.

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