

## Privacy, Security, and Trust with Federated Identity Management

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Member, Swiss Academy of Engineering Sciences; VP, Scientific Advisory Board

# Life With An Identity Mess

- **A typical intensive IT user has 21 passwords**
  - ★ 49% write their passwords down or store in a file on their PC
  - ★ Majority use common words for passwords; 67% rarely or never change their passwords
  - ★ \*Source: NTA Monitor Password Survey, UK; zdnet.com
- **Password proliferation increases Help Desk Calls**
  - ★ In a non-automated support model, password reset costs range from \$51 to \$147 for the labor alone (Gartner)
  - ★ In an average 10,000 employee size company, about 45% of help-desk calls are requests for password resets. (Meta Group)
- **Identity Silos** (Source: Sun Customer Survey)
  - ★ Typical IT: 10 different apps or services that contain identity profiles
  - ★ Over 80% of companies have no Identity synchronization solution

# How Did Computing Live Without Identity?



*Location* was an implicit proxy for Identity

# Enter Liberty

Liberty Alliance provides the means to build the Common Framework for Federated Identity Management

- Technology
- Policy
- Knowledge
- Certifications



Over 150 diverse member companies and organizations from around the world:

- Government organizations
- End-user companies
- System integrators
- Software and hardware vendors

Huge adoption:

- Close to a billion identities already under Liberty standards



# Who Is the Liberty Alliance?

- Consortium developing open standards
  - For federated identity management
  - In coordination with other standards groups
- Develops open specifications that anyone can implement
  - Liberty does not deliver specific products or services
- Conformance testing & certification to ensure interoperability
  - 30+ Liberty-enabled products and services currently available
- Addresses business & policy issues of identity
  - Guidelines, best practices documents, checklists
  - Support for global privacy regulations built into specs





ITU-T

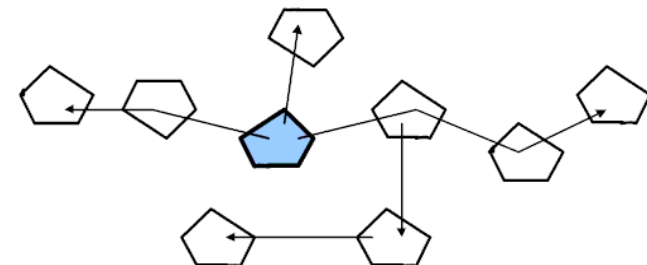
# Who is the Liberty Alliance?

- o Global collection of diverse member organizations representing leaders in IT, mobility, government, manufacturing, finance and consumer services. About 145 members total
- o Management Board and Sponsor members include:



# How We Can Build Trust

- The biggest concern of the principal/patient/customer is **privacy**
- Privacy does not mean that “nobody knows nothing about me\*”
- It is about managing the faith of the principal/patient/customer by adhering to the agreed scope and holding the information in trust
- Customers are afraid of “Purpose Creep”
- What could an architecture for privacy and trust management look like?



\*The Sopranos



# Architecture for Trust Management

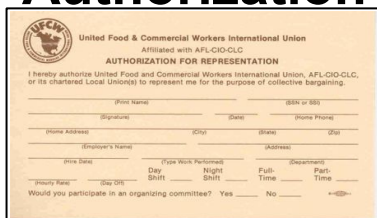
Identity Management

## Policy



A combination of business and technology **practices** which define *how* a relationship is conducted and **services are performed**

## Authorization

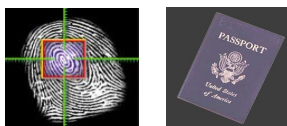


United Food & Commercial Workers International Union  
Affiliated with AFL-CIO-CLC  
AUTHORIZATION FOR REPRESENTATION  
I hereby authorize United Food and Commercial Workers International Union, AFL-CIO-CLC, or its chartered Local Union(s) to represent me for the purpose of collective bargaining.

Print Name \_\_\_\_\_ SSN or SID \_\_\_\_\_  
Signature \_\_\_\_\_ Date \_\_\_\_\_ Home Phone \_\_\_\_\_  
Home Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Employer's Name \_\_\_\_\_ Address \_\_\_\_\_  
Print Name \_\_\_\_\_ Type Work Part-time \_\_\_\_\_ Supervisor \_\_\_\_\_  
Day Shift \_\_\_\_\_ Night Shift \_\_\_\_\_ Full Time \_\_\_\_\_ Part Time \_\_\_\_\_  
"Privacy Note" \_\_\_\_\_ May 06 \_\_\_\_\_  
Would you participate in an organizing committee? Yes \_\_\_\_\_ No \_\_\_\_\_ +GDH-

A set of **rules** governing decisions about *what* the user can do: access to information, services or resources

## Authentication



**Assertion of validity** of a set of credentials. Credentials express a person's identity. "A Yes/No answer"

Security Management

## Identity



Basic set of information that creates a **"unique" entity** (a name with a corresponding set of attributes)



# Architecture for Trust Management

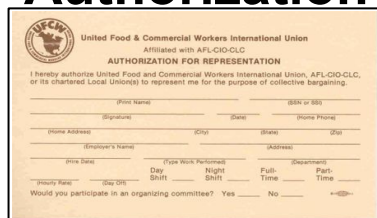
## Real World Example: Drivers License

Identity Management

### Policy



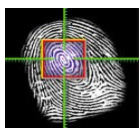
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Signature \_\_\_\_\_ Date \_\_\_\_\_ Home Phone \_\_\_\_\_  
Home Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Employer's Name \_\_\_\_\_ Address \_\_\_\_\_  
Print Name \_\_\_\_\_ Type Work Part-time \_\_\_\_\_ Day \_\_\_\_\_ Night \_\_\_\_\_ Supervisor \_\_\_\_\_  
Shift \_\_\_\_\_ Full \_\_\_\_\_ Part \_\_\_\_\_ Time \_\_\_\_\_  
"Priority Rate" \_\_\_\_\_ Star rate \_\_\_\_\_  
Would you participate in an organizing committee? Yes \_\_\_\_\_ No \_\_\_\_\_ +GDH

### Authentication



Security Management

### Identity



4. The fact that we do have police; the **rules** that allow me to drive with my national license in other countries

3. The policeman will then see which kind of vehicle you are **authorized** to drive and if you are allowed to drive the one you are operating now

2. **Assertion of validity:** The policeman compares the document with you. Result: "A Yes/No answer"

1. Name, address, picture identify the driver and provide together with the document the **credentials** expressing that the carrier is **identical** to the person that passed the driving tests

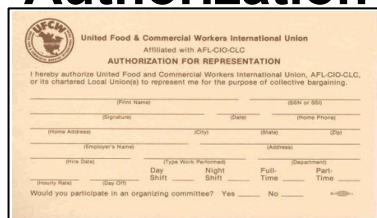
# Architecture for Trust Management

Identity Management

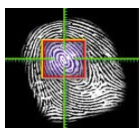
## Policy



## Authorization

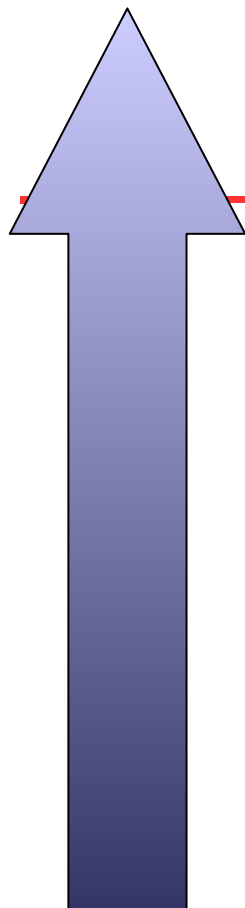


## Authentication



Security Management

## Identity



## Digitally Speaking . . .

4. **Business practices** to manage risk, enforce security/privacy, provide auditability.

User, customer **preferences, history, personalized services**

3. Determination of **access rights** to systems, applications and information:  
Match credentials **against profiles**, ACLs, policy

2. **Log on** with a UID/PW, token, certificate, biometrics etc. A process that demands the prove that the person presenting them is indeed the person to which credentials were originally issued. **accept or reject**

1. User, customer, device **"facts"**, e.g., name, address, ID, DNA, keys; credentials, certificates that were issued e. g. by a Certification authority



# How People Will Trust Policies

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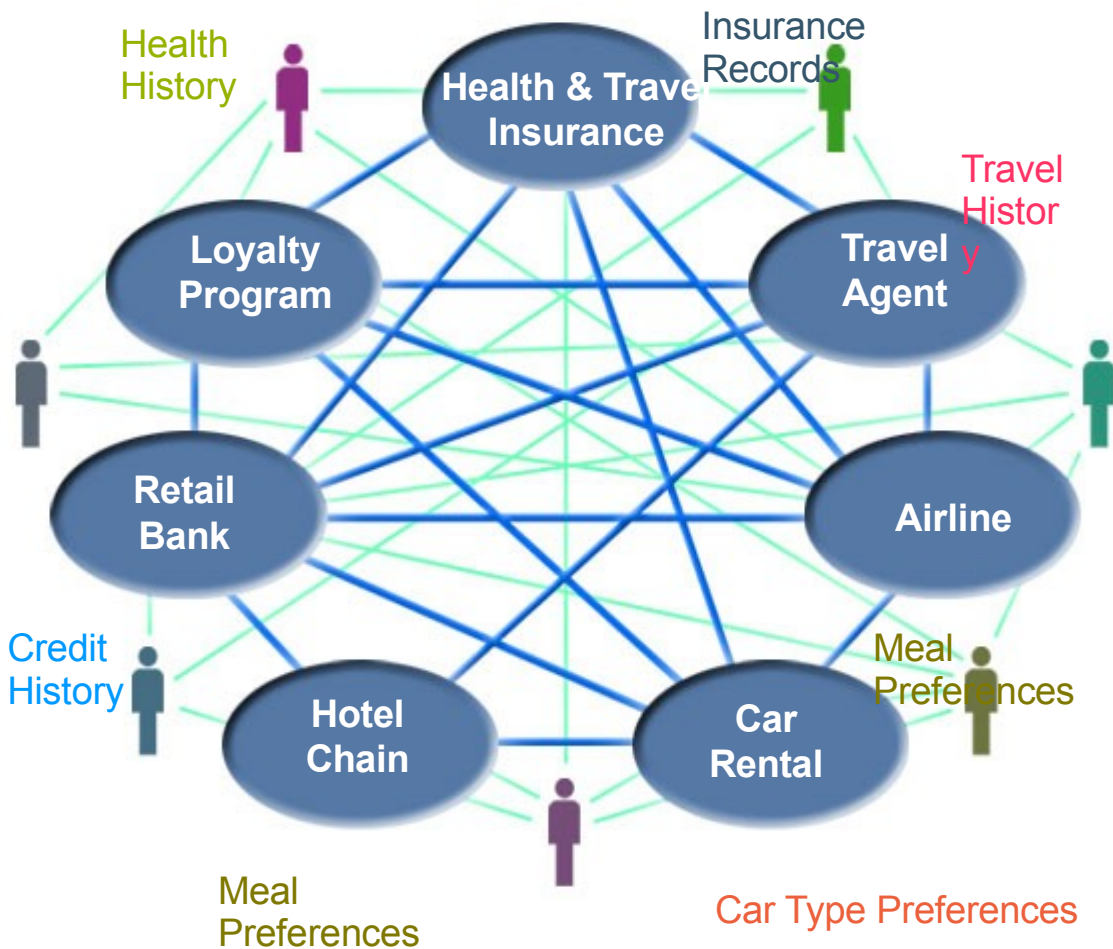
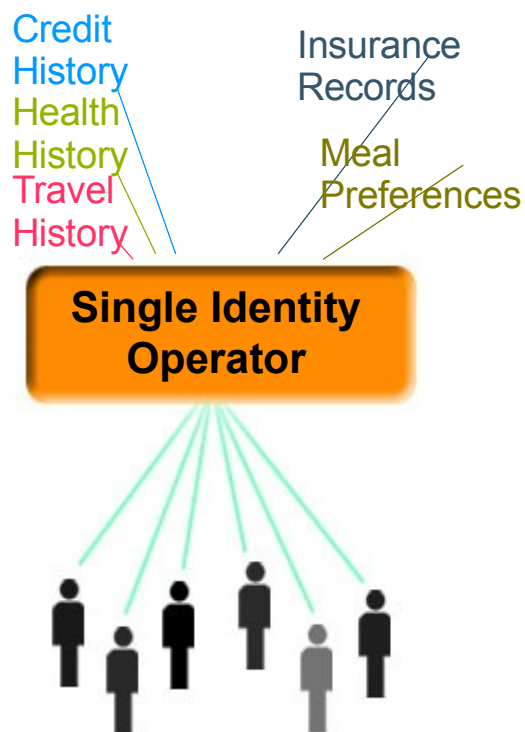
- Policy and its audit have to be guaranteed and certified by a approved public or private independent organization, e. g.:
  - ♦ Federal or state data protection agency
  - ♦ TÜV (private institution)
  - ♦ Audit firm
  - ♦ Chamber of Commerce
  - ♦ Postal Service or other basic service provider, . . .
- This can be achieved with defined processes and responsibilities similar to ISO 9000



Trust is based on policies and the audit of those -- *not just on security*

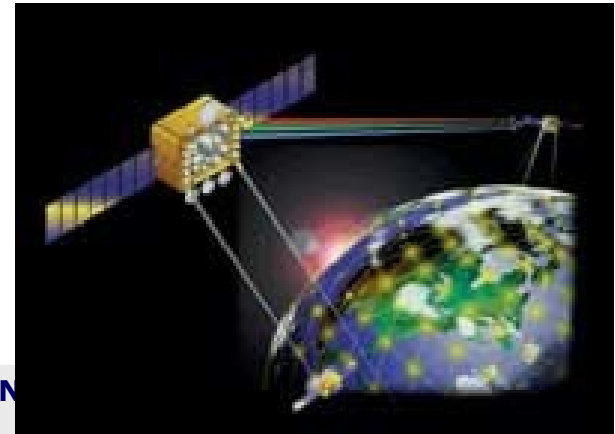


# Where to Safeguard User's Information



# Liberty Promotes Privacy and Security

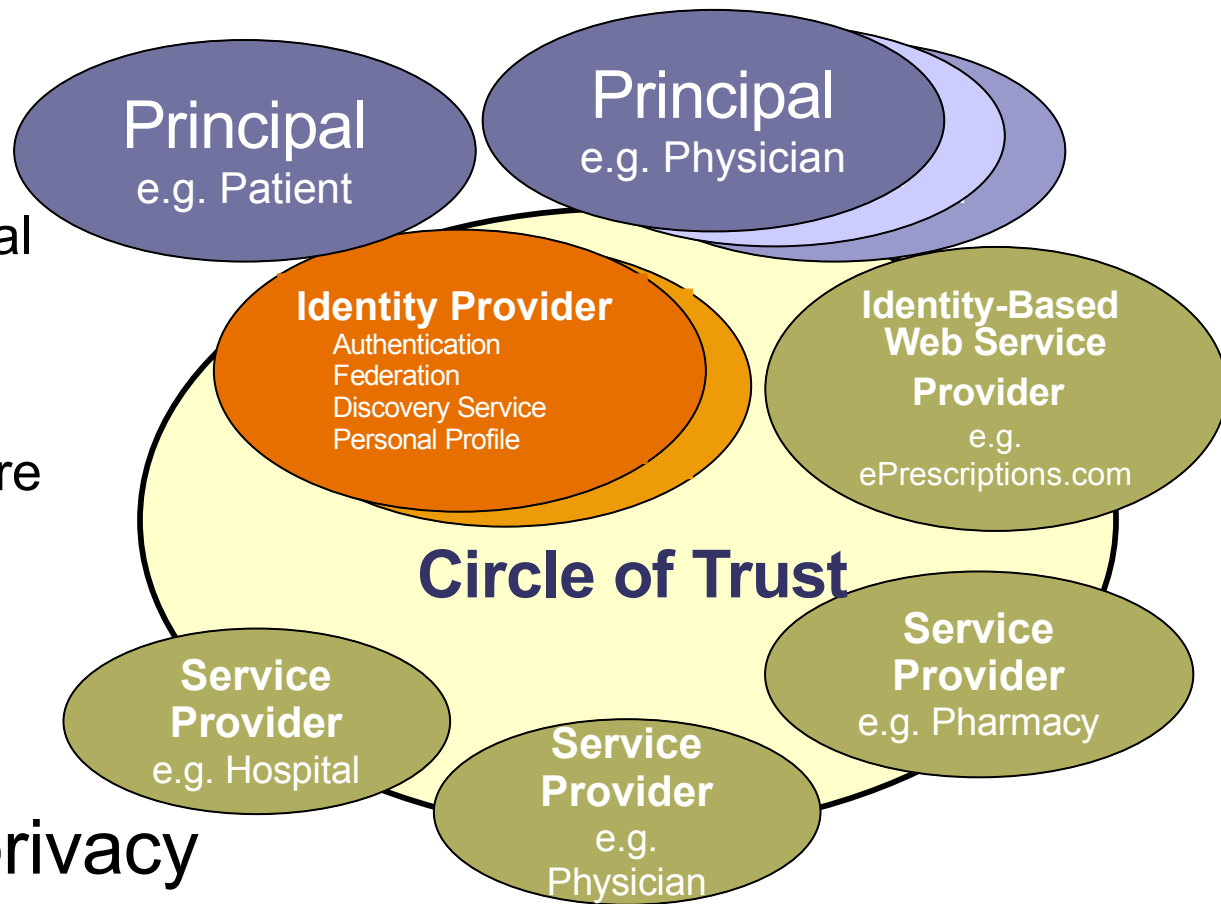
- o Federated structure means no single centralized data storage that would be vulnerable to attack
- o End user has more control of data because permissions travel with data, guiding its use
- o No global identifier--model protects against unauthorized data sharing



# How it Happens

## Circle of Trust - organizations and individuals (example healthcare)

- Business relationships based on Liberty architecture & operational agreements
- Enables patients, physicians and healthcare organizations to safely share information in a secure and apparently seamless environment



Without violating privacy

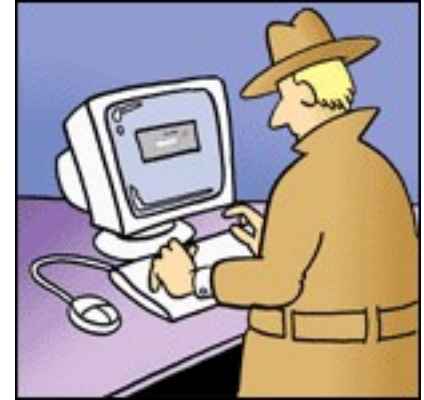





# The Liberty Advantage

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- Wide-spread adoption
  - $\leq 1$  billion identities under Liberty protocols
  - Multiple vendor competition
  - Freedom of choice
- Convergence with other standards
  - e.g., SAML2.0, Shibboleth
- Federated authentication model
  - No central point of failure
- Built on standards
  - Works with existing legacy systems and future development plans
- Privacy & security best practices
  - Create trust for all participants
- Conformance testing & certification
  - Provides for multi-product interoperability







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## THE LIBERTY ALLIANCE


In today's information economy, trust is the necessary foundation for secure interoperability, and central to the successful realization of what's possible on the Web. From the user perspective as well as that of the deploying organization, it's an issue of who is trusted with what...and that requires policy, business and technology understanding and infrastructure. Thus the Liberty Alliance emerged: a first-of-its-kind standards organization with a global membership that provides a holistic approach to identity.



"As organizations increasingly migrate to SAML 2.0 technologies, they can count on products that have passed Liberty's interoperability testing for faster and more successful deployments."  
— Roger Sullivan , Oracle, Search Security, August 2005

[More Testimonials >>](#)


### News



Oct 9

Liberty Alliance Unveils ID-WSF 2.0 Web Services Standard Final  
[SDA-India >](#)


### Events



Nov 27

OASIS Adoption Forum:Managing Secure Interactions in Sector Applications  
[Read More >](#)


### Press Releases



Oct 18

Liberty Alliance Forms Digital Identity Management Group in Norway  
[Read More >](#)

### Featured Event



#### IDDY Awards

Sep 13, 9:00am – 9:00am

2006 IDDY Award recipients were announced September 13, 2006. "The best-of-the-best in the world of identity management". Read to find out about the award, selection process, judges and more.

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# Liberty Adoption

○ T-Com “Netzausweis” (1<sup>st</sup> prize IDDY award)

○ American Express



○ General Motors & Fidelity Investment



- Portal B2E

○ Vodafone “Vodafone live!”



○ France Telecom

- Mobile phone

○ Radio@AOL

○ AD

- portal



○ Sun & BIPAC, Sun & ext. HR

- Services outsourcing



BIPAC

**More than one billion Liberty-enabled identities and devices by the end of 2006...and that's just what we know about**



# Examples of Identity Projects

## Project Fact Sheet



Biometrics for  
Secure  
Authentication

TRASER

*Identity based tracking and web-services for SMEs*



The Future of  
*Identity* in the  
Information  
Society



Government  
User Identity  
for Europe



**PRIME**

Privacy and Identity Management for Europe



**FIDELITY**

Federated Identity Management based on LIBERTY

# Summary

- In most projects *technology* was rarely the issue
- Legal and business agreements are the hard parts
- It is mandatory to use an open standards based approach
- Shop for Liberty interoperable products and solutions
- Liberty specifications are free and there to be used
- Become a member to contribute to the Liberty work



# Recommendation and Conclusion

- National and international interoperability with trust and privacy is *key*
- Build on existing standards
- Embrace Federated Identity for role based access and to protect customer's information
- Federated Identity scales much better than hierarchical approaches. Truly enhances business agility
- Join the Alliance at <http://www.projectliberty.org>